

# Stockton



1997 ANNUAL  
1998 BUDGET

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CITY OF STOCKTON

### *ABOUT THE COVER*

The scene on the cover is a collage of some of the landmark buildings found throughout the City. The Stockton Hotel (second from right) was constructed in 1910 at a cost of \$500,000 and is a registered landmark. Burns Tower (center, back left) constructed in 1963, is part of the campus of the University of the Pacific, chartered in 1851 it is the oldest chartered university in California. The Fox California Theater (center, back) was constructed during the Depression and is currently under renovation as part of the revitalization of downtown. Other buildings depicted here include the Medico-Dental Building (second from left) built in 1928; American Savings Plaza (center) located in downtown Stockton, was completed in 1989 at a cost of \$42 million, and has a quarter of a million square feet of office space; St. Mary's Catholic Church (center, back right) has been at its present location in downtown Stockton since 1850 on land donated by Charles M. Weber, the founder of Stockton. Also shown are the John McCandless Building (far right), one of several buildings housing San Joaquin County government offices, and the Stockton Civic Memorial Auditorium (far left), completed in 1926.

*City of*

# STOCKTON



**Approved  
Annual Budget  
Fiscal Year 1997-98**



*Stockton*

*INTRODUCTORY SECTION*





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## INTRODUCTORY SECTION

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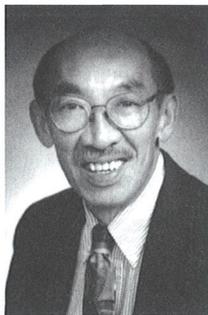
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# CITY OF STOCKTON CITY COUNCIL



GARY A. PODESTO  
MAYOR



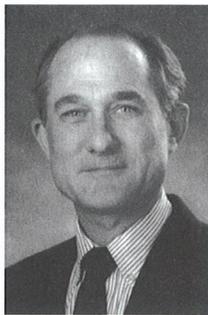
VICTOR MOW  
VICE MAYOR  
*DISTRICT 3*



ANN JOHNSTON  
COUNCILMEMBER  
*DISTRICT 1*



RICHARD F. NICKERSON, MD  
COUNCILMEMBER  
*DISTRICT 2*



LARRY RUHSTALLER  
COUNCILMEMBER  
*DISTRICT 4*



DUANE ISETTI  
COUNCILMEMBER  
*DISTRICT 5*



GLORIA C. NOMURA  
COUNCILMEMBER  
*DISTRICT 6*



DWANE MILNES  
CITY MANAGER

GARY C. INGRAHAM  
ASSISTANT CITY MANAGER

**BUDGET STAFF:**

- |                  |              |
|------------------|--------------|
| RICK BUTTERWORTH | JOHN HINSON  |
| SARA CORTES      | CAROL MILLER |
| Laurie Montes    |              |

# CITY OF STOCKTON VISION

*OUR VISION IS OF STOCKTON  
EXPERIENCING AN EDUCATIONAL,  
CULTURAL, ECONOMIC AND SOCIAL  
RESURGENCE*



**OFFICE OF THE CITY MANAGER**

CITY HALL  
425 N. EL DORADO STREET  
STOCKTON, CA 95202-1997  
(209) 937-8212  
FAX (209) 937-7149

July 1, 1997

The Honorable City Council  
City of Stockton, California

**APPROVED 1997-98 CITY OF STOCKTON OPERATING BUDGET**

The City Council approved the 1997-98 City of Stockton Operating Budget on June 23, 1997. The final budget document for the 1997-98 fiscal year represents the recommended budget as adjusted and approved by City Council Resolution No. 97-0243 as attached.

The schedules and charts contained in this document have been adjusted to reflect the changes made as a result of City Council approval on June 23, 1997.

The direction of the City Council contained in Resolution No. 97-0243 will be carried out expeditiously as we proceed through the coming year. As further State action is announced, reviews of the City's financial condition and outlook toward the 1997-98 and 1998-99 year will be closely monitored and reported as appropriate.

DWANE MILNES  
CITY MANAGER

DM:cm

Attachment



# STOCKTON CITY COUNCIL

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF STOCKTON APPROVING THE 1997-98 PROPOSED BUDGET, 1997-2002 CAPITAL IMPROVEMENT PROGRAM AND FEE ADJUSTMENTS AS RECOMMENDED BY THE CITY COUNCIL

WHEREAS, on May 27, 1997 by City Council motion, a public hearing was set for June 23, 1997 regarding the 1997-98 Proposed Budget, the 1997-2002 Capital Improvement Program, and Fee Adjustments; and

WHEREAS, the City Council scheduled and conducted a series of Council Budget Study Sessions on June 3, 4, 5, 10, 11, 12, 17, and 18, 1997 to review the City Manager's 1997-98 Proposed Budget, Capital Improvement Program, and Fee Adjustments; and

WHEREAS, the City Council conducted a duly noticed scheduled public hearing on the 1997-98 Proposed Budget, 1997-2002 Capital Improvement Program, and Fee Adjustments on June 23, 1997; and

WHEREAS, the City Council understands that the Landfills Enterprise Fund budget will be amended after the beginning of the fiscal year in order to balance appropriations with available financing as the result of Council Budget Committee reviews now in progress; and

WHEREAS, the City Council recommends adoption of the 1997-98 Proposed Budget, with amendments, therefore,

BE IT RESOLVED BY THE COUNCIL OF THE CITY OF STOCKTON, AS FOLLOWS:

1. That the Fiscal Year 1997-98 Annual Budget as proposed by the City Manager be adopted with the following amendments:

(a) Add the following four departmental objectives:

Community Development Department/Code Enforcement Unit

1. Implement an expanded Code Enforcement Program using staff from other city departments and volunteers by August, 1997.
2. Present to the City Council a program for coordinating City departments to inspect extremely dilapidated buildings for code violations by August, 1997.

Parks and Recreation Department/Recreation Unit

1. Identify areas not served by homework centers and begin the process to solicit schools or community organizations to fulfill the need with volunteer-based efforts by August, 1997.

CITY ATTY  
REVIEW

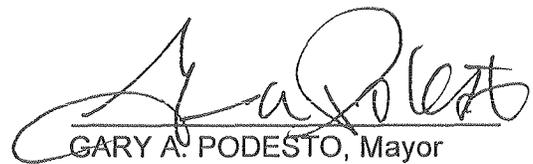
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DATE JUN 25 1997

**97-0243**

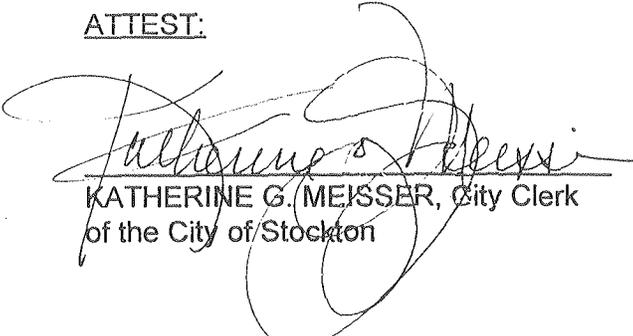
2. Revise homework centers in schools with SRO/After School Recreation Programs, where school assistance can be obtained by September, 1997.
- (b) Add two positions in the City Auditor Department budget, with classification titles and salary ranges to be determined, to conduct internal audits, focusing some of the City's auditing in areas such as water.
2. That the 1997-2002 Capital Improvement Program as proposed by the City Manager be approved.
  3. That the City Fee Schedule be amended effective July 1, 1997 to reflect the selected service fee rate changes proposed by the City Manager except for the elimination of the Library Department Video Rental Fee, and that a resolution for that purpose be approved by the City Council.
  4. That the City Manager be authorized to transfer appropriations between departments to cover bargaining unit Memorandum of Understanding-related salary and benefit costs in the Fiscal Year 1996-97 Budget.
  5. That the following actions required to administratively implement the Fiscal Year 1997-98 Annual Budget as proposed by the City Manager be approved:
    - (a) Amend the contract with the City Clerk to provide that salary be paid at the second step of the salary range effective July 1, 1997.
    - (b) Amend the contract with the City Auditor to provide that salary be paid at the fourth step of the salary range effective July 1, 1997.

PASSED, APPROVED AND ADOPTED         JUN 23 1997        



GARY A. PODESTO, Mayor  
of the City of Stockton

ATTEST:



KATHERINE G. MEISSER, City Clerk  
of the City of Stockton

**97-0243**



**OFFICE OF THE CITY MANAGER**

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(209) 937-8212  
FAX (209) 937-7149

May 27, 1997

The Honorable City Council  
City of Stockton, California

**1997-98 BUDGET MESSAGE**

For the past few years there has been consistency in the basic goals and direction desired by the Stockton community. This is reflected in the adopted goals of the City Council, where the most important objectives continue to focus on crime reduction, economic development, and youth. In March the City Council amplified the goals by adopting specific objectives, which serve as direction to staff for developing and modifying programs. The proposed 1997-98 budget reflects activities to directly implement the goals/programs of the City Council.

While the proposed budget represents programs to assist in improving the quality of life in Stockton, the budget is also limited to a level of revenue not much different from this past year. For well over a decade, revenue in the General Fund, which represents about half of the City budget, has failed to keep pace with the community's population growth and inflation. It is the General Fund which receives all of the City's tax revenue and provides funding for some of the higher priority City activities, including police, fire, parks and recreation, economic development, library and street maintenance.

To make room for program changes consistent with City Council goals and objectives, the 1997-98 budget continues to rely upon an average of 44 vacant positions and the General Fund is projected to end the 1997-98 year with a reserve for emergencies of only about 1% or \$1,087,498. We have spent this past year examining the organizational structures and the method of delivering services in several departments, making changes which will allow a more efficient delivery of service at lower costs. This is consistent with the direction of the Council Budget/Finance/Economic Development Committee last year. We are continuing to examine operations in each department to ensure that we change as our environment changes, rather than retaining old, outmoded, overly costly and cumbersome service delivery systems. Much of this examination is being done under the auspices of the citywide Quality Service Improvement Program, a total quality management effort of continually reviewing and improving City operations.



Stockton is a growing urban community that continues to experience an unacceptably high unemployment rate and many of the ills associated with rapidly urbanizing areas. As one of the largest cities in California, Stockton is beset with both the opportunities and problems commonly associated with some of the much larger cities of the country. Continually renewing our community, avoiding the worst of urbanization, and improving the long term quality of life depends upon aggressive public safety activities and economic growth, but also an effort by all of Stockton's residents to facilitate the education and positive development of our youth and future workforce. The Stockton city government can facilitate in each of these areas, but government cannot unilaterally solve the problems of urbanization. A major theme of the City Council for several years has been to encourage individual residents to take responsibility for the safety and physical condition of their neighborhoods along with the positive development of our community's youth. The 1997-98 budget reflects efforts by the City government to provide a framework for citizen activism.

### **IMPROVING ECONOMY?**

Recent reports of dramatic economic recovery in California and forecasts for continued improvement provide hope that the unemployment rate in San Joaquin County will begin to fall with the creation of new jobs. Unfortunately, while the statewide unemployment rate is 6.4%, the rate in San Joaquin County remains above 11%. Although there is slight growth in sales and business tax receipts as a reflection of an improving economy, the expansion in San Joaquin County is not fast enough to keep pace with inflation and population growth. In fact, Stockton City General Fund tax revenues are projected to increase 1.5% over the budgeted tax revenue in the 1996-97 budget. This is a reflection that the economic recovery in California has not reached the Central Valley and that the structural aspects of the Valley economy make recovery difficult. Particularly without the return of the property tax revenue taken from local government by the State several years ago, we must continue to manage local government fiscal affairs in a very limiting manner. For some years to come, there will not be additional revenue to support added or expanded programs without expenditure reductions elsewhere within the General Fund. This becomes particularly difficult in light of the fact that 67.3% of General Fund expenditures are for public safety.

### **LANDFILL ENTERPRISE**

The Landfill Enterprise is projected to end the 1997-98 fiscal year with a deficit of \$434,674 unless some major changes are made in revenue and/or expenditures. A second change in the rate structure of the Forward Landfill, a privately owned and operated landfill, has once again diverted commercial tonnage from the City landfill at Austin Road. A City Council Committee is presently reviewing alternative measures to bring the Landfill Enterprise Fund back into balance. It is important that some positive steps be taken within the next several months in order to forestall this deficit situation and avoid having to subsidize the Landfill Enterprise Fund with scarce General Fund tax revenue.

### PRIVATIZATION

One of the specific objectives of the City Council is to explore areas of City operations where money might be saved by "contracting out" for the provision of services rather than continuing to undertake such activities "in-house". The City already utilizes the private sector for a number of activities such as plan checking, maintenance of some city-owned parks and landscape areas, utility bill and parking citation check processing, parking meter collections, security services, project design, street and sidewalk repair, and tree maintenance. While many other activities are also undertaken by contract with private business, the City will continue to examine the economies of privatization throughout our operations in order to use the most cost effective means of service delivery. Consistent with the Council's specific objectives, the staff will recommend areas for possible further privatization by September, 1997.

### MANY THINGS ARE GOING WELL IN STOCKTON

While the focus of a budget message is typically on the finances of City operations and the health of the community economy, this can obscure the fact that the quality of life in Stockton is improving. Under the umbrella of the Safe Stockton programs, the Police Department has effectively implemented community oriented policing. School Resource Officers, After School Recreation Programs, the Truancy and Curfew Center, and close collaboration with the Probation Department have all assisted in a continuing decline in crime rate. The City's Safe Neighborhood activities have combined code enforcement with neighborhood activism to substantially reduce the incidence of graffiti and to increase the level of property code enforcement. The Property Management Task Force has been instrumental in designing the program and providing an avenue for positive contribution by people in our community who are concerned with the quality of Stockton's appearance and the liveability of its property.

Downtown Stockton is beginning to liven up. The Fox Theater is an active part of the Downtown scene. The Weber Point project will be underway this summer. Gateway property acquisition will be complete and several private downtown projects have been finished. And, very importantly, the Downtown Alliance has been a major element in organizing business and property owner participation in upgrading and bringing more people to Downtown. First Night activities at New Years will join the Fourth of July in bringing a joyful event into the Downtown/Waterfront environment.

Revitalization and growth are evident in Stockton. CSU Stanislaus is taking responsibility for the Developmental Center and is bringing new educational opportunities to Stockton along with being a catalyst for revitalization of the State property and surrounding community areas. Dillard's is coming to the mall area. The old Breuner's building parcel and Soda Corners are being rebuilt. A multi-modal center at the train depot on the east side is moving through the State funding process. Railroad grade separation projects are well underway. The Maya Angelou Library in Southeast Stockton is a useful center for community learning and recreation.

The Honorable City Council  
May 27, 1997  
Page 4

Stockton is an exciting place. It is an urban place. It is a changing place. The 1997-98 budget may not reflect much more money to spend, but it does reflect new initiatives and continuation of successful programs to help improve the quality of life in our community. Reading the Council's specific objectives will show what is being done in the areas of crime prevention, youth opportunity, economic development, Downtown/Waterfront revitalization, and fiscal responsibility. The specific objectives are the words that point the direction the Council has asked the 1997-98 budget to facilitate.



DWANE MILNES  
CITY MANAGER

# BUDGET DOCUMENT ORGANIZATION/PROCESS

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## Budget Document Organization:

The annual budget is an action and financial plan of services to be provided to the people of Stockton.

The budget document is divided into fifteen sections: Introductory Section, Summary Schedules, Revenues, Personnel Listing, Departmental Budgets, Special Revenue Funds, Enterprise Funds, Internal Service Funds, Trust Funds, Grant Funds, District Funds, Capital Improvement Program Budget, Debt Service, Redevelopment Agency Budget and the Glossary.

The Introductory Section includes the City Manager's budget message which provides an overview of the key principles on which the budget is developed, major factors influencing the budget, and a look into the future needs of the City. This section also includes the Table of Contents, Budget Document Organization/Process, Budget Awards, City Profile with Five-Year Comparison and the City of Stockton Functional Organization Chart.

The next three sections are presented to provide, in summary form, information on expenditures, revenues, personnel and use of funds. Some of this data is displayed on charts and graphs that are part of this budget section.

The next section, Departmental Budgets, describes the functions and activities of the departments. The major work objectives are outlined with the appropriations proposed to fund these programs.

The sections identified as Special Revenue Funds, Enterprise Funds, Internal Service Funds, Trust Funds, Grant Funds, and District Funds summarize the functions and services these special purpose funds provide.

Special Revenue Funds include Asset Forfeiture, Library, Boat Launching Facilities, Streets and Business Improvement, and Public Facility Fees Administration. These funds are categorized based on the specific nature of the revenue that supports the operations of the funds. The revenue sources for all or most of these funds are specifically designated for the activities carried within the funds.

Enterprise Funds include Water, Wastewater, Stormwater, Landfills, Garden Refuse, and Golf Courses that function much like businesses within their own revenue sources.

Internal Service Funds are City-wide services to other City departments and agencies. These funds operate using income from services they provide. The income comes from user departments payments for the services received. These services include insurance coverage, vehicle and equipment maintenance and replacement, and centralized services such as duplicating.

## BUDGET DOCUMENT ORGANIZATION/PROCESS

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Trusts Funds are special purpose money received from benefactors or other entities and from which specific expenditures can be made. These expenditures are specifically spelled out in the language of the benefactor or state law establishing the funding for the trust.

Grant Funds are special purpose functions of limited duration using funds granted from other jurisdictions and agencies such as federal and state agencies.

District Funds are special purpose single or multiple function entities with local funding through special assessments on property.

The next section is the Capital Improvement Program. Each year, following a public hearing to receive citizen input, a five-year capital improvement program is prepared and presented to the City Council for review and approval. The projects in their first year are incorporated into the annual budget and the necessary funds are appropriated. Capital Improvement projects may include construction of new libraries, fire stations and parks; resurfacing of streets; sidewalk, curb and gutter repair; redevelopment projects; and improvement of stormwater and wastewater facilities.

The Debt Service section is to appropriate for the accumulation of resources, and the retirement of, principal and interest on general long-term debt.

The Redevelopment Agency budget section is approved by the City Council while serving as the Redevelopment Agency during a separate session.

The last section is the Glossary which contains descriptions of the most common used budget terms.

### **Budget Process:**

The budget process is governed by the Stockton City Charter, Article XIX, Section 1903 which specifies that:

The City Manager shall submit a budget for the ensuing fiscal year to the City Council. The budget must be submitted at least thirty (30) days prior to the beginning of each fiscal year or at such earlier date as the Council may specify. The budget shall provide a financial plan of all City activities and, except as required by law or this Charter, shall be in such form as the City Council may require, or in the absence of a Council requirement, in such form as the City Manager deems desirable. The budget may be amended from time to time during the fiscal year. The City Council shall review the budget as submitted at a public hearing and make amendments to the proposed budget as it deems desirable. Expenditures provided for in a budget for any given fiscal year shall not exceed the total estimated income, unencumbered available reserves and estimated unencumbered balances of funds carried over from the preceding fiscal year.

## BUDGET DOCUMENT ORGANIZATION/PROCESS

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In the event the City Council shall fail to adopt the budget by the beginning of the fiscal year, the various amounts proposed in the budget by the City Manager shall be deemed appropriated until the Council adopts a budget for the fiscal year.

No part of any appropriation shall be transferred from one department to another department nor from one fund to another fund unless authorized by the City Council.

Except as otherwise provided in this Charter or where the Council may have, by resolution, provided for the continuance of an appropriation beyond the end of the fiscal year, all appropriations approved by the City Council shall lapse at the end of the fiscal year to the extent they shall not have been expended or encumbered.

The annual budget process commences in October when the City Manager meets with the department directors to provide them with information on the financial condition of the City and the general parameters for budget submittals. Budget Manuals setting forth guidelines and instructions for budget preparation are distributed to the departments at this time. During the months of February, March and April, meetings are conducted by the City Manager and budget staff with the various departments. It is at these meetings where community needs are discussed and the City Manager's proposed budget is established. Input from the people of Stockton, the City Council and City staff is considered. Once these meetings are concluded, the proposed budget document is produced. The budget must be submitted to the City Council by the first of June in compliance with the City Charter.

In June, public meetings are held by the City Council to review the proposed budget. A public hearing is scheduled by the City Council to receive public input on the proposed budget. The budget is then adopted by Council resolution and becomes the City's action plan for the ensuing fiscal year.

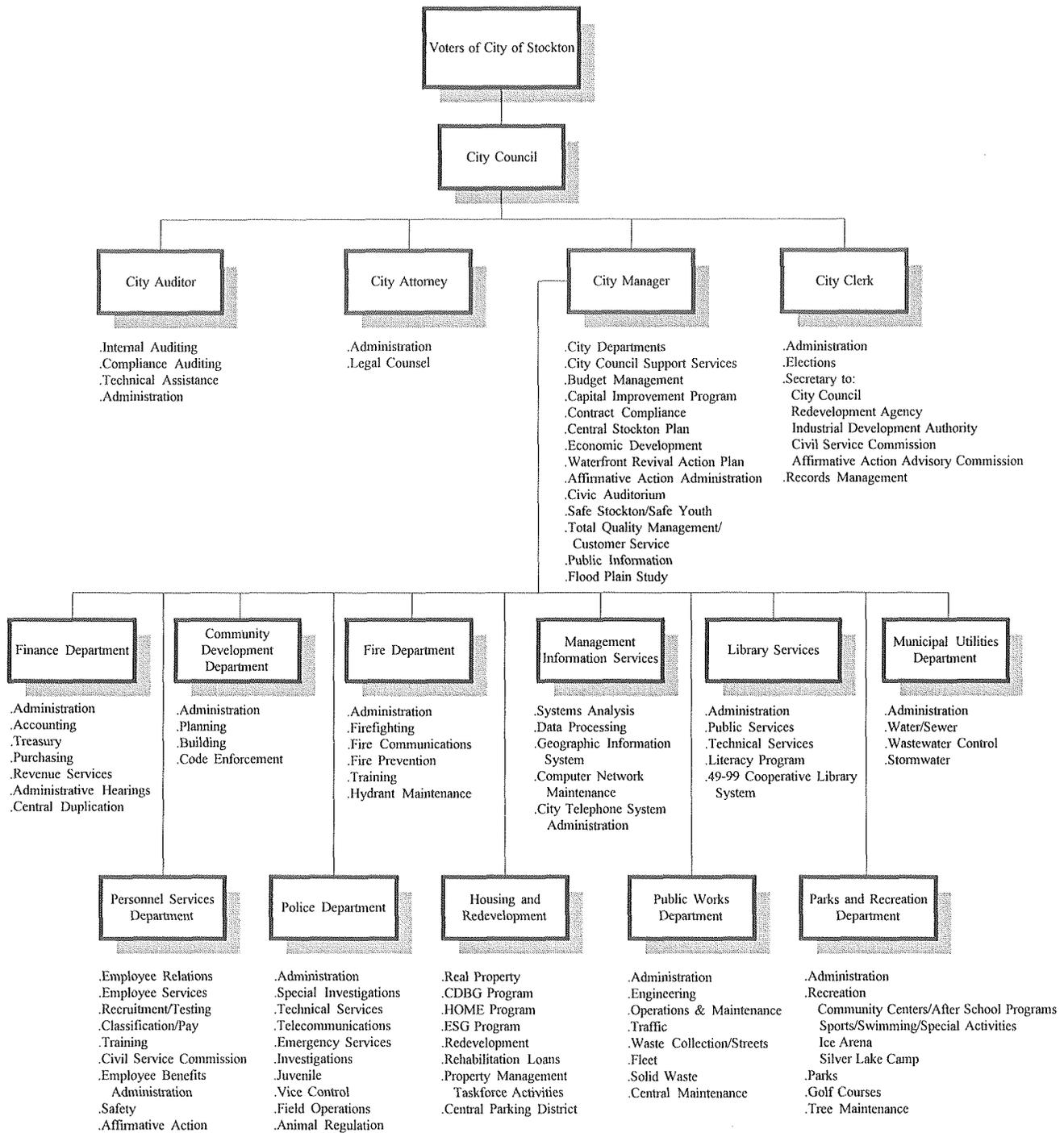
The annual budget plan can be amended and adjusted as circumstances dictate during the year through the budget transfer process defined by the City Charter. The process allows for transfers of appropriation to be approved by the City Manager within department and by the City Council between departments or funds.

**CITY OF STOCKTON**  
**CITY PROFILE WITH FIVE-YEAR COMPARISON**

<i>Year of Incorporation</i>	<i>1850</i>
<i>Form of Government</i>	<i>City Council/City Manager</i>
<i>City Charter Adopted</i>	<i>1922</i>

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	<u>1992-93</u>	<u>Estimate 1997-98</u>
<b>Population</b>	221,847	239,550
<b>Area (Square Miles)</b>	55.5	56.1
<b>Miles of Streets</b>	622	666
 <b>Public Safety</b>		
Sworn Police Officers	328	395
Major Reported Crimes	27,049	21,680
No. of Fire Stations	11	12
Sworn Firefighters	227	231
Total Responses	21,746	23,192
 <b>Recreation</b>		
Municipal Golf Courses	3	3
Municipal Parks-Developed (City Parks 529 Acres)	48	50
Park Sites-Undeveloped (67 Acres)	2	8
Family Camp - Silver Lake	1	1
Pioneer Museum - Victory Park	1	1
Auditorium - Civic Center	1	1
Senior Citizens Center - Oak Park	1	1
Ice Rink - Oak Park	1	1
Community Centers	6	6
Swimming Pools	4	4
Baseball & Softball Diamonds	41	41
Tennis Courts	57	60
 <b>Libraries</b>		
Cesar Chavez Central Library	1	1
Branches	9	9
Bookmobile\Bookvan	1	2
 City Employees (full-time)	1,563	1,681
City Employees per 1,000 population	7.05	7.02



**CITY OF STOCKTON  
FUNCTIONAL ORGANIZATION CHART**



*Stockton*

*SUMMARY SCHEDULES*



## ***SUMMARY SCHEDULES***

The summaries of the City budget are designed to provide quick reference to the appropriation and revenue of the various funds under City Council authority. It also satisfies the legal requirement in Article XIII B of the Constitution of the State of California to note the City's appropriation limit and the margin between the limit and the total appropriation in the budget. As an additional benefit this section of the budget document provides a historical and comparative view from which to further understand the City's budget needs and changes.

**ARTICLE XIII B (PROPOSITION 4) OF THE STATE CONSTITUTION**  
**APPROPRIATION LIMITATION**

The 1997-98 Operating and Capital Improvement Budget for the City of Stockton is in compliance with Government Codes 12463, 29089, 7902.7, and 37200.

1997-98 Appropriations Limit	\$127,956,790
1997-98 Appropriations Subject to the Limit	\$ 80,471,759

The appropriations total is under the Appropriations Limit by a margin of \$47,485,031. The appropriations limit is the maximum taxing authority of the City. The appropriations is the proposed actual taxing by the City. The difference is the amount additional taxing authority that the City could raise its taxes without exceeding Article XIII B.

**EXPLANATION OF BUDGETARY BASIS AND PRESENTATION**

Basis of accounting refers to the point in time at which revenues and expenditures are recognized and reported in the financial statements.

The modified accrual basis of accounting is used for governmental funds (general, special revenue, debt service, and capital projects), expendable trust funds and agency funds. Their revenues are recognized in the accounting period in which they become measurable and available as net current assets; that is, when they become susceptible to accrual. Expenditures are generally recognized in the accounting period in which the fund liability is incurred, except for unmatured interest on general long term debt, which is recognized when due.

The accrual basis of accounting is used for proprietary funds (enterprise funds, internal service funds, and non-expendable trust funds).

The budget of each City fund is generally prepared consistently with the basis of accounting used for that fund, with the exception that depreciation expense is not budgeted for proprietary funds.

**CITY OF STOCKTON APPROVED 1997-98 BUDGET  
SUMMARY OF APPROPRIATIONS, AVAILABLE FINANCING, AND FUND BALANCES**

	Estimated Fund Balance July 1, 1997	Estimated Revenue	Transfers In	Funds Available FY97-98	Estimated Expenditures	Transfers Out	Estimated Fund Balance June 30, 1998
<b><u>GENERAL FUND</u></b>							
General Fund (010)	\$8,951,735	\$101,614,348	\$5,413,534	\$115,979,617	\$110,344,119	\$4,548,000	\$1,087,498
<b><u>SPECIAL REVENUE FUNDS</u></b>							
Capital Improvement Fund (301)	\$134,351	\$88,834	\$185,511	\$408,696	\$393,500	\$0	\$15,196
Infrastructure Improvements (303)	\$985	\$386,000	\$250,000	\$636,985	\$486,000	\$40,000	\$110,985
Library Fund (041)	\$446,021	\$2,849,101	\$4,450,000	\$7,745,122	\$7,556,448	\$0	\$188,674
Gas Tax 2105 Fund (030)	\$538,938	\$1,452,243	\$0	\$1,991,181	\$336,000	\$1,260,000	\$395,181
Gas Tax 2106 Fund (031)	\$154,558	\$938,760	\$0	\$1,093,318	\$0	\$1,093,000	\$318
Gas Tax 2107 (032)	\$190,199	\$2,035,345	\$0	\$2,225,544	\$100,000	\$1,899,000	\$226,544
Gas Tax 2107.5 (033)	\$37,448	\$11,000	\$0	\$48,448	\$0	\$48,000	\$448
Measure K Sales Tax (080)	\$382,849	\$2,671,000	\$0	\$3,053,849	\$2,570,000	\$0	\$483,849
Comm Dev Block Grant (052-54)	\$2,155,492	\$9,558,534	\$33,030	\$11,747,056	\$10,135,056	\$1,612,000	\$0
HOME Grant Program (059)	\$748,590	\$1,729,000	\$0	\$2,477,590	\$2,477,590	\$0	\$0
Emergency Shelter Grant (057)	\$0	\$134,000	\$0	\$134,000	\$134,000	\$0	\$0
ISTEA (038)*	\$0	\$1,390,000	\$0	\$1,390,000	\$1,390,000	\$0	\$0
Grants (Operating) (020, 024-25)	\$721,734	\$911,606	\$0	\$1,633,340	\$1,108,340	\$525,000	\$0
Transportation Dev Act (034)	\$7,342	\$102,600	\$0	\$109,942	\$100,000	\$0	\$9,942
Asset Forfeiture (023)	\$101,200	\$132,500	\$0	\$233,700	\$135,429	\$0	\$98,271
Street & Business Improv. (073)	\$0	\$120,800	\$0	\$120,800	\$120,800	\$0	\$0
Boat Launching Facilities (045)	\$30,000	\$108,000	\$0	\$138,000	\$97,414	\$15,000	\$25,586
<b><u>CENTRAL PARKING DISTRICT</u></b>							
Central Parking District (416)	\$1,041,501	\$1,934,576	\$98,000	\$3,074,077	\$2,081,760	\$0	\$992,317
CP Construction Reserve (417)	\$941,706	\$56,000	\$0	\$997,706	\$100,000	\$0	\$897,706
<b><u>COMMUNITY FACILITIES DISTRICTS</u></b>							
Weston Ranch (89-1) Fund 351	\$1,178,162	\$56,478	\$0	\$1,234,640	\$56,478	\$0	\$1,178,162
Weston Ranch (89-1) Fund 251	\$1,899,574	\$2,950,788	\$0	\$4,850,362	\$2,940,788	\$0	\$1,909,574
Brookside (90-2) Fund 352	\$0	\$54,793	\$0	\$54,793	\$54,793	\$0	\$0
Brookside (90-2) Fund 252	\$2,646,656	\$3,692,693	\$0	\$6,339,349	\$3,682,692	\$0	\$2,656,657
South Stockton (90-1) Fund 353	\$0	\$84,546	\$0	\$84,546	\$84,546	\$0	\$0
South Stockton (90-1) Fund 253	\$1,077,691	\$1,290,658	\$0	\$2,368,349	\$1,458,658	\$0	\$909,691
Spanos Park (90-4) Fund 354	\$0	\$42,728	\$0	\$42,728	\$42,728	\$0	\$0
<b><u>INFRASTRUCTURE FINANCING DISTRICT ADMINISTRATION</u></b>							
Southeast Stockton (92-1) (998)	\$100,486	\$65,500	\$0	\$165,986	\$17,043	\$0	\$148,943
<b><u>ENTERPRISE FUNDS</u></b>							
Golf Course Fund (481)	\$515,500	\$1,953,000	\$0	\$2,468,500	\$1,740,439	\$0	\$728,061
Landfill Fund (451)	\$841,799	\$1,467,972	\$0	\$2,309,771	\$2,744,445	\$0	(\$434,674)
Landfill Closure Fund (453)	\$5,019,896	\$405,278	\$0	\$5,425,174	\$0	\$0	\$5,425,174
Wastewater Fund (431)	\$5,292,202	\$26,908,600	\$0	\$32,200,802	\$26,224,440	\$0	\$5,976,362
Wastewater COP Fund (433) **	\$0	\$57,730,000	\$0	\$57,730,000	\$57,730,000	\$0	\$0
Water Fund (421)	\$6,672,437	\$12,686,600	\$0	\$19,359,037	\$11,714,495	\$0	\$7,644,542
Storm Water Fund (441)	\$1,284,066	\$4,013,000	\$0	\$5,297,066	\$3,869,682	\$0	\$1,427,384
Garden Refuse Fund (455)	\$922,129	\$2,009,800	\$0	\$2,931,929	\$2,126,165	\$0	\$805,764

\* These amounts will be appropriated as separate items by the City Council when program supplements are approved. Resolution 96-0296 authorizes the City Manager to execute all local agency/State supplemental agreements for Federal/State grant funding programs.

\*\* These revenues and expenditures will be appropriated after bonds are secured.

**CITY OF STOCKTON APPROVED 1997-98 BUDGET**  
**SUMMARY OF APPROPRIATIONS, AVAILABLE FINANCING, AND FUND BALANCES**

	Estimated Fund Balance July 1, 1997	Estimated Revenue	Transfers In	Funds Available FY97-98	Estimated Expenditures	Transfers Out	Estimated Fund Balance June 30, 1998
<b><u>PUBLIC FACILITIES FEES FUNDS</u></b>							
Public Facility Adm. Fund (999)	\$358,043	\$290,400	\$0	\$648,443	\$315,720	\$85,511	\$247,212
City Office Fund (930)	\$107,953	\$142,987	\$0	\$250,940	\$0	\$200,000	\$50,940
Fire Station Fund (940 - 946)	\$131,122	\$122,462	\$0	\$253,584	\$0	\$250,000	\$3,584
Police Station Fund (960)	\$3,758,804	\$380,570	\$0	\$4,139,374	\$74,000	\$0	\$4,065,374
Library Fund (950 - 956)	\$1,389,284	\$279,651	\$0	\$1,668,935	\$0	\$150,000	\$1,518,935
Street Tree Fund (978)	\$18,234	\$58,284	\$0	\$76,518	\$45,000	\$0	\$31,518
Street Name Signs Fund (979)	\$418	\$2,854	\$0	\$3,272	\$2,000	\$0	\$1,272
Street Lights Fund (980 - 986)	\$310,585	\$33,229	\$0	\$343,814	\$174,000	\$0	\$169,814
Parkland Fund (970 - 976)	\$2,682,929	\$800,019	\$2,000,000	\$5,482,948	\$2,013,000	\$2,000,000	\$1,469,948
Comm. Center Fund (920 - 926)	\$1,246,545	\$121,740	\$0	\$1,368,285	\$0	\$0	\$1,368,285
Air Quality Fund (990 - 996)	\$526,850	\$327,286	\$0	\$854,136	\$617,000	\$0	\$237,136
Habitat/Conservation Fee (997)	\$234,123	\$64,935	\$0	\$299,058	\$0	\$0	\$299,058
Street Improv. Fund (910 - 916)	\$8,722,953	\$2,513,477	\$0	\$11,236,430	\$2,061,000	\$0	\$9,175,430
Traffic Signal Fund (900 - 904)	\$92,927	\$198,467	\$0	\$291,394	\$235,000	\$0	\$56,394
Water Connection Fund (424)	\$460,887	\$440,900	\$0	\$901,787	\$788,094	\$0	\$113,693
Wastewater Connection (434)	\$12,290,168	\$3,803,900	\$0	\$16,094,068	\$8,322,702	\$0	\$7,771,366
Westside Inter. Conn. Fee (436)	\$490,000	\$1,145,000	\$0	\$1,635,000	\$975,000	\$0	\$660,000
<b><u>TRUST FUNDS</u></b>							
Police Department (645)	\$6,700	\$19,200	\$0	\$25,900	\$25,900	\$0	\$0
Fire Department (646)	\$17,800	\$20,300	\$0	\$38,100	\$38,100	\$0	\$0
Parks & Rec (643; 624; 625; 626)	\$161,475	\$87,995	\$0	\$249,470	\$249,470	\$0	\$0
Arts Commission (641)	\$32,265	\$35,600	\$0	\$67,865	\$67,865	\$0	\$0
Library (621; 622; 626; 628; 644)	\$139,123	\$458,050	\$0	\$597,173	\$597,173	\$0	\$0
A. Wagner Educ. Trust (627)	\$31,000	\$2,000	\$0	\$33,000	\$33,000	\$0	\$0
General Government (642)	\$16,500	\$12,733	\$0	\$29,233	\$29,233	\$0	\$0
<b><u>INTERNAL SERVICE FUNDS</u></b>							
Fleet (Vehicles) (501)	\$2,873,974	\$6,681,428	\$0	\$9,555,402	\$9,555,402	\$0	\$0
Computer Equipment (502)	\$1,972,636	\$3,693,100	\$525,000	\$6,190,736	\$6,190,736	\$0	\$0
Radio Equipment (503)	\$1,317,825	\$1,012,789	\$0	\$2,330,614	\$2,330,614	\$0	\$0
Telecommunications (504)	\$298,671	\$524,775	\$0	\$823,446	\$823,446	\$0	\$0
Office Equipment (505)	\$357,713	\$308,756	\$0	\$666,469	\$666,469	\$0	\$0
Printing/Mailing (508)	\$280,909	\$355,000	\$0	\$635,909	\$405,000	\$230,909	\$0
Worker's Compensation (551)	\$5,669,420	\$4,126,269	\$0	\$9,795,689	\$9,795,689	\$0	\$0
Health Insurance (552)	\$1,997,776	\$9,400,000	\$0	\$11,397,776	\$11,397,776	\$0	\$0
Unemployment Insurance (556)	\$121,174	\$113,168	\$0	\$234,342	\$234,342	\$0	\$0
Long Term Disability (557)	\$428,625	\$798,053	\$0	\$1,226,678	\$798,053	\$428,625	\$0
General Liability Insurance (541)	\$2,657,228	\$2,663,535	\$0	\$5,320,763	\$5,320,763	\$0	\$0
<b><u>REDEVELOPMENT AGENCY</u></b>							
Redevelop Agency (330-339) ***	\$0	\$0	\$1,612,000	\$0	\$0	\$182,030	\$0

\*\*\* This summary only shows the debt repayment and fund transfer activities for the Redevelopment Agency. Appropriations, revenues and fund balances related to the Agency can be found in other sections of this document. The Redevelopment Agency budget is approved under separate cover and included in this budget document as supplemental information.

**APPROVED 1997-98 BUDGET  
SCHEDULE OF INTERFUND TRANSFERS  
DETAIL OF TRANSFERS IN**

	<u>1997-98</u>
<b><u>TO GENERAL FUND (010) from:</u></b>	
Gas Tax 2105 Fund (030)	\$1,260,000
Gas Tax 2106 Fund (031)	\$1,093,000
Gas Tax 2107 Fund (032)	\$1,899,000
Gas Tax 2107.5 Fund (033)	\$48,000
Boat Launching Facilities Fund (045)	\$15,000
Redevelopment Agency (Eastland) Fund (335)	\$89,000
Printing/Mailing ISF (508)	\$230,909
Long Term Disability ISF (557)	\$428,625
PFF/Fire Station Fee Area 1 Fund (941)	\$150,000
PFF/Fire Station Fee Area 6 Fund (946)	\$100,000
PFF/Library Fee Area 6 Fund (956)	<u>\$100,000</u>
	\$5,413,534
<b><u>TO CAPITAL IMPROVEMENT FUND (301) from:</u></b>	
Infrastructure Improvements Fund (303)	\$40,000
Redevelopment Agency (All Nations) (333)	\$60,000
PFF/Administration Fund (999)	<u>\$85,511</u>
	\$185,511
<b><u>TO INFRASTRUCTURE IMPROVEMENTS FUND (303) from:</u></b>	
PFF/City Office Space City-Wide (930)	\$200,000
PFF/Library Fee Area 6 Fund (956)	<u>\$50,000</u>
	\$250,000
<b><u>TO LIBRARY FUND (041) from:</u></b>	
General Fund (010)	\$4,450,000
<b><u>TO COMMUNITY DEVELOPMENT BLOCK GRANT (052) from:</u></b>	
Redevelopment Agency (Sharps Lane Villa) (331)	\$33,030
<b><u>TO CENTRAL PARKING DISTRICT (416) from:</u></b>	
General Fund (010)	\$98,000
<b><u>TO PFF/PARKLAND FEE CITY-WIDE FUND (970) from:</u></b>	
PFF/Parkland Fee Area 1 Fund (971)	\$2,000,000
<b><u>TO COMPUTER ISF (502) from:</u></b>	
Supplemental Law Enforcement Services Fund (024)	\$525,000
<b><u>TO REDEVELOPMENT AGENCY (AMENDED WEST END) (334) from:</u></b>	
Community Development Block Grant Fund (052)	\$1,612,000

\* This transfer includes \$175,000 for the East Stockton Downtown Project. The total CDBG allocation to this project is \$775,000. The \$600,000 difference was transferred in 1996-97.

Note:

The amount of actual cost of the 4th of July event, not to exceed the budgeted amount, will be transferred from the General Fund City Manager's department budget to the "Fourth of July Event" Special Revenue Fund in order to accomplish the administrative requirements of the contractual agreement with the Stockton/San Joaquin Convention and Visitors Bureau. Interfund transfers related to the Redevelopment Agency are identified in the Agency section.

**APPROVED 1997-98 BUDGET  
SCHEDULE OF INTERFUND TRANSFERS  
DETAIL OF TRANSFERS OUT**

		<u>1997-98</u>
<b><u>FROM GENERAL FUND (010) to:</u></b>		
Library Fund (041)	\$4,450,000	
Central Parking District (416)	<u>\$98,000</u>	
		\$4,548,000
<b><u>FROM INFRASTRUCTURE IMPROVEMENTS FUND (303) to:</u></b>		
Capital Improvement Fund (301)		\$40,000
<b><u>FROM GAS TAX 2105 FUND (030) to:</u></b>		
General Fund (010)		\$1,260,000
<b><u>FROM GAS TAX 2106 FUND (031) to:</u></b>		
General Fund (010)		\$1,093,000
<b><u>FROM GAS TAX 2107 FUND (032) to:</u></b>		
General Fund (010)		\$1,899,000
<b><u>FROM GAS TAX 2107.5 FUND (033) to:</u></b>		
General Fund (010)		\$48,000
<b><u>FROM COMMUNITY DEVELOPMENT BLOCK GRANT FUND (052) to:</u></b>		
Redevelopment Agency (Amended West End) Fund (334)		\$1,612,000
<b><u>FROM SUPPLEMENTAL LAW ENFORCEMENT SERVICES FUND (024) to:</u></b>		
Computer ISF (502)		\$525,000
<b><u>FROM BOAT LAUNCHING FACILITIES FUND (045) to:</u></b>		
General Fund (010)		\$15,000
<b><u>FROM PFF/ADMINISTRATION FUND (999) to:</u></b>		
Capital Improvement Fund (301)		\$85,511
<b><u>FROM PFF/CITY OFFICE SPACE CITY-WIDE FUND (930) to:</u></b>		
Infrastructure Improvements Fund (303)		\$200,000
<b><u>FROM PFF/FIRE STATION FEE AREA 1 FUND (941) to:</u></b>		
General Fund (010)		\$150,000
<b><u>FROM PFF/FIRE STATION FEE AREA 6 FUND (946) to:</u></b>		
General Fund (010)		\$100,000
<b><u>FROM PFF/LIBRARY FEE AREA 6 FUND (956) to:</u></b>		
General Fund (010)	\$100,000	
Infrastructure Improvements Fund (303)	<u>\$50,000</u>	
		\$150,000

\* This transfer includes \$175,000 for the East Stockton Downtown Project. The total CDBG allocation to this project is \$775,000. The \$600,000 difference was transferred in 1996-97.

**APPROVED 1997-98 BUDGET  
SCHEDULE OF INTERFUND TRANSFERS  
DETAIL OF TRANSFERS OUT**

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	<u>1997-98</u>
<b><u>FROM PFF/PARKLAND FEE AREA 1 FUND (971) to:</u></b>	
PFF/Parkland Fee City-wide Fund (970)	\$2,000,000
<b><u>FROM PRINTING/MAILING ISF (508) to:</u></b>	
General Fund (010)	\$230,909
<b><u>FROM LONG TERM DISABILITY ISF (557) to:</u></b>	
General Fund (010)	\$428,625
<b><u>FROM REDEVELOPMENT AGENCY (SHARPS LANE VILLA) (331) to:</u></b>	
Community Development Block Grant Fund (052)	\$33,030
<b><u>FROM REDEVELOPMENT AGENCY (ALL NATIONS) (333) to:</u></b>	
Capital Improvement Fund (301)	\$60,000
<b><u>FROM REDEVELOPMENT AGENCY (EASTLAND) (335) to:</u></b>	
General Fund (010)	\$89,000

Note:

The amount of actual cost of the 4th of July event, not to exceed the budgeted amount, will be transferred from the General Fund City Manager's department budget to the "Fourth of July Event" Special Revenue Fund in order to accomplish the administrative requirements of the contractual agreement with the Stockton/San Joaquin Convention and Visitors Bureau. Interfund transfers related to the Redevelopment Agency are identified in the Agency section.

**CITY OF STOCKTON  
1997-98 OPERATING BUDGET  
COMPARATIVE YEARS**

	<b>Expenditures 1995-96</b>	<b>Original Budget 1996-97</b>	<b>Approved Budget 1997-98</b>	<b>Variance</b>
<b>General Government - Departmental</b>				
City Council (010-1000)	\$208,859	\$289,814	\$299,132	\$9,318
City Clerk (010-1100)	\$778,992	\$852,130	\$760,432	(\$91,698)
City Manager (010-1200, 010-0137)	\$2,086,021	\$2,266,288	\$2,251,883	(\$14,405)
Finance (010-1300)	\$2,131,163	\$2,441,841	\$2,510,701	\$68,860
City Attorney (010-1400)	\$1,144,397	\$1,263,443	\$1,282,237	\$18,794
Management Info Services (010-1500)	\$935,691	\$1,155,532	\$1,057,869	(\$97,663)
Personnel (010-1600)	\$1,273,604	\$1,473,968	\$1,441,724	(\$32,244)
City Auditor (010-1900)	\$231,285	\$287,587	\$295,937	\$8,350
<b>TOTAL</b>	<b>\$8,790,012</b>	<b>\$10,030,603</b>	<b>\$9,899,915</b>	<b>(\$130,688)</b>
<b>Community Development</b>				
Housing & Redevelopment (010-1700)	\$44,336	\$55,850	\$57,607	\$1,757
Community Development (010-1800)	\$4,174,673	\$5,072,706	\$4,975,308	(\$97,398)
<b>TOTAL</b>	<b>\$4,219,009</b>	<b>\$5,128,556</b>	<b>\$5,032,915</b>	<b>(\$95,641)</b>
<b>General Government - Non-Departmental</b>				
Non-Departmental (010-0130)	\$399,765	\$525,008	\$407,915	(\$117,093)
<b>Public Safety</b>				
Police (010-2400)	\$43,598,426	\$46,423,322	\$48,591,976	\$2,168,654
Fire (010-2600)	\$22,503,716	\$23,992,996	\$25,661,717	\$1,668,721
<b>TOTAL</b>	<b>\$66,102,142</b>	<b>\$70,416,318</b>	<b>\$74,253,693</b>	<b>\$3,837,375</b>
<b>Public Works</b>				
Public Works (010-3000)	\$10,488,322	\$11,460,261	\$11,688,886	\$228,625
<b>Parks &amp; Recreation</b>				
Parks & Recreation (010-3600)	\$7,816,769	\$8,882,453	\$9,060,795	\$178,342
<b>TOTAL GENERAL FUND</b>	<b>\$97,816,019</b>	<b>\$106,443,199</b>	<b>\$110,344,119</b>	<b>\$3,900,920</b>

**CITY OF STOCKTON  
1997-98 OPERATING BUDGET  
COMPARATIVE YEARS**

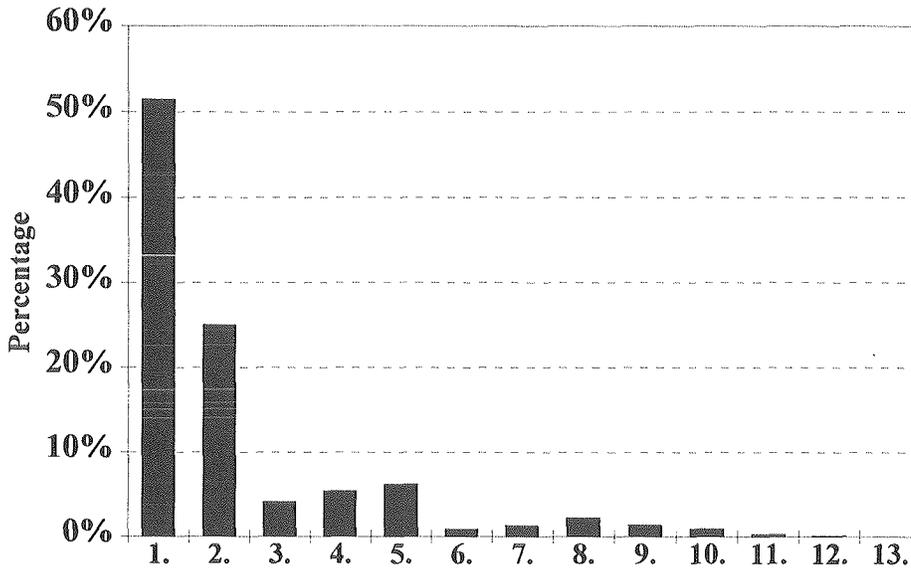
	<b>Expenditures 1995-96</b>	<b>Original Budget 1996-97</b>	<b>Approved Budget 1997-98</b>	<b>Variance</b>
<b>Parking District</b>				
Central Parking District (416, 417)	\$1,637,064	\$2,051,214	\$2,081,760	\$30,546
<b>Library System</b>				
Library (041)	\$6,646,230	\$7,570,082	\$7,556,448	(\$13,634)
<b>Enterprise Funds</b>				
<b>Municipal Utilities</b>				
Wastewater Utility (431)	\$18,845,540	\$23,544,023	\$24,523,440	\$979,417
Stormwater Utility (441)	\$2,601,083	\$3,750,771	\$3,714,682	(\$36,089)
Water Utility (421)	\$8,028,518	\$10,484,857	\$11,372,495	\$887,638
Total	\$29,475,141	\$37,779,651	\$39,610,617	\$1,830,966
<b>Solid Waste</b>				
Landfills (451)	\$2,846,542	\$2,835,873	\$2,272,445	(\$563,428)
Garden Refuse Collection (455)	\$1,830,577	\$2,047,941	\$2,126,165	\$78,224
Total	\$4,677,119	\$4,883,814	\$4,398,610	(\$485,204)
<b>Parks &amp; Recreation</b>				
Golf Courses (481)	\$1,429,682	\$1,615,716	\$1,666,439	\$50,723
TOTAL	\$35,581,942	\$44,279,181	\$45,675,666	\$1,396,485
<b>Public Facility Fees</b>				
Public Facility Fees (900-999)	\$3,615,777	\$4,541,261	\$4,186,516	(\$354,745)
<b>Other Funds</b>				
Special Revenue Funds	\$7,261,735	\$11,859,195	\$11,357,149	(\$502,046)
Infrastructure Fin Dist Admin	\$14,586	\$16,444	\$17,043	\$599
Community Facility Districts	\$826,664	\$289,964	\$8,320,683	\$8,030,719
Trust Funds	\$312,944	\$720,726	\$1,040,741	\$320,015
TOTAL	\$8,415,929	\$12,886,329	\$20,735,616	\$7,849,287
<b>TOTAL BUDGET</b>	<b>\$153,712,961</b>	<b>\$177,771,266</b>	<b>\$190,580,125</b>	<b>\$12,808,859</b>

## SUMMARY OF APPROVED 1997-98 BUDGET APPROPRIATIONS

<u>FUND</u>	<u>OPERATING</u>	<u>CAPITAL PROJECTS</u>	<u>TOTAL APPROPRIATION</u>
<b>General Fund</b>	\$110,344,119	\$0	\$110,344,119
<b><u>Special Revenue Funds:</u></b>			
Capital Improvement Fund	\$0	\$393,500	\$393,500
Infrastructure Improvements	\$0	\$486,000	\$486,000
Library Fund	\$7,556,448	\$0	\$7,556,448
Gas Tax Funds	\$0	\$436,000	\$436,000
Measure K Sales Tax	\$0	\$2,570,000	\$2,570,000
Community Dev Block Grant	\$7,646,576	\$2,488,480	\$10,135,056
HOME Grant Program	\$2,477,590	\$0	\$2,477,590
Emergency Shelter	\$134,000	\$0	\$134,000
ISTEA	\$0	\$1,390,000	\$1,390,000 (a)
Operating Grants	\$745,340	\$363,000	\$1,108,340
Transportation Development	\$0	\$100,000	\$100,000
Asset Forfeiture Fund	\$138,929	\$0	\$138,929
Street & Business Improvement	\$120,800	\$0	\$120,800
Boat Launching Facilities	\$97,414	\$0	\$97,414
<b>Total Special Revenue Funds</b>	<b>\$18,917,097</b>	<b>\$8,226,980</b>	<b>\$27,144,077</b>
<b>Central Parking District</b>	<b>\$2,081,760</b>	<b>\$100,000</b>	<b>\$2,181,760</b>
<b>Community Facility District (Mello-Roos Funds)</b>	<b>\$8,320,683</b>	<b>\$0</b>	<b>\$8,320,683</b>
<b>Infrastructure Finance Dist Admin</b>	<b>\$17,043</b>	<b>\$0</b>	<b>\$17,043</b>
<b><u>Enterprise Funds:</u></b>			
Golf Course Fund	\$1,666,439	\$0	\$1,666,439
Landfill Fund	\$2,272,445	\$472,000	\$2,744,445
Garden Refuse Fund	\$2,126,165	\$0	\$2,126,165
Wastewater Fund	\$24,523,440	\$1,701,000	\$26,224,440
Stormwater Fund	\$3,714,682	\$155,000	\$3,869,682
Water Fund	\$11,372,495	\$342,000	\$11,714,495
<b>Total Enterprise Funds</b>	<b>\$45,675,666</b>	<b>\$2,670,000</b>	<b>\$48,345,666</b>
<b>Trust Funds</b>	<b>\$1,040,741</b>	<b>\$0</b>	<b>\$1,040,741</b>
<b>Public Facility Fees Funds</b>	<b>\$4,186,516</b>	<b>\$11,436,000</b>	<b>\$15,622,516</b>
<b>TOTAL</b>	<b>\$190,583,625</b>	<b>\$22,432,980</b>	<b>\$213,016,605</b>

(a) These amounts will not be appropriated until program supplements are approved by the City Council.

## WHERE THE MONEY COMES FROM \$197.3 MILLION -- ALL FUNDS

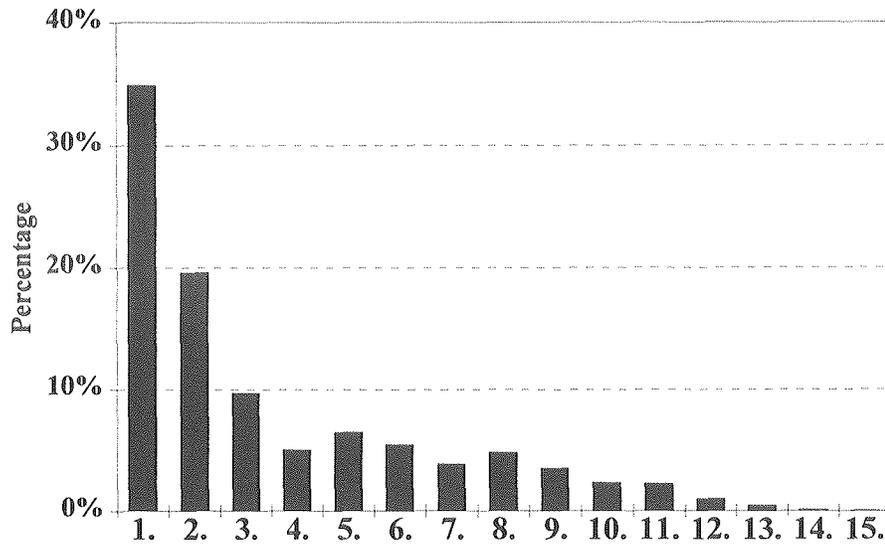


1.	<b>General Fund</b>	<b>\$101,614,348</b>	<b>51.51%</b>
2.	<b>Enterprise Funds</b>	<b>\$49,444,250</b>	<b>25.06%</b>
3.	<b>Community Facilities Districts</b>	<b>\$8,172,684</b>	<b>4.14%</b>
4.	<b>Public Facility Impact Funds</b>	<b>\$10,726,161</b>	<b>5.44%</b>
5.	<b>Grant Funds</b>	<b>\$12,333,140</b>	<b>6.25%</b>
6.	<b>Capital Improvement Fund</b>	<b>\$1,864,834</b>	<b>0.95%</b>
7.	<b>Measure K Sales Tax Fund</b>	<b>\$2,671,000</b>	<b>1.35%</b>
8.	<b>Gas Tax Fund</b>	<b>\$4,539,948</b>	<b>2.30%</b>
9.	<b>Library Fund</b>	<b>\$2,849,101</b>	<b>1.44%</b>
10.	<b>Central Parking District</b>	<b>\$1,990,576</b>	<b>1.01%</b>
11.	<b>Trust Funds</b>	<b>\$635,878</b>	<b>0.32%</b>
12.	<b>Special Revenue Funds</b>	<b>\$361,300</b>	<b>0.18%</b>
13.	<b>Infrastructure Fin Dist Admin</b>	<b>\$65,500</b>	<b>0.03%</b>

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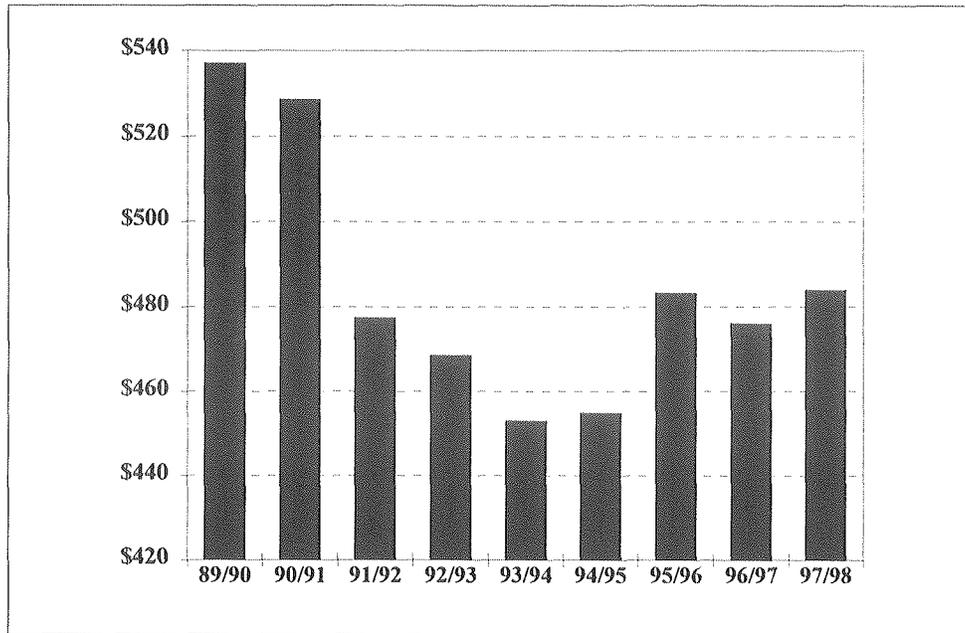
**\$197,268,720**

## ALL CITY FUNDS DISTRIBUTION BY FUNCTION -- \$213 MILLION



1.	Public Safety	\$74,392,622	34.92%
2.	Municipal Utilities Department	\$41,808,617	19.63%
3.	Capital Improvement Program	\$20,682,296	9.71%
4.	Parks and Recreation	\$10,824,648	5.08%
5.	Grants	\$13,854,986	6.50%
6.	Public Works	\$11,688,886	5.49%
7.	Community Facilities Districts	\$8,320,683	3.91%
8.	General Government	\$10,307,830	4.84%
9.	Library Department	\$7,556,448	3.55%
10.	Community Development	\$5,032,915	2.36%
11.	Solid Waste	\$4,870,610	2.29%
12.	Central Parking District	\$2,181,760	1.02%
13.	Trust Funds	\$1,040,741	0.49%
14.	Public Facility Fees Admin	\$315,720	0.15%
15.	Street & Business Improvement	\$120,800	0.06%
16.	Infrastructure Fin Dist Admin	<u>\$17,043</u>	0.01%

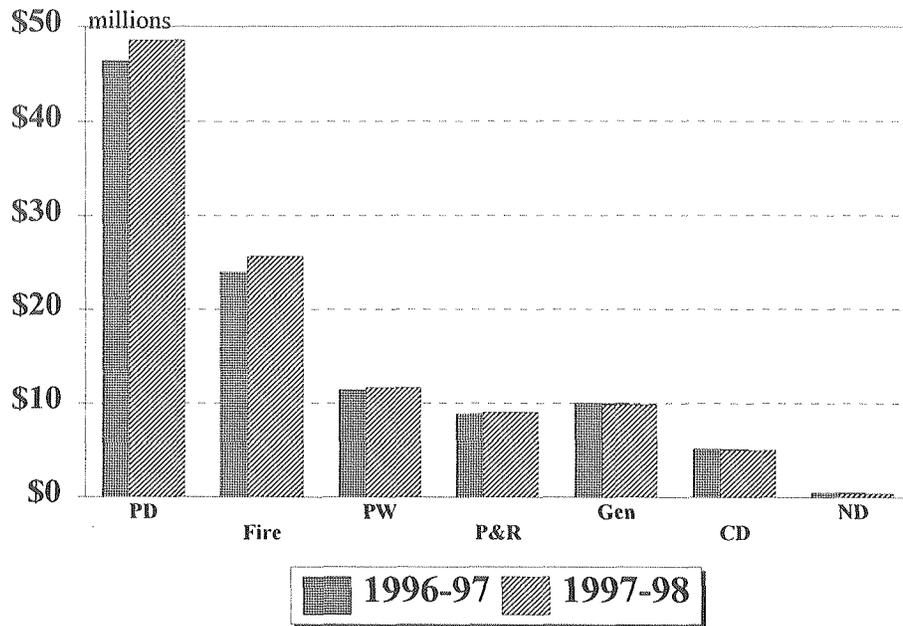
**\$213,016,605**



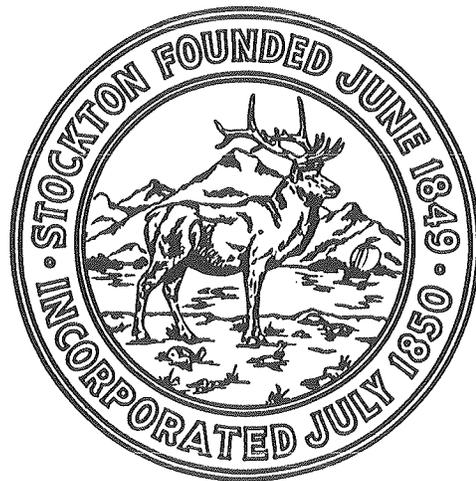
**COMMUNITY ECONOMIC INDICATORS**  
**GENERAL FUND OPERATING EXPENDITURES PER CAPITA**

<u>Fiscal Year</u>	<u>Operating Expenditures including Transfers Out</u>	<u>Expenditures Adjusted to 96-97 Dollars</u>	<u>Population</u>	<u>Expenditures Adjusted to 96-97 \$ Per Capita</u>
Actual 1989-90	\$81,874,422	\$104,215,930	194,000	\$537
Actual 1990-91	\$84,825,914	\$103,224,485	195,223	\$529
Actual 1991-92	\$89,303,247	\$102,425,016	214,520	\$477
Actual 1992-93	\$91,892,479	\$102,225,700	218,167	\$469
Actual 1993-94	\$92,979,549	\$100,519,932	221,876	\$453
Actual 1994-95	\$97,516,201	\$102,652,867	225,648	\$455
Actual 1995-96	\$108,219,583	\$110,925,073	229,484	\$483
Approved 1996-97	\$111,117,788	\$111,117,788	233,385	\$476
Estimated 1997-98	\$114,892,119	\$114,892,119	237,352	\$484

### GENERAL FUND COMPARISON WITH PRIOR YEAR -- BY SERVICE OFFERED



	<u>1996-97</u>	<u>1997-98</u>
Police Department	\$46,423,322	\$48,591,976
Fire Department	\$23,992,996	\$25,661,717
Public Works	\$11,460,261	\$11,688,886
Parks and Recreation	\$8,882,453	\$9,060,795
General Government	\$10,030,603	\$9,899,915
Community Development	\$5,128,556	\$5,032,915
Non-Departmental	<u>\$525,008</u>	<u>\$407,915</u>
<b>Total</b>	<b>\$106,443,199</b>	<b>\$110,344,119</b>



*Stockton*

*REVENUES*

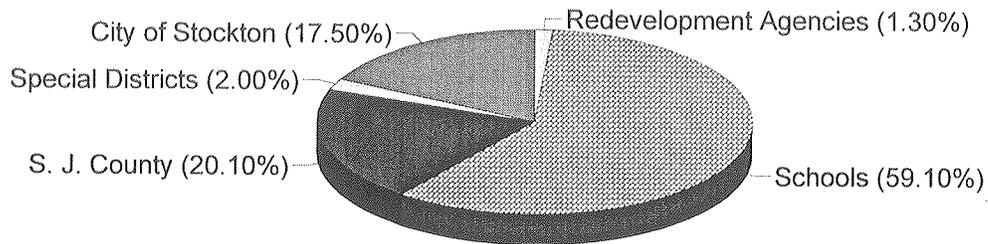


## *REVENUES*

The City collects revenue from a number of sources. Some revenue can be used for general purposes, such as taxes, certain licenses and permits, fines, charges for services, etc. Other revenue can only be used for a specified purpose, such as special purpose grants, impact fees, gas taxes, sewer fees, etc.

Revenue is deposited in various funds, depending on the legal or administrative restrictions which pertain to the revenue source. This section explains each fund, and summarizes actual and budget revenue for each fund.

## CITY PROPERTY TAX DISTRIBUTION



## PROPERTY TAX RULES

- **Maximum tax 1% of property value.**

**Based on 1975-76 value plus 2% per year or last sale plus 2% per year.**

- **No other taxes permitted based on property value (ad valorem) or property sales/transaction.**

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>GENERAL FUND (010)</u></b>				
<u>Taxes:</u>				
Property Taxes	\$14,797,142	\$15,299,997	\$15,575,000	\$15,531,000
County Collection Fee	(\$348,725)	(\$356,134)	(\$370,000)	(\$348,000)
Utility Users Tax	\$21,705,538	\$21,141,022	\$21,867,055	\$22,011,000
Sales and Use Tax	\$21,012,628	\$21,639,791	\$21,734,000	\$22,580,000
Franchises	\$3,934,868	\$3,350,485	\$3,862,071	\$3,791,600
Business Licenses	\$4,850,022	\$4,873,885	\$5,074,000	\$5,320,000
Transient Occupancy	\$1,120,826	\$1,105,885	\$1,170,000	\$1,130,000
Document Transfer	<u>\$1,394,878</u>	<u>\$1,464,048</u>	<u>\$1,397,000</u>	<u>\$1,365,000</u>
Totals	\$68,467,177	\$68,518,979	\$70,309,126	\$71,380,600
<u>Licenses &amp; Permits:</u>				
Animal Licenses	\$61,349	\$63,742	\$65,000	\$64,000
Construction Permits	\$1,282,947	\$1,546,436	\$1,460,500	\$1,515,000
Street & Curb Permits	\$588,410	\$479,370	\$617,670	\$565,670
Fire Dept Permits	\$35,223	\$48,667	\$37,304	\$39,759
Police Dept Permits	\$157,977	\$141,530	\$154,472	\$155,041
Other Licenses & Permits	<u>\$958</u>	<u>\$936</u>	<u>\$920</u>	<u>\$960</u>
Totals	\$2,126,864	\$2,280,681	\$2,335,866	\$2,340,430
<u>Use of Money &amp; Property:</u>				
Interest	\$1,312,382	\$1,245,590	\$1,250,000	\$1,300,000
Rentals	\$587,479	\$581,351	\$558,200	\$592,100
Cash Over & Short	(\$2,026)	(\$5,359)	\$0	\$0
Totals	\$1,897,835	\$1,821,582	\$1,808,200	\$1,892,100
<u>Fines &amp; Forfeitures:</u>				
Health Code Violations	\$241	\$0	\$0	\$0
Traffic & Parking Fines	\$510,703	\$553,938	\$523,425	\$503,300
Vehicle Code Fines	\$13,224	\$13,544	\$12,000	\$12,000
DUI Emergency Recovery	\$18,951	\$9,131	\$11,819	\$12,546
Criminal Fines	\$14,629	\$19,705	\$15,000	\$13,500
Misc Fines & Penalties	<u>\$4,715</u>	<u>\$7,363</u>	<u>\$8,000</u>	<u>\$39,900</u>
Totals	\$562,463	\$603,681	\$570,244	\$581,246

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<u>Other Revenues:</u>				
Refunds & Reimbursements	\$868,708	\$5,872,996	\$1,144,386	\$408,465
Utility In Lieu of Tax	\$1,016,107	\$1,079,260	\$1,150,000	\$1,357,000
Sale of Property	\$38,728	\$8,990	\$15,000	\$24,000
Indirect Cost Allocations	\$2,726,971	\$2,605,372	\$2,862,050	\$3,507,825
Miscellaneous Revenues	<u>(\$21,986)</u>	<u>\$33,092</u>	<u>\$67,828</u>	<u>\$53,800</u>
Totals	\$4,628,528	\$9,599,710	\$5,239,264	\$5,351,090
<u>Revenues from Other Agencies:</u>				
Federal Police Grants	\$472,559	\$833,617	\$1,190,388	\$550,401
Homeowners Exemption	\$385,194	\$389,882	\$393,000	\$385,000
Motor Vehicle In-Lieu of Tax	\$8,001,717	\$8,649,144	\$8,590,000	\$9,530,000
POST Reimbursement	\$177,607	\$276,736	\$270,000	\$270,000
Other Revenue	<u>\$128,928</u>	<u>\$121,507</u>	<u>\$125,205</u>	<u>\$120,877</u>
Totals	\$9,166,005	\$10,270,886	\$10,568,593	\$10,856,278
<u>Charges for Current Services:</u>				
General Government	\$84,659	\$125,182	\$1,048,728	\$1,562,898
Public Safety	\$3,172,338	\$3,173,217	\$3,386,915	\$3,598,539
Physical Environment	\$1,111,984	\$1,168,467	\$1,211,891	\$1,202,233
Planning, Building, Housing	\$1,624,589	\$1,910,941	\$1,813,941	\$1,730,684
Cultural & Recreational	\$771,055	\$709,149	\$835,256	\$823,850
Other Charges for Services	<u>\$264,073</u>	<u>\$361,972</u>	<u>\$260,200</u>	<u>\$294,400</u>
Totals	\$7,028,698	\$7,448,928	\$8,556,931	\$9,212,604
<b>General Fund Totals</b>	\$93,877,570	\$100,544,447	\$99,388,224	\$101,614,348
<u>LIBRARY FUND (041)</u>				
County-wide Contribution	\$2,565,427	\$2,304,053	\$2,529,800	\$2,395,709
PLF State Grant	\$143,923	\$135,366	\$144,000	\$255,000
Fines	\$65,151	\$73,074	\$64,000	\$82,000
S.J. Delta Cataloging	\$31,374	\$43,212	\$43,550	\$45,031
Miscellaneous Other Fees	\$64,518	\$70,150	\$76,255	\$71,361
Donations	<u>\$10,000</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Library Fund Totals</b>	\$2,880,393	\$2,625,855	\$2,857,605	\$2,849,101
<u>SPECIAL PURPOSE GRANTS (020)</u>				
Operating Grants	<u>\$1,325,027</u>	<u>\$2,176,163</u>	<u>\$345,453</u>	<u>\$911,606</u>
Totals	\$1,325,027	\$2,176,163	\$345,453	\$911,606

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>CAPITAL IMPROVEMENT FUNDS</u></b>				
Govt Grants-TEA/ISTEA(038)	\$18,674	\$935,944	\$1,120,000	\$1,390,000
Amusement Taxes (301)	\$18,130	\$21,175	\$18,583	\$18,834
Grants/Donations (303)	\$0	\$0	\$0	\$376,000
Investment Earnings (301/303)	\$223,418	\$571,439	\$86,500	\$80,000
Traffic Signal Fees (301)	\$50,245	(\$50,245)	\$0	\$0
Area of Benefit Fees (301)	<u>\$28,085</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<i>CIP Totals</i>	\$338,552	\$1,478,313	\$1,225,083	\$1,864,834
<b><u>TRAFFIC SIGNAL IMPACT FUNDS (900-904)</u></b>				
Impact Fees	\$155,196	\$237,601	\$194,700	\$186,560
Investment Earnings	<u>\$97,530</u>	<u>\$101,543</u>	<u>\$66,000</u>	<u>\$11,907</u>
Totals	\$252,726	\$339,144	\$260,700	\$198,467
<b><u>STREET IMPROVEMENT IMPACT FUNDS (910-916)</u></b>				
Impact Fees	\$2,819,167	\$3,873,380	\$2,632,547	\$2,131,516
Investment Earnings	<u>\$455,667</u>	<u>\$656,069</u>	<u>\$128,995</u>	<u>\$381,961</u>
Totals	\$3,274,834	\$4,529,449	\$2,761,542	\$2,513,477
<b><u>COMMUNITY CENTER IMPACT FUNDS (920-926)</u></b>				
Impact Fees	\$128,773	\$157,505	\$128,238	\$96,809
Investment Earnings	<u>\$28,342</u>	<u>\$40,881</u>	<u>\$25,000</u>	<u>\$24,931</u>
Totals	\$157,115	\$198,386	\$153,238	\$121,740
<b><u>CITY OFFICE IMPACT FUND (930)</u></b>				
Impact Fees	\$153,665	\$217,566	\$163,184	\$139,748
Investment Earnings	<u>\$9,432</u>	<u>\$23,582</u>	<u>\$7,996</u>	<u>\$3,239</u>
Totals	\$163,097	\$241,148	\$171,180	\$142,987
<b><u>FIRE STATION IMPACT FUNDS (940-946)</u></b>				
Impact Fees	\$134,328	\$179,221	\$118,608	\$118,236
Investment Earnings	<u>\$14,517</u>	<u>\$19,899</u>	<u>\$5,812</u>	<u>\$4,226</u>
Totals	\$148,845	\$199,120	\$124,420	\$122,462

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>LIBRARY IMPACT FUNDS (950-956)</u></b>				
Impact Fees	\$272,451	\$380,124	\$295,499	\$233,025
Investment Earnings	<u>\$125,620</u>	<u>\$270,334</u>	<u>\$14,479</u>	<u>\$46,626</u>
Totals	\$398,071	\$650,458	\$309,978	\$279,651
<b><u>POLICE STATION IMPACT FUND (960)</u></b>				
Impact Fees	\$313,923	\$453,569	\$324,095	\$267,806
Investment Earnings	<u>\$172,797</u>	<u>\$190,209</u>	<u>\$150,000</u>	<u>\$112,764</u>
Totals	\$486,720	\$643,778	\$474,095	\$380,570
<b><u>PARKLAND IMPACT FUNDS (970-976)</u></b>				
Impact Fees	\$1,138,134	\$1,269,693	\$755,185	\$721,216
Investment Earnings	<u>\$164,138</u>	<u>\$226,875</u>	<u>\$37,004</u>	<u>\$78,803</u>
Totals	\$1,302,272	\$1,496,568	\$792,189	\$800,019
<b><u>TREE IMPACT FUND (978)</u></b>				
Impact Fees	\$25,208	\$9,430	\$2,500	\$57,919
Investment Earnings	<u>\$128</u>	<u>(\$1,730)</u>	<u>\$0</u>	<u>\$365</u>
Totals	\$25,336	\$7,700	\$2,500	\$58,284
<b><u>STREET SIGN IMPACT FUND (979)</u></b>				
Impact Fees	\$8,285	\$4,374	\$3,200	\$2,854
Investment Earnings	<u>\$2,677</u>	<u>\$581</u>	<u>\$0</u>	<u>\$0</u>
Totals	\$10,962	\$4,955	\$3,200	\$2,854
<b><u>STREET LIGHT IMPACT FUNDS (980-986)</u></b>				
Impact Fees	\$23,942	\$20,412	\$13,900	\$24,825
Investment Earnings	<u>\$11,314</u>	<u>\$14,424</u>	<u>\$9,500</u>	<u>\$8,404</u>
Totals	\$35,256	\$34,836	\$23,400	\$33,229
<b><u>AIR QUALITY IMPACT FUNDS (990-996)</u></b>				
Impact Fees	\$233,170	\$413,297	\$265,800	\$314,115
Investment Earnings	<u>\$49,842</u>	<u>\$53,887</u>	<u>\$35,000</u>	<u>\$13,171</u>
Totals	\$283,012	\$467,184	\$300,800	\$327,286
<b><u>HABITAT/OPEN SPACE CONSERVATION (997)</u></b>				
Impact Fees	\$104,261	\$59,053	\$62,713	\$60,253
Investment Earnings	<u>\$338</u>	<u>\$6,808</u>	<u>\$5,740</u>	<u>\$4,682</u>
Totals	\$104,599	\$65,861	\$68,453	\$64,935

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>PUBLIC FACILITIES ADMIN FUND (999)</u></b>				
Impact Fees	\$244,243	\$374,399	\$249,000	\$282,400
Investment Earnings	<u>\$9,424</u>	<u>\$16,970</u>	<u>\$8,000</u>	<u>\$8,000</u>
Totals	\$253,667	\$391,369	\$257,000	\$290,400
<b><u>WATER IMPACT FUND (424)</u></b>				
Impact Fees	\$368,602	\$479,932	\$385,200	\$400,900
Investment Earnings	<u>\$101,483</u>	<u>\$105,659</u>	<u>\$40,000</u>	<u>\$40,000</u>
Totals	\$470,085	\$585,591	\$425,200	\$440,900
<b><u>WASTEWATER IMPACT FUND (434)</u></b>				
Impact Fees	\$2,648,820	\$4,359,106	\$3,131,480	\$3,363,900
Investment Earnings	<u>\$731,555</u>	<u>\$611,230</u>	<u>\$230,000</u>	<u>\$440,000</u>
Totals	\$3,380,375	\$4,970,336	\$3,361,480	\$3,803,900
<b><u>WESTSIDE SEWER INTERCEPTOR CONNECTION (436)</u></b>				
Impact Fees	\$0	\$0	\$960,000	\$1,120,000
Investment Earnings	<u>\$0</u>	<u>\$0</u>	<u>\$20,000</u>	<u>\$25,000</u>
Totals	\$0	\$0	\$980,000	\$1,145,000
<i>Public Facility Fees Totals</i>	\$10,642,373	\$14,760,022	\$10,400,922	\$10,726,161
<b><u>CENTRAL PARKING DISTRICT (416/417)</u></b>				
Special Assessments	\$607,225	\$595,393	\$600,000	\$600,000
Other Revenues	\$1,164	\$768	\$1,000	\$1,000
Parking Lot Fees	\$1,094,731	\$1,193,201	\$1,146,265	\$1,140,856
Revenue Other Agencies	\$106,015	\$101,729	\$104,720	\$104,720
Investment Earnings	<u>\$91,443</u>	<u>\$286,475</u>	<u>\$88,000</u>	<u>\$144,000</u>
<i>Parking District Totals</i>	\$1,900,578	\$2,177,566	\$1,939,985	\$1,990,576
<b><u>COMMUNITY FACILITIES DISTRICTS</u></b>				
Weston Ranch (89-1) (251)	\$2,810,462	\$3,001,862	\$2,895,865	\$2,950,788
Weston Ranch (89-1) (351)	\$6,290,656	\$170,311	\$67,151	\$56,478
Brookside Estates (90-2) (252)	\$4,850,488	\$3,454,596	\$3,938,301	\$3,692,693
Brookside Estates (90-2) (352)	\$127,189	\$831,668	\$76,569	\$54,793
South Stockton (90-1) (253)	\$1,586,825	\$2,237,751	\$1,602,973	\$1,290,658
South Stockton (90-1) (353)	\$93,324	\$155,870	\$102,750	\$84,546
Spanos Park (90-4) (254)	\$1,749,024	\$1,763,053	\$1,892,618	\$0
Spanos Park (90-4) (354)	<u>\$688,438</u>	<u>\$196,728</u>	<u>\$49,933</u>	<u>\$42,728</u>
<i>CFD Totals</i>	\$18,196,406	\$11,811,839	\$10,626,160	\$8,172,684

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>INFRASTRUCTURE FINANCING DISTRICT ADMINISTRATION (998)</u></b>				
Southeast Stockton (92-1)	\$54,029	\$68,634	\$24,500	\$65,500
<b><u>ENTERPRISE FUNDS</u></b>				
<b><u>Water Fund: (421)</u></b>				
User Fees	\$9,354,133	\$11,239,018	\$11,298,700	\$12,211,600
Investment Earnings	\$372,444	\$533,302	\$350,000	\$350,000
Penalty Fees	\$130,588	\$152,913	\$130,000	\$120,000
Miscellaneous Revenues	<u>\$11,386</u>	<u>\$23,028</u>	<u>\$3,700</u>	<u>\$5,000</u>
<b><i>Water Fund Totals</i></b>	<b>\$9,868,551</b>	<b>\$11,948,261</b>	<b>\$11,782,400</b>	<b>\$12,686,600</b>
<b><u>Regional Wastewater Fund: (431)</u></b>				
User Fees	\$21,281,759	\$24,427,907	\$24,489,234	\$26,268,600
Sewer Lien Admin Fees	\$80,827	\$82,904	\$86,900	\$82,000
Pre-Treatment Violations	\$291,330	\$419,687	\$355,500	\$308,000
Investment Earnings	\$526,697	\$1,076,458	\$400,000	\$240,000
Miscellaneous Revenues	<u>\$58,257</u>	<u>\$21,206</u>	<u>\$20,400</u>	<u>\$10,000</u>
<b><i>Wastewater Fund Totals</i></b>	<b>\$22,238,870</b>	<b>\$26,028,162</b>	<b>\$25,352,034</b>	<b>\$26,908,600</b>
<b><u>Stormwater Fund: (441)</u></b>				
User Fees	\$1,933,429	\$3,945,858	\$3,846,000	\$3,948,000
Other Revenues	\$35,110	\$76,456	\$25,000	\$25,000
Investment Earnings	<u>\$96,552</u>	<u>\$63,165</u>	<u>\$24,000</u>	<u>\$40,000</u>
<b><i>Stormwater Fund Totals</i></b>	<b>\$2,065,091</b>	<b>\$4,085,479</b>	<b>\$3,895,000</b>	<b>\$4,013,000</b>
<b><u>Solid Waste - Landfill Funds: (451/453)</u></b>				
Landfill Charges for Services	\$2,218,293	\$3,165,468	\$3,800,348	\$1,426,972
Investment Earnings	\$249,651	\$334,669	\$270,000	\$245,000
Other Revenues	\$25,472	\$31,548	\$29,500	\$26,000
Landfill Closure Charges	<u>\$551,432</u>	<u>\$764,539</u>	<u>\$452,202</u>	<u>\$175,278</u>
<b><i>Landfill Totals</i></b>	<b>\$3,044,848</b>	<b>\$4,296,224</b>	<b>\$4,552,050</b>	<b>\$1,873,250</b>
<b><u>Solid Waste - Garden Refuse: (455)</u></b>	<b>\$1,913,878</b>	<b>\$2,026,182</b>	<b>\$1,958,000</b>	<b>\$2,009,800</b>
<b><u>Golf Course Fund: (481)</u></b>				
User Fees	\$1,426,444	\$1,578,206	\$1,700,000	\$1,820,000
Investment Earnings	\$9,779	\$5,353	\$7,600	\$5,000
Rents/Leases/Concessions	\$105,849	\$121,269	\$131,500	\$128,000
Other Revenues	<u>\$3,675</u>	<u>\$3,725</u>	<u>\$800</u>	<u>\$0</u>
<b><i>Golf Fund Totals</i></b>	<b>\$1,545,747</b>	<b>\$1,708,553</b>	<b>\$1,839,900</b>	<b>\$1,953,000</b>

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>MEASURE K SALES TAX FUND (080)</u></b>				
Sales Tax	\$1,970,628	\$2,070,496	\$2,150,000	\$2,204,000
Other Government Revenue	\$757,435	\$320,795	\$0	\$322,000
Investment Earnings	<u>\$140,330</u>	<u>\$176,135</u>	<u>\$100,000</u>	<u>\$145,000</u>
<i>Measure K Fund Totals</i>	\$2,868,393	\$2,567,426	\$2,250,000	\$2,671,000
<b><u>GAS TAX FUNDS</u></b>				
2105 Gas Tax (030)	\$1,270,123	\$1,407,617	\$1,359,660	\$1,422,243
2106 Gas Tax (031)	\$837,729	\$855,951	\$899,640	\$918,760
2107 Gas Tax (032)	\$1,816,731	\$2,007,608	\$1,894,140	\$1,985,345
2107.5 Gas Tax (033)	\$10,000	\$10,000	\$10,000	\$10,000
Fed/State Grants (030, 032)	\$0	\$0	\$140,000	\$0
Transportation Dev Tax (034)	\$175,678	\$0	\$95,000	\$100,000
Federal/State/County (030-037)	(\$3,414)	\$0	\$0	\$0
Investment Earnings (030-037)	<u>\$170,874</u>	<u>\$192,022</u>	<u>\$105,500</u>	<u>\$103,600</u>
<i>Gas Tax Funds Totals</i>	\$4,277,721	\$4,473,198	\$4,503,940	\$4,539,948
		\$4,473,198		
<b><u>COMMUNITY DEVELOPMENT FUND</u></b>				
CDBG (052)	\$4,307,786	\$4,806,259	\$8,265,657	\$9,558,534
CDBG Loans (053/054)	\$240,282	\$308,818	\$0	\$0
Rental Rehabilitation (055/056)	\$49,704	\$6,967	\$0	\$0
Emergency Shelter Program (057)	\$102,630	\$118,718	\$134,000	\$134,000
Home Grant Program (059)	<u>\$941,407</u>	<u>\$2,010,454</u>	<u>\$1,773,677</u>	<u>\$1,729,000</u>
<i>CDBG Fund Totals</i>	\$5,641,809	\$7,251,216	\$10,173,334	\$11,421,534
<b><u>SPECIAL REVENUES FUNDS</u></b>				
Asset Forfeiture (023)	\$87,653	\$156,749	\$74,500	\$132,500
Boat Launching Facilities (045)	\$670	\$126,673	\$96,000	\$108,000
Street & Business Imp. (073)	<u>\$182,463</u>	<u>\$90,487</u>	<u>\$115,450</u>	<u>\$120,800</u>
<i>Special Revenues Fund Totals</i>	\$270,786	\$373,909	\$285,950	\$361,300
<b><u>TRUST FUNDS</u></b>				
Annie Wagner Educ. Trust (627)	\$1,491	\$2,230	\$1,100	\$2,000
Arts Commission (641)	\$40,539	\$41,850	\$36,200	\$35,600
General Government (642)	\$27,323	\$5,487	\$13,443	\$12,733
Parks & Recreation (643 + 624/5)	\$172,656	\$168,053	\$196,750	\$87,995
Police (645)	\$4,771	\$4,893	\$12,300	\$19,200
Fire (646)	\$5,983	\$7,415	\$6,400	\$20,300
Library (644 + 621/2, 626, 628)	<u>\$51,072</u>	<u>\$120,056</u>	<u>\$111,125</u>	<u>\$458,050</u>
<i>Trust Fund Totals</i>	\$303,835	\$349,984	\$377,318	\$635,878

## REVENUES BY FUND

	<u>ACTUAL</u> <u>1994-95</u>	<u>ACTUAL</u> <u>1995-96</u>	<u>BUDGET</u> <u>1996-97</u>	<u>APPROVED</u> <u>1997-98</u>
<b><u>REVENUE SUMMARY TOTALS BY FUND</u></b>				
General Fund	\$93,877,570	\$100,544,447	\$99,388,224	\$101,614,348
Library Fund	\$2,880,393	\$2,625,855	\$2,857,605	\$2,849,101
Special Purpose Grants	\$1,325,027	\$2,176,163	\$345,453	\$911,606
Community Facilities Districts	\$18,196,406	\$11,811,839	\$10,626,160	\$8,172,684
Enterprise Funds	\$40,676,985	\$50,092,861	\$49,379,384	\$49,444,250
Capital Improvement Fund	\$338,552	\$1,478,313	\$1,225,083	\$1,864,834
Public Facility Impact Funds	\$10,642,373	\$14,760,022	\$10,400,922	\$10,726,161
Central Parking District	\$1,900,578	\$2,177,566	\$1,939,985	\$1,990,576
Gas Tax Fund	\$4,277,721	\$4,473,198	\$4,503,940	\$4,539,948
Measure K Sales Tax	\$2,868,393	\$2,567,426	\$2,250,000	\$2,671,000
Community Dev Grant Fund	\$5,641,809	\$7,251,216	\$10,173,334	\$11,421,534
Infrastructure Fin Dist Admin	\$54,029	\$68,634	\$24,500	\$65,500
Special Revenue Fund	\$270,786	\$373,909	\$285,950	\$361,300
Trust Funds	<u>\$303,835</u>	<u>\$349,984</u>	<u>\$377,318</u>	<u>\$635,878</u>
<b>TOTAL ALL FUNDS</b>	<b>\$183,254,457</b>	<b>\$200,751,433</b>	<b>\$193,777,858</b>	<b>\$197,268,720</b>

## OVERVIEW OF 1997-98 REVENUE PROJECTIONS

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### GENERAL FUND

#### Taxes

The City's General Fund receives the majority of its revenue from the "Taxes" category. The estimated FY 1997-98 total of \$71,380,600 is up a modest 1.5% from the original estimate for FY 1996-97, and comprises 70.2% of General Fund revenue.

The State budget's shift of property tax allocation from the City in 1992-93 and 1993-94 has left the City with more than a \$4.6 million loss of property tax revenue. This loss of discretionary revenue has been only in small part offset by Proposition 172 (the Public Safety Sales and Use Tax), which is estimated to generate \$680,000 in 1997-98. However, it should be noted that this small offset isn't discretionary revenue as it is legally required to be used only for public safety purposes.

Property tax revenue is generally projected to increase by 2.0% over the revised estimate for the current fiscal year. The projection for 1997-98 property tax revenue is still lower than the 1996-97 original budget estimate because the original estimate was based on 2.25% growth in 1996-97 assessed valuation whereas actual current secured AV growth was negative 0.1%. The county's property tax administration fee is estimated to increase by 3.0% from the actual current year charge.

The utility user's tax is projected to increase by only 0.6% in 1997-98 due to a continuing competitive utility rate market which includes PG&E's announced intention to reduce electric rates for residential and small commercial users by 10% effective January 1, 1998. The full year impact of that rate cut on utility user tax revenue will occur in 1998-99.

The city's year-over-year revenue performance from the Local 1% sales and use tax during the most recent four quarters has averaged 2.4% growth although the change in individual quarters have ranged widely from a high of +5.7% to a more recent low of -0.7%. The 1997-98 projection is for an overall improvement in the sales tax revenue growth rate from 2.4% to 3.0% supported in part by the planned October opening of Dillard's department store. The Public Safety Sales and Use Tax (Proposition 172) revenue is projected to increase by 5.0% in 1997-98 based on actual experience in the current fiscal year. This public safety sales tax revenue has a higher projected rate of increase because its allocation through the State and county is based on county-wide sales tax collections which tend to grow at a higher rate than Stockton's.

Other tax revenues are estimated to increase at various rates ranging from 0.5% to 4.0%, depending on current year experience and economic indicators.

## OVERVIEW OF 1997-98 REVENUE PROJECTIONS

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### Licenses and Permits

This category includes construction permit fees as well as other permit-related fees such as animal licenses and Police Department permits. Construction Permit revenue in the current fiscal year is estimated to drop approximately 11% below the actual 1995-96 revenue amount. The 1997-98 budget projects that construction permit revenue will increase 10% reflecting the construction industry's cyclical nature after a weak 1996-97. The projected revenue gain in construction permits is expected to be largely offset by reductions in estimated parking meter revenue and encroachment permit revenues which have been estimated based on actual experience.

### Use of Money and Property

This category includes interest earnings from investment of available cash balances. Also included are charges for use of City property and facilities, primarily recreational facilities. Interest earnings revenue has been estimated based on current year experience and a slightly higher average projected interest rate in the investment money markets. In addition, estimated revenue from Ice Arena ice time rentals is increased to reflect actual experience.

### Fines and Forfeitures

This category includes Traffic/Parking Citation fines along with several smaller fine revenues such as Vehicle Code fines and Criminal fines. State legislation which gave a greater percentage of traffic fines to fund trial courts has resulted in a consistent erosion of City revenue from this source. The City has supported legislation currently being considered, which might improve this situation.

### Other Revenues

This category includes Indirect Cost Allocation and Utility In Lieu of Tax revenues paid by City enterprise funds and other applicable City funds. Also included are a wide variety of Refunds and Reimbursements for costs incurred by the City's General Fund which are the legal responsibility of a private party or other separate entity. Indirect cost allocation charges are based on annual Full Cost Plan updates. Utility In-Lieu of Tax charges are projected based on the average actual rate of increase during the last three fiscal years. Reimbursement revenue will be lower in 1997-98 due to there being one-time PERS Asset Credits in 1996-97 and elimination of the Workers Compensation Salary Continuation revenue account in favor of using expenditure credits to departmental budgets.

## OVERVIEW OF 1997-98 REVENUE PROJECTIONS

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### Revenues from Other Agencies

This revenue category includes the Motor Vehicle License Fee, the fourth largest General Fund revenue source which at \$9,530,000 represents nearly 9.4% of total projected General Fund revenues. Also included are Federal Police grant revenue, the Homeowner's Property Tax Exemption Replacement, and several other smaller State allocations.

Motor Vehicle License Fee revenue is estimated to increase 5.0% in 1996-97 and 5.0% again in 1997-98 based on actual experience which is consistent with State of California estimates for both fiscal years. The City is continuing to receive significant federal grant money to help fund additional police officers although the total amount of the grant reimbursements in 1997-98 will be less than in the current fiscal year.. The Homeowners' Property Tax Exemption allocation estimate is based on updated information from the county about the current year allocation amount. POST (state) reimbursement for police officer training varies from year to year, depending on how many officers are trained and the state reimbursement formula.

### Charges for Current Services

This category includes revenues from City fees to cover all or part of the cost of providing a wide variety of City services. Most are provided by the Community Development, Public Works, Parks and Recreation, Police and Fire departments, including contractual agreements with special districts for fire suppression and ambulance dispatch services.

The largest single change in this category is an increase in the budgeted estimate for City charges for services performed for the San Joaquin County Flood Control Agency which reflects a carryover to 1997-98 of the \$952,578 total charge for prior fiscal years 1995-96 and 1996-97 combined, as well as the estimated charge for 1997-98 services.

### General Fund Summary

The total General Fund revenue projection of \$101,614,348 for 1997-98 represents a 2.2% increase from the original 1996-97 budgeted revenue estimate, reflecting an expected modest improvement in the local economy next fiscal year but an improvement that continues to lag behind the rest of the State's strong economic recovery.

## OVERVIEW OF 1997-98 REVENUE PROJECTIONS

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### CENTRAL PARKING DISTRICT FUND

Central parking district revenue is estimated to increase at a 2.5% rate, anticipating a modest reversal of the downward trend in previous years, based on current year revenue.

### ENTERPRISE FUNDS

#### Wastewater Utility

User fee revenue in the Wastewater Fund is projected using the Council's adopted rate increases of 3.6% effective March 1, 1997 and 4.4% effective January 1, 1998.

#### Stormwater Utility

FY 1996-97 revenue reflects the first full fiscal year under the Council-approved rate program that became effective September 1, 1995. The rate program is intended to fund the implementation costs of the Federal Stormwater N.P.D.E.S. Permit that was issued in early 1995. The FY 1997-98 revenue estimates are based on those current rates.

#### Water Utility

The FY 1997-98 user fee revenue estimates include annualization of the Council-adopted 5% rate increase that was effective on January 1, 1997.

#### Solid Waste/Landfills Fund

Landfill tipping fee revenue is projected based on the Austin Road Landfill receiving an estimated 52,000 tons of solid waste at Council's adopted residential tipping rate of \$30.00 per ton. The rate funds both landfill operations and closure costs and the revenue is allocated between the separate operating and closure Funds.

#### Solid Waste/Garden Refuse Fund

User fee revenue in the Garden Refuse Fund is projected using the current service fee rate of \$3.00 per household in the City of Stockton.

#### Golf Courses

Estimated revenue is based on the five-year fee schedule that went into effect on 9/1/95.

## OVERVIEW OF 1997-98 REVENUE PROJECTIONS

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### SPECIAL REVENUE FUND GRANTS

The Intermodal Surface Transportation Efficiency Act grant is providing \$1,390,000 for one street improvement project in 1997-98 (West Lane Rehabilitation), and a State Bridge Program grant is providing \$322,000 for a bridge project (Pacific Avenue/Calaveras River Bridge)..

### PUBLIC FACILITY FEES

These fees are projected to increase approximately 3% based on current growth and 1996-97 estimated actuals.

### BOAT LAUNCHING FACILITIES FUND

The Boat Launching Facilities Special Revenue Fund was established in 1994-95 to provide better supervision, security and safety, and to improve the boat launching ramps, at the City's three boat launching facilities (Buckley Cove, Louis Park, and Morelli Park). The City is presently providing security and charging fees at Buckley Cove.

### LIBRARY FUND

During 1996-97 the Library received one-time additional revenue from the Cities and County to help pay for the cost of the Measure R special election. This reduction in Library revenue is offset in 1997-98 by a slight increase in the County's regular contribution and an increase in the Public Library Fund Grant.

### GAS TAX FUND

The total revenue estimate for the Gas Tax funds reflect only a slight increase in 1997-98 due primarily to the inclusion of projected revenue from the State Bridge Repair Grant Program for two bridge projects in 1996-97. Gasoline tax apportionments from the State Controller are projected to increase by 2% from the revised estimated 1996-97 apportionments.

## OVERVIEW OF 1997-98 REVENUE PROJECTIONS

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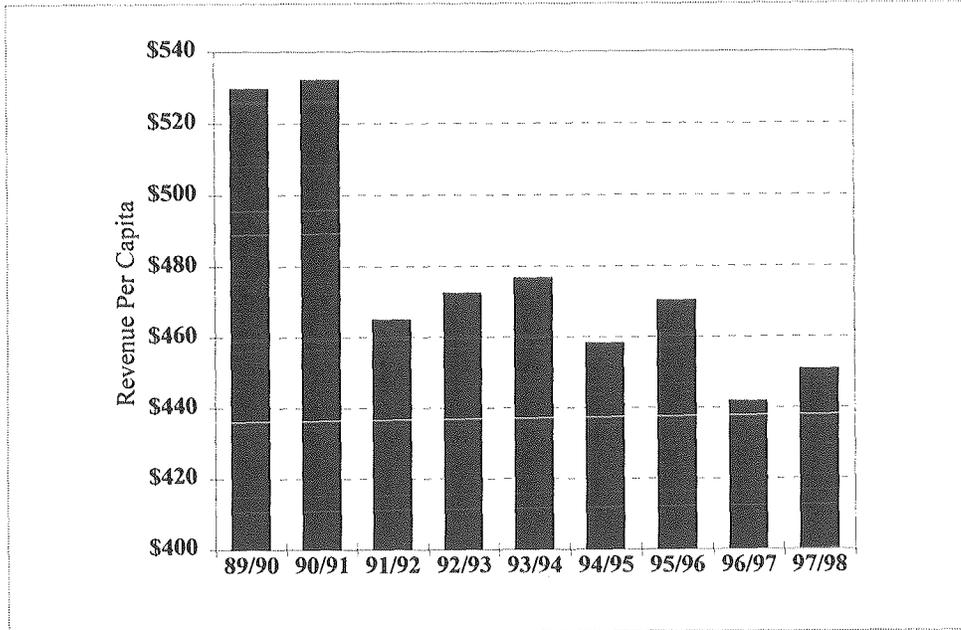
### COMMUNITY DEVELOPMENT BLOCK GRANT FUND

Community Development Block Grant funding from the Federal Housing and Urban Development (HUD) Department has decreased from \$5,150,000 in 1996-97 to \$5,067,000 in 1997-98, due to a smaller total allocation by Congress. Related housing program income is estimated to increase, due to loan repayments and reallocations, which results in an overall increase in revenue.

Federal grant allocations for the HOME Program and Emergency Shelter Grant Program have experienced minimal changes in funding from the prior year.

The City Council approved a spending plan for all three of these grant allocations on May 12, 1997 by approving the Consolidated Plan application for submittal to HUD.

Future funding levels for these programs is uncertain given current Congressional pressure to reduce federal spending.



**COMMUNITY ECONOMIC INDICATORS  
GENERAL FUND REVENUES PER CAPITA**

<u>Fiscal Year</u>	<u>General Fund Revenues including Transfers In</u>	<u>Revenues Adjusted to 96-97 Dollars</u>	<u>Population</u>	<u>Revenues Adjusted to 96-97 \$ Per Capita</u>
Actual 1989-90	\$80,758,232	\$102,795,159	194,000	\$530
Actual 1990-91	\$85,393,277	\$103,914,908	195,223	\$532
Actual 1991-92	\$87,006,782	\$99,791,120	214,520	\$465
Actual 1992-93	\$92,700,628	\$103,124,725	218,167	\$473
Actual 1993-94	\$97,854,008	\$105,789,697	221,876	\$477
Actual 1994-95	\$98,277,570	\$103,454,341	225,648	\$458
Actual 1995-96	\$105,321,782	\$107,954,827	229,484	\$470
Approved 1996-97	\$103,118,224	\$103,118,224	233,385	\$442
Estimated 1997-98	\$107,027,882	\$107,027,882	237,352	\$451

*Stockton*

*PERSONNEL LISTING*



## ***PERSONNEL LISTING***

A summary of approved personnel listings by budget unit as compared to the prior fiscal year. Explanations for changes in personnel are included.

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <span style="float: right;"><u>Variance</u></span>
<b><u>GENERAL FUND</u></b>		
<b><u>NON-DEPARTMENTAL-(10-0132)</u></b>		
Arts Commission Director	1	1
<b><u>CITY COUNCIL-(10-1000)</u></b>		
Mayor	1	1
Vice-Mayor	1	1
Councilmember	5	5
<b>Total City Council</b>	<b>7</b>	<b>7</b>
<b><u>CITY CLERK-(10-1100)</u></b>		
City Clerk	1	1
Deputy City Clerk	1	1
Records Manager	1	1
Records Clerk I/II	1	1
Executive Assistant	1	1
Office Specialist	2	2
Records Specialist	2	2
Office Assistant I/II	1	1
<b>Total City Clerk Department</b>	<b>10</b>	<b>10</b>
<b><u>CITY MANAGER-(10-1210)</u></b>		
City Manager	1	1
Assistant City Manager	1	1
Deputy City Manager I/II	1	1
Administrative Analyst I/II/Senior/Principal	3	3
Research and Budget Manager	1	1
Budget Analyst I/II/Senior	3	3
Executive Assistant to City Manager	1	1
Supervising Office Assistant (Confidential)	1	1
Secretary (Confidential)	1	1
Office Specialist (Confidential)	1	1
Agenda Coordinator	1	1
<b>Total</b>	<b>15</b>	<b>15</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>CITY MANAGER/ECONOMIC DEVELOPMENT-(10-1230)</u></b>		
Deputy City Manager I/II	1	1
Economic and Enterprise Zone Manager	1	1
Economic Development Analyst/Senior (Confidential)	2	2
Secretary (Confidential)	2	2
Office Assistant I/II (Confidential)	1	1
Total	7	7
<b>Total City Manager Department</b>	<b>22</b>	<b>22</b>
 <b><u>FINANCE/ADMINISTRATION-(10-1310)</u></b>		
Director of Finance	1	1
Deputy Director of Finance	1	1
Administrative Analyst I/II/Senior/Principal	1	1
Deferred Compensation Specialist	1	1
Executive Assistant	1	1
Office Assistant I/II	1	1
Total	<b>6</b>	<b>6</b>
 <b><u>FINANCE/ACCOUNTING-(10-1320)</u></b>		
Accounting Manager	1	1
Supervising Accountant	1	1
Accountant I/II	6	6
Supervising Accounting Office Assistant	2	2
Senior Accounting Office Assistant	1	1
Accounting Office Assistant I/II	5	5
Total	<b>16</b>	<b>16</b>
 <b><u>FINANCE/TREASURY-(10-1330)</u></b>		
Revenue Officer	1	1
Auditor	1	1
Revenue Assistant III	2	2
Supervising Revenue Assistant	1	1
Revenue Assistant I/II	6	6
Total	<b>11</b>	<b>11</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions		
	Actual	Approved	Variance
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>FINANCE/PURCHASING-(10-1340)</u></b>			
Purchasing Agent	1	1	
Senior Buyer	2	2	
Buyer I/II	1	1	
Secretary	1	1	
Warehouse Supervisor	1	1	
Warehouse Assistant	<u>3</u>	<u>3</u>	
Total	9	9	
 <b><u>FINANCE/REVENUE SERVICES-(10-1360)</u></b>			
Revenue Officer	1	1	
Supervising Revenue Assistant	1	1	
Water Systems Operator I/II	1	1	
Revenue Assistant I/II/III	<u>11</u>	<u>11</u>	
Total	14	14	
<b>Total Finance Department</b>	<b>56</b>	<b>56</b>	
 <b><u>CITY ATTORNEY-(10-1400)</u></b>			
City Attorney	1	1	
Assistant City Attorney	1	1	
Deputy City Attorney	7	7	
Liability Claims Investigator I/II	1	1	
Executive Assistant to City Attorney	1	1	
Executive Assistant (Legal)	1	1	
Legal Secretary I/II	3	3	
Office Specialist (Legal)	1	1	
Office Assistant I/II (Legal)	1	1	
<b>Total City Attorney Department</b>	<b>17</b>	<b>17</b>	

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>MANAGEMENT INFORMATION SERVICES-(10-1510)</u></b>		
Director of Management Information Services	1	1
Management Information Services Manager	1	1
Computer Operations Maintenance Supervisor	1	1
Executive Assistant	1	1
Applications Programmer Analyst I/II/Senior	3	3
Micro-Computer Specialist I/II	1	1
Computer Operator I/II	2	2
Micro-Computer Section Supervisor	<u>1</u>	<u>1</u>
Total	<b>11</b>	<b>11</b>
<b><u>MANAGEMENT INFORMATION SERVICES/GIS-(10-1520)</u></b>		
Geographic Information Systems Manager	1	1
Senior Geographic Information Specialist	1	1
Geographic Information Systems Administrator	1	1
GIS Programmer Analyst I/II/Senior	<u>6</u>	<u>6</u>
Total	<b>9</b>	<b>9</b>
<b>Total Management Information Services Dept.</b>	<b>20</b>	<b>20</b>
<b><u>PERSONNEL/ADMINISTRATION-(10-1610)</u></b>		
Director of Personnel Services	1	1
Executive Assistant (Confidential)	1	1
Office Specialist (Confidential)	<u>3</u>	<u>3</u>
Total	<b>5</b>	<b>5</b>
<b><u>PERSONNEL/EMPLOYEE RELATIONS-(10-1620)</u></b>		
Deputy Director/Employee Relations Officer	1	1
Supervising Personnel Analyst	1	1
Secretary (Confidential)	<u>1</u>	<u>1</u>
Total	<b>3</b>	<b>3</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <span style="float: right;"><u>Variance</u></span>
<b><u>PERSONNEL/PERSONNEL SERVICES-(10-1630)</u></b>		
Senior Personnel Analyst	2	2
Personnel Analyst I/II	<u>3</u>	<u>3</u>
Total	5	5
<b>Total Personnel Services Department</b>	<b>13</b>	<b>13</b>
<b><u>COMMUNITY DEVELOPMENT/ADMINISTRATION-(10-1810)</u></b>		
Community Development Director	1	1
Senior Administrative Analyst	1	1
Plan Checker I/II (Permit Tracking Coordinator)	1	1
Executive Assistant	1	1
Secretary	1	1
Office Assistant I/II	<u>1</u>	<u>1</u>
Total	<b>6</b>	<b>6</b>
<b><u>COMMUNITY DEVELOPMENT/PLANNING-(10-1820)</u></b>		
Deputy Community Development Director/Planning	1	1
Senior Planner	4	4
Assistant/Associate Planner	4	4
Planning Technician I/II	2	2
Senior Planning Technician	1	1
Supervising Office Assistant	1	1
Office Assistant I/II	<u>3</u>	<u>3</u>
Total	<b>16</b>	<b>16</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions		
	Actual	Approved	Variance
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>COMMUNITY DEVELOPMENT/BUILDING-(10-1830)</u></b>			
Deputy Community Development Director/Building	1	1	
Deputy Building Official	1	1	
Supervising Plan Checker/Structural Engineer	1	1	
Plan Checker I/II	5	5	
Field Inspection Manager	1	1	
Senior Building Inspector	1	1	
Senior Plumbing/Mechanical Inspector	1	1	
Senior Electrical Inspector	1	1	
Plan Check Engineer	1	1	
Combination Building Inspector I/II	14	14	
Supervising Office Assistant	1	1	
Office Specialist	1	1	
Office Assistant I/II	<u>3</u>	<u>3</u>	
Total	<b>32</b>	<b>32</b>	
<b><u>COMMUNITY DEVELOPMENT/CODE ENFORCEMENT-(10-1840)</u></b>			
Senior Code Enforcement Officer	1	1	
Code Enforcement Officer I/II	8	8	
Office Specialist	1	1	
Maintenance Worker I/II	1	1	
Secretary	<u>2</u>	<u>2</u>	
Total	<b>13</b>	<b>13</b>	
<b>Total Community Development Department</b>	<b>67</b>	<b>67</b>	
<b><u>CITY AUDITOR-(10-1900)</u></b>			
City Auditor	1	1	
Auditor	2	4	2 (a)
Audit Assistant I/II	<u>1</u>	<u>1</u>	
<b>Total City Auditor Department</b>	<b>4</b>	<b>6</b>	<b>2</b>

(a) Two positions added during final budget review by City Council. Final classifications to be determined.

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>POLICE/ADMINISTRATION-(10-2410)</u></b>		
Chief of Police	1	1
Deputy Chief of Police	2	2
Police Captain	1	1
Police Lieutenant	2	2
Police Sergeant	4	4
Police Officer	7	7
Police Planning Analyst	1	1
Budget Analyst I/II/Senior	1	1
Audio Visual Specialist	1	1
Animal Control Supervisor	1	1
Senior Animal Control Officer	1	1
Animal Control Officer	6	6
Animal Control Assistant	3	3
Dispatcher	1	1
Executive Assistant	1	1
Administrative Analyst I/II	2	2
Accounting Office Assistant I/II	2	2
Office Assistant I/II	1	1
Secretary	7	7
Total	<b>45</b>	<b>45</b>
<b><u>POLICE/FIELD OPERATIONS-(10-2420)</u></b>		
Police Captain	2	2
Police Lieutenant	9	9
Police Sergeant	36	36
Police Officer	255	255
Senior Community Service Officer	5	5
Community Service Officer/Senior	28	28
Parking Violations Deputy	6	6
Vehicle Abatement Specialist	1	1
Crime Analyst	2	2
Administrative Analyst I/II/Senior/Principal	1	1
Secretary	3	3
Police Records Assistant II	2	2
Office Specialist	1	1
Total	<b>351</b>	<b>351</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>POLICE/INVESTIGATIONS-(10-2430)</u></b>		
Police Captain	1	1
Police Lieutenant	2	2
Police Sergeant	9	9
Police Officer	52	52
Crime Analyst	1	1
Secretary	1	1
Police Records Assistant I/II	<u>6</u>	<u>6</u>
Total	72	72
<b><u>POLICE/SUPPORT SERVICES-(10-2460)</u></b>		
Police Captain	1	1
Supervising Police Records Assistant	3	3
Police Records Assistant I/II	35	35
Supervising Evidence Technician	1	1
Senior Evidence Technician	3	3
Evidence Technician	12	12
Secretary	<u>1</u>	<u>1</u>
Total	56	56
<b><u>POLICE/TELECOMMUNICATIONS-(10-2470)</u></b>		
Senior Police Telecommunications Supervisor	1	1
Police Telecommunications Supervisor	6	6
Police Telecommunicator I/II/III	33	33
Applications Programmer Analyst II	1	1
GIS Information Specialist I/II	1	1
Secretary	1	1
Computer Operator I/II	<u>2</u>	<u>2</u>
Total	45	45
<b>Total Police Department</b>	<b>569</b>	<b>569</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions		
	Actual	Approved	Variance
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>FIRE/ADMINISTRATION-(10-2610)</u></b>			
Fire Chief	1	1	
Deputy Fire Chief	2	2	
Fire Battalion Chief	1	0	-1 (a)
Fire Captain	0	1	1 (a)
Administrative Analyst I/II/Senior/Principal	1	1	
Executive Assistant	1	1	
Secretary	1	1	
Senior Accounting Office Assistant	1	1	
Office Assistant I/II/Secretary	0	1	1 (a)
Office Assistant I/II	<u>1</u>	<u>1</u>	<u>    </u>
Total	<b>9</b>	<b>10</b>	<b>1</b>
 <b><u>FIRE/FIRE FIGHTING-(10-2620)</u></b>			
Fire Battalion Chief	6	6	
Fire Captain *	52	52	
Firefighter Engineer *	52	52	
Firefighter *	<u>103</u>	<u>103</u>	
Total	<b>213</b>	<b>213</b>	

(a) Reorganization of Fire Department administrative responsibilities, increased clerical and inspection support and the assignment of Captain positions to the communication and administrative divisions. This organizational change reflects no increase in total positions.

\* Up to 51 of the Fire Captains, Firefighters Engineers, and Firefighters in Firefighting may be Paramedics. Up to three of the Firefighter/Engineers in Firefighting may be Firefighter Engineer-Operators. Up to 13 of the Fire Captains, Firefighter Engineers, and Firefighters in Firefighting may be Hazmat Specialists/RADEF.

## PERSONNEL LISTING BY BUDGET UNIT

	<u>No. of Positions</u>		
	Actual	Approved	
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>FIRE/FIRE PREVENTION-(10-2630)</u></b>			
Fire Battalion Chief	1	1	
Fire Captain	3	3	
Arson Investigator	1	1	
Firefighter	3	2	-1 (a)
Administrative Analyst I/II	1	1	
Fire Protection Engineer	1	1	
Fire Prevention Inspector	1	2	1 (a)
Secretary	1	1	
Office Specialist	1	1	
Total	<b>13</b>	<b>13</b>	
<b><u>FIRE/HYDRANT MAINTENANCE AND PATTERN IMPROVEMENT-(10-2640)</u></b>			
Senior Hydrant Worker	1	1	
Hydrant Worker	1	1	
Total	<b>2</b>	<b>2</b>	
<b><u>FIRE/TRAINING-(10-2650)</u></b>			
Fire Battalion Chief	1	1	
Fire Captain	3	1	-2 (a)
Firefighter	1	1	
Audio-Visual Specialist	1	0	-1 (a)
Secretary	0	1	1 (a)
Engineering Technician II	1	1	
Total	<b>7</b>	<b>5</b>	<b>-2</b>
<b><u>FIRE/FIRE DISPATCH CENTER-(10-2660)</u></b>			
Fire Battalion Chief	1	0	-1 (a)
Fire Captain	0	1	1 (a)
Firefighter	0	1	1 (a)
Fire Telecommunication Specialist	1	1	
Fire Telecommunication Supervisor	3	3	
Fire Telecommunicator I/II	9	9	
Total	<b>14</b>	<b>15</b>	<b>1</b>
<b>Total Fire Department</b>	<b>258</b>	<b>258</b>	

(a) Reorganization of Fire Department administrative responsibilities, increased clerical and inspection support and the assignment of Captain positions to the communication and administrative divisions. This organizational change reflects no increase in total positions.

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>PUBLIC WORKS/ADMINISTRATION-(10-3010)</u></b>		
Public Works Director	1	1
Executive Assistant	1	1
Supervising Office Assistant	2	2
Records Specialist	1	1
Office Assistant I/II	<u>3</u>	<u>3</u>
Total	<b>8</b>	<b>8</b>
<b><u>PUBLIC WORKS/ENGINEERING-(10-3020)</u></b>		
Deputy Public Works Director/Engineering	1	1
Administrative Analyst I/II/Senior/Principal	1	1
Senior Civil Engineer	3	3
Junior/Assistant/Associate-Civil/Engineer	13	13
Assistant Architect	1	1
Engineering Technician I/II/Senior	13	13
Geographic Information Specialist I/II	1	1
City Traffic Engineer	1	1
Associate Traffic Engineer	1	1
Junior/Assistant Engineer (Traffic)	3	3
Traffic Engineering Aide	2	2
Senior Transportation Planner	1	1
Supervising Public Works Inspector	1	1
Public Works Inspector	6	6
Surveying Supervisor	<u>1</u>	<u>1</u>
Total	<b>49</b>	<b>49</b>
<b><u>PUBLIC WORKS/OPERATIONS AND MAINTENANCE-(10-3060)</u></b>		
Deputy Public Works Director/Operations & Maintenance	1	1
Senior Public Works Supervisor	2	2
Senior Traffic Signal Electrician	1	1

## PERSONNEL LISTING BY BUDGET UNIT

	<u>No. of Positions</u>	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>PUBLIC WORKS/OPERATIONS AND MAINTENANCE-(10-3060)</u></b>		
<b><u>(CONTINUED)</u></b>		
Electrical Supervisor	1	1
Traffic Signal Electrician	3	3
Electrician Maintenance Worker	3	3
Public Works Supervisor	1	1
Traffic Maintenance Supervisor	1	1
Heavy Equipment Operator	3	3
Engineering Technician I	1	1
Public Works Equipment Training Officer	1	1
Public Works Equipment Operator I/II	18	18
Senior Maintenance Worker	6	6
Senior Traffic Maintenance Worker	2	2
Office Specialist	2	2
Supervising Office Assistant	1	1
Office Assistant I/II	1	1
Traffic Maintenance Worker I/II	10	10
Maintenance Worker I/II	<u>1</u>	<u>1</u>
Total	<b>59</b>	<b>59</b>
<b><u>PUBLIC WORKS/CENTRAL BUILDING MAINTENANCE-(10-3090)</u></b>		
Building Maintenance Superintendent	1	1
Senior Electrician	1	1
Electrician I/II	2	2
Heating/Air Conditioning Mechanic	2	2
Stage Maintenance Worker	2	2
Senior Janitor	1	1
Janitor	8	8
Craft Maintenance Supervisor	1	1
Craft Maintenance Worker I/II	7	7
Administrative Analyst I/II	1	1
Facility Aide	3	3
Office Assistant I/II	<u>1</u>	<u>1</u>
Total	<b>30</b>	<b>30</b>
<b>Total Public Works Department</b>	<b>146</b>	<b>146</b>

## PERSONNEL LISTING BY BUDGET UNIT

	<u>No. of Positions</u>		
	<u>Actual</u>	<u>Approved</u>	
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>PARKS AND RECREATION/ADMINISTRATION-(10-3610)</u></b>			
Parks and Recreation Director	1	1	
Recreation Superintendent	1	1	
Parks Superintendent/Landscape Architect	1	1	
Administrative Analyst I/II/Senior/Principal	1	1	
Executive Assistant	1	1	
Supervising Office Assistant	1	1	
Senior Accounting Office Assistant	1	1	
Office Specialist	1	1	
Office Assistant I/II	<u>2</u>	<u>2</u>	
Total	<b>10</b>	<b>10</b>	
<b><u>PARKS AND RECREATION/PARKS AND STREET TREES-(10-3620)</u></b>			
Park Facility Planner	1	1	
Senior Parks Supervisor	2	2	
Parks/Golf Course Supervisor	2	2	
Senior Parks Worker	8	8	
Park Equipment Operator	4	4	
Parks Worker I/II	32	25	-7 (a)
Tree Maintenance Supervisor	1	1	
Pest Control Specialist/Senior Tree Surgeon	1	1	
Senior Tree Surgeon	3	3	
Tree Surgeon	6	6	
Tree Worker	4	4	
Data Entry Operator I/II	<u>1</u>	<u>1</u>	
Total	<b>65</b>	<b>58</b>	<u>-7</u>
<b><u>PARKS AND RECREATION/RECREATION-(10-3630)</u></b>			
Recreation Supervisor	5	5	
Recreation Assistant I/II/Office Assistant I/II	2	2	
Recreation Program Coordinator/Recreation Asst. I/II/Sr.	<u>15</u>	<u>15</u>	
Total	<b>22</b>	<b>22</b>	

(a) Elimination of seven vacant Parks Worker positions.

## PERSONNEL LISTING BY BUDGET UNIT

	<u>No. of Positions</u>		
	<u>Actual</u>	<u>Approved</u>	<u>Variance</u>
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>PARKS AND RECREATION/SPECIAL RECREATION-(10-3640)</u></b>			
Recreation Program Coordinator	1	1	
<b>Total Parks and Recreation Department</b>	<b>98</b>	<b>91</b>	<b>-7</b>
 <b>TOTAL GENERAL FUND</b>	 <b>1,288</b>	 <b>1,283</b>	 <b>-5</b>
 <b><u>SPECIAL REVENUE FUNDS</u></b>			
<b><u>LIBRARY/ADMINISTRATION-(41-3510)</u></b>			
Director of Library Services	1	1	
Deputy Director of Library Services	1	1	
Administrative Analyst I/II/Senior/Principal	1	1	
Executive Assistant	1	1	
Librarian I/II	1	0	-1 (a)
Office Assistant	2	2	
Sr. Accounting Office Assistant	1	1	
Library Driver/Clerk	<u>1</u>	<u>1</u>	<u>—</u>
Total	<b>9</b>	<b>8</b>	<b>-1</b>
 <b><u>LIBRARY/PUBLIC SERVICES-(41-3520)</u></b>			
Library Division Manager	4	2	-2 (a)
Supervising Librarian	10	9	-1
Librarian I/II	17	13	-4 (a)
Senior Library Assistant	8	6	-2
Bookmobile Driver/Circulation Assistant	1	1	
Office Assistant I/II	3	3	
Library Assistant I/II	16	12	-4 (a)
Senior Library Aide	4	1	-3 (a)
Accounting Office Assistant I/II	1	1	
Library Aide I/II	15	13	-2 (a)
Circulation Assistant I/II	<u>12</u>	<u>8</u>	<u>-4</u> (a)
Total	<b>91</b>	<b>69</b>	<b>-22</b>

(a) Unfunded positions have been eliminated. The City Manager has the authority to fill up to six (6) Library positions if additional funding is provided by the County or other cities for additional library services.

## PERSONNEL LISTING BY BUDGET UNIT

	<u>No. of Positions</u>		
	<u>Actual</u>	<u>Approved</u>	<u>Variance</u>
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>LIBRARY/TECHNICAL SERVICES-(41-3530)</u></b>			
Library Division Manager	1	0	-1 (a)
Supervising Librarian	2	2	
Librarian I/II	2	1	-1 (a)
Senior Library Assistant	1	1	
Applications Programmer Analyst I/II	1	1	
Office Assistant I/II	4	4	
Library Assistant I/II	3	3	
Library Aide I/II	1	1	
Circulation Assistant I/II	<u>10</u>	<u>10</u>	
Total	<b>25</b>	<b>23</b>	<b>-2</b>
<b>Total Library Department</b>	<b>125</b>	<b>100</b>	<b>-25</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>125</b>	<b>100</b>	<b>-25</b>
<b><u>COMMUNITY DEVELOPMENT BLOCK GRANT-(052,053,054)</u></b>			
Deputy Housing Director	1	1	
Accountant I/II	1	1	
Housing Programs Supervisor	1	1	
Senior Housing Rehabilitation Counselor	1	1	
Housing and Rehabilitation Counselor I/II	3	3	
Senior Housing and Financial Advisor	1	1	
Housing and Financial Advisor	1	1	
Office Specialist	1	1	
Secretary	1	1	
Office Assistant I/II	<u>1</u>	<u>1</u>	
Total	<b>12</b>	<b>12</b>	

(a) Unfunded positions have been eliminated. The City Manager has the authority to fill up to six (6) Library positions if additional funding is provided by the County or other cities for additional library services.

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	1996-97	1997-98    Variance
<b><u>REDEVELOPMENT AGENCY FUND-(330)</u></b>		
Housing and Redevelopment Director	1	1
Deputy Redevelopment Director	1	1
Senior Real Property Agent I/II	1	1
Redevelopment Specialist/Economic Dev. Analyst/Senior	2	2
Real Property Agent I/II	3	3
Administrative Analyst I/II/Senior/Principal	1	1
Executive Assistant	1	1
Supervising Office Assistant	2	2
Office Assistant I/II	1	1
<b>Total</b>	<b>13</b>	<b>13</b>
<b>Total CDBG and Redevelopment Funds</b>	<b>25</b>	<b>25</b>
<b><u>ENTERPRISE FUNDS</u></b>		
<b><u>CENTRAL PARKING DISTRICT-(416-4020)</u></b>		
Parking Facility Manager	1	1
Office Assistant I/II	2	2
<b>Total Central Parking District</b>	<b>3</b>	<b>3</b>
<b><u>SOLID WASTE/LANDFILLS-(451-3080)</u></b>		
Deputy Public Works Director/Solid Waste	1	1
Solid Waste Manager	1	1
Senior Civil Engineer	1	1
Solid Waste Inspector	1	1
Landfill Scale Operator	2	2
Senior Engineering Technician	1	1
Recycling Specialist	1	1
Administrative Analyst I/II/Senior/Principal	1	1
Office Assistant I/II	1	1
<b>Total Solid Waste/Landfills Department</b>	<b>10</b>	<b>10</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions		
	Actual	Approved	Variance
	<u>1996-97</u>	<u>1997-98</u>	
<b><u>SOLID WASTE/GARDEN REFUSE COLLECTION-(455-3066)</u></b>			
Public Works Supervisor	1	1	
Senior Maintenance Worker	1	1	
Solid Waste Inspector	1	1	
Public Works Equipment Operator I/II	17	17	
Maintenance Worker I/II	1	1	
Total Solid Waste/Garden Refuse Collection Dept.	21	21	

### **MUNICIPAL UTILITIES DEPARTMENT**

#### **MUNICIPAL UTILITIES/ADMINISTRATION-(431-4310)**

Director of Municipal Utilities	1	1	
Adm. Analyst I/II/Senior/Principal/Program Mgr. I/II	1	1	
Utilities Training/Safety Officer	1	1	
Engineering Manager/Assistant MUD Director	1	1	
Senior Civil Engineer	3	3	
Associate Mechanical Engineer	1	1	
Junior/Assistant/Associate-Civil/Engineer	5	5	
Regulatory Compliance Officer	1	1	
Engineering Technician I/II	3	3	
Executive Assistant	1	1	
Supervising Office Assistant	1	1	
Office Assistant I/II	2	2	
Total	21	21	

#### **MUNICIPAL UTILITIES/WATER/ADM.-(421-4210)**

Deputy Director of MUD/Water & Collection Systems	1	1	
Senior Water/Collection Systems Supervisor	1	1	
Senior Office Assistant	1	1	
Total	3	3	

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	1996-97	1997-98 Variance
<b><u>MUNICIPAL UTILITIES/WATER/OPERATIONS-(421-4230)</u></b>		
Water Operations Supervisor	1	1
Senior Water Systems Operator	4	4
Senior Electrician	1	1
Electrician I/II	1	1
Water Systems Operator II	7	7
Water Systems Operator I	<u>11</u>	<u>11</u>
Total	<b>25</b>	<b>25</b>

**MUNICIPAL UTILITIES/WASTEWATER/ADM.-(431-4320)**

Deputy Director of MUD/Wastewater	1	1
Technical Services Supervisor	1	1
Senior Environmental Control Officer	1	1
Environmental Control Officer	4	4
Secretary	1	1
Office Assistant I/II	1	1
Data Entry Specialist	1	1
GIS Programmer Analyst I/II/Senior	1	1
Accounting Office Assistant I/II	<u>1</u>	<u>1</u>
Total	<b>12</b>	<b>12</b>

**MUNICIPAL UTILITIES/WASTEWATER/  
OPERATIONS-(431-4330)**

Senior Plant Maintenance Supervisor	1	1
Senior Plant Operations Supervisor	1	1
Laboratory Supervisor	1	1
Plant Operations Supervisor	3	3
Plant Maintenance Supervisor	1	1
Warehouse Supervisor	1	1
Senior Plant Operator	10	10
Senior Mechanical Maintenance Worker	3	3
Senior Electrician	2	2
Senior Mechanic	1	1
Senior Instrument Repair Technician	1	1
Instrument Repair Technician	2	2
Plant Equipment Mechanic	2	2
Welder/Fabricator	1	1

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>MUNICIPAL UTILITIES/WASTEWATER/ OPERATIONS-(431-4330) (CONTINUED)</u></b>		
Plant Operator I/II	15	15
Mechanical Maintenance Worker I/II	13	13
Maintenance Worker I/II	5	5
Heavy Equipment Operator	4	4
Electrician I/II	2	2
Machinist	1	1
Laboratory Technician	5	5
Chemist	2	2
Microbiologist	1	1
Warehouse Assistant	2	2
Collection Systems Supervisor	1	1
Senior Collection Systems Operator	6	6
Collections Systems Operator II	10	10
Collections Systems Operator I	<u>12</u>	<u>12</u>
Total	<b>109</b>	<b>109</b>
<b><u>MUNICIPAL UTILITIES/STORMWATER-(441-4410)</u></b>		
Deputy Director of MUD/Stormwater	1	1
Associate Civil Engineer	1	1
Administrative Analyst I/II	1	1
Environmental Control Officer	<u>1</u>	<u>1</u>
Total	<b>4</b>	<b>4</b>
<b><u>MUNICIPAL UTILITIES/STORMWATER/OPERATIONS-(441-4430)</u></b>		
Senior Collections Systems Operator	1	1
Collections Systems Operator II	1	1
Collections Systems Operator I	<u>1</u>	<u>1</u>
	<b>3</b>	<b>3</b>
<b>Total Municipal Utilities Department</b>	<b>177</b>	<b>177</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions	
	Actual	Approved
	1996-97	1997-98
	Variance	
<b><u>GOLF COURSES-(481-3650)</u></b>		
Senior Golf Course Supervisor	1	1
Parks/Golf Course Supervisor	2	2
Parks Worker I/II	10	10
Parks Equipment Operator	4	4
<b>Total Golf Courses</b>	<b>17</b>	<b>17</b>
<b>TOTAL ENTERPRISE FUNDS</b>	<b>228</b>	<b>228</b>
<b><u>INTERNAL SERVICE FUND</u></b>		
<b><u>FLEET/ADMINISTRATION-(501-5021)</u></b>		
Fleet Manager	1	1
Assistant Fleet Manager	1	1
Supervising Mechanic	2	2
Office Specialist	1	1
Office Assistant I/II	1	1
Janitor	1	1
<b>Total</b>	<b>7</b>	<b>7</b>
<b><u>FLEET/EQUIPMENT MAINTENANCE-(501-5023)</u></b>		
Mechanic I/II Light-Heavy/III Light-Heavy	21	21
Equipment Parts Assistant/Mechanic I	1	1
Welder/Fabricator Specialist	1	1
Auto Painter/Repair Worker	1	1
<b>Total</b>	<b>24</b>	<b>24</b>
<b>Total Fleet</b>	<b>31</b>	<b>31</b>
<b><u>WORKERS' COMPENSATION AND BENEFITS-(551-5600)*</u></b>		
Workers' Compensation Analyst	1	1
Office Specialist (Confidential)	2	2
Data Entry Specialist	1	1
Office Assistant I/II (Confidential)	1	1
<b>Total Workers' Compensation &amp; Benefits</b>	<b>5</b>	<b>5</b>

\* Up to five (5) positions may be established during the year for persons on long-term compensation from operating departments as described in Rule XIV, Section 2 of the City Civil Service Rules and Regulations.

## PERSONNEL LISTING BY BUDGET UNIT

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	No. of Positions		
	Actual	Approved	Variance
	1996-97	1997-98	
<b><u>GENERAL INSURANCE ADMINISTRATION-(541-5700)</u></b>			
Risk/Loss Control Officer	1	1	
Office Specialist	1	1	
<b>Total General Insurance Administration</b>	<b>2</b>	<b>2</b>	
<b><u>CENTRAL DUPLICATING-(508-5420)</u></b>			
Reprographic Supervisor	1	1	
Reprographic Technician I/II	1	1	
<b>Total Central Duplicating</b>	<b>2</b>	<b>2</b>	
<b><u>COMPUTER REPLACEMENT FUND-(502-5100)</u></b>			
Micro-Computer Section Supervisor	1	1	
Micro-Computer Specialist I/II	2	2	
Office Assistant I/II	1	1	
<b>Total Computer Replacement Fund</b>	<b>4</b>	<b>4</b>	
<b><u>TELEPHONE MAINTENANCE &amp; REPLACEMENT FUND-(504-5300)</u></b>			
Telecommunications Coordinator	1	1	
<b>Total Telephone Maintenance &amp; Replacement Fund</b>	<b>1</b>	<b>1</b>	
<b>TOTAL INTERNAL SERVICE FUND</b>	<b>45</b>	<b>45</b>	
 <b>OVERALL TOTAL</b>	 <b>1,711</b>	 <b>1,681</b>	 <b>-30</b>

## PERSONNEL LISTING BY BUDGET UNIT

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	<u>No. of Positions</u>	
	Actual	Approved
	<u>1996-97</u>	<u>1997-98</u> <u>Variance</u>
<b><u>SPECIAL LIMITED TERM PROJECTS</u></b>		
<b><u>SAN JOAQUIN AREA FLOOD CONTROL</u></b>		
<b><u>AGENCY ADMINISTRATION - (10-0137)</u></b>		
Senior Civil Engineer	1	1
Administrative Analyst I/II/Senior/Principal	1	1
Secretary (Confidential)	1	1
Engineering Technician I/II/Senior	1	1
Junior/Assistant/Associate-Civil Engineer	1	1
<b>Total Special Limited Term Projects</b>	<b>5</b>	<b>5</b>

## PERSONNEL LISTING

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### GRANT-FUNDED

In addition to the above listing of authorized regular full-time positions, grant-funded positions are authorized as part of Council's approval of grant applications. Such grant-funded positions are automatically eliminated when grant funding ends. Since grants typically involve various time periods, grant-funded positions do not necessarily match the City's July through June fiscal year. Grant-funded positions as of July 1, 1997 will include:

#### Police Crac-net Grant - (020-6078)

Police Sergeant	1
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#### Auto Theft Investigation Enhancement - (020-6091)

Police Records Assistant II	1
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#### HUD Supplemental Police Services - (020-6035)

Police Officer	4
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#### Safe Vehicle Operator Program - (020-6041)

Police Officer	1
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#### COPS More Federal Grant - (502-5102)

Micro-Computer Section Supervisor	1
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GIS Programmer Analyst I/II Senior	1
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#### Cooperative Library System/Communications & Delivery - (040-6500)

Library Driver/Clerk	1
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#### Cooperative Library System/Reference - (040-6500)

Supervising Librarian	1
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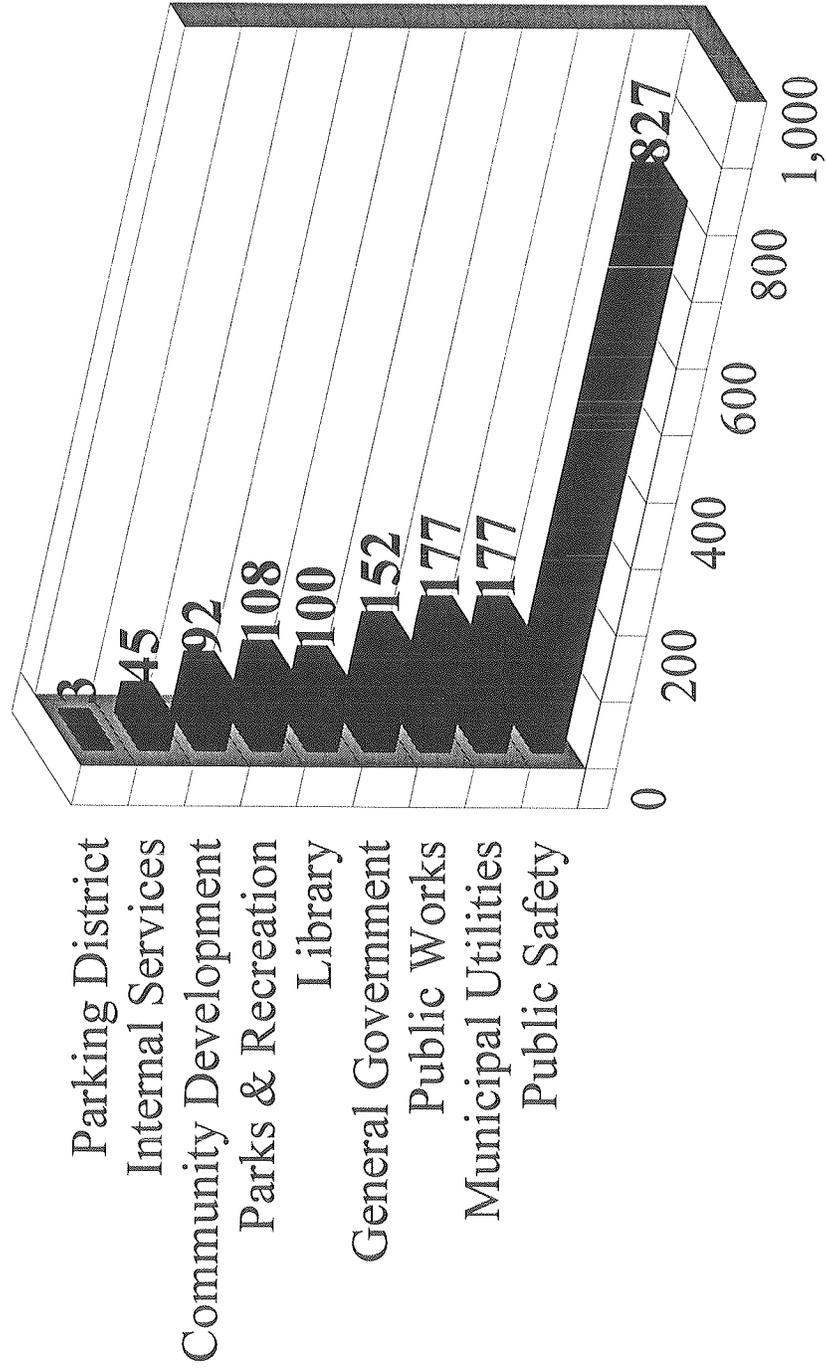
Office Assistant I/II	1
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#### Cooperative Library System/Administration - (040-6500)

Library Division Manager	1
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# 1997-98 PERSONNEL DISTRIBUTION

(All Funds)



*Stockton*

*DEPARTMENTAL BUDGETS*





*Stockton*

*NON-DEPARTMENTAL*





**NON-DEPARTMENTAL**

**10-0130**

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 57,639	\$ 63,522	\$ 74,627	\$11,105	17%
Other Services	194,655	257,349	215,594	(41,755)	(16%)
Materials/Supplies	112,441	147,238	69,218	(78,020)	(53%)
Other Expenses	35,030	56,899	48,476	(8,423)	(15%)
<b>TOTAL BUDGET</b>	<b>\$399,765</b>	<b>\$525,008</b>	<b>\$407,915</b>	<b>(\$117,093)</b>	<b>(22%)</b>

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<b>POSITION ALLOCATION</b>	<b>1</b>	<b>1</b>	<b>1</b>		
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**MISSION STATEMENT**

The Non-Departmental budget includes funds that are of a City-wide nature and, as such, cannot be allocated to any one department. Examples are membership dues in advocate organizations such as the League of California Cities; administrative expenses of the Stockton Arts Commission; protocol, community relations, the public information program, emergency repair and equipment replacement contingencies, and the support of activities, obligations and functions as prescribed by the City Manager or the City Council for the purpose of promoting and advertising the City of Stockton.

**SAN JOAQUIN AREA FLOOD  
CONTROL AGENCY ADMINISTRATION**

10-0137

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$304,433	\$404,460	\$455,476	\$51,016	13%
Other Services	36,476	84,540	33,524	(51,016)	60%
Materials/Supplies	28,107				
Other Expenses	7,577	11,000	11,000		
<b>TOTAL BUDGET</b>	<b>\$376,593</b>	<b>\$500,000</b>	<b>\$500,000</b>		

<u>POSITION ALLOCATION</u>	<u>3</u>	<u>5</u>	<u>5</u>

**FUNCTION**

The San Joaquin Area Flood Control Agency Administration (SJAECA) was formed during the 1994-95 fiscal year to coordinate activities related to addressing revised flood boundary map requirements currently to be issued by the Federal Emergency Management Agency (FEMA) in the first quarter of 1996. The project represents a \$500,000 appropriation in the 1996-97 fiscal year to address the proposed flood boundary changes imposed by FEMA during 1994-95. It is anticipated this project will end in 1998. The preliminary results of the restudy of the Stockton vicinity 100 year floodplain indicate that essentially the entire City of Stockton and a significant portion of the surrounding county will be placed in the newly defined flood plain. This will result in two severe impacts to the community (1) mandatory flood insurance purchases for mortgaged structures lying within the flood plain; and (2) imposing building restrictions for new construction. The combined affects of these two potential impacts would have dire economic consequences on the City and its residents.

The SJAFCA Unit study is attempting to both delay the official issuance of the new flood plain maps and to simultaneously design, fund, and construct a flood protection restoration project to return the flood plain boundaries to their current limits. Efforts toward delay of issuance of the maps has been successful. Design and construction of the flood project are now underway.

1996-97 Objective

1. Begin construction of the Flood Control Restoration Project by August 1996.

1996-97 Accomplishment

1. Contracts have been awarded for construction management and construction. The Mid-Bear Creek, Mosher Creek, Lower Bear Creek, Diverting Canal, Mormon Slough and Potter Creek are under construction.

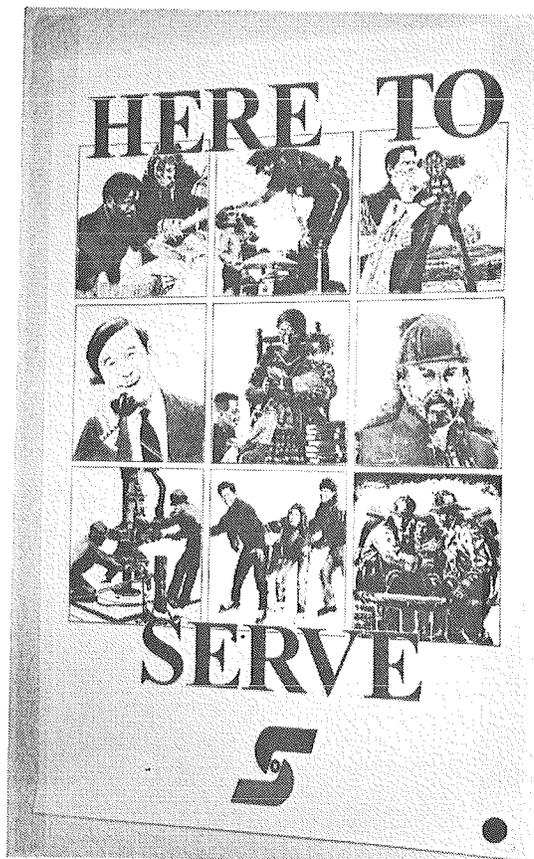
**SAN JOAQUIN AREA FLOOD  
CONTROL AGENCY ADMINISTRATION**

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**10-0137**

1997-98 Objectives

1. Initiate final construction contracts by June 1998.
2. Initiate Phase I (urban) feasibility study with Corps of Engineers for reimbursement/credit for Phase I work by December 1997.



*An employee's expression of the meaning of customer service.*

*Stockton*

*CITY COUNCIL*





CITY COUNCIL

10-1000

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$130,356	\$141,241	\$145,674	\$4,433	3%
Other Services	48,195	87,947	97,107	9,160	10%
Materials/Supplies	8,264	11,550	7,200	(4,350)	(38%)
Other Expenses	22,044	49,076	49,151	75	
<b>TOTAL BUDGET</b>	<b>\$208,859</b>	<b>\$289,814</b>	<b>\$299,132</b>	<b>\$9,318</b>	<b>3%</b>
<b>POSITION ALLOCATION</b>	<b>7</b>	<b>7</b>	<b>7</b>		

MISSION STATEMENT

Stockton celebrates its cultural diversity, promotes economic opportunity and strives to provide a better quality of life for all.

FUNCTION

The City Council consists of a Mayor and six Councilmembers elected by districts. Members are elected to four-year terms, with the Mayor elected by City-wide ballot to a four-year term. The Mayor is the presiding officer at all meetings of the City Council.

The Councilmembers carry voter viewpoints from the idea phase to introduction, discussion, decision and action. In the Council's decision-making process, facts are assembled and presented along with the public's participation; the Council then renders a decision in the best interests of the community and its citizens.

THREE YEAR GOALS  
(1997-2000)

- *REDUCE CRIME*
- *FACILITATE SOCIAL, ECONOMIC, AND CULTURAL OPPORTUNITIES FOR YOUTH*
- *REVITALIZE THE DOWNTOWN AND THE WATERFRONT*
- *PROMOTE OPPORTUNITIES FOR ECONOMIC GROWTH*
- *EXERCISE FISCAL RESPONSIBILITY WHILE PROVIDING NEEDED SERVICES*

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*THREE YEAR GOAL: REDUCE CRIME*

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1997-98

1. By October 1997, the Police Chief will restructure a recruitment and training plan to better utilize and increase the resources of reserve Police Officers and volunteers in Police Services.
2. By October 1997, the Police Chief will provide the Council with an overview of criminal justice information management programs.
3. By January 1998, the Police Chief, working with the SRO Program, will explore coordination of intervention strategies for curfew/truancy violations, a law enforcement magnet school program and domestic violence awareness within currently available resources.
4. By January 1998, the Parks and Recreation Director will increase City-wide teenage activities which include parental involvement during prime social hours.
5. By June 1998, the Police Chief, coordinating the efforts of MIS and the Police Department, will strengthen the community policing process by improving proactive enforcement strategies through comprehensive crime analysis and call-for-service information.
6. By December 31, 1998, the Police Chief will reduce crime by 10%.

*THREE YEAR GOAL: FACILITATE SOCIAL, ECONOMIC AND CULTURAL OPPORTUNITIES FOR ALL, ESPECIALLY YOUTH*

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1997-98

1. By October 1997, the City Manager will identify City facilities which can be used to provide job training and readiness programs.
2. By December 1997, the City Manager, working with the County and with direction from the Council, will have implemented an organizational model similar to communities that care to prevent juvenile delinquency.
3. By January 1, 1998, the City Council will assist the First Night Organization in holding a New Year's Eve celebration in downtown Stockton.
4. By April 1, 1998, the Parks and Recreation Director, working with the County and City Attorney, will assess the need, cost and liability concerns and investigate the possibilities for a skateboard park in Stockton Metro area.

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***THREE YEAR GOAL: REVITALIZE THE DOWNTOWN AND THE WATERFRONT***

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**1997-98**

1. By July 31, 1997, the City Council will consider awarding a contract for construction of the Weber Point Event Center.
2. By July 31, 1997, the Economic Development Manager will present alternatives for the Council to consider regarding the Weber Avenue Median Improvements.
3. By August 1997, the City Council will consider for adoption and implementation the business plan for Weber Point.
4. By August 1997, the City Council will consider for approval and implementation alternatives for improving water quality for McLeod Lake and the Stockton Channel.
5. By December 1, 1997, the Economic Development Manager, working with Venue Tech, will develop a master plan for renovation of the Fox Theater including cost and timelines for completion.
6. By June 1998, the Housing and Redevelopment Director will ensure that the consultant will have completed the Environmental Master Plan funded by the U. S. EPA Brownfields Program for the waterfront area.

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***THREE YEAR GOAL: PROVIDE OPPORTUNITIES FOR ECONOMIC GROWTH***

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**1997-98**

1. By October 1997, the City Manager, in cooperation with the Property Management Task Force, will present recommendations to the Council to improve the appearance of the City.
2. By December 1997, the City Council will select financially feasible, cost-effective Wastewater Treatment Master Plan alternatives.
3. By December 1997, the City Manager will survey a sample of development clients to determine the need for any changes in City regulations and development processing.
4. By February 1998, the City Manager, working with the Convention and Visitors Bureau and others, will develop a plan to promote the positive image of the City, both to Stockton residents and those outside the City.
5. By March 1998, the City Council will apply for the All-American City award.

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***THREE YEAR GOAL: PROVIDE OPPORTUNITIES FOR ECONOMIC GROWTH  
(Continued)***

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**1997-98**

6. By June 30, 1998, the City Council/Redevelopment Agency will have prepared a draft redevelopment plan for the new Stockton-South Redevelopment Project Area.
7. By June 30, 1998, the City Manager will present to the City Council a report on business incentive programs provided by other cities.

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***THREE YEAR GOAL: EXERCISE FISCAL RESPONSIBILITY WHILE PROVIDING  
NEEDED SERVICES***

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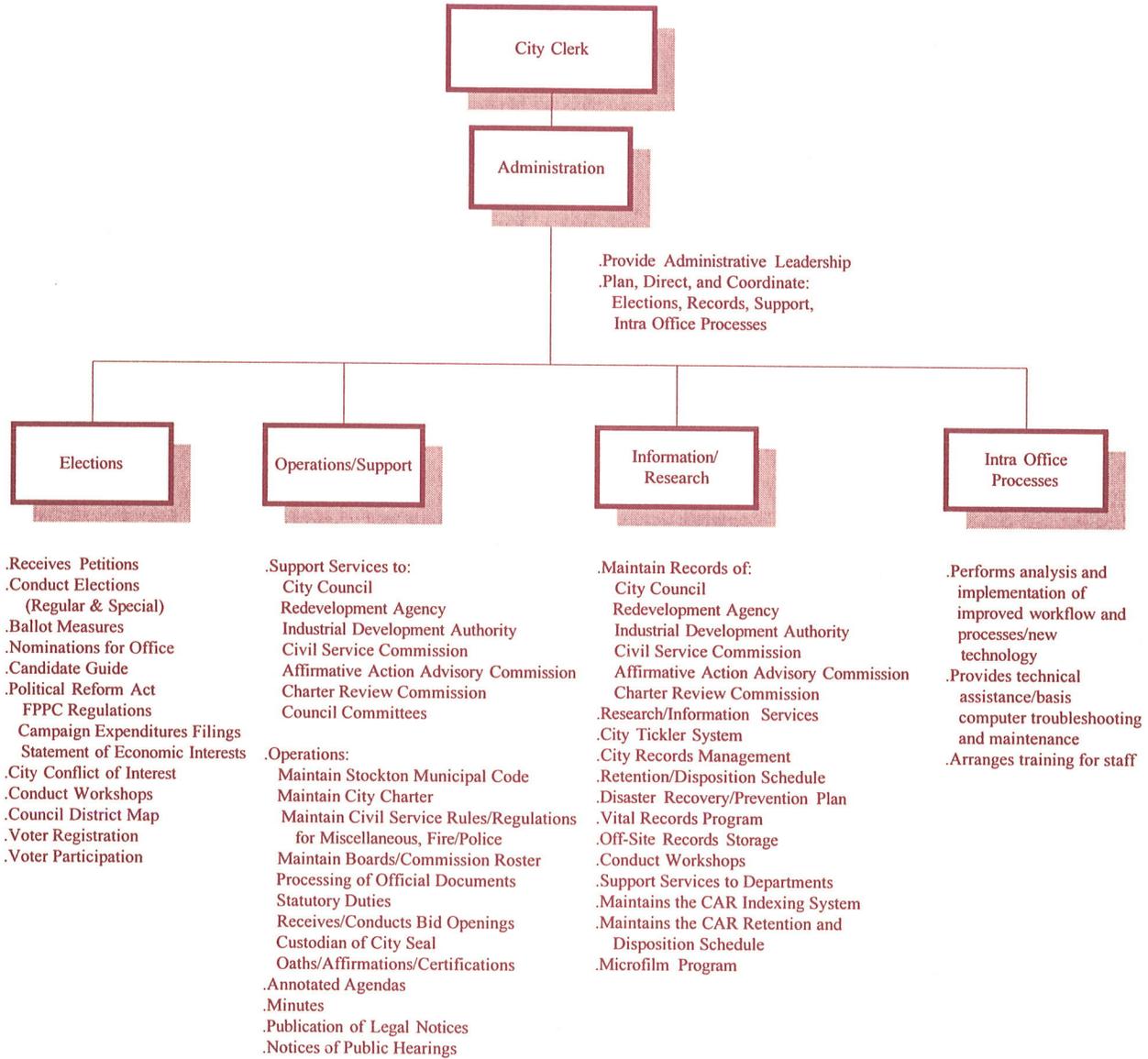
**1997-98**

1. By September 1997, the City Council will identify areas for potential privatization.
2. By December 1997, the City Manager will present a feasibility report to the City Council regarding non-profit and neighborhood organizations maintaining City parks.
3. By June 30, 1998, the City Manager will issue an RFP to operate the Wastewater Treatment Plant.
4. By December 1997, the City Manager will provide a report to the City Council on possible cost-effective changes in the delivery of services by private ambulance companies and cost recovery for emergency services currently provided by the City.

*Stockton*

*CITY CLERK*





**CITY CLERK  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

## CITY CLERK

10-1100

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$532,508	\$599,680	\$578,539	(\$21,141)	(4%)
Other Services	220,699	206,744	137,343	(69,401)	(34%)
Materials/Supplies	18,272	35,096	32,600	(2,496)	(7%)
Other Expenses	7,513	10,610	11,950	1,340	13%
<b>TOTAL BUDGET</b>	<b>\$778,992</b>	<b>\$852,130</b>	<b>\$760,432</b>	<b>(\$91,698)</b>	<b>(11%)</b>

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<b>POSITION ALLOCATION</b>	<b>10</b>	<b>10</b>	<b>10</b>
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### MISSION STATEMENT

The mission of the City Clerk's department is to acquire, manage, and disseminate information in an accurate, efficient and timely professional manner; preserve the city's legislative history and maintain those records that have historical and archival value, and to administer and coordinate the municipal election process; serve as filing officer/official for Political Reform Act filings.

### FUNCTION

The City Clerk's function is: 1) preserve an accurate and complete recording of City Council actions including proceedings of various other official bodies; 2) ensure compliance with the Brown Act meeting requirements for all bodies served; 3) provide appropriate hearing notices as required by municipal and state law; 4) administer municipal elections and referenda; 5) develop and administer a City records management policy to assign disposition criteria to all City records and to preserve and secure vital, historic and archival records; 6) serve the public as a source of communication to the City Council; and 7) initiate and monitor the filing of campaign disclosure statements and Statements of Economic Interests as required by the Political Reform Act.

### HIGHLIGHTS

#### Administration

During the third fiscal quarter of 1996, due to the retirement of the City Clerk and Deputy City Clerk, the administrative staff of the City Clerk's office was reorganized.

**HIGHLIGHTS (CONTINUED)**Elections

An Access database was created to track Statements of Economic Interest that are filed with our office. Statements submitted by designated employees due on April 1, 1996 were reviewed and processed for filing.

Notification of the semi-annual campaign disclosure obligations to current officeholders, runoff and unelected candidates were processed as were the Candidate Information Manual Supplement and the election calendar, all applicable to the November General Election.

Recall, referendum, and charter amendment procedures were rewritten to incorporate new law and the recodification of the election code, and to better define the overall election administrative process. The procedure for charter amendments was completed.

Statements of Economic Interest submitted by designated employees due on April 1, 1996 were reviewed and processed for filing.

In preparation for the November 5, 1996 General Election candidates received notification regarding campaign disclosure obligations, and options applicable to ballot designation and candidate's statements. Proofs of candidate's statements were received, reviewed and corrected for printing by the Registrar of Voters in the sample ballot.

The Conflict of Interest Code was amended in October, resulting in the filing of 28 statements by newly designated filers. A total of 367 statements were filed during the 1996 calendar year.

The Clerk's staff monitored events associated with the November 5, 1996 general municipal election to assure compliance with the California Election Code. Final election results were submitted by the Clerk to the City Council for adoption.

On January 6, 1997 the Clerk administered the oaths of office and presented Certificates of Election to newly elected officials: Mayor Gary A. Podesto and Councilmembers Richard F. Nickerson, MD, Gloria C. Nomura, and Frank "Larry" Ruhstaller.

HIGHLIGHTS (CONTINUED)Records

The City Clerk's office continues to actively participate in the Document Management Steering Committee (DMSC) which was established to address the needs of individual departments and incorporate those needs into designing and building a citywide document management system. Document management is the process of electronically imaging, indexing, sorting, storing and retrieving documents, spreadsheets, graphics and other formats scattered throughout the City, and sharing that information through CityNET. A subcommittee has been established to address records retention and disposition issues city-wide.

Operations

Documents placed on the City-wide computer network by the City Clerk's office now include: 1) the City Charter; 2) Civil Service Rules and Regulations for Miscellaneous and, Police and Fire Employees, 3) the Boards and Commissions Roster; 3) the Stockton Municipal Code; and, 4) Redevelopment Agency and City Council minutes. This has proven to be a highly successful means of distributing current and updated documents to City staff. The savings in staff time by the elimination of manual preparation and distribution of hard copies has been tremendous, not only for our department, but all City employees who would otherwise be utilizing the paper documents.

The first update of the Stockton Municipal Code (SMC) was distributed in July 1996. SMC updates were previously prepared by an outside agency for an approximate cost of \$1200 per quarter. The Clerk's office now prepares all updates in-house, producing a considerable financial savings for the City. Electronic updates are made on the effective date of each ordinance that amends the Code, which allows immediate access to all City departments of fully updated, current information.

Indexes to both the Stockton Municipal Code and the City Charter were thoroughly reviewed and updated. Both documents have been distributed. The outcome derived from this substantial project is a much more complete and useful resource document.

Testing of the Saros/Watermark Imaging System continues.

1996-97 Objectives

1. Implement pilot electronic imaging and records management to test proposed City-wide technical standards for the purpose of making documents filed by the City Clerks office available to other departments by April 1997.

1996-97 Accomplishments

1. The pilot project was delayed pending the resolution of hardware and software compatibility issues by MIS. (Projected to the 1997-98 fiscal year objectives.)

1996-97 Objectives (Continued)

2. Prepare estimate of time and cost involved in electronic storage and retrieval methods quarterly after system implementation by June 1997.
3. Review and update indexes for the City Charter of the City of Stockton and the Stockton Municipal Code by January 1997.
4. Automate City Charter amendments since 1992 beginning September 1996.
5. Microfilm to secure approximately 30 one-cubic foot boxes of specifications currently stored at the Records Center by April 1997.
6. Review approximately 15 of the 150 remaining boxes of pre-1986 material at the Records Center for archival and microfilming purposes by June 1997.
7. Complete training of City Clerk's office staff on new software applications, such as Access, Publisher, and Powerpoint by June 1997.

1996-97 Accomplishments (Continued)

2. The pilot project was delayed pending the resolution of hardware and software compatibility issues by MIS. (Projected to the 1997-98 fiscal year objectives.)
3. Completely reviewed and updated indexes of both the Stockton Municipal Code and the City Charter of the City of Stockton; distributed in 1996.
4. The pilot project was delayed pending the resolution of hardware and software compatibility issues by MIS. (Projected to the 1997-98 fiscal year objectives.)
5. Microfilming for security and research purposes approximately 36 one-cubic foot boxes of records: contracts between 1909 to 1995, budgets from 1936-1990, and CIP documents from 1970-1980.
6. Review of 11 one-cubic foot boxes of subdivision development material was completed; original contracts and resolutions were found and removed, and subdivision maps were removed and prepared for outside microfilming.
7. Software training has been provided to appropriate staff members. Efforts are underway to utilize this training to further automate current office procedures.

1997-98 Objectives

1. A record inventory of five departments will be completed by Clerk's staff, with assistance as necessary from the DMSC departmental representative by June 1998.
2. Microfilming of 35 one-cubic foot boxes of specifications by June 1998.
3. Review of approximately 20 of the remaining 140 one-cubic foot boxes of pre-1986 material at the Records Center for archival and microfilming purposes by June 1998.
4. Implement pilot electronic imaging and records management to test proposed City-wide technical standards for the purpose of making documents filed by the City Clerks office available to other departments by December 1998.
5. Automate City Charter amendments since 1992 beginning April 1998.
6. Reduce minute preparation time 50 percent through utilization of an electronic stenograph machine by the Clerk's office by December 1997.
7. Host a Central Division, City Clerk Association of California, two-day "Nuts and Bolts" seminar in Stockton by August 1997.

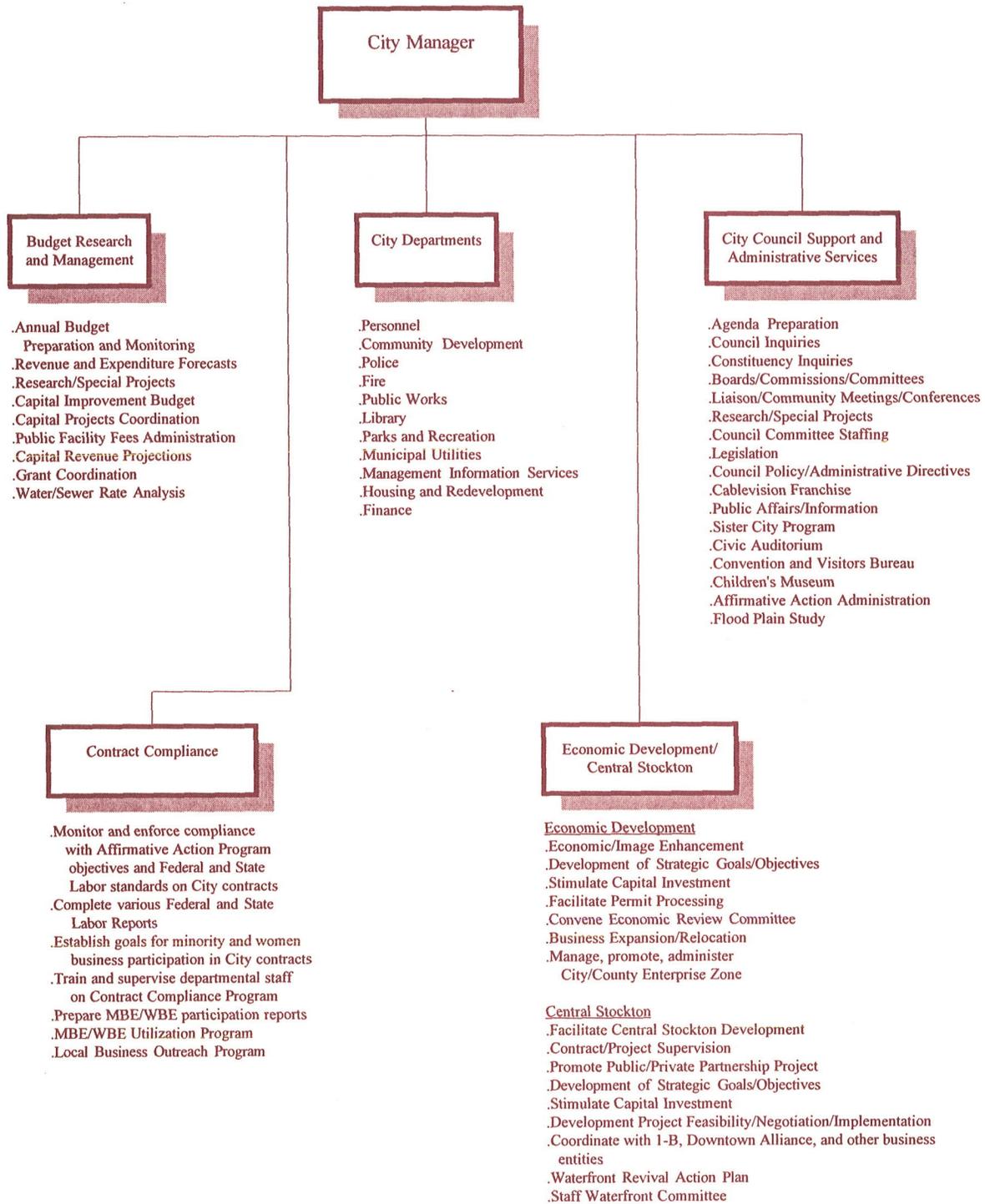


*A worker cleans graffiti, which meets one of the objectives of the Safe Stockton Program. City crews painted over a 110,000 square feet of graffiti from City electrical facilities, backup fences, bridge abutments, and street signs last year.*

*Stockton*

*CITY MANAGER*





**CITY MANAGER  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

## CITY MANAGER

10-1200

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$1,099,902	\$1,075,800	\$1,028,648	(\$47,152)	(4%)
Other Services	252,811	291,319	273,191	(18,128)	(6%)
Materials/Supplies	23,855	22,056	17,406	(4,650)	(21%)
Other Expenses	332,860	377,113	432,638	55,525	15%
<b>TOTAL BUDGET</b>	<b>\$1,709,428</b>	<b>\$1,766,288</b>	<b>\$1,751,883</b>	<b>(\$14,405)</b>	<b>(1%)</b>

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<b>POSITION ALLOCATION</b>	<b>22</b>	<b>22</b>	<b>22</b>
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### FUNCTION

The City Manager is appointed by the City Council to direct the administration of the City under Council policy. The City Manager provides information to assist the Council in setting policy, implements Council policy, supervises the operation of City Departments, and reports to the Council on administrative activities.

The City Manager's office is composed of two budget units: Administration and Central Stockton/Economic Development. In addition to general management, the Administration unit includes the following program areas: Council Support, Budget Management, Contract Compliance, and Capital Improvement.

### HIGHLIGHTS

The Contract Compliance unit participated in three orientation workshops through the Local Business Outreach Program with the Greater Stockton Chamber of Commerce, the Stockton Minority Business Development Center, and the Mexican-American Chamber of Commerce. Three additional workshops with the Small Business Development Center were also held.

Several contract compliance and affirmative action reviews were completed. This equates to a total of 43 bids and/or requests for proposals with a combined value of \$13,094,262.

Contract Compliance staff held 35 bid orientation meetings which were attended by 319 prospective bidders on City of Stockton projects.

The City of Stockton has been presented, for the tenth consecutive year, the distinguished Budget Presentation Award presented by the Government Finance Officers Association (GFOA). This national award for budget presentation is in addition to the Certificate of Award for Excellence in Operational Budgeting and the Certificate of Award for Excellence in Capital Budgeting presented

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**HIGHLIGHTS (CONTINUED)**

by the California Society of Municipal Finance Officers Association (CSMFO). These three awards are presented based on stringent criteria developed by the GFOA and CSMFO concentrating on financial planning, content and readability.

**Central Stockton**

Redevelopment activities which have occurred in Central Stockton during the current fiscal year and those which will continue or be implemented during fiscal year 1997-98 are detailed below:

Gateway Block - This block bounded by El Dorado, Center, Lafayette and Sonora Streets is the first block which visitors encounter upon exiting the Crosstown Freeway into Stockton. The block was designated a master development area and a master developer was selected in 1994-95. A Disposition and Development Agreement (DDA) with the developer was signed in July 1996. It is anticipated that all acquisition, relocation and demolition activities will be completed by December 1997 at which time the property is expected to be conveyed to the Developer.

Fox Theater - During 1996, the Agency retained VenueTech Management Group to promote and manage the historic Fox California Theater. A Business Plan was developed along with a series of live events and shows for 1996-97, highlighted by the New Year's Eve performance of Ray Charles. A refurbishing and renovation plan will be developed during 1997-98 with major maintenance and repairs scheduled to occur over the next several years.

Children's Museum - The Agency-owned warehouse along Weber Avenue has been leased by the Children's Museum for the past several years. Their existing lease is due for renewal and the Agency is currently negotiating this transaction with them. As part of their existing lease, the Agency has prepared the first phase of a landscaping plan along Weber and Lincoln in front of the Museum and this should be implemented during 1997-98.

Weber Block - In October 1996, the Agency approved a lease agreement with the Weber Family which includes an option to purchase the property in the future. An environmental analysis of the existing conditions will be performed during 1997-98 as part of the Brownfields Environmental Master Plan.

**Negotiating Rights Agreements -**

- (a) Stockton Record Expansion: The Record was selected as the master developer for the block bounded by Market, American, Washington and Stanislaus in 1996 and a DDA is expected to be negotiated and implemented in fiscal year 1997-98.
- (b) Griffin Sports Complex: Exclusive right to negotiate expired during 1996-97.
- (c) Platt Day Care facility: DDA to be negotiated and implemented in fiscal year 1997-98.

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**HIGHLIGHTS (CONTINUED)**

Acquisition, Relocation and Demolition - In December 1996, the Agency acquired the southern portion of Weber Point from the Bank of Canton; thereby clearing the way for the events center planned at that location. Activities are anticipated during fiscal year 1997-98 for the Gateway Block and The Record.

Infrastructure - Bids for the infrastructure and landscaping of the Weber Avenue Median Improvements were received and rejected during 1996-97. The project is being redesigned with a landscaping plan for Center to San Joaquin Street scheduled to be in place by December 1997.

Waterfront Task Force - The permanent Waterfront Committee was formed in January 1997. Implementation of the Vision and Action Plan continues under their guidance and includes: 1) Weber Point Events Center - design scheduled to be complete in time for construction to commence in Fall 1997; 2) Center Street Improvements - construction scheduled for completion during 1997-98; and, 3) Seawall along south side of Weber Point - design scheduled for completion by May 1998.

Brownfields EPA Grant - In March 1997, the Agency awarded a contract to Black and Veatch for preparation of an Environmental Master Plan for the Waterfront Area. It is anticipated that the plan will be completed by June 1998.

Downtown Stockton Management District - The Downtown Alliance has been pursuing the formation of a downtown business improvement district aimed at consolidating efforts to revitalize the central core. This formation will require approval of a majority of the affected property owners in the proposed district. During the Summer of 1997, ballots will be received and the City Council will hold a public hearing to determine whether or not the district will be formed.

**Economic Development**

- Over 80 Economic Review Committee and other developer meetings were coordinated and led by the Economic Development Unit.
- Economic Development and GIS staff worked together to develop aerial maps of Stockton's Industrial Parks.
- Business retention efforts have remained a high priority of the Economic Development Unit. The Economic Development Unit has done a significant amount of troubleshooting, on behalf of local business, with other agencies and departments to keep these businesses in Stockton. The Economic Development Unit meets monthly with such groups as San Joaquin County's Employment and Economic Development Division (EEDD) and the Greater Stockton Chamber of Commerce to discuss and coordinate potential retention efforts and issues.

**HIGHLIGHTS (CONTINUED)**

- Staff participated with San Joaquin County in the Business Retention and Expansion Program.
- Staff participated with San Joaquin County EDA in implementing the recommendations of the San Joaquin County Business Retention and Expansion Strategy as outlined in the Final Report prepared by Chabin Concepts.
- A Stockton Community Profile of demographic information was developed.

**Enterprise Zone**

- The effectiveness of the Continental Cablevision ad campaign was analyzed to determine future use of media forum for Enterprise Zone marketing.
- Staff conducted two training/update session on Enterprise Zone benefits.
- Facade Improvement Loan program presentations were given to several boards and interested groups.
- Staff approved ten Downtown Facade Improvement Loans. There have been forty Downtown Facade Improvement Loans, totaling over \$400,000 issued to date.
- In an effort to keep the Enterprise Zone and its many benefits in the minds of the business community, the Economic Development Division designed and initiated a direct mail campaign; revised the Enterprise Zone marketing material; presented an information seminar on Enterprise Zone financing at the Fox Theater; and, participated in co-op advertisements with the San Joaquin Partnership.

**BUDGET UNITS**

A. Administration: 10-1210

The City Manager's Administration unit consists of five very diverse functions. The Administration function implements Council policy, plans for long-range City needs, provides direction to City departments, and manages the other functions within the unit. The Council Support function ensures that the business of the Council is carried out in an orderly manner and responds to citizen questions and complaints; coordinates and prepares the weekly City Council Agenda, the Weekly Report, and City Council Bulletins; coordinates the Council Policy Manual and Administrative Directives; monitors Federal and State legislative activities; oversees the City Public Information program, Board, Commission and Committee vacancies; the Cable Government Channel (42); acts as a liaison with the Convention and Visitors Bureau and Children's Museum; and oversees all facets of the Stockton Memorial Civic Auditorium. The Budget Management function prepares the City's annual operating budget; monitors the fiscal condition of the City; projects revenues; performs special studies related to the use of City financial resources; and makes estimates of future and current needs of the City. The Contract Compliance function has responsibility for monitoring the City's Affirmative Action Program and Federal and State Labor Standards on City contracts, professional services, and the purchase of supplies, materials, and equipment. The Affirmative Action Program establishes goals for Minority and Women Business Enterprise participation in all City contracts and monitors Equal Employment Opportunities for minorities and women. Under the Labor Standards program, Federal and State labor requirements are monitored for all contracts. The MBE/WBE Utilization Program and the Local Business Outreach Program are administered within this function. The Capital Improvement function produces the five year CIP plan as well as the annual CIP budget, oversees CIP project management, and assists the Finance Director with capital improvements revenue projections. This unit also coordinates grant management in order to enhance the City's pursuit of grant funds in a variety of areas.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$787,735	\$733,364	\$702,690	(\$30,674)	( 4%)
Other Services	105,672	104,889	98,791	(6,098)	(6%)
Materials/Supplies	17,460	17,606	13,491	(4,115)	(23%)
Other Expenses	7,904	19,667	19,192	(475)	(2%)
<b>TOTAL BUDGET</b>	<b>\$918,771</b>	<b>\$875,526</b>	<b>\$834,164</b>	<b>(\$41,362)</b>	<b>( 5%)</b>
Position Allocation	15	15	15		

1996-97 Unit Objectives1996-97 Unit Accomplishments

- |   |  |
|---|--|
| 1. Prepare Contract Compliance Procedures Manual by August 1996.  | 1. Draft completed.  |
| 2. Complete Small Business '96 Trade Fair by October 1996.  | 2. Complete.   |
| 3. Participate in three orientation workshops for the Local Business Outreach program by June 1997.                               | 3. Complete.   |
| 4. Create bid opportunities bulletin in Internet by June 1997.  | 4. Working with MIS to determine what resources are required to accomplish this.                                     |
| 5. Expand programming on Cable Channel 42 to include Police and Fire programs and timely topics by June 1997.                     | 5. Fire Scene is in monthly publication. Timely topics are covered by "City Features."                               |
| 6. Coordinate and implement the "City Update" for Utility Billing inserts by December 1996.                                       | 6. Schedule developed to produce nine issues for 1996-97. Funding will be cost shared among eight departments.       |
| 7. Coordinate orientation of new Councilmembers by January 1997.  | 7. Orientation completed on December 19, 1996. Five sessions held involving presentations from all City departments. |
| 8. Increase the number and variety of community organizations involved in the Safe Stockton and Safe Youth Programs by June 1997. | 8. Complete.   |
| 9. Develop plan for involving residents in neighborhood improvements by June 1997.  | 9. Complete.   |

1996-97 Unit Objectives (Continued)

10. Develop a comprehensive menu of computer reports related to salary and personnel that are accessible on a routine basis by both Budget and Personnel staff as needed by June 1997.
11. Conduct at least one training workshop on capital project management by December 1996.

1996-97 Unit Accomplishments (Continued)

10. Complete.
11. Complete.

1996-97 City Council Objectives

1. By July 1996, Staff shall request the Criminal Justice Oversight Committee to investigate the savings, costs and service benefits of having the Stockton urban area receiving police services from a single urban police agency.
2. By September 1996 and semi-annually thereafter, the City Council will have a joint meeting with the Stockton Unified School District Trustees to identify/discuss issues of common concern and have a plan to meet with the Lincoln, Lodi, and Manteca School Districts.
3. By October 1996, the City Council will join other cities in San Joaquin County in an MOU setting out future roles of the City and San Joaquin Partnership.
4. By October 1996, the City Council will receive a report from the Business Council on their activities in support of school/education improvement.

1996-97 City Council Accomplishments

1. Referred to the County and Criminal Justice Oversight Committee for response.
2. Stockton Unified School District has agreed and suggested dates.
3. Determining interest of other cities.
4. In progress.

1996-97 City Council Objectives (Cont)

5. By November, 1996, Staff will search the competitive natural gas market for suppliers willing to deliver this commodity to City facilities; research and review their delivery methods and costs; evaluate their attractiveness as City suppliers using as criteria cost, reliability of supply, quality of natural gas, contract term, etc.; make supply recommendations to City Council.
6. Staff will continue researching the most effective way for the City to take advantage of opportunities for lower electricity rates.
7. By June 1997, Staff will implement Waterfront Task Force Year One recommendations (Weber Point/Banner Island landscape design and construction; Van Buren Street design; West Center Street design; special events; develop water quality alternatives).
8. By April 1996, Staff will meet with Prevention Partnerships, EDD and PIC to explore the feasibility of establishing a central information center for youth employment opportunities.
9. By April 1996, the Staff will use the various media to encourage employers to employ youth.
10. By May 1996, Staff will explore ways to use City money to employ youth this summer for a month and report to the City Council.

1996-97 City Council Accomplishments (Cont)

5. Bids received and rejected. Staff will reevaluate this summer.
6. Ongoing.
7. Permanent Waterfront Committee formed January 1997; landscape design for Weber Point anticipated to be complete by July 1997; design work for Van Buren Street in progress; plans for the west side of Center Street have been complete; and consultants were retained to develop water quality alternatives.
8. Complete.
9. Complete.
10. Complete.

1996-97 City Council Objectives (Cont)

11. By February 1997, the City Council will request the Convention and Visitors Bureau and City Arts Commission to update their inventory of organizations representing ethnic groups and their inventory of yearly ethnic events.
12. By June 1997, the Waterfront Task Force will develop a model for promoting ethnic events and report to the City Council.
13. By June 1997, Staff will make a recommendation(s) to the City Council regarding the ethnic event promotion model and its application city-wide.

1996-97 City Council Accomplishments (Cont)

11. In progress.
12. In progress.
13. In progress.

1997-98 City Council Objectives

1. **By October 1997, the City Manager will identify City facilities which can be used to provide job training and readiness programs.**
2. **By December 1997, the City Manager, working with the County and with direction from the Council, will have implemented an organizational model similar to communities that care to prevent juvenile delinquency.**
3. **By January 1, 1998, the City Council will assist the First Night Organization in holding a New Year's Eve celebration in downtown Stockton.**
4. **By September 1997, the City Council will identify areas for potential privatization.**
5. **By December 1997, the City Manager will present a feasibility report to the City Council regarding non-profit and neighborhood organizations maintaining City parks.**
6. **By June 30, 1998, the City Manager will issue an RFP to operate the Wastewater Treatment Plant.**

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**1997-98 City Council Objectives (Continued)**

7. **By December 1997, the City Manager will provide a report to the City Council on possible cost-effective changes in the delivery of services by private ambulance companies and cost recovery for emergency services currently provided by the City.**
8. **By February 1998, the City Manager, working with the Convention and Visitors Bureau and others, will develop a plan to promote the positive image of the City, both to Stockton residents and those outside the City.**
9. **By March 1998, the City Council will apply for the All-American City award.**
10. **By June 30, 1998, the City Council/Redevelopment Agency will have prepared a draft redevelopment plan for the new Stockton-South Redevelopment Project Area.**

**1997-98 Unit Objectives**

1. Coordinate with San Joaquin County other agencies and community organizations in the development, and implementation, of a risk-focused prevention and graduated sanctions program by July 1997.
2. Develop risk-focused prevention activities with at least two school districts by June 1998.
3. The Safe Stockton Implementation Team will establish a Neighborhood Development and Training Center by December 1997.
4. Assess the need for various programs and services that can be provided by the City for Stockton's teens by December 1997.
5. The Safe Stockton Implementation Team will develop a proposal for increasing the number of mentors available to work with youth by June 1998.
6. Coordinate the Customer Service training of 350 employees by December 1997.
7. Develop a Telephone Etiquette training program to be given to supervisors as a follow-up to Customer Service training by December 1997.
8. Work through the Quality Service Program to develop four process improvement projects, one of which will be a City-wide process by December 1997.
9. Conduct an Introduction to Total Quality Management class and train ten to twelve employees to successfully be team leaders by December 1997.

B. Economic Development: 10-1230

The Economic Development/Central Stockton unit of the City Manager's office focuses its activities in two primary areas: 1) implementation of the Central Stockton (West End III) Redevelopment Plan, and 2) directing activities which promote expansion of the local economic base and result in jobs for members of the community. These activities are conducted at the direction of the City Manager, in response to the City Council (in the case of Economic Development) and the Redevelopment Agency and its advisory group, the Redevelopment Commission (for redevelopment projects).

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$312,167	\$342,436	\$325,958	(\$16,478)	(5%)
Other Services	147,139	186,430	174,400	(12,030)	(6%)
Materials/Supplies	6,395	4,450	3,915	(535)	(12%)
Other Expenses	324,956	357,446	413,446	56,000	16%
TOTAL BUDGET	\$790,657	\$890,762	\$917,719	\$ 26,957	3%
Position Allocation	7	7	7		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

Economic Development

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>1. Visit or contact eight businesses monthly as part of the Business Retention/Enterprise Zone Marketing Program through June 1997.</li> <li>2. Design and initiate a direct mail campaign to market the Enterprise Zone to existing businesses by June 1997.</li> <li>3. Analyze effectiveness of the Continental Cablevision ad campaign to determine future use of media forum for Enterprise Zone marketing by June 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Complete.</li> <li>2. Complete.</li> <li>3. Complete.</li> </ol> |
|---|--|

1996-97 Unit Objectives (Continued)1996-97 Unit Accomplishments (Continued)Economic Development (Continued)

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|--|--|
| 4. Prepare and release four issues of <u>Stockton's Business and Economic Update</u> newsletter by June 1997.                      | 4. Complete  |
| 5. Review Enterprise Zone incentives for effectiveness and compare with other state Enterprise Zones by January 1997.              | 5. Complete  |
| 6. Update fee estimate process by December 1996.   | 6. Complete  |
| 7. Review role and structure of Economic Review Committee by January 1997.   | 7. Complete. Found not only essential but one of the City's most valued services by participating companies.   |
| 8. Complete four commercial rehabilitation loans by June 1997.   | 8. Not accomplished. Federal requirements associated with this program are stringent, thus demand is low.  |
| 9. Complete ten new facade loans within Enterprise Zone, four of these in downtown by June 1997.                                   | 9. Complete  |
| 10. Conduct two training/update session on Enterprise Zone benefits by June 1997.  | 10. Complete   |
| 11. Develop plan for use of Geo-Based Revenue Information Program in identifying key industries in City of Stockton by March 1997. | 11. The Geo-based Revenue Information Program (Grip) is still under development for the City of Stockton. Objective date has been put back to December 1997. |

Central Stockton

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|--|-----------------------------------|
| 1. Complete work plan for second five years of downtown redevelopment by September 1996. | 1. On hold pending staff changes. |
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1996-97 Unit Objectives (Continued)1996-97 Unit Accomplishments (Continued)Central Stockton (Continued)

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|--|---|
| 2. Work with consultant to complete marketing plan and first year schedule of shows for the Fox California Theater by August 1996.   | 2. Completed August 1996.   |
| 3. Complete review of seawall plans for south Weber Point by April 1997.   | 3. Design consultant retained and seawall design in progress.   |
| 4. Implement first year Waterfront Revival Task Force Plans in cooperation with Waterfront Committee, including completion of landscape design for Weber Point, installation of these improvements, completion of plans for Van Buren Street extension, and completion of plans for west side of Center Street by June 1997. | 4. Permanent Waterfront Committee formed January 1997; landscape design for Weber Point anticipated to be completed by July 1997; design work for Van Buren Street in progress; and, plans for the westside of Center Street are completed. |
| 5. Coordinate with Downtown Stockton Alliance regarding Agency workplan for central Stockton, and regarding development of goals and objectives for the Alliance by June 1997.   | 5. Formation of the business improvement district by the Alliance is underway.  |
| 6. Complete construction on the first phase of the Weber Avenue Median (to San Joaquin Street) by September 1996.  | 6. Bids were rejected and redesign is in progress; anticipated completion date for landscape plan is December 1997.   |
| 7. Complete design and bid for second phase of the Weber Avenue Median (to California Street) by February 1997.  | 7. Project on hold pending outcome of Phase I.  |
| 8. Complete acquisition of Gateway block by June 1997.   | 8. Acquisition of properties underway.  |

1996-97 Unit Objectives (Continued)1996-97 Unit Accomplishments (Continued)Central Stockton (Continued)

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|--|---|
| <p>9. Follow terms of The Record DDA in acquisition and demolition of needed properties, with acquisition to commence by January 1997.</p> <p>10. Work with newly appointed director of Children's Museum to complete a marketing plan by December 1996.</p> <p>11. In cooperation with Senator Johnston and Assemblyman Machado's offices, complete a re-use plan for the Stockton Developmental Center by April 1997.</p> <p>12. Follow terms of "Bottoms Up" Day Care DDA in delivery of needed properties by June 1997.</p> <p>13. Sponsor three downtown special events by June 1997.</p> <p>14. Resolve acquisition issues regarding the Weber Block by December 1996.</p> | <p>9. Negotiations of DDA and analysis of project's financial feasibility in progress.</p> <p>10. Complete. Marketing Committee formed and implementation of Marketing Plan ongoing.</p> <p>11. Complete.</p> <p>12. Negotiations of DDA and analysis of project's financial feasibility in progress.</p> <p>13. Complete.</p> <p>14. Complete.</p> |
|--|---|

1996-97 City Council Objectives1996-97 City Council Accomplishments

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|---|--|
| <p>1. By June 1996, Staff will place Gateway DDA on the Redevelopment Agency Agenda.</p> <p>2. By July 1996, Staff will begin an evaluation of alternative sites for a Downtown Transit Center.</p> | <p>1. Complete.</p> <p>2. Contract consultant for SMART in the process of evaluating alternative sites for Downtown Transit Center; consultant holding public outreach meetings.</p> |
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1996-97 City Council Objectives (Cont)

3. By July 1996, the Redevelopment Agency will approve major elements of Griffin Sports DDA.
4. By September 1996, Staff will resolve the issue of State participation in the Hotel Stockton.
5. By September 1996, Staff will begin acquisition of Southern half of Weber Point.
6. By May 1997, Staff will have refurbished exterior of the Fox Theater.
7. Ongoing, Staff will assist the World Wildlife Museum in evaluating sites.

1996-97 City Council Accomplishments (Cont)

3. Exclusive right to negotiate expired and Agency elected to cease negotiations.
4. State's participation moved to the Stockton Developmental Center.
5. Complete. Acquisition approved December 9, 1996.
6. On schedule; complete priority listing for improvements being developed.
7. World Wildlife Museum has expressed little interest in suggested alternate sites.

1997-98 City Council Objectives

1. **By July 31, 1997, the City Council will consider awarding a contract for construction of the Weber Point Event Center.**
2. **By July 31, 1997, the Economic Development Manager will present alternatives for the Council to consider regarding the Weber Avenue Median Improvements.**
3. **By August 1997, the City Council will consider for adoption and implementation the business plan for Weber Point.**
4. **By August 1997, the City Council will consider for approval and implementation alternatives for improving water quality for McLeod Lake and the Stockton Channel.**
5. **By December 1, 1997, the Economic Development Manager, working with Venue Tech, will develop a master plan for renovation of the Fox Theater including cost and timelines for completion.**
6. **By December 1997, the City Manager will survey a sample of development clients to determine the need for any changes in City regulations and development processing.**
7. **By June 30, 1998, the City Manager will present to the City Council a report on business incentive programs provided by other cities.**

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1997-98 Unit ObjectivesEconomic Development

1. Complete Small Business '97 Trade Fair by October 1997.
2. Participate in three orientation workshops for the Local Business Outreach Program by June 1998.
3. Stockton Developmental Center - Assist the State of California and California State University, Stanislaus in the conversion of the former state hospital into a California State University campus by pursuing a general plan amendment and rezoning needed to implement the conditions of the Memorandum of Understanding by June 1998.
4. With San Joaquin Partnership, revise the procedure for sharing information in business/industrial properties through establishment of a computer linkage and software by December 1997.
5. Develop plan for use of Geo-based Revenue Information Program in identifying key industries in the City of Stockton by December 1997.
6. Network with Chamber of Commerce, Downtown Alliance, and Downtown Comeback Club to educate local businesses on services provided by the City of Stockton's Economic Development Division by December 1997.
7. Develop effective and efficient direct outreach to Enterprise Zone businesses to update them on changes in legislation, new local incentives, and other changes to the Enterprise Zone program. This outreach will include presentations by the Enterprise Zone Manager to business associations by March 1998.
8. Review City of Stockton's Web page and determine whether additional information on the City's Economic Development program is needed by September 1997.
9. Develop documents necessary to expand the Enterprise Zone to area within the Stockton Developmental Center planned for commercial use by August 1997.
10. Work with San Joaquin Partnership to update the Stockton Review, a publication provided to San Joaquin Partnership clients considering Stockton as a business location by September 1997.
11. Approve 10 Downtown Facade Improvement Grants by June 1998.

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1997-98 Unit ObjectivesEconomic Development (Continued)

12. Increase marketing efforts of Downtown Facade Improvement Grant Program by August 1997.
13. Sponsor one Enterprise Zone training workshop at the Civic Auditorium by June 1998.
14. Participate in two co-op advertisements with the San Joaquin Partnership in site selection magazines or similar targeted media by June 1998.
15. Visit four businesses monthly as part of the Business Retention/Enterprise Zone marketing program through June 1998.

Central Stockton

1. Complete the Environmental Masterplan, funded by the U.S. EPA Brownfields program, for the Waterfront area by June 1998.
2. Downtown Alliance - Work with Alliance to coordinate the formation of a downtown business improvement district by September 1997.
3. Waterfront Committee - Implement the Vision and Action Plan for the Waterfront in cooperation with the permanently appointed Waterfront Committee, including completion of the Weber Point Events Center and Center Street (westside) improvements by June 1998.
4. Children's Museum - Pursue renewal of their existing lease by August 1997; work with the Museum to implement the first phase of their landscaping plan by July 1997.
5. Gateway Project - Follow terms of the DDA to complete acquisition, relocation and demolition activities prior to conveyance of property to Developer by December 1997.
6. Stockton Record Expansion - Complete negotiation of a Disposition and Development Agreement (DDA) for acquisition and demolition of needed properties by September 1997.
7. Fox Theater - A refurbishing and renovation plan will be developed by December 1997 with major maintenance and repairs to the theater to continue through 1997-98; coordinate with theater manager (Venue Tech) for development of next year's schedule of shows by August 1997.

1997-98 Unit Objectives (Continued)

Central Stockton (Continued)

8. Weber Block - Complete environmental analysis of existing conditions by June 1998.
9. Weber Avenue Median Improvements - Complete landscape plan for Weber Avenue between Center and San Joaquin Streets by December 1997.
10. Platt Day Care - Complete negotiation of a (DDA) for disposition of needed properties by September 1997.
11. Seawall - Design of the Seawall along the south side of Weber Point at the Channel Head scheduled for completion by May 1998.

# WATER FRONT

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## MISSION STATEMENT

In February 1995, the City Council appointed a Waterfront Revival Task Force to prepare an action plan to implement the Central Stockton Redevelopment Plan. On January 16, 1996, the Waterfront Revival Task Force presented its Vision and Action Plan to the City Council and it was approved unanimously. The purpose of this amended long-term vision is to create an environment that invites private sector investment to the Waterfront area. The current Waterfront Committee continues to oversee projects recommended in the Vision and Action Plan.

## HIGHLIGHTS

The Vision and Action Plan establishes an action outline for public and environmental improvements to occur over the next several years. The projects to be undertaken during fiscal year 1997-98 are:

### 1. Public Improvements - Land

- *Weber Point Events Center* \$2,866,000

This project provides for the design and construction of landscaping, recreational areas, gardens, event venues and an entranceway to historic Weber Point.

- *Events Sign* \$60,000

This project will fund an electronic sign to publicize downtown and waterfront events.

- *McLeod Lake Handrail* \$27,500

This project will complete the safety handrail around the McLeod Lake Park Waterfront adjoining the Weber Point Events Center.

- *Weber Block Purchase/Lease* \$50,000

This project includes funds for the purchase/lease of the Weber Block property.

### 2. Environmental Improvements

- *Water Quality/Fountain Improvements* \$50,000

This activity will include rehabilitation of the fountain at McLeod Lake and improvements of the water quality on the Channel.

## WATER FRONT

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### HIGHLIGHTS (CONTINUED)

2. Environmental Improvements (Continued)

- *Seawall* \$500,000

This project will complete the installation of the Seawall at the Channel Head and Weber Point.

3. Implement Action Plan

- *Special Events* \$50,000

Encourage use of the Waterfront Area for privately-sponsored events by managing the Weber Point Events Center.

- *Administration* \$34,900

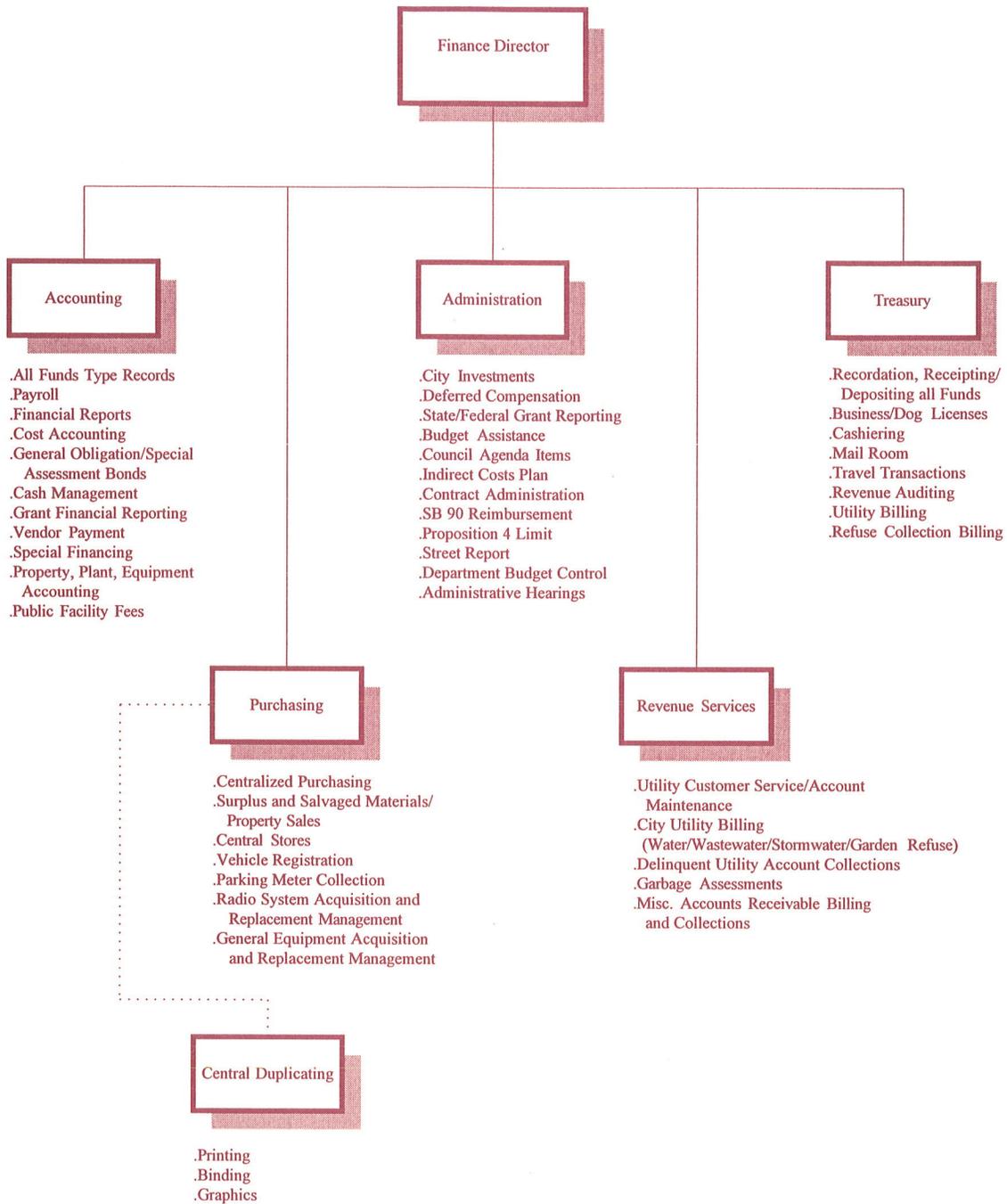
General Administration costs associated with the implementation of the Action Plan and staff support to the Waterfront Committee and their subcommittees.

For the 1997-98 fiscal year, these activities will be funded utilizing the following sources: General Fund (\$50,000 - Fund 010), CDBG Fund (\$517,500 - Fund 052), Capital Improvement Fund (\$110,000 - Fund 303), Redevelopment Agency (\$584,900 - Fund 334), Public Facility Fees (\$2,000,000 - Fund 970), Grants/Donations (\$376,000).

*Stockton*

*FINANCE*





**FINANCE  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

**FINANCE DEPARTMENT****10-1300**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$1,574,122	\$1,688,256	\$1,738,047	\$49,791	3%
Other Services	372,421	537,977	606,111	68,134	13%
Materials/Supplies	156,713	175,261	126,234	(49,027)	(28%)
Other Expenses	27,907	40,347	40,309	(38)	
<b>TOTAL BUDGET</b>	<b>\$2,131,163</b>	<b>\$2,441,841</b>	<b>\$2,510,701</b>	<b>\$68,860</b>	<b>3%</b>
<b>POSITION ALLOCATION</b>	<b>52</b>	<b>56</b>	<b>56</b>		

**MISSION STATEMENT**

To protect City asset and to provide financial services supporting City activities. This mission is accomplished through the functions of the Administration, Accounting, Treasury, Purchasing, Revenue Services, Administrative Hearing, and Risk Management units.

**HIGHLIGHTS**

As a first step in providing better customer service in the Finance Department, the Accounting Division's Payroll and Accounts Payable sections relocated their operation to the basement of City Hall in the space formerly occupied by the Personnel Department.

The City of Stockton received, for the seventh consecutive year, a Certificate of Achievement for Excellence in Financial Reporting from the Government Finance Officers Association of the United States and Canada (GFOA) for the Comprehensive Annual Financial Report (CAFR) prepared by the Accounting unit for the fiscal year ended June 30, 1995 (the award is always received one year in arrears). Additionally, the unit was awarded a Certificate of Outstanding Financial Reporting for the fifth consecutive year from the California Society of Municipal Finance Officers.

The Treasury Division now has the capacity to set up and issue business licenses in the field using a laptop PC via telephone hook up with the IBM AS400 mainframe computer in MIS. Implementation is planned for the coming fiscal year.

The Purchasing Department developed and implemented client department staff training on the use of the Purchasing/Inventory Software Module.

In an effort to provide consistency of information to our customers, each staff member in the Revenue Services Division has attended at least 12 hours of Customer Service training.

**HIGHLIGHTS (CONTINUED)**

To increase accountability within the City, the Accounting Division evaluated and rewrote two Administrative Directives. The first, FIN-008, more clearly defines the travel policies and procedures. Tracking was further enhanced by the development of a completely revised travel form. In addition, Equipment Accountability (FIN-003) was revised to more accurately reflect current procedures.

To strengthen internal controls, a work group has been formed to review payroll procedures. The goal of the group is to standardize the payroll process for the complete City.

**BUDGET UNITS**

A. Administration: 10-1310

The Administration unit is responsible for coordinating and directing the total activities of the Finance Department which includes all accounting functions. In addition, this unit is specifically responsible for administering an investment program for City funds, administering the Deferred Compensation Plan, overseeing the City's General Insurance Program, providing support for the City's budget process, providing for special accounting and reporting requirements associated with State and Federal grants as well as the City Charter, and monitoring the various multi-family housing and hospital revenue bond issues.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$339,684	\$371,716	\$404,418	\$32,702	9%
Other Services	80,561	93,803	87,579	( 6,224)	(7%)
Materials/Supplies	24,549	32,941	28,486	(4,455)	(14%)
Other Expenses	8,269	9,946	9,918	(28)	
<b>TOTAL BUDGET</b>	<b>\$453,063</b>	<b>\$508,406</b>	<b>\$530,401</b>	<b>\$21,995</b>	<b>4%</b>
Position Allocation	5	6	6		

1997-98 Unit Objectives

1. Provide investment policy cross-training and guidelines to Finance Administration staff by March 1998.
2. Provide a trained administrative resource person to support division heads in successfully handling employee and customer conflict resolution by June 1998.

B. Accounting: 10-1320

The Accounting unit performs all functions related to general ledger accounting, payroll and accounts payable.

In this capacity the unit keeps a chronological, accurate and reliable record of financial transactions; reports and interprets the City's financial position and results of operation prepared in accordance with generally accepted accounting principles applicable to municipal governments; maintains a complete and accurate record of the City's property, plant, and equipment; maintains records on issued and outstanding general obligation, special assessment, Certificate of Participation, and Mello Roos bonds; provides an accurate payment of employee's salaries and fringe benefits, and remittance of payroll withholdings to respective agencies when due; provides payment of properly documented bills for materials and services purchased and received; monitors the integrated financial management information system, controls and implements required changes.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$626,168	\$659,114	\$708,260	\$49,146	7%
Other Services	111,680	170,913	162,488	(8,425)	(5%)
Materials/Supplies	36,228	40,045	30,840	(9,205)	(23%)
Other Expenses	8,168	10,535	10,600	65	1%
<b>TOTAL BUDGET</b>	<b>\$782,244</b>	<b>\$880,607</b>	<b>\$912,188</b>	<b>\$31,581</b>	<b>4%</b>
Position Allocation	16	16	16		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

1. Develop procedures in conjunction with the City Manager's office for proper management and reporting of multi-funded projects and the corresponding source funds by September 1996.
2. Expand presentation of the Internal Service and Debt Service funds in the City's financial statements by November 1996.

1. Complete.
2. Complete.

1996-97 Unit Objectives (Continued)

3. Submit financial statements to, the Government Finance Officers Association for their Certificate of Achievement for Excellence in Financial Reporting program, and the California Society of Municipal Finance Officers for their Certificate of Award for Outstanding Financial Reporting program by November 1996.
4. Revise the City Manager Administrative procedures directive #FIN-004 pertaining to payroll procedures to be completed by March 1997.

1996-97 Unit Accomplishments (Continued)

3. Financial statements were submitted to the proper agencies for both Federal and State awards. Awards have been received for seven consecutive years.
4. Quality Service Committee has been formed to standardize payroll policy and procedures subsequent to revising #FIN-004 directive.

1997-98 Unit Objectives

1. Research and analyze all existing debt issues of the City for proper disclosure of conduit debt obligations as required by Government Accounting Standards Board Interpretation #2 by October 1997.
2. Submit financial statements to, the Government Finance Officers Association for their Certificate of Achievement for Excellence program, and the California Society of Municipal Finance Officers for their Certificate of Award for Outstanding Financial Reporting program, by November 1997
3. Modify the annual public facilities fees report to meet additional reporting requirements per Senate Bill 1693 by December 1997.
4. Evaluate blanket purchase order payment process for more efficient use of resources by June 1998.

C. Treasury: 10-1330

The Treasury Unit provides for the collection and custody of all revenues received by the City of Stockton. The Unit ensures the proper recording, receipting, and depositing of all revenues received by the City to ensure maximum investment of idle funds. Treasury provides for the administration, billing, enforcement, collections, and audit/control of business licensing, dog permits, Transient Occupancy Tax and special assessment districts licensing, as well as all central cashiering functions for all City departments. This Unit maintains records for special business improvement districts. The Treasury Unit ensures proper reporting of Franchise Tax and Utility Users Tax payments.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$155,582	\$127,612	\$128,624	\$1,012	1%
Other Services	82,377	126,297	148,483	22,186	18%
Materials/Supplies	48,593	54,650	38,735	(15,915)	(29%)
Other Expenses	5,819	6,985	6,825	(160)	(2%)
<b>TOTAL BUDGET</b>	<b>\$292,371</b>	<b>\$315,544</b>	<b>\$322,667</b>	<b>\$7,123</b>	<b>2%</b>
Position Allocation	9	11	11		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

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|--|--|
| <ol style="list-style-type: none"> <li>1. Complete Rewrite of Chapter of the Stockton Municipal Code by June 1997.</li> <li>2. Implement monthly Transient Occupancy Tax billing for hotels/motels collecting Transient Occupancy Tax on behalf of the City by June 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Passage of Proposition 218 has put this project on hold.</li> <li>2. Research and analysis indicated that this proposed change would be burdensome to the majority of hotels and motels. This change would require changes to their financial reporting as well as software costs that could be cost prohibitive to some hotels and motels.</li> </ol> |
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1996-97 Unit Objectives (Continued)

3. Bring all departments on line in the input of cash receipts using the Cash Receipts Module by December 1996.
4. Implement the capability to set-up and issue business licenses in the field via cellular phone hook-up to the City's computer system in Management Information Services Department by December 1996.
5. Implement capability to generate business license application via direct link to the City's computer system in Management Information Services Department by October 1996.

1996-97 Unit Accomplishments (Continued)

3. We have brought Community Development on line in FY 1996-97, as well as made changes in Parks and Recreation Department that now has Parks and Recreation preparing their own cash receipts deposits.
4. Complete. We now have the capability to set up and issue business licenses in the field using a laptop PC via telephone hook-up with IBM AS400 mainframe computer in MIS.
5. Deferred to 1997-98 for implementation with the new IBM AS/400 mainframe computer.

1997-98 Unit Objectives

1. Bring all departments on line in the input of cash receipts (Cash Receipts Module) by June 1998.
2. Implement the set up and issuance of business licenses in the field using lap top computer via telephone hook up to the IBM AS 400 mainframe computer in MIS by March 1998.

D. Purchasing: 10-1340

The Purchasing unit functions within a centralized purchasing model as outlined in the City Charter and Municipal Code, providing professional, purchasing for all supplies and services necessary for the efficient operation of all City departments.

Purchasing assists with procurement projects, from evaluation to final negotiation and purchase, continually working to maintain quality for every tax dollar spent.

The unit is responsible for: 1) the purchase, storage and issuance of all supplies materials, equipment and other articles used by all offices, departments or agencies of the City and maintenance of records thereof; 2) Central Stores operation is intended to reduce costs by means of standardization and forecast buying. An inventory turnover goal of five to six times per year reduces the City's investment and overhead; 3) custody of scrap and surplus items and their disposal by auction, bid or negotiated sale; 4) operates a central duplication facility for in-house printing; 5) administers the Radio and Equipment Internal Service Funds; 6) maintains an ongoing outreach program for minority vendor development; 7) handling/processing, and disposal of City's generated hazardous waste materials; and 8) the Purchasing Agent is responsible for ensuing a fair, effective and responsive procurement program to maximize the purchasing power of the City of Stockton.

<u>Use of Funds</u>	1995-96	1996-97	1997-98	Variance	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$365,322	\$380,053	\$376,444	(\$ 3,609)	(1%)
Other Services	74,639	93,476	87,084	(6,392)	(7%)
Materials/Supplies	38,994	23,900	23,948	48	
Other Expenses	3,421	9,309	9,321	12	
<b>TOTAL BUDGET</b>	<b>\$482,376</b>	<b>\$506,738</b>	<b>\$496,797</b>	<b>(\$ 9,941)</b>	<b>(2%)</b>
Position Allocation	9	9	9		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

1. Develop and implement client department staff training on the use of the Purchasing/Inventory Software Module with focus on the Vendor Data Base by June 1997.

1. Complete.

1996-97 Unit Objectives

2. Phase in Field Purchase Order (FPO) procurement process with client departments whose responsibilities are repair and maintenance of City infrastructure by June 1997.

1996-97 Unit Accomplishments

2. It has been determined that the FPO process is not compatible with repair and maintenance departments in the City of Stockton. FPO's will be researched to replace AP's.

1997-98 Unit Objectives

1. Update and consolidate all administrative directives that deal with Purchasing by June 1998.
2. Complete a user-friendly purchasing manual to provide complete guidance on the various methods of procurement by June 1998.

**FINANCE DEPARTMENT**

**10-1300**

E. Revenue Services: 10-1360

The Revenue Services unit is responsible for performing all functions related to the billing and collection of monies owed to the City as well as providing the customer service support for the Municipal Utilities Department. To achieve this, the unit is organized into three functional units: utility billing and customer service, miscellaneous accounts receivables and collections.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 83,288	\$143,757	\$114,168	(\$29,589)	(21%)
Other Services	19,060	44,012	111,924	67,912	154%
Materials/Supplies	8,234	23,425	3,925	(19,500)	(83%)
Other Expenses	2,230	3,572	3,645	73	2%
 TOTAL BUDGET	 \$112,812	 \$214,766	 \$233,662	 \$18,896	 9%
 Position Allocation	 13	 14	 14		

1996-97 Unit Objectives

1. Complete back-up training for Miscellaneous Accounts Receivable position by January 1997.
2. Reorganize procedures for collection of returned checks to provide improved collection rate by October 1996.

1996-97 Unit Accomplishments

1. Training for the daily through monthly normal processing and functions is completed. Back-up person could maintain operations if needed.
2. Complete.

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1996-97 Unit Objectives (Cont)

3. Provide better outreach materials to tenants and landlords to reduce delinquencies, and assessments to property owners. This is to be an education program as well as more stringent adherence to payment requirements by June 1997.
4. Provide 16 hours on in-service training to each staff member to achieve more consistency in information provided to customers by June 1997.

1996-97 Unit Accomplishments (Cont.)

3. New legislation, AB1770, passed in April 1996 negated the possibility of completing this goal. The responsibility for and ultimate possibility of assessing delinquent sewer, stormwater and garden refuse is continuing to be evaluated.
4. Complete.

1997-98 Unit Objectives

1. Develop recommended policies and procedures for automated refund of Utility Account deposits and implement by December 1997.
2. Convert existing Utility Billing system to new Customer Information Services system. (HTE will not support the existing Utility Billing system past 1999.) by January 1999.
3. Document current procedures for each task within the Utility Billing function by September 1997.
4. Review and revise existing utility billing procedures for Municipal Code compliance, internal control issues, efficiency/cost effectiveness, and level of Customer Service being provided by December 1998.
5. Provide each staff member with training and a complete Utility Billing Desk Procedures Manual by December 1998.

F. Administrative Hearing: 10-1370

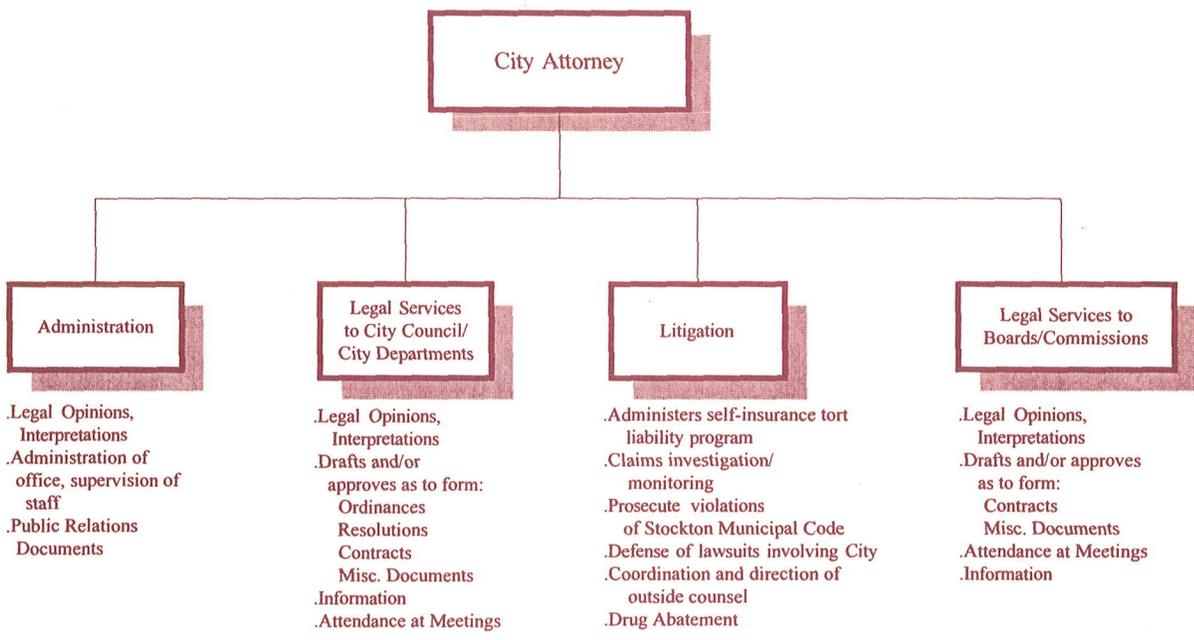
The Administrative Hearing unit is responsible for conducting independent administrative reviews (hearings) of City actions which result in individuals or organizations being assessed civil penalties. This unit provides hearing officers to hear appeals of City-imposed civil penalties for parking citations and other citations as assigned.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$4,078	\$ 6,004	\$ 6,133	\$129	2%
Other Services	4,104	9,476	8,553	(923)	(10%)
Materials/Supplies	115	300	300		
TOTAL BUDGET	\$8,297	\$15,780	\$14,986	(\$794)	(5%)

*Stockton*

*CITY ATTORNEY*





**CITY ATTORNEY  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

# CITY ATTORNEY

10-1400

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<u>USE OF FUNDS</u>	1995-96	1996-97	1997-98	VARIANCE	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 916,619	\$ 959,882	\$ 988,033	\$28,151	3%
Other Services	146,070	202,814	200,194	(2,620)	(1%)
Materials/Supplies	64,264	73,100	66,360	(6,740)	(9%)
Other Expenses	17,444	27,647	27,650	3	
<b>TOTAL BUDGET</b>	<b>\$1,144,397</b>	<b>\$1,263,443</b>	<b>\$1,282,237</b>	<b>\$18,794</b>	<b>1%</b>

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<b>POSITION ALLOCATION</b>	<b>17</b>	<b>17</b>	<b>17</b>		
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## MISSION STATEMENT

The mission of the City Attorney's Office is to establish and maintain an effective planning, mitigation and response capability within the City to avoid or minimize potential legal or economic injury to citizens and property.

The City Attorney is legal advisor of and attorney and counsel for the City, the City Council, Commissions, Boards, City officers and employees in all matters relating to their official duties. This includes legal research, interpreting laws, and the preparation of legal opinions. The Office of the City Attorney prepares ordinances and resolutions required by the Council; advises the City Council and City departments regarding Federal and State legislation and court decisions which affect City operations; reviews the Stockton Municipal Code to delete obsolete sections, updates language and maintains the provisions of the code to ensure consistency with Federal and State law; reviews and approves contracts, bonds, deeds and other legal documents as to legality and form; prosecutes violations of the Stockton Municipal Code; and attends all City Council meetings.

## HIGHLIGHTS

Much of the legal advice and assistance by the City Attorney's Office to Council members and departments have been provided in matters which reflect the goals and objectives set by the City Council this year. The City Council has made the elimination of blight in City neighborhoods a priority. The City Attorney's Office drafted new ordinances that provide for administrative hearing procedures which have eliminated costly court proceedings and have improved and streamlined the City's code enforcement process. The office has also provided advice in the creation and adoption of the graffiti reward program and the ADOPT-A-BLOCK Program.

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**HIGHLIGHTS (CONTINUED)**

Waterfront development is another City Council priority item. City Attorney staff is assigned to Waterfront Committee activities and has been involved in a number of issues associated with that project such as environmental cleanup, property rights and title matters, requests for proposals and contracts for projects such as landscape design, and the implementation of the Waterfront Revival Task Force Vision and Action Plan.

Staff has assisted in drafting and reviewing proposed Development and Disposition Agreements for Redevelopment Agency projects such as Gateway, The Record, Bottoms Up Daycare, and the PAM Project. In addition, staff drafted an agreement and otherwise assisted the Agency in securing a professional theater and entertainment manager to reopen the Fox California Theater.

The office was responsible for drafting new ordinances and various amendments to existing ordinances this year involving such matters as grading and erosion control, skateboarding, heritage trees, vacant buildings, and cardrooms. In addition, it was necessary to draft urgency ordinances to enact two moratoria on the issues of methadone clinics and cellular telephone towers.

The office has defended the City, its officials, departments, and employees in arbitrations and lawsuits, the majority of which involve tort claims, personnel, and land use matters. This year staff has represented the City in emerging municipal law issues such as environmental "clean-up" and water quality and water supply.

Staff this year has also provided analyses of various state Propositions and bills which affect City operations. Those include Proposition 218, the "Right to Vote on Taxes Act" which impacts the City's procedures for assessments, property-related fees and charges, and taxing ability; Proposition 209, the initiative which impacts the City's affirmative action program; AB 1770, a state statute limiting the ability of municipalities to collect delinquent water bills; AB 565, a proposed bill which relates to governmental tort liability with regard to police officers; and AB 717, a statute requiring certification of building inspectors.

City Attorney staff has continued to offer assistance to City officials and departments this year on various routine matters such as public records requests, conflict of interest questions, destruction of records requests, landfill matters, fees, claims, and police and personnel issues. In addition to responding to "routine" critical incidents such as HazMat and SWAT incidents, this year during the January flooding in San Joaquin County, members of City Attorney staff were on 24-hour call and served at the City's Emergency Operations Center to assist staff on legal issues that arose during that emergency.

1996-97 Objectives

1. Restructure Deputy City Attorney assignments in order to place even more emphasis on code enforcement activities by June 1997.
2. Develop a file system for retrieving all official opinions and legal memoranda which have been indexed and added to our local area network by June 1997.
3. Restructure claims and litigation function in order to promote better coordination with other City departments and the California Joint Powers Risk Management Authority by June 1997.
4. Explore available resources for automating the City Attorney's current records management system to provide for maintaining, tracking, purging and/or destroying files, and retrieving information by June 1997.

1996-97 Accomplishments

1. Completed. Duties of Deputy City Attorneys have been restructured so that three Deputy City Attorneys devote the equivalent of 1-1/2 full time attorney positions to code enforcement activities.
2. Complete.
3. The City Attorney's Office is continuing to streamline the claims and litigation function by revising the Claims Manual and having daily communication with the Risk Management Division. The General Manager of the California Joint Powers Risk Management Authority has advised that the audit report will reflect that communication between the CJPRMA and the City regarding the status of reported cases has been excellent this year.
4. Completed. Resources have been explored for automating the City Attorney's current records management system. The next step in the process is the actual automation of the system, which is a 1997-98 objective.

1997-98 Objectives

1. Reduce potential litigation and operational problems by providing enhanced preventive law efforts, including training City staff on issues related to personnel matters, contracting, public record requests, conflicts of interest, and other matters of staff concern by June 1998.
2. Improve present claims and litigation reporting/tracking system by integrating and standardizing the claim and litigation database applications into single multi-departmental system by June 1998.
3. Automate the City Attorney's current records management system to provide for maintaining, tracking, purging and/or destroying files, and retrieving information by June 1998.
4. Increase participation in San Joaquin Valley City Attorney Association and interaction with other attorneys on matters of public law in organizations and groups such as the League of California Cities by June 1998.

*Stockton*

*MANAGEMENT INFORMATION SERVICES*



Director of Management  
Information Services

Administration

- .Departmental Management
- .City-wide Service/Hardware Planning
- .Professional Services Contract Administration
- .Resource Management/Computer and Telephone ISF
- .Disaster Recovery Planning
- .Office Security
- .Payroll/Personnel Administration
- .Purchasing
- .Budget Management
- .Safety Program Administration

- Telephone Support
- .New Installations
  - .Moves & Changes
  - .Purchase Orders
  - .Cellular Phones
  - .Maintenance
  - .Standardization of Telephone Equipment

Geographic Information Systems

- GIS Computer Systems Administration
- .Computer Operations/Systems Maint.
  - .Tape Backup and Storage
  - .Dept. Hardware/Network Maint.
  - .Centralized Map Printing
  - .System Utilization Management
  - .Applications Security Management
  - .System Recovery Management
  - .AS/400 Connectivity Maintenance
  - .Integration of Census Data

- Data Base Administration
- .Security Management
  - .Performance Tuning
- Dept. Data/Systems Support
- .Municipal Utilities/Hansen System
  - .Police/Crime Analysis

Land Use Support

- Land Text Info. Services
- .Maintenance of GIS Text Data
  - .Restructure Land Master Files
  - .Land Management Data Input
  - .Analysis of Departmental Needs
  - .Application Development

- Land Graphic Info. Services
- .Maintenance of Graphical Data
  - .Analysis of Departmental Needs
  - .Application Development

- Training Services
- .User Application Training

City Services Information Systems

- AS/400 Computer System Admin.
- .Computer Operations/System Maint.
  - .Data Tape Backup/Storage
  - .Centralized Printing
  - .System Utilization Management
  - .Application Security Management
  - .System Recovery Management
  - .Performance Tuning

- City Developed AS/400  
Application Support
- .Assessments
  - .Salary Projections
  - .Traffic Records
  - .City Clerk's Record Index
  - .Recreation Sports Scheduling
  - .Fiscal Impact Fees
  - .Land Use (Planning)
  - .Assessor Parcel File
  - .Investments
  - .Mercantile Inspection (Fire)
  - .Mailing Labels
  - .Tree Inventory
  - .Garbage Lien Processing
  - .Weed Abatement
  - .Workers' Compensation
  - .Check Reconciliation
  - .Dog License

- Dept. Data/Systems Support
- .Fire System Administration

- AS/400 Vendor Applications (HTE)/  
Programmer Support/Testing
- .Land Management
  - .Building Permits
  - .Code Enforcement
  - .Occupational License
  - .Purchasing/Inventory
  - .Work Orders
  - .GMBAs (Accounting)
  - .Cash Receipts
  - .Miscellaneous Accounts Receivable
  - .Utility Billing
  - .Fleet Maintenance
  - .Payroll/Personnel Benefits
  - .Fixed Assets

- Training Services
- .Classroom Training
  - .Network Application Training
  - .AS/400 Training
  - .Self Study Materials

- Help Desk
- .PC Support for AS/400 Connectivity
  - .Technical Review Hardware/Software/Purchase Orders
  - .Receiving
  - .Preventative Maintenance
  - .Diagnostic Testing
  - .Hardware Repair/Troubleshooting
  - .Installation New/Replace Inventory

CityNET/Communications

- Departmental Networks/CityNET  
Systems Administration
- .Network Planning
  - .Installation of New Systems
  - .Standardization of Network Specs.
  - .Application Maintenance
  - .Security Management
  - .Telecommunications Support
  - .Operation System Maintenance
  - .Diagnostic Testing
  - .Application Development
  - .Performance Tuning

- Document Management System
- .Systems Planning
  - .Standardization of Document Software
  - .Application Maintenance
  - .Operation Systems Maintenance
  - .Forms System Maintenance

- Internet
- .Systems Administration
  - .Access Administration

MANAGEMENT INFORMATION SERVICES  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)

**MANAGEMENT INFORMATION  
SERVICES DEPARTMENT**

**10-1500**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$352,683	\$ 496,870	\$ 456,502	(\$40,368)	(8%)
Other Services	489,225	566,369	509,366	(57,003)	(10%)
Materials/Supplies	77,214	59,600	59,000	(600)	(1%)
Other Expenses	16,569	32,693	33,001	308	1%
<b>TOTAL BUDGET</b>	<b>\$935,691</b>	<b>\$1,155,532</b>	<b>\$1,057,869</b>	<b>(\$97,663)</b>	<b>(8%)</b>
<b>POSITION ALLOCATION</b>	<b>18</b>	<b>20</b>	<b>20</b>		

**MISSION STATEMENT**

The mission of the Management Information Services Department (MIS) is to enable the City to effectively provide services by utilizing information resources in the most efficient and productive manner.

**FUNCTION**

MIS is organized into two units: Management and Geographic Information Services (GIS). These units operate primary computer systems and through the management of technical staff provide support for specialized vendor software, application programming, maintaining user connectivity to these systems, managing the maintenance and replacement of computer resources, training users, planning for needed computer services, and developing plans for system and disaster recovery.

**HIGHLIGHTS**

MIS has been actively working with Police and Fire in the replacement and upgrading of their dispatching and records management computer systems. Fire's new system is expected to be completed in the next fiscal year. The Police Department should complete the RFP process and make recommendations to City Council by December 1997.

GIS Services has been working with the Police Department to develop Crime Analysis information and support Police Sectoring. In January 1997, GIS had completed the Sex Registrant programs, and is working on completion of the Violent Crime/Youth programs and Calls-for-Service/Hot Spots programs. The State Department of Justice, Sheriff and other local Police Departments are actively participating in creating this new Crime Analysis System.

**MANAGEMENT INFORMATION  
SERVICES DEPARTMENT**

**10-1500**

**BUDGET UNITS**

**A. Management Information Services: 10-1510**

The Management Information Services unit provides technical support and assistance for the City Services Informations System. This system encompasses departmental (primary) computer systems, micro-computers (PCs), networking systems, standardized PC software and telecommunications.

This unit maintains the City's IBM AS/400 Computer and HTE software to provide departmental users with financial, budgeting, payroll, utility billing and land use information. User accessibility to this information as well as sharing information between users is provided through the maintenance of a City-wide network system (CityNET).

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$211,941	\$234,745	\$238,676	\$ 3,931	2%
Other Services	244,607	385,942	341,955	(43,987)	(11%)
Materials/Supplies	32,607	25,100	24,500	(600)	(2%)
Other Expenses	9,213	14,396	14,421	25	
<b>TOTAL BUDGET</b>	<b>\$498,368</b>	<b>\$660,183</b>	<b>\$619,552</b>	<b>(\$40,631)</b>	<b>(6%)</b>
Position Allocation	10	11	11		

1996-97 Unit Objectives

1. Replace the IBM AS/400 model F60 for a new model with faster processing capabilities and additional disk capacity to support expanding Utility Billing Services by June 1997.
2. Complete the installation of a replacement Fire Dispatch and Records Management Computer System by June 1997.

1996-97 Unit Accomplishments

1. The replacement of the AS/400 system was placed "on hold" by the City Manager in November pending further study on the impact of AB1770 to the City's Utility Billing process.
2. The conversion and installation of the Fire Department's new computer system should be completed by the Fall of 1997.

MANAGEMENT INFORMATION  
SERVICES DEPARTMENT

10-1500

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1996-97 Unit Objectives (Continued)

3. Complete the installation of the Police Department and Library to CityNET by January 1997.
4. Complete the installation of replacement Police Dispatch and Records Management Computer System by June 1997.
5. Schedule a minimum of 1,500 participants in the City's network training sessions by June 1997.

1996-97 Unit Accomplishments (Continued)

3. The Police Department should be completed by the end of this fiscal year and due to delays with the Police installation, the Library's installation has been delayed until the Fall of 1997.
4. The completion of the RFP process with recommendations to the City Council for a replacement system has been re-scheduled to December 1997.
5. The estimate is approximately 1,500 participants by the end of the year.

1997-98 Unit Objectives

1. Assist the Police Department in completing the RFP process for a new police computer system and making recommendations to the City Council by December 1997.
2. Assist the Police Department in completing the RFP process for the grant funded automated field reporting system and making recommendations to the City Council by August 1997.
3. Assist the Fire Department in the implementation of the new Fire computer system for completion by June 1998.
4. Replace the IBM AS/400 model F60 for a new model with faster processing capabilities and additional disk capacity by March 1998.

**MANAGEMENT INFORMATION  
SERVICES DEPARTMENT**

**10-1500**

**B. Geographic Information System: 10-1520**

The Geographic Information System (GIS) staff in the past has focused on supporting City-wide automated mapping and geographical analysis while maintaining the necessary computerized geographical databases.

Last year GIS began development of the multi-year Land Master File project. This was brought on by the need of City departments to not only share text information, but also graphical displays and computer images. The division began integrating these various data formats into a common database which has the capability to blend data across City computer systems , as well as, allowing users to access this data from anywhere on the network.

Although these functions will continue, this year the GIS division will expand its areas of support to the Police department. The GIS staff will be involved in systems in the Truancy and Curfew Centers, the Crime Analysis project, support for Neighborhood Policing and Technical support for replacement of the Computer Aided Dispatching and Records Management Systems.

GIS will also continue to maintain and enhance its traditional functions of support for City-wide automated mapping, providing geographical analysis, developing tools for end-users on the GIS system, GIS system's administration and training, and maintaining the HTE Land Management module.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$140,742	\$262,125	\$217,826	(\$44,299)	(17%)
Other Services	244,618	180,427	167,411	(13,016)	(7%)
Materials/Supplies	44,607	34,500	34,500		
Other Expenses	7,356	18,297	18,580	283	2%
<b>TOTAL BUDGET</b>	<b>\$437,323</b>	<b>\$495,349</b>	<b>\$438,317</b>	<b>(\$57,032)</b>	<b>(12%)</b>
Position Allocation	8	9	9		

MANAGEMENT INFORMATION  
SERVICES DEPARTMENT

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10-1500

1996-97 Unit Objectives

1. Police Department - Neighborhood Policing Program - Develop a suite of software programs for the GIS based Police Information System which will allow the PD staff to view their data by various geographic areas to support the city wide Police Sectors by September 1996.
2. Police Department - Crime Analysis Information System - Develop Phase II (fine tune the registered sex offenders module, create gun tracking, truancy and code enforcement modules) by June 1997.
3. City-wide Database - System Design - Develop the basic system design for the City-wide Database which will provide the capability to combine a wide variety of City computer data sources into one common information system by December 1996.

1996-97 Unit Accomplishments

1. This entire GIS/Crime Analysis project has been expanded to a multi-year project and is being developed based on the direction of a Crime Analysis Steering Committee. Modifications to the system have been completed to provide the capability to view all Police related data by Police Districts to facilitate Neighborhood Policing.
2. All of these modules will be completed on time. The Registered Sex Offenders module has been expanded county wide to include all other Cities. The State Dept. Of Justice is also sitting on the Steering Committee to facilitate a better exchange of information.
3. A draft Implementation Plan was presented to the newly created Land Master Steering Committee in January 1997. A basic system design which was presented in previous meetings to the Committee was agreed upon and included in the Implementation Plan. The Plan will be presented to the City Manager in February 1997.

MANAGEMENT INFORMATION  
SERVICES DEPARTMENT

10-1500

1996-97 Unit Objectives (Continued)

4. City-wide Database - Finance/Utility Billing Audit - Audit the stormwater accounts in Utility Billing system to locate missing revenue generating accounts. This will include audits of services for sewer, stormwater and garden refuse. Initial analysis to be completed by August 1996.
5. City-wide Database - City Property Inventory And Mapping System - Develop a system that will track all City-owned, leased or rented property for the Housing and Redevelopment Department by December 1996 .
6. Public Works - Three Year Engineering Application Develop Project - The following maintenance systems will assist with tracking and scheduling of routine maintenance issues utilizing the GIS system and be completed by June 1997:
  - A. Integrated Pavement/Sidewalk Management System
  - B. Street Light Inventory & Maintenance System

1996-97 City Council Objective

1. By September 1996, the Stockton Police Department and Management Information Services will integrate the use of the GIS Information System with the Police crime data to support district policing.

1996-97 Unit Accomplishments (Continued)

4. In the previous year after reviewing the county areas served by City sewer service there were 299 unbilled sewer accounts identified which equates to over \$54,000 a year in additional revenue. A nearly completed study of single family homes within the City limits will be concluded in February. At this point the study has identified another 100 units.
5. A GIS coverage of these properties is now complete. The tracking system has been incorporated within the scope of the Land Master File project.
6. These maintenance systems have been put on hold pending the preliminary analysis of the Land Master file project. It is anticipated that they can be incorporated within the scope of the Land Master file project.

1996-97 City Council Accomplishment

1. Two modules have been completed - Registered Sex Offenders and Violent Crimes tracking. Will continue to develop other modules.

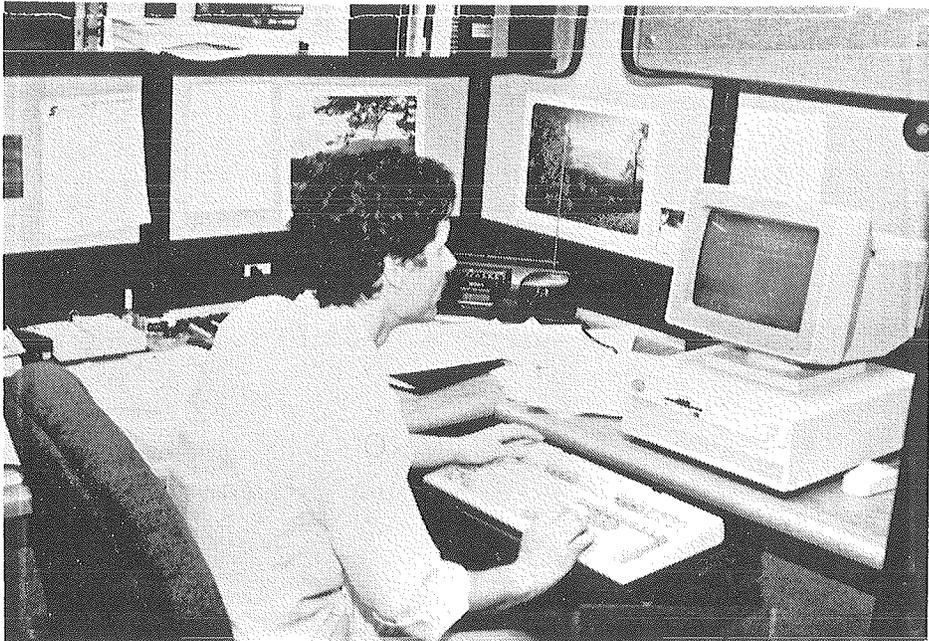
MANAGEMENT INFORMATION  
SERVICES DEPARTMENT

10-1500

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1997-98 Unit Objectives

1. Finalize the development specifications and implement a tracking system for the Curfew Center. This system will also capture intelligence information on gangs within the City by October 1997.
2. Police Department - Calls for Service Module - As part of the Crime Analysis project this module will identify areas of Stockton that generate the most calls for Police activity and allow district commanders and management staff to allocate resources appropriately. This Module will also incorporate data from the Sheriff's Department of non-city areas that are surrounded by city limits to complete the picture for the entire Stockton Metro Area by April 1998.
3. Fire Department - Mobile Command Center Information System - Develop a Geographic Information Services system for the Mobile Command Center which will include basic geographic information and the Fire Department's Prefire Plans for Commercial and Industrial properties by December 1997.
4. The first phase of the Land Master File project is to integrate and validate the information currently located in the major land related computer files used by City staff by October 1997.

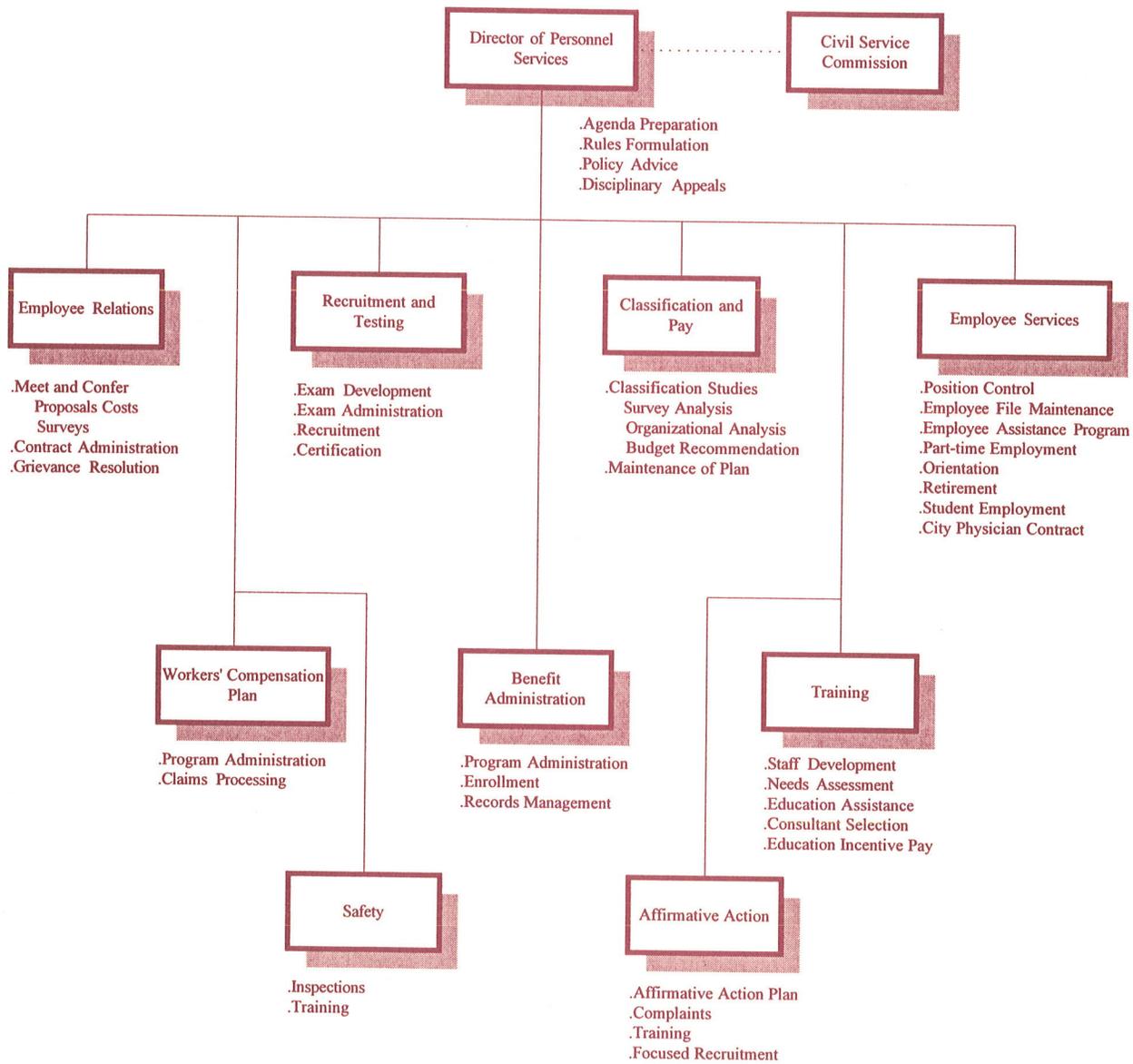


*A Programmer in the MIS Department trouble shoots for the City.*

*Stockton*

*PERSONNEL SERVICES*





**PERSONNEL SERVICES  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

**PERSONNEL SERVICES DEPARTMENT**

**10-1600**

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 776,573	\$ 836,039	\$ 784,066	(\$51,973)	(6%)
Other Services	348,025	407,600	426,157	18,557	5%
Materials/Supplies	80,534	77,326	78,613	1,287	2%
Other Expenses	68,472	153,003	152,888	(115)	
<b>TOTAL BUDGET</b>	<b>\$1,273,604</b>	<b>\$1,473,968</b>	<b>\$1,441,724</b>	<b>(\$32,244)</b>	<b>(2%)</b>

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<b>POSITION ALLOCATION</b>	<b>13</b>	<b>13</b>	<b>13</b>
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**MISSION STATEMENT**

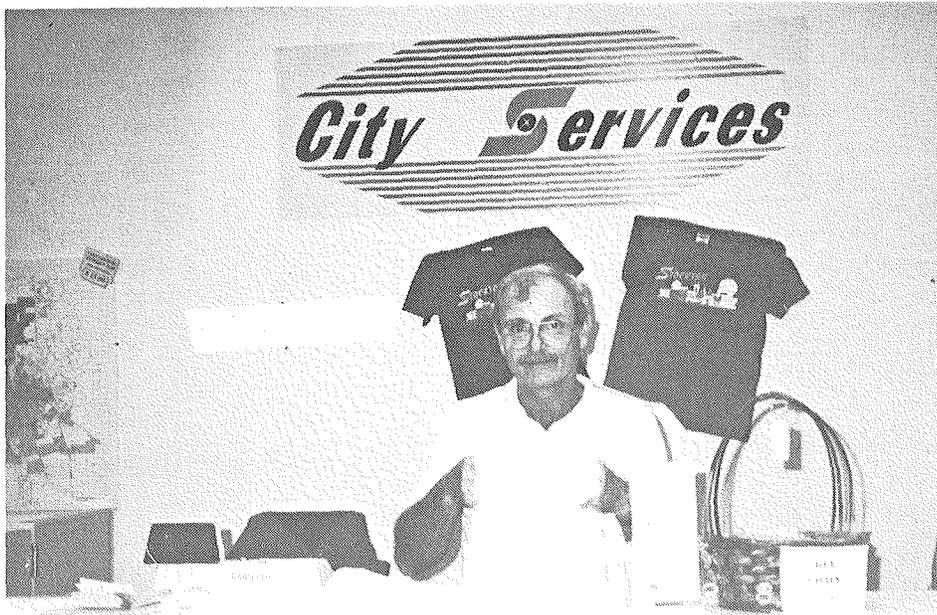
The primary purpose of the Personnel Services Department is to provide the City with qualified individuals to perform its public service mission through the classification, recruitment and examination process. The Personnel Services Department also assists operating departments by coordinating, developing and monitoring the Citywide programs of training, safety and affirmative action. This office maintains the central position control system, administers the employee relations program, including meet-and-confer sessions, memoranda of understanding preparation, administration, and grievance and dispute resolution. The department administers all employee benefit plans and the Citywide Service Pin Program. The Personnel Services Department also serves as staff to the Civil Service Commission and the Affirmative Action Commission.

**HIGHLIGHTS**

1. Project Team CQI 96-002 completed its review of the City's Diversity Training Program as well as diversity programs in other communities. Recommendations were made to the Personnel Services Department for Phase II of the City's Diversity Training Program as directed by the City Council.
2. Completed negotiations for multi-year labor agreements with the following units:
  - a. Fire
  - b. Administrative, Clerical and Services/Professional and Technical
  - c. Mid-Management/Supervisory Level; and
  - d. Unrepresented Management and Law Department employees.

**HIGHLIGHTS (CONTINUED)**

3. Developed and implemented the computerized total compensation database which assisted greatly during contract negotiations.
4. Increased the number of employees participating in the Trip Reduction Program from 14 to 59 as of January 1, 1997, and also increased participation in Rideshare Week by 263%, from 38 in 1995 to 100 in 1996.
5. Conducted a nationwide recruitment and selected the City's first new Parks and Recreation Director in over 39 years.
6. Expanded the Education Incentive Pay Program to include sworn Fire employees and increased the total number of employees participating in the program by 134 or 61.5% of the unit.
7. Conducted the City's first Education Fair with approximately 150 employees attending.
8. Provided training to approximately 25 supervisors dealing with the "how to's" of applying disciplinary guidelines consistently throughout the work force.
9. Developed an Administrative Procedures Directive for modified duty program. This program is primarily for those departments without modified duty programs for work injuries and is designed to provide temporary light work whenever possible for injured workers who are temporarily restricted by their physicians from performing their full duties.



*Willie O'Neal carefully folds a customer's purchase at the Satellite City Hall in the Sherwood Mall. You can return library books, sign-up for recreation programs, or even use the community meeting room at this convenient location.*

**PERSONNEL SERVICES DEPARTMENT**

**10-1600**

**BUDGET UNITS**

A. Administration: 10-1610

The Administration unit of the Personnel Services Department is responsible for coordinating and directing the activities of the two operating units. In addition, this unit is specifically responsible for maintaining central files, payroll and contract administration. The unit also maintains the City's position control system and employee files. The unit provides staff support to the Civil Service Commission and administers the Citywide Affirmative Action Plan, Service Pin, Retirement Award, and Trip Reduction Programs.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$353,984	\$369,920	\$363,540	(\$6,380)	(2%)
Other Services	104,250	115,137	120,522	5,385	5%
Materials/Supplies	44,248	32,006	41,253	9,247	29%
Other Expenses	7,321	14,156	13,240	(916)	(6%)
<b>TOTAL BUDGET</b>	<b>\$509,803</b>	<b>\$531,219</b>	<b>\$538,555</b>	<b>\$7,336</b>	<b>1%</b>
Position Allocation	5	5	5		

1996-97 Unit Objectives

1. Work with the City Attorney's and City Clerk's Offices to review, update and modify the Civil Service Commission agenda to include a consent calendar by September 1996.
2. Work with the Diversity Task Force to develop a work plan and implement Phase II of the City's Diversity Training Program by June 1997.

1996-97 Unit Accomplishments

1. This review will be carried over to the 1997-98 budget year.
2. Review of the Diversity Program assigned to a CQI Team. Report to be provided to City Council by June 1997.

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1996-97 City Council Objective

1. By January 1997, the City Council will receive a report and recommendations from the City's Diversity Task Force regarding effective programs in other communities to celebrate their cultural diversity.

1996-97 City Council Accomplishment

1. Awaiting report from Continuous Quality Improvement Team.

1997-98 Unit Objectives

1. Increase participation in the City's Trip Reduction Program by at least 20% by June 1998.
2. Investigate the possibility of coordinating employee-sponsored vanpools between City employees and other large downtown Stockton employers by December 1997.

**PERSONNEL SERVICES DEPARTMENT**

**10-1600**

**B. Employee Relations: 10-1620**

The Employee Relations unit is responsible for administering employer-employee relations activities, including developing and recommending the City's negotiating position; administering bargaining unit agreements with the parameters established by the Stockton City Council; resolving grievance and assisting departments in areas of disciplinary action; and administering the Employee Benefits and Workers' Compensation Programs.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$124,796	\$129,426	\$134,808	\$ 5,382	4%
Other Services	17,415	37,764	35,358	(2,406)	(6%)
Materials/Supplies	3,235	6,395	5,845	(550)	(9%)
Other Expenses	731	2,228	2,228		
<b>TOTAL BUDGET</b>	<b>\$146,177</b>	<b>\$175,813</b>	<b>\$178,239</b>	<b>\$2,426</b>	<b>1%</b>
 Position Allocation	 3	 3	 3		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>1. Work with the City Attorney's Office to review and revise the City's discipline guide by January 1997.</li> <li>2. Provide training to supervisors dealing with the "how to's" of applying disciplinary guidelines consistently throughout the work force by June 1997.</li> <li>3. Complete computerized total compensation database program by January 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Discipline guide reviewed, revised and amended.</li> <li>2. Provided training to 25 managers and supervisors.</li> <li>3. Program has been completed.</li> </ol> |
|---|--|

1997-98 Unit Objective

1. Provide training to all managers and supervisors on the new revised disciplinary guidelines by December 1997.

**PERSONNEL SERVICES DEPARTMENT**

**10-1600**

C. Personnel Services: 10-1630

The Personnel Services unit is responsible for providing classification and pay, recruitment and testing, and training services to the operating City departments. This unit meets its classification and pay function by conducting job analyses, salary surveys and classification studies in support of recruitment and testing, budgeting and labor negotiations.

The primary function of this unit is to meet the City's employee needs by conducting recruitment and testing for the City's approximately 350 job classes. The Personnel Services unit coordinates and/or conducts Citywide training in such areas as leadership/supervision, computer usage, customer service and communications. Additionally, the unit coordinates the Education Assistance Program and has been instrumental in implementing the Education Incentive Pay Program for City employees.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$297,793	\$336,693	\$285,718	(\$50,975)	(15%)
Other Services	226,360	254,699	270,277	15,578	6%
Materials/Supplies	33,051	38,925	31,515	(7,410)	(19%)
Other Expenses	60,420	136,619	137,420	801	1%
 TOTAL BUDGET	 \$617,624	 \$766,936	 \$724,930	 (\$42,006)	 (5%)
 Position Allocation	 5	 5	 5		

1996-97 Unit Objectives

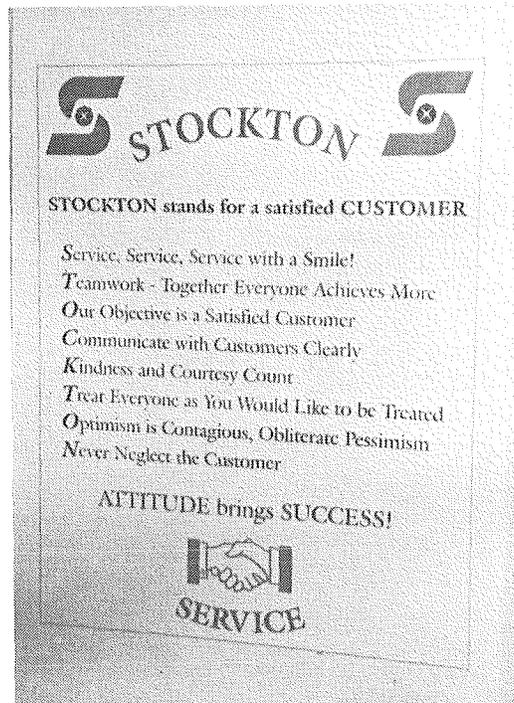
1. Expand the City-sponsored Supervisor's Certificate Program to include ten (10) modules and graduate two (2) classes of twenty (20) employees each by June 1997.
2. Pole the operating departments for their current procedures for orienting new employees. Consider revising the New Employee Orientation Program, to include an orientation schedule and checklist, which can be used by the operating departments by June 1997.

1996-97 Unit Accomplishments

1. Expand/updated the City-wide Training Program to add nine new classes, including three new modules to the Supervisor's Certificate Program.
2. In progress.

1997-98 Unit Objectives

1. Complete and implement recommendations of the Continuous Quality Improvement Team regarding recruitment and testing by June 1998.
2. Implement recruitment and testing program for Police Officers who are academy graduates by June 1998.
3. Review and research the capabilities of the Internet as a tool to expand the City's recruitment and testing efforts by June 1998.

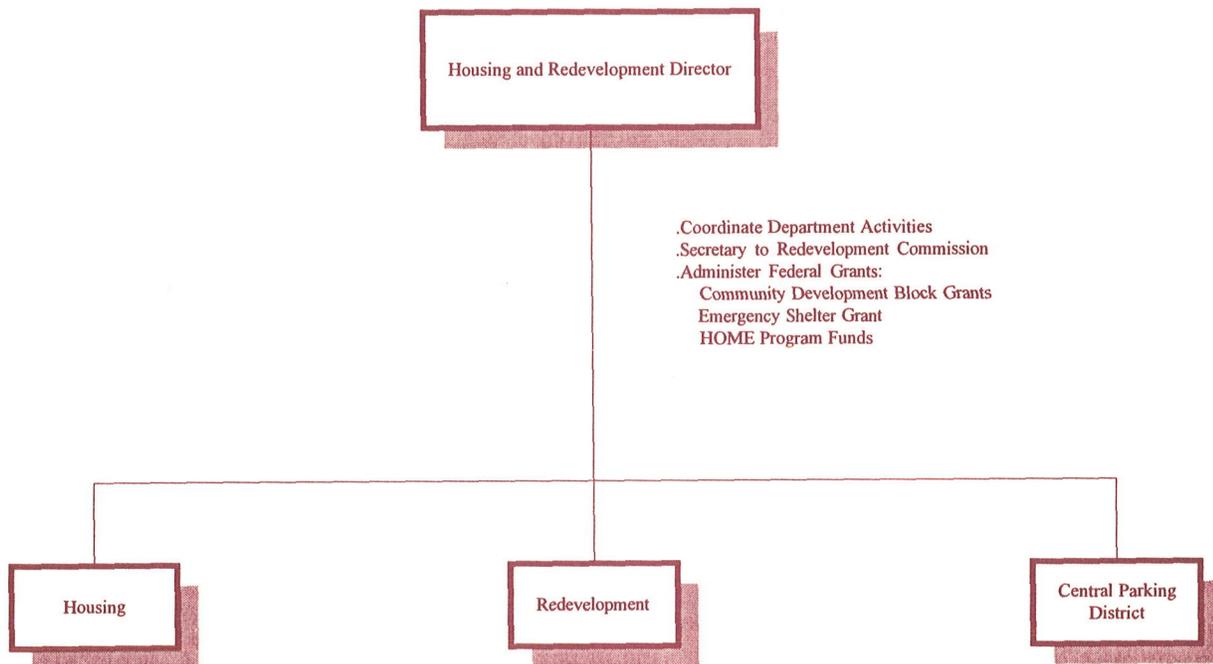


*An employee's expression of the meaning of customer service.*

*Stockton*

*HOUSING AND  
REDEVELOPMENT*





.Coordinate Department Activities  
 .Secretary to Redevelopment Commission  
 .Administer Federal Grants:  
 Community Development Block Grants  
 Emergency Shelter Grant  
 HOME Program Funds

**Housing**

.Staff Support Services for:  
 Stockton/S.J. County Housing Reference Board  
 Community Development Committee  
 Low-Income Housing Coalition  
 Property Management Taskforce  
 .Administer CDBG Funded Infrastructure Programs  
 .Administer Housing Loan Programs  
 CDBG Loans and Grants  
 Redevelopment Agency/Low-Moderate Fund  
 .Administer HOME Program  
 .Administer Emergency Shelter Grant Program  
 .Administer Servicing of Loans  
 .Administer Housing Improvement Rebate Programs  
 .Administer New Housing Construction Program

**Redevelopment**

.Staff Support Services for:  
 Redevelopment Agency  
 Redevelopment Commission  
 Relocation Board of Appeals  
 .Administer Redevelopment Projects

**Real Property**

.Administer City's Total Real Property Functions:  
 Acquisition  
 Appraisal  
 Relocation  
 Property Management (Sales and Leases)  
 Abandonments  
 Dedications

**HOUSING AND REDEVELOPMENT  
 DEPARTMENT ORGANIZATION CHART  
 (FUNCTIONAL)**

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

**10-1700**

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$29,120	\$41,701	\$43,958	\$2,257	5%
Other Services	7,514	5,671	6,848	1,177	21%
Materials/Supplies	6,299	5,934	4,382	(1,552)	(26%)
Other Expenses	1,403	2,544	2,419	(125)	(5%)
<b>TOTAL BUDGET</b>	<b>\$44,336</b>	<b>\$55,850</b>	<b>\$57,607</b>	<b>\$1,757</b>	<b>3%</b>

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**POSITION ALLOCATION** - Staffing for this function is identified in the Community Development Block Grant and the Redevelopment Agency sections of this document.

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**MISSION STATEMENT**

The Housing and Redevelopment Department functions as a program and service delivery unit created to help address the community's needs for decent housing, a suitable living environment and sustained economic health from both a residential and commercial/ industrial perspective.

This department is organized into three sections: Housing, Redevelopment and Real Property. The department is funded by federal grants: CDBG, HOME, and ESG; the Capital Improvement Program; and Redevelopment Project Tax Increments as well as the General Fund.

**HIGHLIGHTS**

**HOUSING**

The Housing Section administers several programs funded primarily through federal sources: Community Development Block Grant (CDBG) Program, HOME Program, and Emergency Shelter Grant (ESG) Program. In addition, the Housing and Redevelopment Section work jointly on implementing housing projects within redevelopment project areas utilizing tax increment resources. The Housing Section also operates a Fee Deferral program for affordable housing development.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

**10-1700**

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**HIGHLIGHTS (CONTINUED)**

The 1997-98 federal allocation of CDBG funds is \$ 5,067,000, down from \$5,150,000 received during 1996-97. Approximately \$767,000 in program income from loan repayments is anticipated for the new fiscal year. These funds are used for a variety of activities including housing rehabilitation loans, economic development, curbs, gutters and sidewalks in low-income neighborhoods, slum and blight clearance activities in redevelopment project areas, and code enforcement.

The federal allocation of HOME funds for 1997-98 is \$1,729,000, down from \$1,765,000 received during 1996-97. During 1996-97 HOME funds were used to provide funding for construction of such rental housing complexes such as Grant Village Townhouses, Silvercrest Senior Apartments, the Dewey Apartments, and the expansion of the Phoenix House. In addition, HOME funds supported reconstruction of single family residences when rehabilitation of existing units was not cost effective. In the coming year HOME funds may be used to support a variety of affordable housing projects including single and multi-family rehabilitation, multi-family new construction, and first time homeowner programs.

During 1997-98, CDBG and HOME funds will be assigned to projects based on new priorities and policies which were adopted by the City Council during 1996-97. The new policies increase emphasis on rehabilitation of both single family and multi-family housing and provides small loans for emergency repairs of qualifying low income homes. Marketing of these programs will be focused on Safe Stockton neighborhoods while loans and emergency repairs will be considered for any eligible household.

A Property Management Task Force, appointed by the mayor in 1995-96 continued its work, developing proposals for reducing blight in the city. The City Council adopted the Task Force's recommendations for use of Administrative Citations and other similar remedies to strengthen the City's Code Enforcement process. The use of Administrative Citations had an immediate affect on several blighted neighborhoods. The Code Enforcement efforts are being followed up with educational programs for tenants and landlords and small rebate programs to encourage better maintenance of homes. During 1997-98 an early education program from the Task Force will be used to teach children to take care of their homes.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

10-1700

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**HIGHLIGHTS (CONTINUED)**

**REDEVELOPMENT**

The Redevelopment Section serves as primary staff support for redevelopment activities in all five of Stockton's redevelopment projects. Some of the activities which occurred during the current fiscal year, many of which will continue or will be implemented during FY 1997-98, are highlighted below:

General Redevelopment:

- The result of special study sessions held with the City Council / Redevelopment Agency was designation of a redevelopment survey area for the expansion of existing redevelopment project areas.
- As mandated by Redevelopment Law, the Redevelopment Agency must hold a public hearing prior to December 1997 to review the Implementation Plan adopted in 1994.

Central Stockton (Amended West End) Redevelopment Project: The Economic Development/Central Stockton unit of the City Manager's office has primary responsibility for the implementation of the Central Stockton (Amended West End) Redevelopment Plan. These activities are conducted at the direction of the City Manager in response to the City Council and Redevelopment Agency and its advisory group, the Redevelopment Commission. The highlights for Central Stockton are included in the City Manager's Economic Development Unit Budget.

Eastland Redevelopment Project: All the properties along Oak Street east of Wilson Way were transferred to Eastland Plaza in accordance with the terms of the Disposition and Development Agreement (DDA). The Agency and Eastland Plaza executed a Third Amendment to the DDA to reflect changing market conditions and the revised development schedule for the project. As specified in the Third Amendment, Eastland Plaza, on behalf of the Agency, will install the necessary infrastructure improvements along Wilson Way in conjunction with the development of their building pads.

Affordable Housing: 1) In the All Nations Redevelopment Project Area, ACLC is in the process of developing ten of the remaining 36 vacant lots. ACLC has obtained migrant farm worker grants to assist in the sale of these ten units to lower income families; 2) The Charleston Place apartments, an 82 unit affordable rental complex for large families, has been completed and is in the process of leasing out its units; and, 3) It is anticipated that Low/Mod Funds will be used to assist in refinancing the Delta Plaza Apartment Project, a 30-unit affordable housing project for elderly persons.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

**10-1700**

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**HIGHLIGHTS (CONTINUED)**

**REAL PROPERTY**

The Real Property Section participated in a variety of real estate transactions during the fiscal year 1996-1997. Land acquisition activities have included work on a number of City projects including Hammer Lane Widening, March Lane/Pacific Avenue Intersection Project, Main Street/Market Street Signalization, Hammer Lane Underpass Projects, Swain Road Grade Crossing, and the Community Development Block Grant funded Taft Storm Drainage and East Main/Burkett projects. In addition, acquisitions were either completed or initiated on a number of Redevelopment Agency projects including Eastland Plaza, Gateway Block, Weber Block, and Weber Point. Staff has performed and/or coordinated relocation activities on many of these same projects. The Section workload included a variety of property management tasks such as preliminary work on the Hogan Properties Disposition Plan and assisting with input of the City property file index into the Geographic Information System. In addition, a number of roadway and utility easement dedications and abandonments were processed and staff has been instrumental in efforts to develop a new policy and procedure for the abandonment or closure of alleyways. Staff is also performing appraisal reviews for the FEMA flood control project.



*The Redevelopment Agency of the City of Stockton helps bring entertainment and education downtown. The Children's Museum is one of the many projects assisted by the Redevelopment Agency.*

HOUSING AND REDEVELOPMENT  
DEPARTMENT

10-1700

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BUDGET UNITS

A. Housing: 10-1730

The Housing Section functions as a program and service delivery unit created to address the community's need for decent and affordable housing, suitable neighborhoods and living environments, and to provide assistance and aid in the prevention and elimination of slums and blight. The section's goals are accomplished through the planning and implementation of several State and Federal grant-funded housing programs and bond programs. Additional affordable housing programs are provided through the use of local resources made available through Infrastructure Finance District and Redevelopment Agency Low and Moderate Income Housing Funds. The section also provides staff support to committees and several community organizations.

Appropriation and staff for this activity are included in the Community Development Block Grant Fund located in the Grant section of this document.

1996-97 Objectives

1996-97 Accomplishments

1. Implement Tenant/Landlord Education programs that result from work of the Property Management Task Force by November 1996.

1. In November, completed a pilot project of landlord/tenant education in the Bedlow/Astor neighborhood of North Stockton. In February, Presented recommendations to the Council for establishment of a property management class that persons receiving a Code Enforcement Administrative Citation could take in lieu of paying a \$100 fine.

2. Assist Property Management Task Force in monitoring the effectiveness of changes to the Code Enforcement processes resulting in a post implementation report by December 1996.

2. Assisted the Task Force in performing a monthly analysis of the use of Administrative Citations and the results of Administrative Hearings.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

**10-1700**

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1996-97 City Council Objective

1. By October 1996, Staff, in cooperation with the Property Management Task Force, will present recommendations to improve the physical environment of the City to the City Council for ordinance changes.

1996-97 City Council Accomplishment

1. In October new ordinances for more effective Code Enforcement were adopted by the City Council.

1997-98 Unit Objectives

1. In conjunction with the Property Management Task Force, present to the Council a report on the effectiveness of new Code Enforcement remedies, as well as Landlord/Tenant Education programs with recommendations for future steps to improve the physical environment of the City by August 1997.
2. Provide support to four Safe Neighborhoods through the proactive marketing of housing assistance programs such as emergency repair loans, rehabilitation loans to owner and renter occupied properties, and beautification rebate programs by June 1998.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

**10-1700**

**B. Redevelopment: 10-1750**

The Redevelopment Section oversees the City's redevelopment activities which include five existing redevelopment project areas: All Nations, Eastland, McKinley, Sharps Lane Villa and West End (Central Stockton); and, coordinates the possible formation of new projects and/or expansion of existing redevelopment projects. Staff provides administrative and technical assistance to the Redevelopment Commission, Redevelopment Agency and Project Area Committees established during the redevelopment plan adoption or amendment process. This section also administers the Redevelopment Agency's Low/Moderate Income Housing Fund which promotes the development of affordable housing to lower income households.

The Real Property Section is responsible for the administration of the City's total real estate function/activity. This section assists all other City departments as well as the Redevelopment Agency with regard to real property matters. Activities include fee and easement acquisition of real property interests, sale and disposition of real property, and leasing real property to and from the City and Agency, as well as diverse property management functions. Additional activities include real property appraisals and appraisal reviews, processing applications for right-of-way abandonments and dedications, and relocations required in conjunction with real property acquisitions. Miscellaneous projects involve a variety of studies, research activities and responses to inquiries in support of other sections, departments and the public. This section also coordinates and administers consultant services for real property services as needed by the City and the Redevelopment Agency.

The Redevelopment Section also assists the Deputy City Manager/Economic Development in carrying out economic development activities.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$29,120	\$41,701	\$43,958	\$2,257	5%
Other Services	7,514	5,671	6,848	1,177	21%
Materials/Supplies	6,299	5,934	4,382	(1,552)	(26%)
Other Expenses	1,403	2,544	2,419	(125)	(5%)
<b>TOTAL BUDGET</b>	<b>\$44,336</b>	<b>\$55,850</b>	<b>\$57,607</b>	<b>\$1,757</b>	<b>3%</b>

Staffing for this function is identified in the Redevelopment Agency section of this document.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

10-1700

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1996-97 Unit Objectives

1. Implement the expansion of existing redevelopment project areas or formation of a new project area by June 1997.
2. All Nations Redevelopment Project: Assist ACLC in the completion of the remaining 36 lots in the Manhattan Plaza Subdivision by June 1997.
3. Eastland Redevelopment Project: Complete Wilson Way infrastructure improvements by June 1997.
4. Acquire property interests as necessary for the Hammer Lane Widening Project by June 1997.
5. Develop, together with the Public Works Department, a master project time line/schedule to provide coordination of real property acquisition activities for major public works projects by May 1997.

1996-97 Unit Accomplishments

1. Special study sessions were held with the City Council/Redevelopment Agency resulting in the designation of a redevelopment survey area for the expansion of existing redevelopment project areas.
2. Ten homes are in the process of being developed and sold to qualifying, lower income families.
3. The Agency approved a Third Amendment to the DDA to allow the Developer to phase the project in a manner more reflective of current market conditions. Infrastructure improvements will be developed in conjunction with the construction of the new building pads.
4. Appraisals were reviewed and offers made on the properties located within the Kelly Drive to El Dorado Street segment of the Hammer Lane Widening Project. In addition, the acquisition work was finalized on the Hammer Lane Underpass Projects.
5. Initial discussions were held regarding project parameters and key personnel. Sample time lines have been prepared for further development.

**HOUSING AND REDEVELOPMENT  
DEPARTMENT**

10-1700

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1997-98 City Council Objectives

1. **By June 1998, the Housing and Redevelopment Director will ensure that the consultant will have completed the Environmental Master Plan funded by the U. S. EPA Brownfields Program for the waterfront area.**
2. **By October 1997, the City Manager, in cooperation with the Property Management Task Force, will present recommendation to the Council to improve the appearance of the City.**

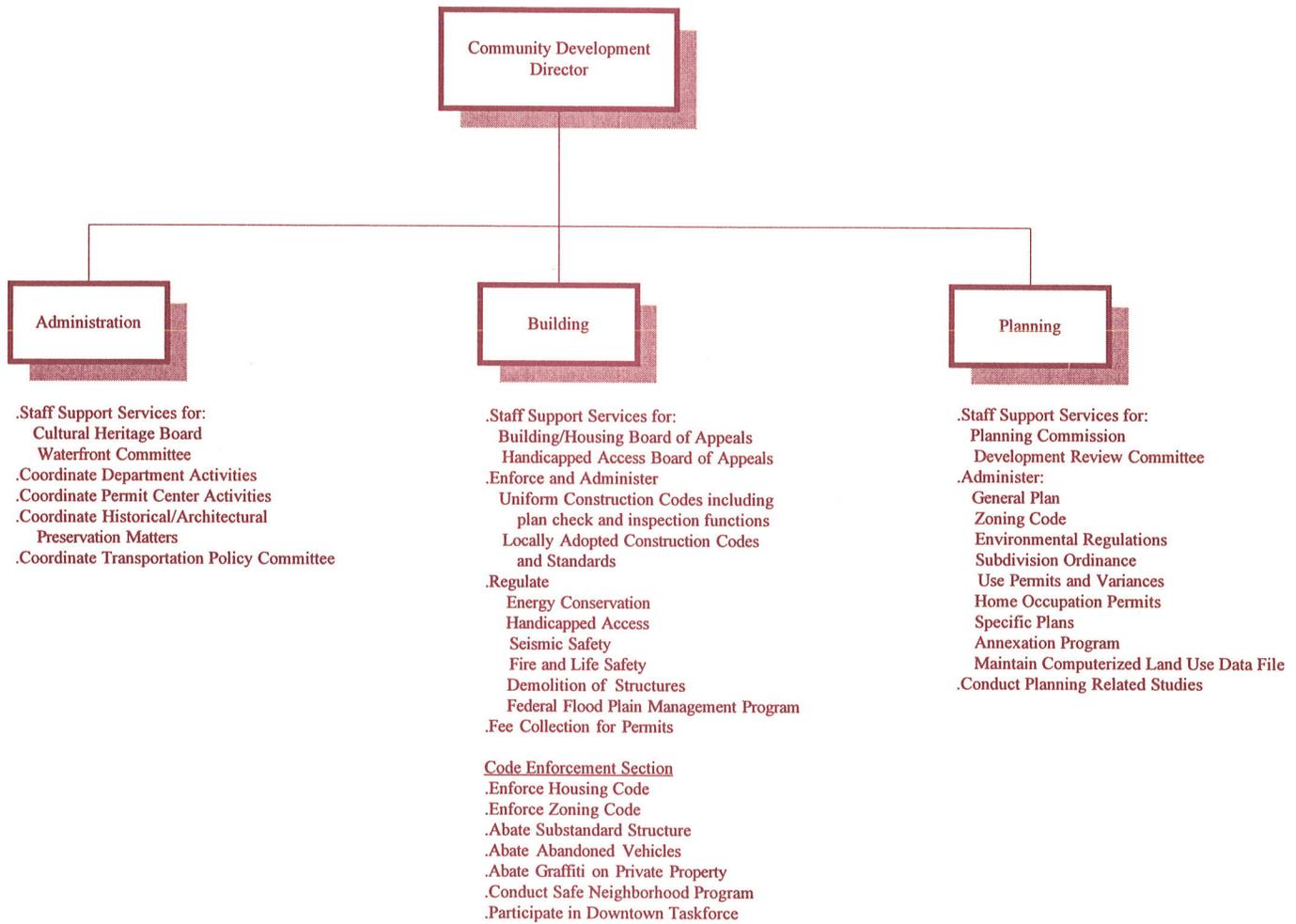
1997-98 Unit Objectives

1. Implement the process to form a new project area by December 1997.
2. As dictated by California Redevelopment Law, the agency must review its previously adopted five-year implementation plan during 1997. A public hearing to evaluate the progress of redevelopment activities contained in the plan must be held by December 1997.
3. All Nations Redevelopment Project: Assist ACLC with the development of at least ten or more of the remaining 36 lots in the Manhattan Plaza Subdivision by June 1998.
4. Eastland Redevelopment Project: Assist Eastland Plaza with the construction of the Wilson Way infrastructure improvements by June 1998.
5. Complete a Disposition Plan for the City owned New Hogan Reservoir properties and implement approved recommendations of the Plan by December 1997.
6. Complete the acquisition of properties required for the Kelly Drive to El Dorado segment of the Hammer Lane Widening Project by December 1997.

*Stockton*

*COMMUNITY DEVELOPMENT*





**COMMUNITY DEVELOPMENT  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

**COMMUNITY DEVELOPMENT DEPARTMENT****10-1800**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$3,337,635	\$3,878,083	\$4,005,694	\$127,611	3%
Other Services	737,612	1,003,066	808,362	(194,704)	(19%)
Materials/Supplies	79,848	152,906	123,646	(29,260)	(19%)
Other Expenses	19,578	38,651	37,606	(1,045)	(3%)
<b>TOTAL BUDGET</b>	<b>\$4,174,673</b>	<b>\$5,072,706</b>	<b>\$4,975,308</b>	<b>(\$97,398)</b>	<b>(2%)</b>

<b>POSITION ALLOCATION</b>	<b>65</b>	<b>67</b>	<b>67</b>
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**MISSION STATEMENT**

The Community Development Department is responsible to provide a framework that facilitates the orderly physical growth of the city. Development proposals, whether they consist of a single property, a large scale subdivision, or nonresidential use, typically require extensive review and coordination with other departments to determine the impact to City Services and to mitigate adverse impacts. To better serve the public, the Permit Center was established in January, 1993 with staff from the Community Development, Fire, Public Works and Municipal Utilities Departments. The Community Development Department also provides staff services to four citizen bodies:

Planning Commission  
 Cultural Heritage Board  
 Building/Housing Board of Appeals  
 Handicapped Access Board of Appeals.

The Community Development Department is comprised of four units: Administration, Planning, Building, and Code Enforcement. Selected department responsibilities include: Managing the Permit Center; preparation, maintenance and implementation of the General Plan; administration and enforcement of zoning and subdivision codes; preparation of specific plans; processing of environmental assessments; administration of the City's annexation program; administration and enforcement of construction codes, laws and regulations; administration of energy conservation, handicapped access and seismic standards; abatement of substandard housing conditions, graffiti and abandoned vehicles; preservation of architecturally and historically significant buildings and areas.

**HIGHLIGHTS**

The Community Development Department and staff members from the Fire, Public Works, and Municipal Utilities Department continue to refine and streamline the issuance of building permits, use permits and other approvals. In concert with the Permit Center Task Force (a citizen body comprised of members from the community, the Chamber of Commerce, the Builder's Exchange and various developers, builders and architects) over-the-counter building permit issuance has increased from 40% permits issued over-the-counter in 1995 to 56% issued over-the-counter in 1996. This represents a major increase and signifies a concentrated effort of staff to work with and achieve the goals of the Task Force.

Permit Center Staff have attended the City's Customer Service Training Course and developed the following Mission Statement:

"The mission of the Permit Center is to serve the public by providing courteous, effective and accurate planning, development and inspection services to build a thriving, prosperous and safe community that enhances the quality of life for all the residents of the City of Stockton."

The Planning unit coordinated the review of the SJAFCA Flood Protection Restoration Project EIR and successfully guided the environmental document to a timely completion. Additionally, the Parks and Recreation Element of the General Plan was revised and adopted.

The Code Enforcement unit developed, along with the City Attorney's Office, a new ordinance regarding the enforcement of the City's codes pertaining to the abatement of nuisance such as substandard dwelling, junk and debris, and abandoned vehicles. Implementation of the new ordinance is accomplished by targeting areas in need with special enforcement teams. Warnings, administrative citations or other legal abatement actions ensure compliance.

Lastly, the Graffiti Abatement Program began in earnest this year with the purchase of the graffiti trailer. Complaints are made to the Graffiti hot line. The hot line distributes the complaints to various agencies. When a complaint is received by Code Enforcement, the graffiti is abated, in most cases within 48 hours.



*A view of the seawall at Weber Point. The City of Stockton is gearing up to “revive” the waterfront area in downtown Stockton.*

**BUDGET UNITS**

A. Administration: 10-1810

The Administration Unit is responsible for establishing policies, goals and long-range plans to carry out the Department's mission of providing for the orderly growth of the community. To this end, staff directs and coordinates the activities of the Planning and Building Units to ensure that applicable codes and laws are implemented and the directives of the City Council and City Manager are carried out. This unit manages the Permit Center and, in addition, coordinates the promotion and preservation of architecturally and historically significant resources and provides staff support services to the Cultural Heritage Board.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$375,447	\$426,301	\$431,559	\$ 5,258	1%
Other Services	73,875	85,513	70,467	(15,046)	(18%)
Materials/Supplies	8,184	14,979	12,839	(2,140)	(14%)
Other Expenses	7,651	22,142	21,097	(1,045)	(5%)
<b>TOTAL BUDGET</b>	<b>\$465,157</b>	<b>\$548,935</b>	<b>\$535,962</b>	<b>(\$12,973)</b>	<b>(2%)</b>
Position Allocation	6	6	6		

1996-97 Unit Objective

1. Increase the number of Building Permits issued over-the-counter by 3% by June 1997.

1996-97 Unit Accomplishment

1. Over-the-counter issuance has increased from 40% in 1995 to 56% through December 1996.

1996-97 City Council Objective

1. Beginning October 1996, to make further improvements to streamline the permit process, the Permit Center Task Force will report to the City Council on a quarterly basis as to implementation efforts and successes of the Task Force's original report and make recommendations for other changes.

1996-97 City Council Accomplishment

1. Holding quarterly meetings with Permit Center Taskforce to solve problems and implement recommendations. Three reports have been made to the City Council.

1997-98 Unit Objectives

1. Increase the number of building permits issued over-the-counter by 3% by June 1998.
2. Implement and maintain schedule for improvements at Weber Point by June 1998.

B. Planning: 10-1820

The Planning unit is the staff to the Planning Commission and the City Council on Planning matters and is responsible for the effectuation of the General Plan through the administration of the Zoning Code, Subdivision Map Act, municipal ordinances, California Environmental Quality Act (CEQA), administration of the City's Annexation Program, and special studies as are deemed appropriate.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$835,767	\$ 939,408	\$ 987,367	\$47,959	5%
Other Services	101,847	272,153	150,768	(121,385)	(45%)
Materials/Supplies	22,198	21,750	17,170	(4,580)	(21%)
Other Expenses	3,748	5,491	5,491		
<b>TOTAL BUDGET</b>	<b>\$963,560</b>	<b>\$1,238,802</b>	<b>\$1,160,796</b>	<b>(\$78,006)</b>	<b>(6%)</b>
Position Allocation	16	16	16		

1996-97 Unit Objectives

1. Complete comprehensive revision of the Zoning Code by June 1997.
2. Revise the Guidelines for implementation of the California Environmental Quality Act by June 1997.
3. Develop and implement a site plan review procedure to expedite building permit application process by January 1997.

1996-97 Unit Accomplishments

1. The RFP process was completed, a consultant hired, and work begun. The revision process is expected to take approximately two years.
2. Preliminary administrative draft of the Guidelines completed. Final guidelines are anticipated to be completed and adopted by February 1998.
3. A preliminary set of new procedures has been developed. Completion of the objective is expected by July 1997.

1997-98 Unit Objectives

1. Complete the Public Review Draft for the revised Zoning Code and commence Planning Commission workshop/public hearings by March 1998 with final workshop/public hearings/adoption in fiscal year 1998-99.
2. Complete revision to the Guidelines for Implementation of the California Environmental Quality Act by February 1998.
3. Convert existing manually produced official zoning map booklet to computerized GIS format by January 1998.

C. Building: 10-1830

The Building unit of the Community Development Department is responsible for the enforcement of codes, laws, ordinances, and regulations pertaining to building construction and remodeling within the City of Stockton. Enforcement is accomplished by accepting applications, checking plans, processing building permits, performing inspections, and responding to complaints. The Building Unit provides staff support for the Housing/Building Board of Appeals and the Handicapped Access Board of Appeals. The Building Unit serves the citizens of the City by providing the professional services necessary to insure that construction within the City is performed in a safe and lawful manner.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,894,059	\$2,049,553	\$2,067,893	\$18,340	1%
Other Services	424,665	450,684	399,041	(51,643)	(11%)
Materials/Supplies	28,157	37,416	28,916	(8,500)	(23%)
Other Expenses	6,912	9,258	9,258		
TOTAL BUDGET	\$2,353,793	\$2,546,911	\$2,505,108	(\$41,803)	(2%)
Position Allocation	33	32	32		

1996-97 Unit Objective

1996-97 Unit Accomplishment

1. Implement a tracking program to monitor compliance with Assembly Bill 717 which requires mandatory training for Plan Checkers and Inspectors (45 continuing education units every three years) by June 1997.

1. Program has been implemented.

1997-98 Unit Objective

1. Complete policy and procedures manuals for Clerical, Field Inspection, and Plan Check by June 1998.

D. Code Enforcement: 10-1840

The Code Enforcement unit encompasses the enforcement of codes, laws, and regulations governing abatement of substandard housing conditions in existing residential structures and the abatement of abandoned, dismantled or inoperative vehicles. Citizen complaints and concerns regarding zoning, housing and vehicle abatement are monitored and answered. The program includes the safe neighborhood program, the downtown task force, graffiti programs and the new adopt-a-block program along with enforcement of zoning ordinances, house relocations, resale inspections, demolitions and lot cleanup. In addition, this program provides for annual inspection of hotels/motels for issuance of Permits to Operate in conjunction with San Joaquin County's Health District and the City's Fire Department.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$232,362	\$462,821	\$518,875	\$56,054	12%
Other Services	137,225	194,716	188,086	(6,630)	(3%)
Materials/Supplies	21,309	78,761	64,721	(14,040)	(18%)
Other Expenses	1,267	1,760	1,760		
<b>TOTAL BUDGET</b>	<b>\$392,163</b>	<b>\$738,058</b>	<b>\$773,442</b>	<b>\$35,384</b>	<b>5%</b>
Position Allocation	10	13	13		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

1. Train clerical staff regarding the Code Enforcement module's ability to merge computerized data with word processing documents by September 1996.
2. Develop policies and procedures manual for Code Enforcement by October 1996.

1. Complete.
2. Complete.

1996-97 Unit Objectives (Cont.)

3. Automate mailing/letter generation process for Code Enforcement cases by December 1996.
4. Finish input of Code Enforcement case history into HTE Code Enforcement Module for historical inquiry by June 1997.

1996-97 Unit Accomplishments (Cont.)

3. Complete.
4. Complete.

1996-97 City Council Objective

1. By June 1996, Staff will complete a Code Enforcement Strategy Plan to improve the physical environment of the City which includes staffing pattern, and interrelationships with other departments/agencies.

1996-97 City Council Accomplishment

1. Complete. This plan was submitted to the Council Budget/Finance/Economic Development Committee during discussions on the 1996-97 Budget.

1997-98 Unit Objectives

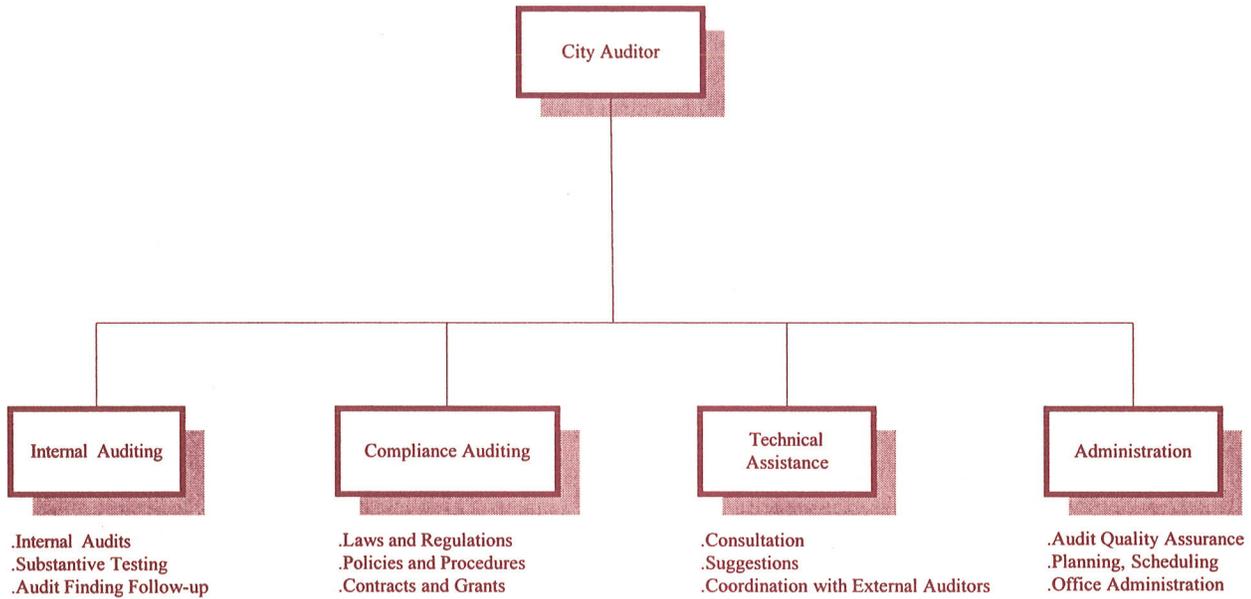
1. Develop policy and procedure manuals for the new Administrative Hearing and Billing Processes, and for the AS400 Code Enforcement data entry for statistical purposes by January 1998.
2. Cross train clerical staff to provide back-up support for each other by October 1997.
3. Implement an expanded Code Enforcement Program using staff from other City departments and volunteers by August 1997.
4. Present to the City Council a program for coordinating City departments to inspect extremely dilapidated buildings for code violations by August 1997.



*Stockton*

*CITY AUDITOR*





**CITY AUDITOR  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

# CITY AUDITOR

10-1900

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$211,328	\$253,422	\$269,558	\$16,136	6%
Other Services	11,255	21,489	15,263	(6,226)	(29%)
Materials/Supplies	3,459	4,545	2,995	(1,550)	(34%)
Other Expenses	5,243	8,131	8,121	(10)	
<b>TOTAL BUDGET</b>	<b>\$231,285</b>	<b>\$287,587</b>	<b>\$295,937</b>	<b>\$8,350</b>	<b>3%</b>

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<b>POSITION ALLOCATION</b>	<b>4</b>	<b>4</b>	<b>6</b>	<b>2</b>	
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## MISSION STATEMENT

The mission of the City Auditor Department is to assist the City Council, City management, and City employees in the effective performance of their duties by providing them with objective analyses, appraisals, and recommendations for financial and related systems and activities. Independence and objectivity are maintained by having the department report directly to the City Council and by not exercising direct authority over any department, system, or activity subject to audit.

## HIGHLIGHTS

Auditing began the year with an update of the risk assessment for systems and activities subject to audit. We developed an annual audit plan that identified those areas where audit efforts would be directed.

Over the past year, audit effort has been directed at Purchasing, Silver Lake Camp, Municipal Utilities Plant Expansion and Stores' activities, Accounts Payable and Stockton East Water District contract. Results of audits are presented to the City Council upon completion. Each of our audits has resulted in management action plans to make changes where we have identified a need for improvement.

The City Auditor continued efforts to coordinate our work with the City's external auditors. This year we began working with a new audit firm. Copies of our audit reports were provided to the external auditors to assist them in planning for the financial statement audit. Our internal auditors developed system documentation for the City's major financial systems to aid the new external audit firm become familiar with City operations. Coordinated audit efforts have helped both audit organizations.

HIGHLIGHTS (CONTINUED)

During the year, the City Auditor Department had an opportunity to provide technical assistance in a number of areas. Among them are:

- Cash Funds
- HTE Applications Systems Enhancements
- Payroll Time Reporting
- Recruitment and Hiring Study
- Refunds
- San Joaquin Area Flood Control Agency

1996-97 Objectives1996-97 Accomplishments

- |   |   |
|---|---|
| 1. Deliver an annual report of 1995-96 audit activity to the City Council by August 1996.   | 1. We delivered an annual report to the City Council in November, 1996. The Audit Committee reviewed the report and the Committee reported back to the City Council on December 16, 1996. |
| 2. Develop an automated system to track audit findings by December 1996.  | 2. Complete.  |
| 3. Submit for City Council approval a series of internal audit job specifications that properly reflect the scope of internal audit duties and provide an opportunity for professional growth by December 1996. | 3. Specifications submitted as part of the budget for 1997-98.  |
| 4. Submit an annual audit plan for 1997-98 to the City Council for review by the Audit Committee by June 1997.  | 4. This objective is on schedule for delivery to the City Council.  |
| 5. Provide for a peer review of the City Auditor Department by June 1997.   | 5. Review agreements have been signed with the Review Team. The review is scheduled for June 16-20, 1997.   |

1997-98 Objectives

1. Deliver an annual report of 1996-97 audit activity to the City Council by August 1997.
2. Develop a mechanism for measuring the economic impact of audit results by December 1997.
3. Establish useful performance measures that can be used for planning and evaluation by March 1998.
4. Submit an annual plan for 1997-98 to the City Council for review by the Audit Committee by June 1998.
5. Implement any changes that are necessary as a result of recommendations from our 1997 external quality review by June 1998.



*STOCKTON CITY HALL (1926)  
425 North El Dorado Street*

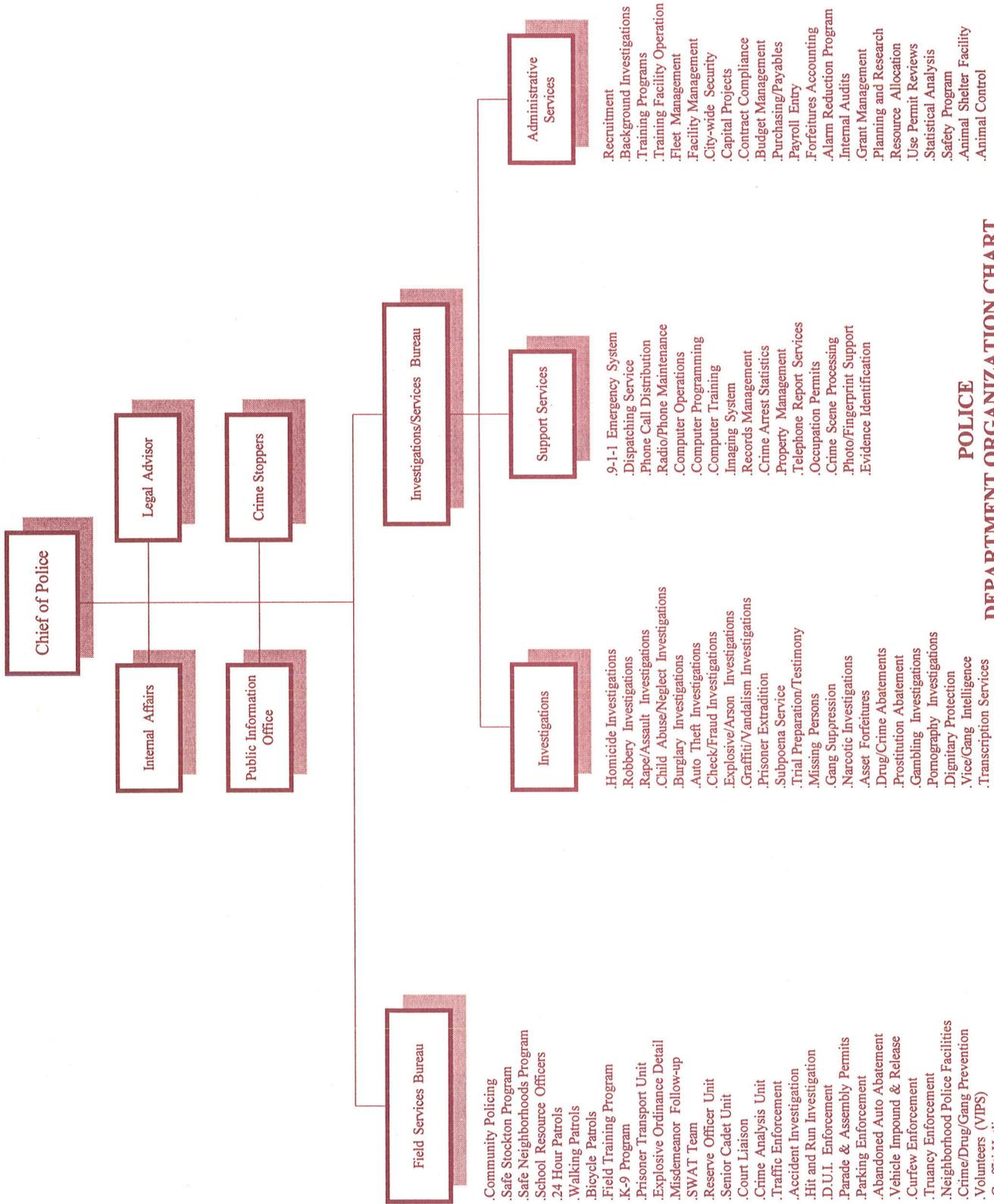
*Built in the spirit of the "City Beautiful" movement, City Hall provides an example of 1920's construction techniques and design. The building's Renaissance revival style was determined by a collaboration of Stockton and San Francisco architects. This building is a Stockton Historical Landmark.*

*Stockton City Hall is built on the Lindsay Point site. This site was where the first building was built in Stockton. In August, 1844 the first settlers arrived at Rancho Del Campo de Los Franceses. One of the company, Thomas Lindsay, built the first dwelling, a tule hut, on this site. He was later murdered by Indians and buried here by travelers. The point was formed by the junction of Mc Leod's Lake and Miner's Channel. This site is a California Registered Historical Landmark.*

*Stockton*

*POLICE*





**POLICE**  
**DEPARTMENT ORGANIZATION CHART**  
**(FUNCTIONAL)**

# POLICE DEPARTMENT

10-2400

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$36,380,619	\$38,846,561	\$41,022,325	\$2,175,764	6%
Other Services	6,011,363	6,314,969	6,343,416	28,447	
Materials/Supplies	647,319	757,062	726,887	(30,175)	(4%)
Other Expenses	480,580	500,230	499,348	(882)	
Capital Outlay	78,545	4,500		(4,500)	(100%)
<b>TOTAL BUDGET</b>	<b>\$43,598,426</b>	<b>\$46,423,322</b>	<b>\$48,591,976</b>	<b>\$2,168,654</b>	<b>5%</b>
<b>POSITION ALLOCATION</b>	<b>555</b>	<b>569</b>	<b>569</b>		

## MISSION STATEMENT

The Police Department's mission is to promote the quality of life in the City of Stockton by:

- Working in partnership with the community to provide a safe and secure environment.
- Recognizing and respecting the diversity and uniqueness of the citizens of our community.
- Being sensitive and responsive to the public without bias or prejudice.
- Utilizing personnel and financial resources in an efficient and effective manner.
- Responding to the ever-changing needs of our community.

## HIGHLIGHTS

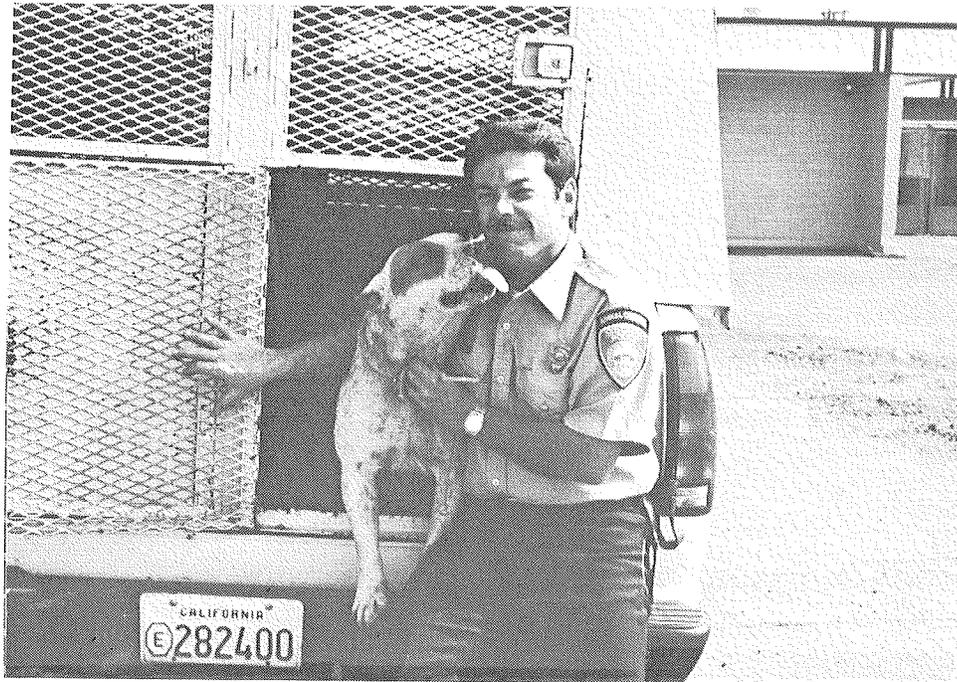
The Police Department continues to expand the City's long term comprehensive approach to public safety through community policing. In 1996-97, the Police Department added another six police officer positions with partial funding provided by grant augmentation from the United States Department of Justice, Office of Community Oriented Policing. This brings the total of initially grant funded positions from the Office of Community Oriented Policing to 38 police officers and 12 civilian community service officers. These new positions are being used to reduce calls-for-service backlogs and allow police officers to perform community policing problem-solving activities; expand the School Resource Officer Program to 16 police officers at 32 schools; establish curfew and truancy reduction programs; and provide other proactive community oriented policing activities.

**HIGHLIGHTS (CONTINUED)**

In January 1997, the Police Department completed the first year of community policing on a City-wide basis by district. There are four community policing districts, each managed by a police lieutenant. Each district lieutenant directs teams of sergeant supervisors, police officers, and community service officers, who are responsible for working with the community to identify and solve crime problems in neighborhoods, schools, and business areas within each community policing district.

The Police Department continues to improve operating efficiency and effectiveness through the acquisition of new technology. In 1996, with funding from State and Federal Law Enforcement Grants, the Department began the process of developing an automated field reporting system. This system will allow officers and civilian employees to complete crime, accident, and other incident reports in the field, using computers that will automatically transfer report information to the main police computer records management system. This will greatly reduce the time and manual handling needed to make police report information available to investigators, the public, prosecutors, courts, and other interested users.

In January 1997, the Police Department Traffic Section began the Safe Vehicle Operator Program, funded in part by a grant from the State of California, Office of Traffic Safety. The program is a cooperative effort by the Police Department, the County District Attorney's Office, and the Municipal Court to improve public safety in Stockton through a comprehensive traffic safety program designed to reduce accidents and reduce the number of unlicensed drivers on the streets of Stockton. The program emphasizes enforcement, prosecution, education and prevention components to accomplish the goal of improving traffic safety.



*Rover shows his appreciation to one of the City's Animal Control Officers.*

POLICE DEPARTMENT

10-2400

BUDGET UNITS

A. Administration: 10-2410

The Administrative Services Division is the executive and administrative support unit of the department. It is headed by the Chief of Police who, along with two Deputy Chiefs, plans and controls the operations of the Stockton Police Department. The Administrative Services Division includes six subdivisions: the Chief's Office, Fiscal Affairs and Planning, Fleet and Facility Management, Personnel and Training, Animal Shelter, and Animal Control. The Chief's Office is the executive office of the department, providing planning and control. Within the Chief's Office, the Internal Affairs Section is responsible for maintaining the department's integrity through complete and timely internal investigations. Also within the Chief's Office, the Crime Stoppers Unit coordinates the department's crime tip program, and the Public Information Office coordinates the department's interaction with the news media.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$2,345,042	\$2,554,088	\$2,600,866	\$ 46,778	2%
Other Services	1,005,077	1,064,709	1,143,429	78,720	7%
Materials/Supplies	216,616	241,888	227,208	(14,680)	(6%)
Other Expenses	438,555	457,066	456,359	(707)	
Capital Outlay	76,578				
<b>TOTAL BUDGET</b>	<b>\$4,081,868</b>	<b>\$4,317,751</b>	<b>\$4,427,862</b>	<b>\$110,111</b>	<b>3%</b>
Position Allocation	44	45	45		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

1. Provide updated ethics training to Police Department employees by January 1997.
2. Work with the Personnel Department to develop a separate hiring list for Police Officer candidates that are Police Academy graduates by January 1997.

1. All sworn employees have been provided with the updated training for 1996, as of November 1996.
2. The Personnel Director and the City Attorney are working to resolve legal issues related to creating a new hiring list.

1996-97 City Council Objectives

1. By September 1996, Staff shall report on alternatives for the expansion of the Curfew Center.
2. By September 1996, Staff shall expand the role of School Resource Officers to include working more closely with school administrators to intervene with chronic truants.
3. By September 1996, the Stockton Police Department and Management Information Services will integrate the use of the GIS Information System with the Police crime data to support district policing.
4. By September 1996, all department heads will explore ways for all departments to participate in the Truancy program.
5. By September 1996, Staff shall implement an auto-theft prevention/intervention module specific to the needs of each police district.
6. By October 1996, Staff shall develop a model program in conjunction with Neighborhood Watch, Neighborhood Betterment Committees, and partnerships with citizens to participate in the development of crime-prevention programs for their individual programs.
7. By October 1996, Staff shall prepare a report on the feasibility of an ongoing Operation Safe Street program to target career criminals and gang activity with particular emphasis on weapons and drug offenses, homicides and drive-by shootings.

1996-97 City Council Accomplishments

1. Effective July 1996, the Curfew Center has expanded operations to five days per week.
2. As of September 1996, the duties of School Resource Officers has been expanded to include more interaction between school staff and parents to help reduce truancy.
3. As of December 1996, several modules have been developed, including crime tracking by district. Modules continue to be developed and improved.
4. In November of 1996, truancy reduction was made part of the Citywide Safe Youth Program.
5. In July of 1996 the S.C.A.T. (Stockton Combating Auto Theft) program was launched to involve the community in each district in auto theft prevention and detection.
6. In October 1996, the mobile crime prevention van project was implemented. A surplus bookmobile was converted for delivery of crime prevention and other services directly to neighborhoods.
7. The first phase of the program which provides computer data on career criminals and gang activity was completed in October 1996. The enforcement phase began in 1997 as part of a Countywide program to target this type of criminal activity using Federal Block Grant funding.

1996-97 City Council Objectives (Cont.)

8. By January 1997, Staff shall develop crime-specific prevention programs to combat particular crimes such as home-invasion robberies, business robberies and the problems associated with illegal gambling.

1996-97 City Council Accomplishments (Cont.)

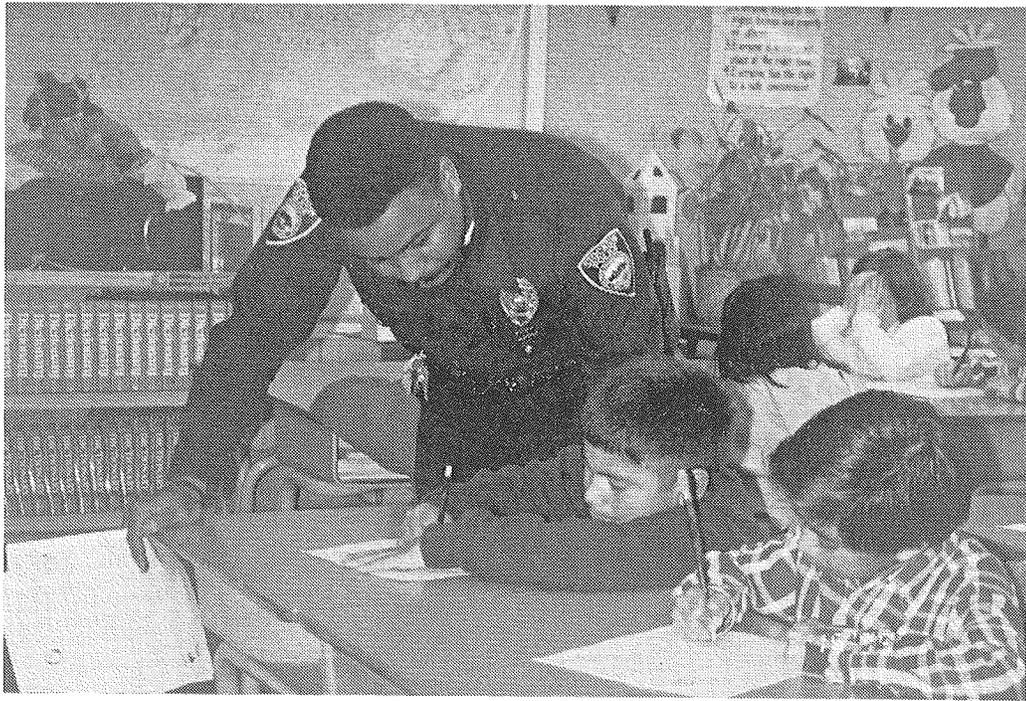
8. The Police Department Investigations Division has developed crime prevention materials and seminars for business and community groups to address these and other specific crime prevention issues.

1997-98 City Council Objectives

1. By October 1997, the Police Chief will restructure a recruitment and training plan to better utilize and increase the resources of reserve Police Officers and volunteers in Police Services.
2. By October 1997, the Police Chief will provide the Council with an overview of criminal justice information management programs.
3. By January 1998, the Police Chief, working with the SRO Program, will explore coordination of intervention strategies for curfew/truancy violations, a law enforcement magnet school program and domestic violence awareness within currently available resources.
4. By June 1998, the Police Chief, coordinating the efforts of MIS and the Police Department, will strengthen the community policing process by improving proactive enforcement strategies through comprehensive crime analysis and call-for-service information.
5. By December 31, 1998, the Police Chief will reduce crime by 10%.

1997-98 Unit Objectives

1. Enhance department safety planning by updating the building evacuation plans and work place violence prevention plans, by December 1997.
2. Establish a Law Enforcement Chaplaincy Program to provide additional employee support, by January 1998.



*Stockton Police School Resource Officers work directly in Stockton schools as teachers, mentors, and role models teaching our youth respect for themselves and others.*

POLICE DEPARTMENT

10-2400

B. Field Operations: 10-2420

The Field Operations Unit is the heart of the Police Department, providing 24-hour-a-day uniformed police patrols responding to calls-for-service throughout the City of Stockton. This unit is responsible for the operation of field service programs including 24-hour-a-day Patrol Services by community policing district, Field Training Officer Program, K-9 Program, Reserve Officers Program, Court Liaison, Metro Prisoner Transportation, Explosive Ordinance Detail, Misdemeanor Follow-up Investigations, Traffic Enforcement, Parking Enforcement, School Resource Officers, Safe Neighborhoods Program, Crime Analysis, and Volunteers in Police Service.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$16,458,336	\$24,383,398	\$26,074,667	\$1,691,269	7%
Other Services	1,393,984	2,030,350	2,104,258	73,908	4%
Materials/Supplies	128,927	283,644	253,719	(29,925)	(11%)
Other Expenses	260	925	925		
Capital Outlay					
TOTAL BUDGET	\$17,981,507	\$26,698,317	\$28,433,569	\$1,735,252	7%
Position Allocation	226	351	351		

1996-97 Unit Objective

1. Expand the Safe Schools component of the Safe Stockton Program to six more schools by adding three more School Resource Officers by September 1996.

1996-97 Unit Accomplishment

1. By September 1996, the expansion was completed to include Bear Creek and Stagg High Schools, Delta Sierra and Fremont Middle Schools, and Victory and Madison Elementary Schools.

1997-98 Unit Objective

1. Continue to expand the Safe Schools Program by adding two more School Resource Officers, by September 1997.

POLICE DEPARTMENT

10-2400

C. Investigations: 10-2430

The Investigations Unit is responsible for investigating and assisting in the prosecution of major crimes occurring in the City of Stockton. This unit is organized into two sections: Crimes vs. Persons and Property, and Special Investigations. The Investigations Unit is located several blocks from the Main Police Facility Building at 224 North El Dorado Street.

The Crimes vs. Persons and Property Section is responsible for investigating murder, robbery, rape, child abuse, assault, domestic violence, child exploitation, missing persons, burglary, auto theft, fraud, embezzlement, and vandalism. The Special Investigations Section is responsible for investigating gambling, prostitution, pornography, organized crime, gang-related crime, narcotics crimes and abatements, alcohol control, and any unusual criminal activity.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$5,832,048	\$6,000,548	\$6,161,671	\$161,123	3%
Other Services	280,814	318,456	312,400	(6,056)	(2%)
Materials/Supplies	61,006	56,130	59,240	3,110	6%
Other Expenses	40,251	40,720	40,575	(145)	
TOTAL BUDGET	\$6,214,119	\$6,415,854	\$6,573,886	\$158,032	2%
Position Allocation	74	72	72		

1996-97 Unit Objectives

1. Complete the implementation of a child predator tracking program by December 1996.
2. Establish a tracking system for incidents involving explosives, threats of explosions, and arrests for possession of explosive devices by January 1997.

1996-97 Unit Accomplishments

1. A joint effort by the Police Department and the Management Information Systems Department has resulted in a new G.I.S. application to track these criminal suspects.
2. This tracking system was completed in 1996 and is now providing useful data to the Investigations Division.

1997-98 Unit Objectives

1. Reorganize the Crimes against Persons and Property Section to coincide with the four community policing districts in the City, for better investigative support by January 1998.
2. Upgrade the haz-mat protection equipment used by the Narcotics Unit to abate illegal drug labs to be compatible with the equipment used by the Fire Department by July 1997.

POLICE DEPARTMENT

10-2400

D. Support Services: 10-2460

The Support Services Unit is responsible for technical support programs in the Stockton Police Department including: Records Management, Property Management, Evidence Identification, Telephone Report Services, and Transcription Services.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$3,172,994	\$3,465,208	\$3,619,540	\$154,332	4%
Other Services	1,280,304	1,260,035	1,189,036	(70,999)	(6%)
Materials/Supplies	123,389	127,005	142,440	15,435	12%
Other Expenses	1,003	1,215	1,185	(30)	(2%)
Capital Outlay	1,967	4,500		(4,500)	(100%)
TOTAL BUDGET	\$4,579,657	\$4,857,963	\$4,952,201	\$ 94,238	2%
Position Allocation	56	56	56		

1996-97 Unit Objective

1. Explore the use of forensic light sources to improve the identification and collection of crime scene evidence by September 1996.

1996-97 Unit Accomplishment

1. Forensic light source equipment has been acquired and training has been scheduled in 1997 for using this equipment to enhance the collection of crime scene evidence.

1997-98 Unit Objective

1. Upgrade the crime scene sketching computer program to a newer version to improve the quality and reduce the time necessary to prepare automated crime scene sketches for investigation and court testimony by December 1997.

POLICE DEPARTMENT

10-2400

E. Telecommunications: 10-2470

The Telecommunications Unit is responsible for 9-1-1 emergency communication service including Fire and EMS transfers, 24-hour-a-day Computer-Aided Dispatch, automatic call distribution system, automated records management system, document imaging system, and technical support for all Police Department computer operations.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$2,224,098	\$2,443,319	\$2,565,581	\$122,262	5%
Other Services	1,616,394	1,641,419	1,594,293	(47,126)	(3%)
Materials/Supplies	37,734	48,395	44,280	(4,115)	(9%)
Other Expenses	391	304	304		
<b>TOTAL BUDGET</b>	<b>\$3,878,617</b>	<b>\$4,133,437</b>	<b>\$4,204,458</b>	<b>\$71,021</b>	<b>2%</b>
Position Allocation	44	45	45		

1996-97 Unit Objective

1. Modify the Police Department's computerized records management system to accommodate automated police report taking in the field by December 1996.

1996-97 Unit Accomplishment

1. The field report writing project has been expanded to include the entire department using funds provided by state and federal law enforcement grants. A request-for-proposal on the new system will be released in 1997.

1997-98 Unit Objective

1. Upgrade the Automatic Telephone Call Distribution System to provide more statistical data and improve efficiency by June 1998.

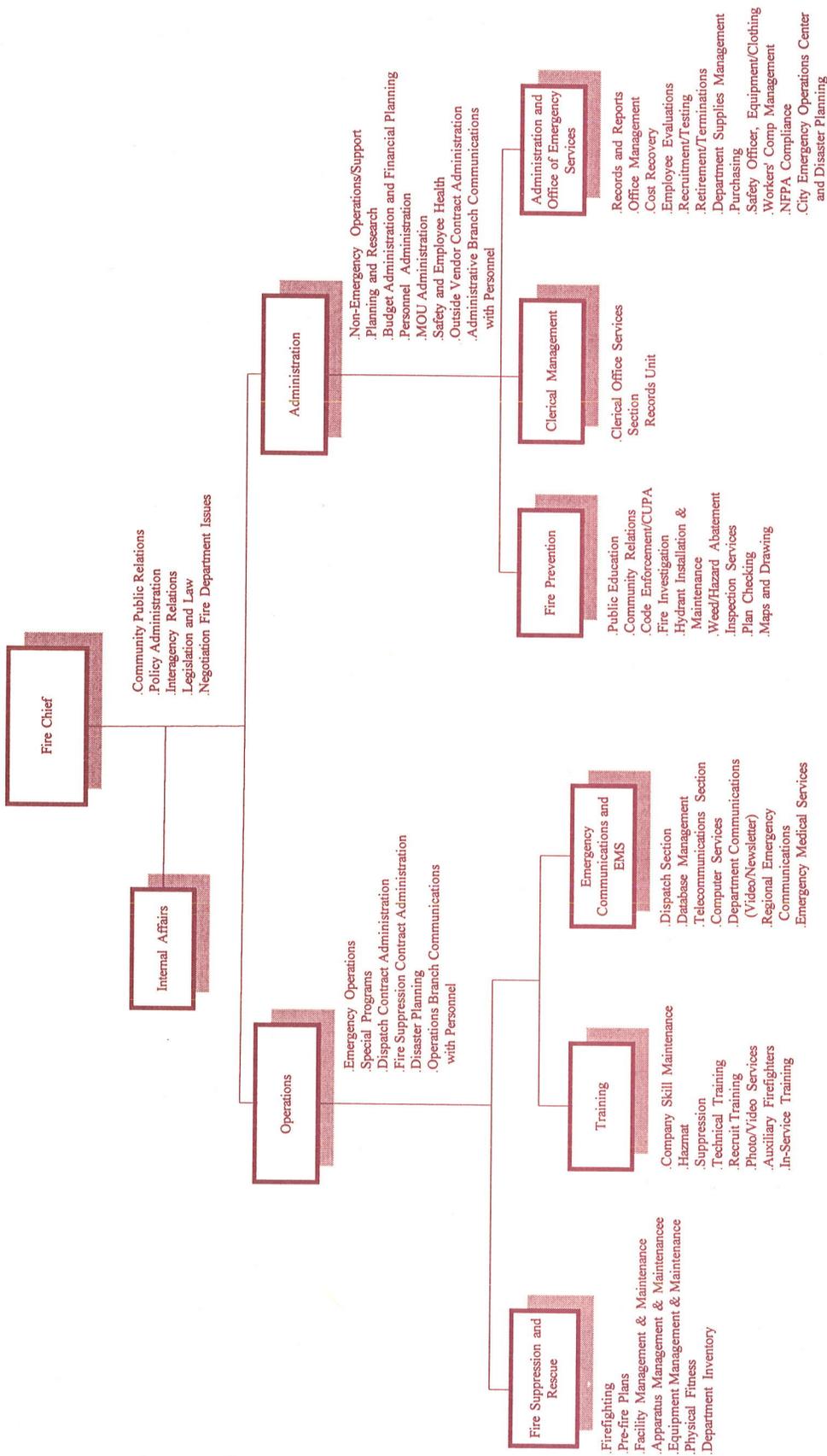


*The City's bicycle patrol is designed to improve safety and the quality of life in Stockton.*

*Stockton*

*FIRE*





**FIRE  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

## FIRE DEPARTMENT

10-2600

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$19,773,937	\$21,029,531	\$22,969,526	\$1,939,995	9%
Other Services	2,313,555	2,441,208	2,252,918	(188,290)	(8%)
Materials/Supplies	356,092	385,428	352,368	(33,060)	(9%)
Other Expenses	37,123	55,843	52,405	(3,438)	(6%)
Capital Outlay	23,009	80,986	34,500	(46,486)	
<b>TOTAL BUDGET</b>	<b>\$22,503,716</b>	<b>\$23,992,996</b>	<b>\$25,661,717</b>	<b>\$1,668,721</b>	<b>7%</b>

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<b>POSITION ALLOCATION</b>	<b>258</b>	<b>258</b>	<b>258</b>
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### MISSION STATEMENT

The mission of the Stockton Fire Department is to provide a high level of life and property safety through fire control, fire prevention services, emergency medical services and the mitigation of hazardous material incidents. This commitment is intended to safeguard the general economy and welfare of the community. It is our objective to provide these services in a way that the community will know that "we care."

### HIGHLIGHTS

The Fire Department expanded its public education efforts during National Fire Prevention Week. We held a fire safety fair called Fire Expo and gave safety presentations at every elementary school in our response area.

During fiscal year 1996-97, fifteen entry level firefighters successfully completed academy training, attaining State certification as Firefighter I. The eight weeks of intensive course work included such topics as Fire Department Rules and Regulations, policies and procedures, driver safety, emergency medical procedures, auto extrication, hazardous materials management, and engine and truck fire ground operations, and culminated in several live structural firefighting exercises.

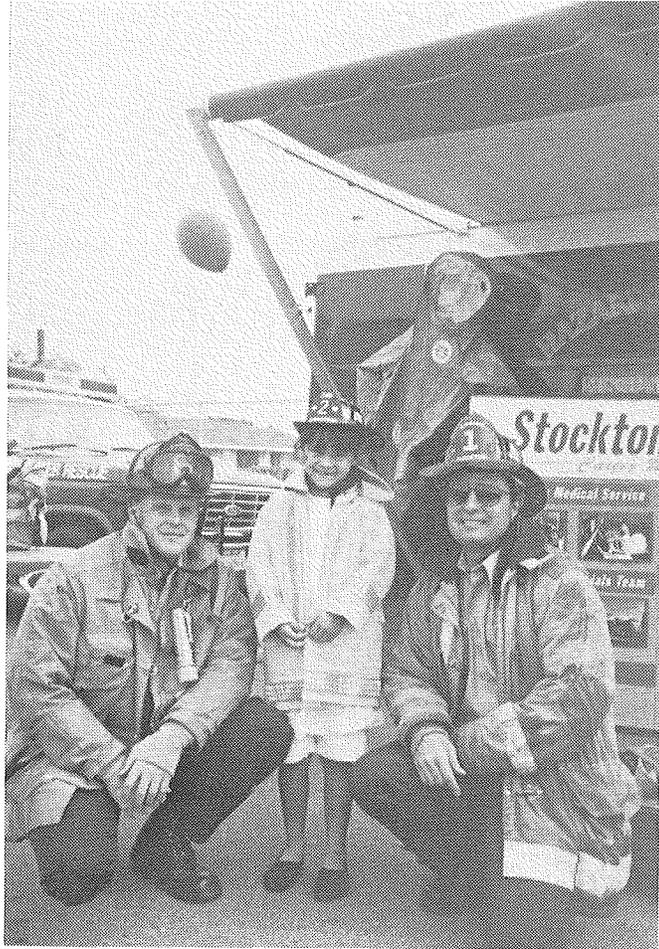
In the interest of reducing responses to malicious and careless false alarms, the City Council enacted a new false alarm ordinance. The ordinance and system of fines went into effect July 1, 1996, and has already reduced false alarms.

**HIGHLIGHTS (CONTINUED)**

Equipment for fire station alerting was upgraded. Existing equipment that required dedicated phone circuits to each fire station was replaced with an alerting system that utilizes a radio frequency. This new system continuously monitors alerting equipment at each fire station and confirms receipt of all alarms transmitted. We now have a more reliable alerting system in place with a monthly cost savings from obsolete phone circuits.

A second tactical radio frequency was added which allows better communications during large incidents or multiple simultaneous incidents. Equipment was integrated at existing antenna sites and expands our radio communications to a primary dispatch frequency and two tactical frequencies. A fourth radio frequency is used for station alerting as mentioned above.

The Stockton Fire Department, in a cooperative effort with the Permit Center Task Force, addressed the problem of late submittals of plans for life safety systems. A mutually beneficial solution was arrived at with input from the Fire Department, Community Development, Greater Stockton Chamber of Commerce, Builders Exchange, and Industry representatives.



*Stockton Firefighters offer fire and water safety, and emergency medical advice to citizens of the community.*

# FIRE DEPARTMENT

10-2600

## BUDGET UNITS

### A. Administration: 10-2610

The Fire Department Administration unit is responsible for establishing policies and procedures. It provides the executive supervision, planning, organization, staffing, coordination and budgeting for the department.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$856,666	\$853,697	\$814,741	(\$38,956)	(5%)
Other Services	88,996	80,091	67,063	(13,028)	(16%)
Materials/Supplies	17,752	21,127	12,327	(8,800)	(42%)
Other Expenses	5,500	9,054	8,755	(299)	(3%)
TOTAL BUDGET	\$968,914	\$963,969	\$902,886	(\$61,083)	(6%)
Position Allocation	9	9	10	1	

### 1996-97 Unit Objectives

1. Develop and implement a formal Personnel Accountability System to increase the degree of safety and efficiency for its members at large scale emergencies by June 1997.
2. Implement a customer feed-back system by providing businesses with a mail-in questionnaire evaluating inspection services and seeking comments and suggestions by September 1996.
3. Participate in the production of half-hour public education program on a monthly basis for Channel 42, emphasizing fire prevention, arson awareness, health tips and fire services available by August 1996.

### 1996-97 Unit Accomplishments

1. Project completed and implemented.
2. This is tied in with our CAD system and will be up and running when our CAD is installed December 1997.
3. Complete.

1997-98 Unit Objectives

1. Implement realignment of work assignments and responsibilities of Fire Department support staff to provide for a more efficient management team. The realignment will improve management focus in several areas including strategic planning and safety by August 1997.
2. Implement a self-assessment/accreditation program through the State Fire Marshal's Office by January 1998.
3. Evaluate the Fire Department's Worker's Compensation expenditures with a goal of reducing costs by 15 percent by June 1998.
4. Work with health maintenance providers in developing a plan which would use the fire stations for community outpatient services by May 1998.

## FIRE DEPARTMENT

10-2600

### B. Firefighting: 10-2620

The Fire Suppression unit is responsible for combating hostile fires, conserving property through overhaul and salvage operations, providing urban search and rescue, delivering advanced and basic life support medical services and providing emergency mitigation resources for hazardous materials spills and releases. This unit is also responsible for inspecting multi-residential, commercial, and industrial property for code violations and fire hazards, and for maintaining the appropriate work records, apparatus and equipment necessary to carry out its mission.

<u>Use of Funds</u>	1995-96	1996-97	1997-98	Variance	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$16,510,437	\$17,590,128	\$19,499,305	\$1,909,177	11%
Other Services	1,531,142	1,611,146	1,441,225	(169,921)	(11%)
Materials/Supplies	250,646	258,601	244,201	(14,400)	(6%)
Other Expenses	393	500	500		
Capital Outlay	23,009	80,986	34,500	(46,486)	
<b>TOTAL BUDGET</b>	<b>\$18,315,627</b>	<b>\$19,541,361</b>	<b>\$21,219,731</b>	<b>\$1,678,370</b>	<b>9%</b>
Position Allocation	213	213	213		

#### 1996-97 Unit Objectives

1. Work with the management of "target hazards" businesses to schedule onsite "simulated emergencies" and multi-company drills by May 1997.
2. Complete the SCBA face piece replacement program, which will allow for improved fire ground communications by August 1996.
3. Complete the Personal Alarm Device (PAD) replacement program by September 1996.

#### 1996-97 Unit Accomplishments

1. Conducted over 24 onsite simulated emergency multi-company drills.
2. This replacement program is now in its second of three years and on schedule.
3. This replacement program is now in its second of three years and on schedule.

1996-97 Unit Objectives (Continued)

4. Upgrade Basic Life Support (BLS) engines to EMT-D (Defibrillator) capability by June 1997.

1996-97 Unit Accomplishments (Continued)

4. Not a viable program for the SFD at this time.

1997-98 Unit Objectives

1. Review and update the Pre-Fire Plan Program, with emphasis on verifying the accuracy of existing pre-fire plans and developing plans for newly constructed or remodeled structures in our community by May 1998.
2. Implement a GIS pre-fire plan retrieval system for the Mobile Command Post by December 1997.

**FIRE DEPARTMENT**

**10-2600**

C. Fire Prevention: 10-2630

The function of the Fire Prevention unit is to provide specialized service to the Fire Department and the general public concerning fire safety requirements. Unit personnel investigate the cause of suspicious fires and hazardous materials incidents. In addition, the unit performs inspections of various operations and buildings for code compliance, life safety and inspections mandated by the State. The public education program provides fire safety lessons to elementary school students as well as adults. Plan review of all fire alarm and extinguishing systems, and the control of hazardous materials and processes are also functions of the Fire Prevention unit.

<u>Use of Funds</u>	1995-96	1996-97	1997-98	Variance	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,000,570	\$1,011,264	\$1,090,953	\$79,689	8%
Other Services	205,556	260,626	274,694	14,068	5%
Materials/Supplies	22,615	27,510	19,550	(7,960)	(29%)
Other Expenses	3,914	4,684	4,650	(34)	(1%)
<b>TOTAL BUDGET</b>	<b>\$1,232,655</b>	<b>\$1,304,084</b>	<b>\$1,389,847</b>	<b>\$85,763</b>	<b>7%</b>
Position Allocation	13	13	13		

1996-97 Unit Objectives

1. Train one captain/code enforcement officer to the advanced level of State Fire Marshal Inspector Certification and Arson Investigation Certification by May 1997.
2. Implement a computer tracking system for arson/suspicious fires by May 1997.

1996-97 Unit Accomplishments

1. On schedule.
2. To be completed August 1997.

1996-97 Unit Objectives (Continued)

3. Implement a self-inspection program for businesses, which will allow "low hazard" business to perform a fire and life safety inspection utilizing a worksheet, helping them to identify and eliminate common fire hazards year round by August 1996.

1996-97 Unit Accomplishments (Continued)

3. This is tied into the CAD system and will be on-line as soon as we have the CAD system in place by December 1997.

1997-98 Objectives

1. Move the management of the Juvenile Fire Setters Program and Neighborhood Watch Program to companies 5 and 14 by May 1998.
2. Implement an "Adopt-A-School" Program at the company level by June 1998.

**FIRE DEPARTMENT**

**10-2600**

D. Hydrant Maintenance and Pattern Improvement: 10-2640

The function of the Hydrant unit is to maintain the use of the public water supply infrastructure related to fire protection. The Hydrant unit performs three major functions within the Fire Department. The unit is responsible for the inspection and maintenance of 6,510 fire hydrants throughout the department's service area, including repair and replacement of working parts, painting, repair of vehicle-damaged hydrants, and recordkeeping. The unit is also responsible for inspection of all private underground fire service facilities associated with new construction for conformance to accepted standards, and repairing fire hoses in service for the Stockton Fire Department. We anticipate no major changes in the Hydrant unit in the next fiscal year.

<u>Use of Funds</u>	<b>1995-96</b>	<b>1996-97</b>	<b>1997-98</b>	<b>Variance</b>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 98,954	\$103,279	\$105,352	\$ 2,073	2%
Other Services	40,385	41,180	28,792	(12,388)	(30%)
Materials/Supplies	26,143	44,955	44,455	(500)	(1%)
<b>TOTAL BUDGET</b>	<b>\$165,482</b>	<b>\$189,414</b>	<b>\$178,599</b>	<b>(\$10,815)</b>	<b>(6%)</b>
Position Allocation	2	2	2		

1996-97 Unit Objectives

1. Inspect new underground mains and private fire hydrants. Service mains in service areas to ensure proper installation by June 1997.
2. Train Sr. Hydrant Worker in plan checking to assist the Fire Prevention Unit by January 1997.

1996-97 Unit Accomplishments

1. Inspected six (6) new underground mains and inspected ten (10) private hydrants.
2. Attended plan checking course.

1997-98 Unit Objective

1. Implement inspection by this division of new sprinkler systems in the City of Stockton and contracted areas to ensure proper installation by December 1997.

**FIRE DEPARTMENT**

**10-2600**

E. Training: 10-2650

The Training unit is responsible for developing and coordinating all training activities: gathering and maintaining technical data; purchasing, testing, and maintaining Fire Department equipment; verifying appropriate vehicle operating license renewal as required by the Stockton Fire Department and the Department of Motor Vehicles; and testing apparatus and equipment annually. The Training unit also ensures that Fire Department personnel meet and achieve State certification in the fields of certified firefighter, engineer, EMT-1, paramedic, and hazardous materials responder. This unit functions as the central point for purchase and dispersal of firefighting safety equipment. Unit personnel also act as safety officers at major incidents.

<u>Use of Funds</u>	<u>1995-96 Actual</u>	<u>1996-97 Appropriated</u>	<u>1997-98 Approved</u>	<u>Variance</u>	
				<u>Amount</u>	<u>Percent</u>
Employee Services	\$506,293	\$569,154	\$577,305	\$8,151	1%
Other Services	64,377	82,296	85,750	3,454	4%
Materials/Supplies	28,263	24,485	25,335	850	3%
Other Expenses	25,931	39,545	35,440	(4,105)	(10%)
 TOTAL BUDGET	 \$624,864	 \$715,480	 \$723,830	 \$8,350	 1%
 Position Allocation	 7	 7	 5	 -2	

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |  |                              |
|--|------------------------------|
| 1. Relocate to former training offices vacated by Emergency Communication Dispatch personnel by June 1997. | 1. Completed December 1996.  |
| 2. Complete a State certified confined space/heavy rescue training by June 1997.                           | 2. Completed October 1996.   |
| 3. Certify three instructors in hazardous materials operations by August 1996.                             | 3. Completed August 1996.    |
| 4. Certify two Hazmat Specialists by September 1996.   | 4. Completed September 1996. |

1997-98 Unit Objectives

1. Design a Firefighter Skills Manual of Competencies for use by Field Training Officers by August 1997.
2. Train all Fire Department personnel in the use of the new computer system by December 1997.
3. Complete State certification for three (3) Fire Control III and IV Instructors by April 1998.
4. Using new CAD Information Management Module, create a database and examination module for training records by May 1998.
5. Develop a skills manual for Heavy Rescue and Confined Space Rescue by December 1997.

**FIRE DEPARTMENT**

**10-2600**

F. Fire Dispatch Center: 10-2660

The Emergency Communications unit's mission and function is to receive and appropriately dispatch calls for service from citizens, businesses and other government agencies. Requests are of an emergency nature for fire and medical emergencies, as well as non-emergency calls, including Public Works. The unit dispatches emergency medical calls within the City of Stockton and for approximately 50,000 citizens in the contracted fire districts, as well as dispatching fire and ambulance calls for approximately 80,000 people in the South County.

Fire Communications personnel also provide Emergency Medical Dispatch (EMD). The EMD system utilizes a set of questions and their responses to determine the type and quantity of emergency medical responders to assign to the call. After the level of response has been determined, the call taker remains on the phone to give pre-arrival instructions. In times of large scale medical disasters, this center becomes the primary dispatch center for all ambulances within the San Joaquin County area.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 801,017	\$ 902,009	\$ 881,870	(\$20,139)	(2%)
Other Services	383,099	365,869	355,394	(10,475)	(3%)
Materials/Supplies	10,673	8,750	6,500	(2,250)	(26%)
Other Expenses	1,385	2,060	3,060	1,000	49%
 TOTAL BUDGET	 \$1,196,174	 \$1,278,688	 \$1,246,824	 (\$31,864)	 (2%)
 Position Allocation	 14	 14	 15	 1	

1996-97 Unit Objectives

1. Install a new station alerting system by September 1996.
2. Develop a training manual for new Emergency Communication Dispatch personnel by December 1996.

1996-97 Unit Accomplishments

1. Completed December 1996.
2. Telecommunicator I/II competencies developed by October 1996.

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1996-97 Unit Objectives (Continued)

3. Develop personnel appraisal forms for Emergency Communications Division supervisors, based on job task and development by June 1997.

1996-97 Unit Accomplishments (Continued)

3. In progress, anticipated completion June 1997.

1997-98 Unit Objectives

1. Complete a policy and procedure manual for the Emergency Communications Unit by May 1998.
2. Complete the installation/implementation of a new Computer Aided Dispatch/Records Management System (CAD/RMS) system by June 1998.

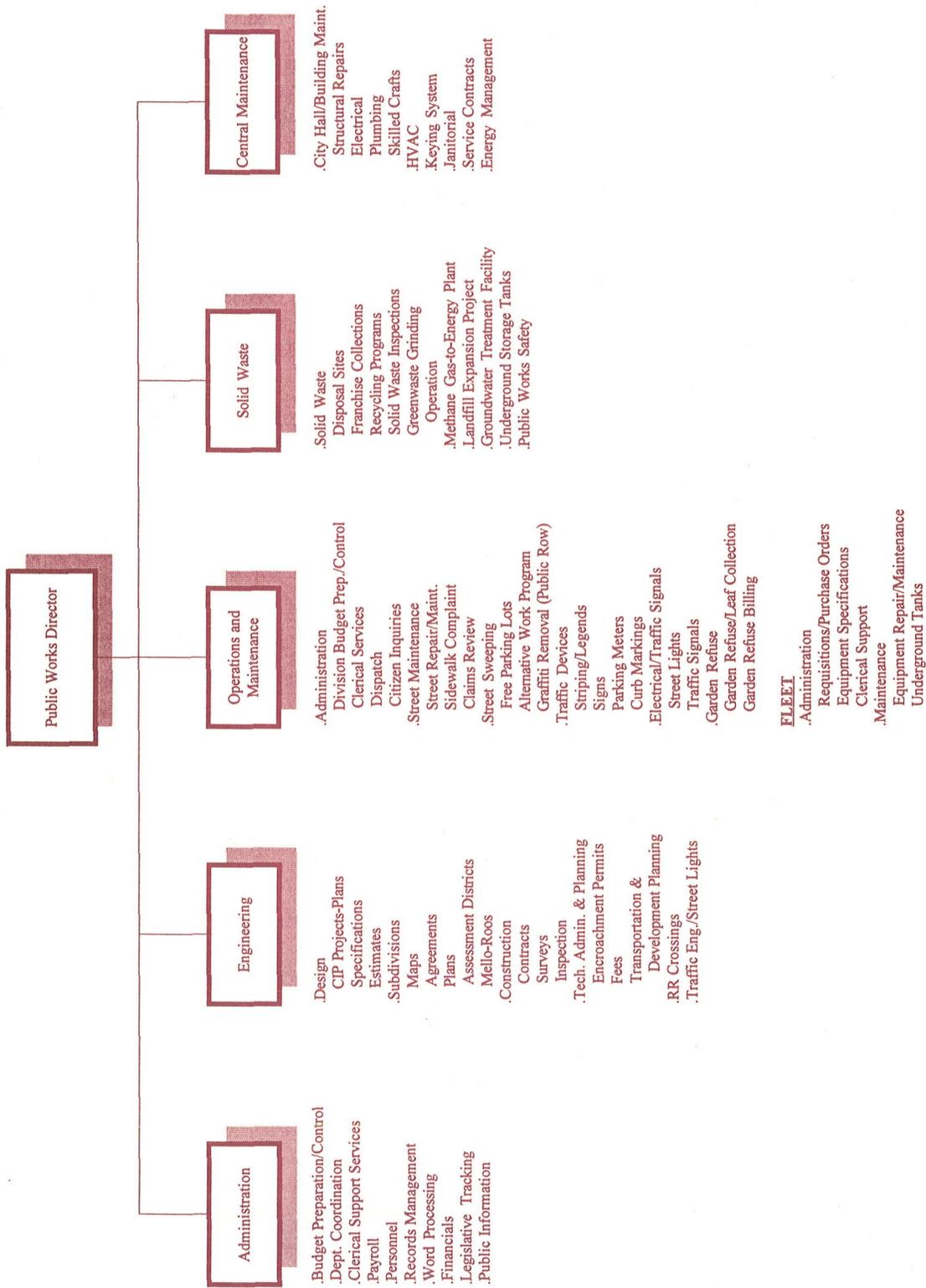


*A Stockton Firefighters shows a future fellow Firefighter what the proper technique is in handling a fire hose.*

*Stockton*

*PUBLIC WORKS*





**PUBLIC WORKS  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

**PUBLIC WORKS DEPARTMENT**

**10-3000**

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 6,286,924	\$ 6,625,923	\$ 6,991,610	\$365,687	6%
Other Services	3,297,672	3,796,541	3,893,711	97,170	3%
Materials/Supplies	864,230	770,626	737,046	(33,580)	(4%)
Other Expenses	35,275	267,171	66,519	(200,652)	(75%)
Capital Outlay	4,221				
<b>TOTAL BUDGET</b>	<b>\$10,488,322</b>	<b>\$11,460,261</b>	<b>\$11,688,886</b>	<b>\$228,625</b>	<b>2%</b>

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<b>POSITION ALLOCATION</b>	<b>141</b>	<b>146</b>	<b>146</b>
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**MISSION STATEMENT**

The Public Works Department mission is to plan, design, build, and maintain public works for residents, businesses, and departments of the City of Stockton to meet their needs and expectations with pride and professionalism in a cost-effective manner.

**HIGHLIGHTS**

Following the successful application process for the first two cycles of the Intermodal Surface Transportation Enhancement Act (ISTEA), the City received several million dollars in federal funding for roadway reconstruction, roadway rehabilitation projects, and traffic signal projects this past year. All the projects in the first cycle have been designed or the design will be completed in early 1997. The construction has started on several of these projects and will continue through the 1997 construction season (March to November). Design has begun on a number of the second cycle projects. Public Works staff will continue to utilize this source of funding in the next cycle of ISTEA applications.

The Engineering unit has implemented a project scheduling, tracking, and reporting process in order to better plan and deliver projects. Staff updates these project schedules every week for our customers. Weekly internal meetings are conducted to keep both Public Works management and other departments apprised of progress. A monthly status report is sent to the City Manager, all project managers and departments.

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**HIGHLIGHTS (CONTINUED)**

Design has been completed on several large capital projects and construction started or scheduled to start in spring 1997. These include the Hammer Lane Grade Separations at Union Pacific Railroad and Southern Pacific Railroad, Swain Road at-grade crossing at Union Pacific Railroad, the Westside Sanitary Sewer Interceptor, David Avenue, Rendon Avenue, and reconstruction projects for Filbert St., Main St., Center St., California St., and El Dorado St. The past year has seen substantial progress on the March Lane/I-5 Interchange improvements, the first of three such projects planned for I-5. Construction projects completed in 1996-97 are the Maya Angelou Southeast Library, El Dorado Street rehabilitation, traffic signals at El Dorado at Sixth, Navy Drive at Fresno, and Charter Way Fresno, the Municipal Service Center Garage Extension, Fire Station No. 2 rewiring, Fair Oaks Library air conditioning system, South East Library shelving, Police Department cooling tower, and roof replacement at Van Buskirk Community Center. Other projects nearing completion are the East Bay Municipal Utility District and Calaveras River Bike/Jogging paths, Van Buskirk Park and Parking Lot "L" parking improvements, Game Court resurfacing, and Phase III of the Taft Area Sewer improvements.

All hardware and software has been installed in the central traffic signal control center and made functional for the City's new Traffic Signal Management System. Pacific Bell completed Phases 1 and 2 of the City-wide fiber optic communication network and over 100 traffic signals are communicating with the central computer system at City Hall. The first phase of the video surveillance system (16 intersections) has been completed and is being effectively used to optimize the traffic signal timings along the March Lane and Pacific Avenue corridors.

New traffic signals were installed at the Weber Avenue intersections at Airport Way and Stanislaus Street. This project includes a railroad pre-empt system for the tracks that run down the middle of Weber Avenue at the Airport Way intersection. A new traffic signal was installed at Fresno Avenue and Charter Way (State Route 4). Funding for this signal included federal ISTEA funds, State funds, and local developer fees. A new traffic signal was installed at the intersection of Thornton Road and A.G. Spanos Boulevard. The traffic signal at Main Street and Market Street was modernized with new signal poles and channelization.

The development section of Engineering prepared the "Westside Sewer Amendment to the Westside Sewer Project Fee report" for Spanos, Grupe, Harbor Cove and La Morada projects. The unit also reviewed the Weber Ave median landscaping project, enterprise zone "Airport Gateway" Project, Weston Ranch Bridge, Lady Baxter Park, and Paul Weston Park. Development Services consolidated the various Landscape Maintenance Districts into one consolidated District at a savings of approximately \$10,000 in Engineering charges and is preparing an RFP to consolidate management services and engineering services to longer term contracts to continue more cost savings. This unit is participating in the engineering of the Waterfront Projects: Weber Point Event Center, Seawall reconstruction, Sports Complex, Banner Island and Van Buren & Lindsay Streets.

**HIGHLIGHTS (CONTINUED)**

Reengineering continued to be a major focus of the Engineering unit during 1996. The unit continued to concentrate on improvements to the Capital Project delivery system and finalized the Landscape Maintenance Districts, Agenda Item, and Street Light Development Plan Review processes. The process for Map and Plan checking is expected to be revamped in 1997, along with the way the unit is organized.

Filling a new clerical position in the Operations and Maintenance unit has resulted in improved record keeping and customer service. The added employee has been responsible for updating and maintaining the filing system, and handling phone calls from garden refuse and street light customers.

Street Maintenance and Administrative personnel performed a railroad crossing survey of all at-grade street crossings in the City. A subjective rating system was developed to define the quality of the approach and ride of a crossing. The survey also recorded the number of tracks and construction material. A letter was sent to each of the railroads who had crossings rated poor or bad, requesting they initiate repairs. Of the 104 crossings 20 were in the poor to bad category.

Traffic Devices personnel worked with Pacific Avenue Merchants Association in developing and installing new signs for public parking lots and street names. Parking meter posts were covered with plastic sleeves painted grotto green, the chosen theme color for the Miracle Mile area.

The Solid Waste unit has been taking a proactive approach towards early removal of the City's 52 underground storage tanks and replacement with above ground tanks. With removal of potential sources of contamination, tremendous savings have been realized through elimination of the very costly soil and groundwater remediation process. As of December 1996, 33 of 52 sites have been successfully closed with no further work needed. Remediation work is completed on two additional sites which are waiting closure letters. Minor remediation work is in progress on nine other sites with the last eight sites scheduled for work in 1997-98.

During the past year, the Department has been concentrating on safety-related and accident-prevention training. These programs are hazmat awareness, blood-borne pathogens, annual mandatory hearing test, CPR/First Aid, job site safety evaluation, and bi-weekly tailgate meetings as required by OSHA. In order to reduce cost and enhance scheduling, the Operation and Maintenance unit has been continuing to train their own employees as trainers. A CPR Certification-Challenging-Procedure has been initiated by the O&M unit. As a result of this Procedure the training hours needed for recertifying each employee were reduced from nine hours to six hours. In addition, the Department's Safety Committee has finished the revision of the "Injury and Illness Prevention Program" manual.

PUBLIC WORKS DEPARTMENT

10-3000

BUDGET UNITS

A. Administration: 10-3010

The Administration unit provides administrative, clerical, and fiscal support to all Public Works operations located in City Hall. In addition, it is responsible for a variety of special projects assigned by the Director. The unit provides word processing for specifications, consultant agreements, subdivision agreements, agenda reports, memos, and a variety of correspondence. It also acts as liaison with the City Manager's office and prepares public communications concerning the Department's activity.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$409,629	\$461,919	\$453,196	(\$8,723)	(2%)
Other Services	59,574	67,288	50,142	(17,146)	(25%)
Materials/Supplies	7,067	7,930	9,100	1,170	15%
Other Expenses	4,196	6,627	6,580	(47)	(1%)
<b>TOTAL BUDGET</b>	<b>\$480,466</b>	<b>\$543,764</b>	<b>\$519,018</b>	<b>(\$24,746)</b>	<b>(5%)</b>
Position Allocation	8	8	8		

1996-97 Unit Objective

1. Continue the Public Works Reengineering Project with the redesign of nine processes by June 1997.

1996-97 Unit Accomplishment

1. Complete.

1997-98 Unit Objective

1. Continue the Public Works Reengineering Project with redesign of the Engineering unit organization by June 1998.



*Recycling at the City's landfill site. The residential curbside recycling program provides residents with recycling containers to be used for the collection of newspapers and inserts, glass bottles and jars, aluminum and tin and some plastic containers.*

**PUBLIC WORKS DEPARTMENT**

**10-3000**

**B. Engineering: 10-3020**

The Engineering unit includes seven activities: Engineering/Administration, Design, Construction/Surveying, Technical Planning/Permit Administration, Traffic Engineering, Street Lighting and Development Services. The unit is responsible for the design, surveying and construction of projects designated in the Capital Improvement Program. Engineering functions also include the inspection of public/private projects that will be maintained by the City, the review and/or preparation of subdivision maps, agreements, and checking of improvement plans, traffic engineering and street lighting of City streets.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$2,018,926	\$1,840,856	\$1,963,914	\$123,058	7%
Other Services	1,235,445	1,422,520	1,291,732	(130,788)	(9%)
Materials/Supplies	192,438	177,975	99,320	(78,655)	(44%)
Other Expenses	17,121	236,390	39,900	(196,490)	(83%)
<b>TOTAL BUDGET</b>	<b>\$3,463,930</b>	<b>\$3,677,741</b>	<b>\$3,394,866</b>	<b>(\$282,875)</b>	<b>(8%)</b>
Position Allocation	48	49	49		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |   |   |
|---|---|
| 1. Complete 50% of the construction of the Hammer Lane underpasses by June 1997.                          | 1. Construction contract was awarded in January 1997. Completed 25% of the construction by June 1997. |
| 2. Start construction of the Westside Sanitary Sewer by June 1997.  | 2. Complete. Estimate total project completion by July 1999.  |
| 3. Complete 75% of the construction of the March Lane/I-5 Interchange by June 1997.                       | 3. Complete. Estimate total project completion by August 1997.  |
| 4. Complete 50% design of March/Union Pacific and the March/Southern Pacific undercrossings by June 1997. | 4. Design contract awarded December 1996.   |

1997-98 Unit Objectives

1. Complete the construction of the March Lane/Pacific Avenue Intersection Improvements by November 1997.
2. Complete the construction of the Center Street, Filbert Street, and Main Street rehabilitation projects by May 1998.
3. Complete 75% of the design of the March Lane/Union Pacific and March Lane/Southern Pacific railroad undercrossings by June 1998.
4. Complete 80% of the design for the Hammer Lane /I-5 and Benjamin Holt/I-5 Interchange Improvement projects by June 1998.
5. Complete the installation of the Citywide Traffic Signal Management System by June 1998.

**PUBLIC WORKS DEPARTMENT**

**10-3000**

C. Operations and Maintenance: 10-3060

The Public Works Operations and Maintenance unit ensures and maintains a safe, efficient, and reliable street system for Stockton residents and visitors while improving the living environment for residential neighborhoods through the timely removal of garden refuse and street cleaning. The unit enhances the City's image by having a well-maintained fleet of equipment in use.

The Operations and Maintenance unit is comprised of six activities: Administration, Street Maintenance, Street Sweeping, Traffic Devices, Traffic Signals and Street Lighting. In addition, the Garden Refuse and Fleet Activities are part of Operations and Maintenance unit, however, those two functions are budgeted separately as an Enterprise Fund and an Internal Service Fund, respectively.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$2,615,352	\$2,987,112	\$3,128,957	\$141,845	5%
Other Services	1,286,804	1,517,180	1,730,188	213,008	14%
Materials/Supplies	381,181	376,937	446,157	69,220	18%
Other Expenses	9,749	17,711	15,277	(2,434)	(14%)
Capital Outlay					
<b>TOTAL BUDGET</b>	<b>\$4,293,086</b>	<b>\$4,898,940</b>	<b>\$5,320,579</b>	<b>\$421,639</b>	<b>9%</b>
Position Allocation	58	59	59		

1996-97 Unit Objectives

1. Develop a coverage (map layer) in the Geographic Information System for street light location and maintenance data by June 1997.
2. Develop new brackets and install overhead street name signs at approximately 12 signalized locations where existing mast arms will not support conventional designs by June 1997.

1996-97 Unit Accomplishments

1. Entry of basic street light location and wattage information was completed in June 1997. Additional maintenance information will be added as the lights and circuits are worked on.
2. Bracket installation at 12 locations was completed in May 1997.

1997-98 Unit Objective

1. Improve the visibility of street name signs by installing larger street name signs (6-inch high letters) at 22 intersections including at least 10 intersections in the downtown area by June 1998.

PUBLIC WORKS DEPARTMENT

10-3000

D. Solid Waste: 10-3080

In addition to operation of the Solid Waste Enterprise Fund, the Solid Waste unit is also responsible for the following major functions: 1) administration of solid waste functions including establishing policies and long-range planning, 2) removal and remediation of all City-owned underground storage tanks, and 3) overseeing the Department's safety programs and enforcement of City Safety Guidelines.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$65,350	\$67,507	\$69,402	\$1,895	3%
Other Services	7,124	17,809	17,619	(190)	(1%)
Materials/Supplies	913	1,219	1,119	(100)	(8%)
Other Expenses	962	1,509	1,010	(499)	(33%)
<b>TOTAL BUDGET</b>	<b>\$74,349</b>	<b>\$88,044</b>	<b>\$89,150</b>	<b>\$1,106</b>	<b>1%</b>

1996-97 Unit Objectives

1. Complete risk assessment of the seven underground storage tank sites at Victory Park, Van Buskirk Golf Course (2 sites) and Fire Stations No. 1, 3, 7, and 9 by June 1997.
2. Start risk assessment of six underground storage tank sites located at the Regional Waste Water Control Facility (3 sites), Stormwater Pump Station 4, McKinley Park, and Swenson Golf Course by June 1997

1996-97 Unit Accomplishments

1. Risk assessments at the Victory Park and fire station sites are completed. Risk assessment of the Van Buskirk Golf Course site is in progress.
2. Risk assessments of all six sites have been started.

1997-98 Unit Objective

1. Complete remediation of six former underground fuel tank sites located at the Regional Waste Water Control Facility (3 sites), Storm Pump Station #4, McKinley Park, and Swenson Golf Course by June 1998.

PUBLIC WORKS DEPARTMENT

10-3000

E. Central Building Maintenance: 10-3090

The Central Building Maintenance unit is responsible for establishing policies and goals, developing long-range plans for preserving City facilities, determining resources appropriate to City department needs for work space and a safe environment, monitoring energy usage, and maintaining compliance with regulatory agencies relating to City buildings. The unit is comprised of six subdivisions as follows: Administration, Crafts Maintenance, Electrical Maintenance, Heating-Ventilation-Air Conditioning (HVAC), Janitorial Maintenance, and Civic Auditorium.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,177,667	\$1,268,529	\$1,376,141	\$107,612	8%
Other Services	708,725	771,744	804,030	32,286	4%
Materials/Supplies	282,631	206,565	181,350	(25,215)	(12%)
Other Expenses	3,247	4,934	3,752	(1,182)	(24%)
Capital Outlay	4,221				
<b>TOTAL BUDGET</b>	<b>\$2,176,491</b>	<b>\$2,251,772</b>	<b>\$2,365,273</b>	<b>\$113,501</b>	<b>5%</b>
Position Allocation	27	30	30		

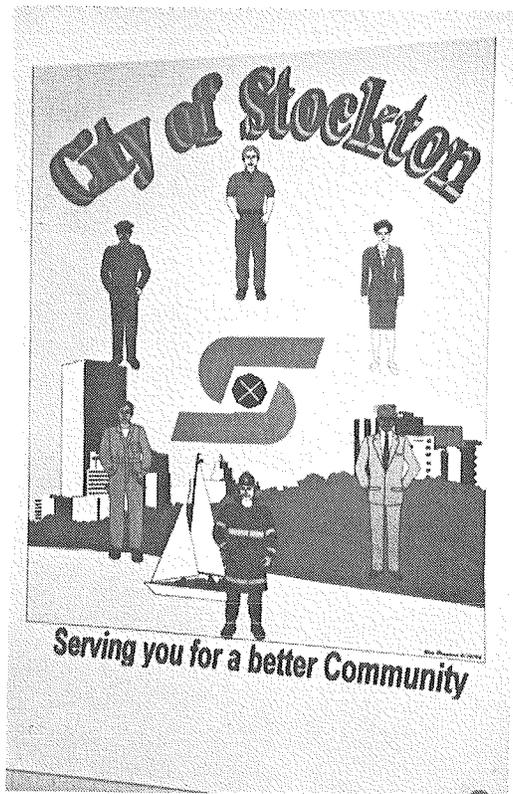
1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>1. Complete the relocation of the CBM Administrative offices to the Municipal Service Center by December 1996.</li> <li>2. Implement the HTE Work Order module for all trades by April 1997.</li> <li>3. Finalize the process for receiving, processing, and completing service requests from other City departments by March 1997.</li> <li>4. Initiate one-year, five-year, and ten-year maintenance programs for all City facilities by June 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Complete.</li> <li>2. Complete.</li> <li>3. Complete.</li> <li>4. Maintenance plans have been deferred pending a facility inventory which is currently being conducted.</li> </ol> |
|---|--|

1997-98 Unit Objectives

1. Develop and implement a facility inventory system by January 1998.
2. Design and implement a custodial model combining in-house and contract services to provide cost per square foot savings to City facilities by July 1998.
3. Develop a long-term replacement schedule for major building systems (HVAC, roofing, & carpet) by June 1998.

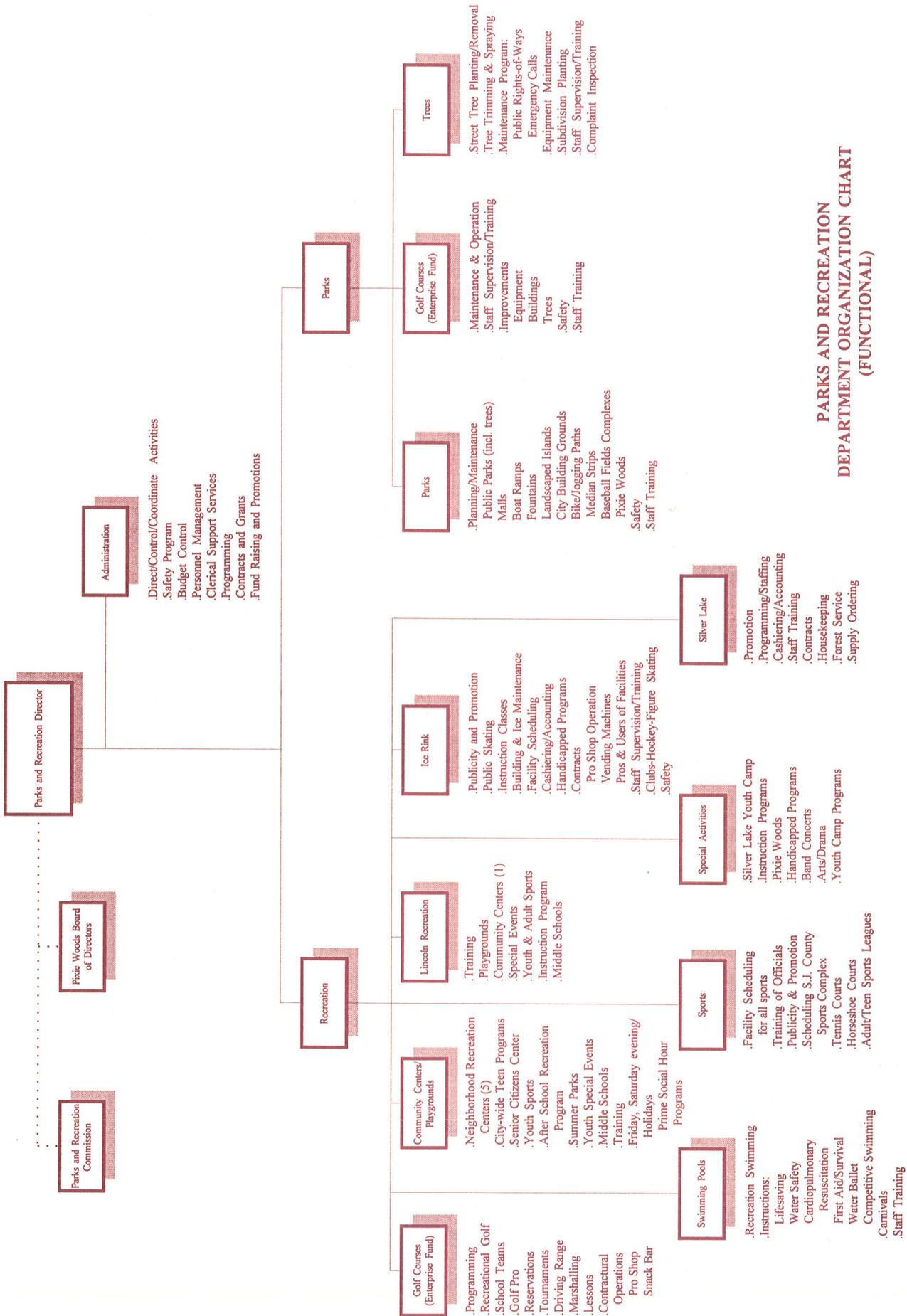


*An employee's expression of the meaning of customer service.*

*Stockton*

*PARKS AND RECREATION*





**PARKS AND RECREATION CHART  
(FUNCTIONAL)**

**PARKS AND RECREATION DEPARTMENT****10-3600**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$5,328,205	\$6,131,564	\$6,098,860	(\$32,704)	(1%)
Other Services	1,818,003	2,042,980	2,262,704	219,724	11%
Materials/Supplies	634,410	670,573	666,322	(4,251)	(1%)
Other Expenses	20,512	37,336	32,909	(4,427)	(12%)
Capital Outlay	15,639				
<b>TOTAL BUDGET</b>	<b>\$7,816,769</b>	<b>\$8,882,453</b>	<b>\$9,060,795</b>	<b>\$178,342</b>	<b>2%</b>
<b>POSITION ALLOCATION</b>	<b>96</b>	<b>98</b>	<b>91</b>	<b>-7</b>	

**MISSION STATEMENT**

The mission of the Parks and Recreation Department is to plan, develop and provide leisure time program opportunities and facilities that will meet the needs of the community now and in the future. Through these services, Parks and Recreation provides an equal opportunity for individual personal fulfillment, human relations, health and fitness, creative expression, and protection of the City's environmental and open space resources.

The Parks and Recreation Department plans, develops, programs, operates and maintains all of the City's parks and recreation facilities and programs, as well as the City street tree program. The department is organized to serve age levels from kindergarten to senior citizens. Special programs and services for the handicapped, non-English speaking, economically disadvantaged, and at-risk youth and young adults are emphasized.

**HIGHLIGHTS**

In December 1996, Parks and Recreation Director Emil Seifert retired after an illustrious forty-eight year career with the City. Under Mr. Seifert's guidance, the majority of the Stockton's parks and recreation facilities were developed to meet the demand of a fast growing and culturally diverse population. The Department also received several National and State awards for excellence in the field of municipal parks and recreation services.

The design of Weber Point and Banner Island properties was completed in 1996, following a two year process which included recommendations from a Council appointed Waterfront Revival Task Force, and several meetings to gather public input. Weber Point was designed to maximize its unique potential for use by the community for recreation, celebrations and festivals. Construction is expected to begin in July 1997.

**HIGHLIGHTS (CONTINUED)**

In July 1996, the Department and Softball Board of Directors hosted a National Amateur Softball Tournament for Girls ages 18 and under. This prestigious event brought approximately two thousand people to Stockton for a week's visit of fun and entertainment. Teams from throughout the U.S. participated, and local support through sponsorships and attendance was excellent.

The Safe Stockton Safe Youth, Summer Parks, and Community Centers Program was awarded a Helen Putman Award of Excellence from the League of California Cities and the Institute for Local Self Government in February 1997.

In December 1996, a grant application was approved to expand the boat launching facilities at Buckley Cove. The \$380,000 non-matching grant was awarded by the California Department of Boating and Waterways. Construction is scheduled to begin in the winter of 1997.

Capital projects completed during the 1996-97 budget year included Anderson Park landscaping at the site of the old Mayfair Swimming Pool; Louis Park Boat Ramp parking lot lighting upgrade; street parking along Pershing Avenue at Victory Park/Haggin Museum; pipe replacement at the Sousa Park Swimming Pool; resurfacing of the McKinley Community Center parking lot; resurfacing of game courts at Grupe, Swenson, Valverde and Van Buskirk Parks; and construction of a bike path along the north levee of the Calaveras River from 1/2 mile east of El Dorado to West Lane.

For a third consecutive year, the Parks and Recreation Department received grant funding from the San Joaquin Housing Authority for expanded recreation programs and hours of operation at Sierra Vista and Van Buskirk Community Centers.

The number of areas adopted by groups enrolled in the Adopt-A-Park/Bike Path Program grew to twenty-five during 1996-97. Volunteers do weekly cleanup, paint out graffiti and do special projects at parks and bike paths throughout the City.

To meet the community's desire for expanded recreational opportunities for youth and teens, additional trips to amusement parks, sports venues, and nature areas were offered. Membership increased in each of the six Teen Advisory Council's operated at Community Centers. Teens also participated in programs and activities offered through a joint planning effort at the Kelly Freed Teen Center, which is operated by the Boys and Girls Club.

**HIGHLIGHTS (CONTINUED)**

The Annual Parks and Recreation Volunteer Recognition Reception was held in October 1996 to honor outstanding individual and group volunteers. An estimated 5,000 people contribute over 40,000 hours of volunteer time each year to various recreation activities. The Stockton Association of Realtors also presented recognition awards to parks division personnel for their fine work in maintaining Stockton's park system.

The City received recognition as a "Tree City USA" for the sixteenth consecutive year for the City's outstanding urban forestry program. This designation was highlighted at a special Arbor Day Celebration on April 25, 1997 at Holmes Park featuring a program by students from Nightingale Elementary school.

The Silver Skate Showcase, Far West Ice Skating Championship, and Class "B" Ice Hockey Tournaments were held at the Oak Park Ice Arena. These events draw an estimated 2,500 participants for a week-long stay in Stockton.

The Summer Band Concert series was expanded from ten to twelve concerts in 1996. The two new concerts were held at McLeod Lake Park along the City's downtown waterfront. Business sponsorships help to underwrite the cost of this very popular family activity.

A successful summer program was conducted at Silver Lake Camp. Activities included Band Camp, SUSD Title IV Indian Group, American Native Indians, and Youth Science Camp. In addition, funds were received to send 120 disadvantaged youngsters to Youth Adventure Camp.

**Facility Inventory**

Currently, the City's parks and recreation facilities include: 47 parks with 510 acres of parkland; two 18-hole municipal golf courses and one 9-hole course, totaling 423 acres of open space land; 57 tennis courts, 49 night-lighted; 31 softball diamonds, 16 night-lighted; 10 baseball diamonds, 1 night-lighted; 46 multi-use courts, 22 night-lighted; 10 handball courts; 41 horseshoe pits, 21 night-lighted; 4 swim pools; 5 community centers; bike/jogging trail; 3 boat launching facilities; Silver Lake Camp, which is operated through a lease agreement with the United States Forest Service and is located in the High Sierras 100 miles from Stockton; Oak Park Ice Arena; Pixie Woods children's fairyland park; and metropolitan recreation programs. In addition, the department maintains the City's tree planting and maintenance program for 87,000 trees.

**BUDGET UNITS**

A. Administration: 10-3610

The Parks and Recreation Department's Administration unit provides the administrative leadership and clerical support required to plan, direct, and coordinate the entire department, which is divided into three budget units of Parks and Street Trees, Recreation, and Special Recreation, a separate enterprise fund for Golf Courses, and a special revenue fund for Boat Launching Facilities. The Administration unit is responsible for establishing policies and goals; developing long range plans; determining leisure activities appropriate for community needs; preparing payroll, purchase requisitions and other budget documents; administering contracts; recruiting volunteers; writing grants; providing staff support to various committees and commissions; and processing class, league, and other sports activities and facilities reservations.

<u>Use of Funds</u>	1995-96	1996-97	1997-98	Variance	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$685,515	\$819,310	\$713,678	(\$105,632)	(13%)
Other Services	90,894	86,106	77,682	(8,424)	(10%)
Materials/Supplies	23,115	20,980	17,270	(3,710)	(18%)
Other Expenses	2,222	2,489	2,097	(392)	(16%)
<b>TOTAL BUDGET</b>	<b>\$801,746</b>	<b>\$928,885</b>	<b>\$810,727</b>	<b>(\$118,158)</b>	<b>(13%)</b>
Position Allocation	10	10	10		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>1. Coordinate a Volunteer Recognition Awards Dinner in cooperation with the Stockton Parks and Recreation Commission in October 1996.</li> <li>2. Revise and condense the department's annual report by June 1997.</li> <li>3. Develop at least two information videos covering programs and facilities by June 1997.</li> <li>4. Speak to at least six civic organizations about enrolling in the Adopt-A-Park/Bike Path Program by June 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Complete.</li> <li>2. Postponed to 1997-98 to get input from new Director.</li> <li>3. Postponed due to staffing shortage to December 1998.</li> <li>4. Complete. Eight presentations made resulting in three new groups enrolled in Adopt-A-Park and Bikepath Program.</li> </ol> |
|---|--|

1996-97 City Council Objectives

1. Beginning April 1996, Staff will work with the Live Youth Program and other youth organizations to plan youth entertainment opportunities at community centers for young people.
2. By October 1996, Staff will expand teen social activities through the Teen Advisory Boards at the community centers.
3. By June 1997, Staff will establish an athletic program for teens 14-18 years of age through our adult sports program or programs conducted by other agencies.

1996-97 City Council Accomplishments

1. Complete.
2. Complete.
3. Anticipated completion in December 1997.

1997-98 City Council Objectives

1. **By January 1998, the Parks and Recreation Director will increase City-wide teenage activities which include parental involvement during prime social hours.**
2. **By April 1, 1998, the Parks and Recreation Director, working with the County and City Attorney, will assess the need, cost and liability concerns and investigate the possibilities for a skateboard park in Stockton Metro area.**

1997-98 Unit Objectives

1. Plan at least four outdoor concerts at McLeod Lake Park to attract people to the downtown waterfront by June 1998.
2. Update and distribute the Department's Gifts to Share Catalog to encourage community donations by June 1998.
3. Initiate quarterly staff meetings to inform all employees of various programs and services provided by September 1997.

B. Parks and Street Trees: 10-3620

The Parks and Street Trees unit is responsible for the planning, care and maintenance of all City public parks, malls, boat ramps, bike paths, fountains, landscaped islands and building grounds. The unit is also responsible for the preservation, care and maintenance of approximately 87,000 trees: 73,000 trees located in public rights-of-way and an additional 14,000 trees located in City parks and golf courses. The unit also operates Pixie Woods, a children's fairyland located in Louis Park. The Parks Division provides support services and assistance for many community-wide special events that utilize the outdoor recreation resources, including use of the showmobile.

<u>Use of Funds</u>	1995-96	1996-97	1997-98	Variance	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$2,893,566	\$3,070,060	\$2,982,996	(\$87,064)	(3%)
Other Services	1,300,303	1,432,977	1,504,841	71,864	5%
Materials/Supplies	388,366	393,660	395,413	1,753	
Other Expenses	17,677	26,112	24,055	(2,057)	(8%)
<b>TOTAL BUDGET</b>	<b>\$4,599,912</b>	<b>\$4,922,809</b>	<b>\$4,907,305</b>	<b>(\$15,504)</b>	
Position Allocation	67	65	58	-7	

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>1. Apply to State Department of Forestry for 1996 Tree City USA designation by December 1996.</li> <li>2. Provide in-house CPR and first aid training to all division personnel by April 1997.</li> <li>3. Study alternatives for improving the lagoon retention basin at Victory Park by January 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Complete.</li> <li>2. Complete.</li> <li>3. Study in progress; expected completion in September 1998.</li> </ol> |
|---|--|

1997-98 Unit Objectives

1. Provide personnel with proper training in the operation of special features such as the interactive fountain and fabric shade structure to be constructed at Weber Point by November 1997.
2. Begin daily maintenance of Weber Point Events Center by January 1998.
3. Update street tree inventory system utilizing new software to enhance knowledge and scheduled maintenance programs by June 1998.

**PARKS AND RECREATION DEPARTMENT**

**10-3600**

C. Recreation: 10-3630

The Recreation unit is responsible for the organization and implementation of all recreation programs and the operation and maintenance of facilities which include the Oak Park Ice Arena, swim pools, recreation centers, special activities, playground programs, sports, and Silver Lake Camp. These facilities and programs provide a wide variety of recreational opportunities that are designed to serve the needs of all ages and segments of the community.

<u>Use of Funds</u>	<b>1995-96</b>	<b>1996-97</b>	<b>1997-98</b>	<b>Variance</b>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,660,644	\$2,141,962	\$2,305,040	\$163,078	8%
Other Services	422,285	514,402	674,471	160,069	31%
Materials/Supplies	218,016	249,738	249,224	(514)	
Other Expenses	613	8,246	6,557	(1,689)	(20%)
<b>TOTAL BUDGET</b>	<b>\$2,301,558</b>	<b>\$2,914,348</b>	<b>\$3,235,292</b>	<b>\$320,944</b>	<b>11%</b>
Position Allocation	18	22	22		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |  |  |
|--|--|
| <ol style="list-style-type: none"> <li>1. Initiate a software system through HTE to improve efficiency and accuracy in program registrations, and facility and athletic scheduling by June 1997.</li> <li>2. Increase the number of Safe Youth after-school recreation sites from 26 to 28 by September 1996.</li> <li>3. Upgrade community center emergency lighting by November 1996.</li> </ol> | <ol style="list-style-type: none"> <li>1. Evaluating various systems; will select program by December 1998.</li> <li>2. Complete.</li> <li>3. Complete.</li> </ol> |
|--|--|

1996-97 Unit Objectives (Continued)

4. Increase the recruitment and development of sports officials through classroom and on-site training sessions by March 1997.
5. Train staff to conduct a program designed to improve self-esteem among program participants by November 1996.
6. Through recreation leaders, incorporate a self-esteem improvement program for participants in the Safe Youth After-School Recreation Program by January 1997.

1996-97 Unit Accomplishments (Continued)

4. Complete.
5. Complete.
6. Complete.

1997-98 Unit Objectives

1. Expand marketing and promotional efforts for all programs, with special emphasis on fee-based activities such as the Ice Arena, Silver Lake Camp, Pixie Woods, and instructional classes by March 1998.
2. Provide training to part-time staff and volunteers in specialized areas related to job responsibilities, including but not limited to "Customer Service Training", by June 1998.
3. Develop social and community programs for teens through a collaborative effort with community based organizations such as the Boys and Girls Club, and County Prevention Services (Friday Night Live), by June 1998.
4. Increase membership and involvement of Teen Advisory Boards and activities at all Community Centers by June 1998.
5. Identify areas not served by homework centers and begin the process to solicit schools or community organizations to fulfill the need with volunteer-based efforts by August 1997.
6. Revise homework centers in schools with SRO/After School Recreation Programs, where school assistance can be obtained by September 1997.

D. Special Recreation: 10-3640

The Special Recreation program provides an athletic, social, cultural, and educational service program for youth, teens and adults, and utilizes the facilities of the City of Stockton and Lincoln Unified School District as program centers. This budget is funded by the City, Lincoln Unified School District, and fees paid by program participants.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$88,480	\$100,232	\$ 97,146	(\$3,086)	(3%)
Other Services	4,521	9,495	5,710	(3,785)	(40%)
Materials/Supplies	4,913	6,195	4,415	(1,780)	(29%)
Other Expenses		489	200	(289)	(59%)
<b>TOTAL BUDGET</b>	<b>\$97,914</b>	<b>\$116,411</b>	<b>\$107,471</b>	<b>(\$8,940)</b>	<b>(8%)</b>
Position Allocation	1	1	1		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

1. Create and implement a year around intramural sports program for 7th and 8th grade boys and girls in the Lincoln Unified School District by October 1996.
2. Offer basketball leagues for high school age boys and girls during the extended hours program on Saturday evenings by November 1996.

1. Complete.
2. Complete.

1996-97 Unit Objectives (Continued)

1996-97 Unit Accomplishments (Continued)

- |   |                     |
|---|---------------------|
| <p>3. Organize development of a Sports Advisory Board in the Lincoln Unified School District community to oversee the operation of athletic programs, including disciplinary matters, by June 1997.</p> | <p>1. Complete.</p> |
| <p>4. Include a self-esteem learning component in the activities offered at Safe Youth after-school recreation sites by January 1997.</p>   | <p>2. Complete.</p> |

1997-98 Unit Objectives

1. Offer expanded programs for 1st-4th grade boys and girls, concentrating on summer basketball and indoor soccer leagues by April 1998.
2. Develop culturally based special events to attract all segments of the community and increase awareness of the Lincoln Community Center by June 1998.
3. Establish a Sports Advisory Board annual fund raising event with proceeds benefiting economically disadvantaged youth in the Lincoln Community Center service area, by January 1998.



*Pixie Woods offers a number of special events for you to enjoy such as an Easter fun day, the Annual Fish Derby, Christmas in July, Halloween Parties and more.*

*Stockton*

*SPECIAL REVENUE FUNDS*



## ***SPECIAL REVENUE FUNDS***

The funds used to appropriate for the proceeds of specific revenue sources that are restricted by law or administrative action to expenditures for specified purposes, other than those from expendable trusts or for major capital projects.

*Stockton*

*ASSET FORFEITURE*





## ASSET FORFEITURE

23-6400

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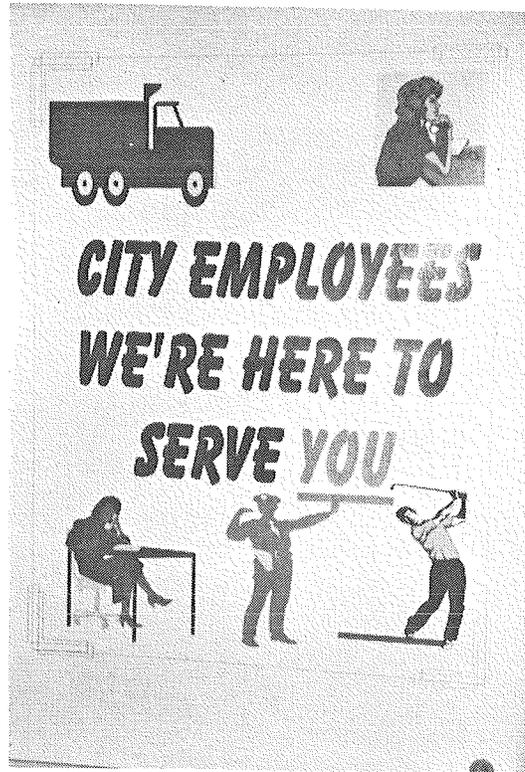
<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Other Services	\$ 41,603	\$ 19,292	\$ 22,129	\$ 2,837	15%
Materials/Supplies	30,605	28,944	62,800	33,856	117%
Other Expenses	225	400		(400)	(100%)
Capital Outlay	82,083	155,208	50,500	(104,708)	(67%)
<b>TOTAL BUDGET</b>	<b>\$154,516</b>	<b>\$203,844</b>	<b>\$135,429</b>	<b>(\$68,415)</b>	<b>(34%)</b>

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### MISSION STATEMENT

Assets used in, and profits from, drug trafficking are seized by Police Officers in narcotics-related arrests under State or Federal forfeiture laws. These assets are adjudicated by the San Joaquin County District Attorney's office or Federal Courts, and a share of the proceeds is forfeited to the City. This money is reserved by State or Federal law for expenditures to enhance law enforcement and drug/crime prevention, and may not be used to supplant existing General Fund programs and operations.

Federal asset forfeiture money must be accounted for separately from State asset forfeiture money and there are two sub-units for this purpose.

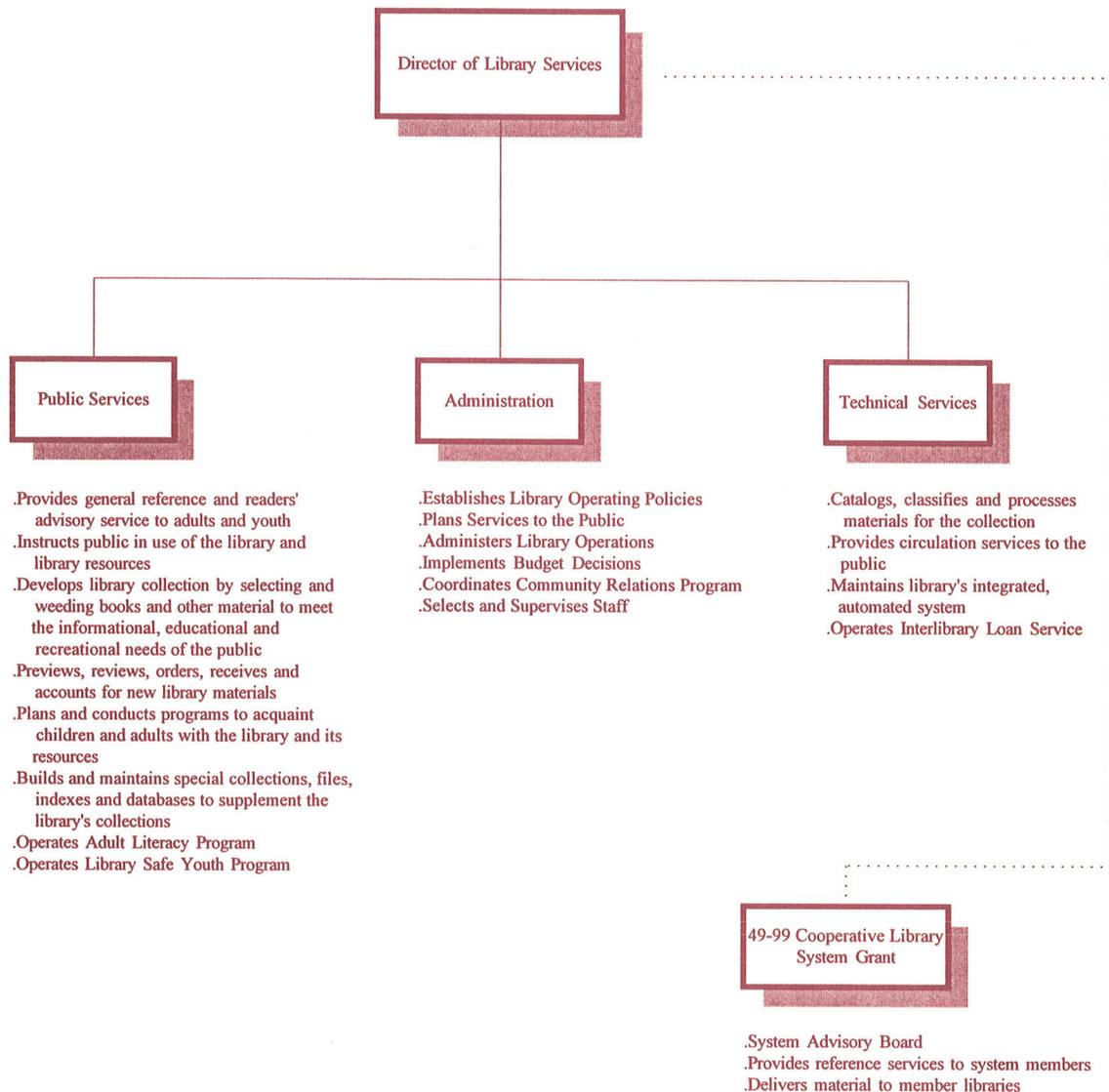


*An employee's expression of the meaning of customer service.*

*Stockton*

*LIBRARY SERVICES*





**LIBRARY SERVICES  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

## LIBRARY SERVICES

41-3500

<u>USE OF FUNDS</u>	1995-96	1996-97	1997-98	VARIANCE	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$5,076,632	\$5,441,249	\$5,657,522	\$216,273	4%
Other Services	737,545	1,327,920	1,027,622	(300,298)	(23%)
Materials/Supplies	786,173	776,874	847,265	70,391	9%
Other Expenses	45,880	24,039	24,039		
<b>TOTAL BUDGET</b>	<b>\$6,646,230</b>	<b>\$7,570,082</b>	<b>\$7,556,448</b>	<b>(\$13,634)</b>	
<b>POSITION ALLOCATION</b>	<b>125</b>	<b>125</b>	<b>100</b>	<b>-25</b>	

### MISSION STATEMENT

The mission of the Library Department is to help residents improve and enhance the quality of their lives by providing easy and timely access to print, non-print, and electronic resources for reading, viewing, listening, entertainment, study and research. To assist customers in making the best use of the Library's collections, staff provide helpful and informed advice in choosing items to borrow or to use in the Library and respond accurately and quickly to requests for information. Staff also offer assistance and instruction in locating material in the Library and in using the electronic catalog and other electronic resources. The Library selects, purchases, catalogs and makes available books and other materials in a wide range of subjects, formats and languages in order to meet the needs and interests of people of all ages, educational attainment, language skills and ethnic and cultural backgrounds. Library resources and services are provided at the Cesar Chavez Central Library, three branches in Stockton, six County branch libraries, a bookmobile, and a bookvan. In addition, the Library operates an Adult Literacy Program and offers reading and basic skills enhancement for children as part of its Safe Youth Program.

### HIGHLIGHTS

Fiscal year 1996-97 began with significant cutbacks in library hours in the six County branches as five staff were transferred into City of Stockton library operations in order to bring the cost of County service in line with County funding. As in each of the past two years, approximately \$1.8 million in County property tax revenue was transferred from the Library to the State for education funding mandated by Proposition 98. As a result of a shortfall in County funding of over \$370,000, only 11 library staff were available to offer service at the Escalon, Linden, Manteca, Ripon, Thornton and Tracy Libraries.

It had been hoped that the passage of Measure R on June 4, 1996 would not only forestall such cuts, but restore library services throughout the County to 1991-92 levels with the infusion of approximately \$5 million annually for 10 years from a 1/8-cent sales tax. Measure R, however, failed to achieve the necessary 2/3 vote. In the aftermath of Measure R, community-based committees were formed to find ways to increase library hours. The Cities of Ripon and Escalon

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**HIGHLIGHTS (CONTINUED)**

each contracted with the Library for an additional day of service on Saturdays, the City of Manteca contracted for an additional evening of service, and the City of Tracy contracted for an additional 13 hours of service each week.

A highlight of the year was the enhanced service offered by the new Maya Angelou Southeast Library/Biblioteca, opened in June 1996 and dedicated in honor of author-poet Angelou in late November. The new location, increased size of the building and collections, the addition of a Children's Librarian, the extension of hours from 24/week to 34/week, and enhanced outreach efforts resulted in tremendous increases in library use -- circulation more than doubled, program attendance more than quadrupled, and the number of requests for reference assistance almost quadrupled.

Technological enhancements continued to put the Library in the forefront of modern library service. The Library's home page provides easy access to the Internet and brings the Library's collections and reference databases to Internet users around the world. The Library brought its expertise in home page design and management to City Hall, as it became the manager of the City of Stockton's web pages. An online magazine index and database, which made the full text of thousands of articles available at all library catalog terminals, was added to the Library's resources during the year.

Partly as a result of staffing cutbacks, but also to improve library operations and decision-making, the number of top management positions was scaled back and responsibilities reassigned. A new Administrative Council was formed, bringing the experience and perspective of all front-line supervising librarians to library management. Two new "resource" positions were formed, with system-wide responsibilities for children's and adult/young adult library services.

The 1996-97 fiscal year also saw the expansion of Safe Youth reading and basic skills enhancement programs to innovative sites -- including hospitals, clinics, and adult schools. The City of Stockton was awarded a 1996-97 Helen Putnam Award of Excellence for the Library's Safe Youth program. The adult literacy program moved into renovated quarters in the Central Library; not only did this save money compared to the former offsite operation, but it helped to more closely integrate the program into the Library and better introduce new readers to library services.

Two years ago a community group spearheaded a unique fundraising effort for the library -- a telethon that not only brought in much-needed funds but also raised public awareness of library services and financial problems. Once again, volunteers and sponsors, including Continental Cablevision, produced a telethon to raise funds for the Library. The first HOLD THE LINE telethon raised over \$43,000; the 1997 telethon, to be held in September, is expected to more than match this effort.

The current budget represents the continuation of previous levels of minimal library service, especially to County outlets; supplemental funding from some of the affected cities is also expected to continue. Of 125 approved positions in 1991-92, only 100 are funded this year. However, residents should see a stabilization of library service levels. Some increases in revenues -- primarily donations, state Public Library Funds, and income from fines due to the elimination of a lower fine on children's materials -- are expected to offset slight increases in library costs.



*"Construyendo Puentes" is Spanish for "Building Bridges," and that is what the Southeast Library is doing with its bookvan -- building bridges between the Library and its surrounding neighborhoods.*

## LIBRARY SERVICES

41-3500

### BUDGET UNITS

A. Administration: 41-3510

The Library Department's Administration unit is responsible for establishing policies and goals; developing plans for library service delivery; evaluating library services and resources; determining library services appropriate to community needs; preparing the department's budget and monitoring expenditures; and recruiting, selecting, training and counseling staff. Administrative support functions include payroll, accounting and supplies purchasing/inventory; community outreach and public information; and delivery of mail and library materials throughout the City-County system.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$650,890	\$ 686,590	\$ 747,745	\$ 61,155	9%
Other Services	220,592	574,707	300,773	(273,934)	(48%)
Materials/Supplies	71,369	70,592	34,269	(36,323)	(51%)
Other Expenses	14,229	24,039	24,039		
<b>TOTAL BUDGET</b>	<b>\$957,080</b>	<b>\$1,355,928</b>	<b>\$1,106,826</b>	<b>(\$249,102)</b>	<b>(18%)</b>
Position Allocation	9	9	8	-1	

#### 1996-97 Unit Objectives

1. Begin implementation of three-year Service Plan to enhance children's services, enhance information services and resources, increase public access to the Library, and achieve a more user-friendly Library by December 1996.
2. Develop a management plan to support and achieve the Three-Year Service Plan by September 1996.

#### 1996-97 Unit Accomplishments

1. Complete.
2. Complete.

## LIBRARY SERVICES

41-3500

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### 1996-97 Unit Objectives (Continued)

3. Restructure staff managerial and supervisory responsibilities to improve reporting, coordinating and decision-making turnaround time by September 1996.
4. Raise at least \$50,000 in private donations for enhanced library services by June 1997.

### 1996-97 Unit Accomplishments (Continued)

3. Complete.
4. Complete.

### 1996-97 City Council Objectives

1. By June 1996, the Staff will provide to school district offices, the San Joaquin Office of Education and other agencies and organizations providing recreational information to youth, YOUTHLINK information on floppy disk for their use with young people.
2. By March 1997, Staff will present a report to Council on the feasibility/implementation plan for continuous updating and effective/appropriate dissemination of YOUTH-LINK information.

### 1996-97 City Council Accomplishments

1. Project infeasible due to incompatible electronic formats.
2. Complete.

### 1997-98 Unit Objectives

1. Extend existing contract with the cities of Escalon, Manteca, Ripon and Tracy for additional public service hours through June 1998.
2. Revise the Library 3-Year Service Plan goals and objectives based on results of public input forums by October 1997.

## LIBRARY SERVICES

41-3500

**B. Public Services: 41-3520**

The Library Department's Public Services unit is comprised of Acquisitions, Adult Services, Adult Literacy Program, Youth Services, Safe Youth Program, Branch Library Services, Bookmobile and Bookvan. Typical unit functions include evaluating, selecting and purchasing library materials; providing on-site and telephone reference and readers' advisory to the public; retrieving and reshelving books and materials for the public; loaning materials to the public; teaching individuals and groups how to use the Library and its computerized catalog to locate materials; assisting and instructing the public on use of the Internet and other electronic resources; operating the Adult Literacy and Safe Youth programs; and conducting on-site and outreach programs to acquaint people of all ages with what the Library offers.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$3,399,761	\$3,534,357	\$3,790,034	\$255,677	7%
Other Services	302,140	377,947	343,076	(34,871)	(9%)
Materials/Supplies	687,286	658,841	768,363	109,522	17%
<b>TOTAL BUDGET</b>	<b>\$4,389,187</b>	<b>\$4,571,145</b>	<b>\$4,901,473</b>	<b>\$330,328</b>	<b>7%</b>
Position Allocation	91	91	69	-22	

1996-97 Unit Objectives

1. Input pattern records and other required data for 500 government document serials in the online catalog by December 1996.
2. Review, select and purchase 30,000 new items for the collection, including 2,500 in non-English languages, by June 1997.

1996-97 Unit Accomplishments

1. Project on indefinite hold pending U. S. Printing Office decision on transitioning to electronic documents and implementation of Government Printing Reform Act of 1996. It is unclear at this time which, if any, serials will continue to be printed in hard-copy format.
2. Complete.

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1996-97 Unit Objectives (Continued)

3. Input/scan "ready reference" files into appropriate PC software for use at reference desks with personal computers and update file monthly by December 1996.
4. Increase hours of service for new Southeast Library to 40 hours/week by December 1996.
5. Operate Safe Youth Families Reading Together programs at 20 schools by June 1997.

1996-97 Unit Accomplishments (Continued)

3. Project delayed indefinitely pending purchase of Z39.50 software; grant application for software was unsuccessful; other options being explored.
4. Hours extended to 34/week in January 1997.
5. Complete.

1997-98 Unit Objectives

1. Convert subject index of children's picture books database into searchable online format for inclusion in Library's computerized catalog by June 1998.
2. Scan "ready reference" index card files into searchable online database with Z39.50 access to text documents and graphic images referred to in files by December 1997.
3. Extend Safe Youth Reading Together series for expectant parents to one additional hospital site by December 1997.
4. Offer 6 formal training sessions to the public on use of the Library's electronic catalog, Internet and other electronic resources by November 1997.
5. Complete a Quality Service Project to review and revise the Library's circulation policies and procedures to streamline operations and enhance customer service by June 1998.

## LIBRARY SERVICES

41-3500

C. Technical Services: 41-3530

The Library Department's Technical Services unit function is to organize the Library's collection and to create and maintain catalogs and databases so the materials can be located and used quickly and easily by the public and by staff. It also oversees the loan and return of library materials. Unit activities include cataloging, classifying and processing all book and non-book materials for the Library; maintaining the computerized catalog and all subsystems of the integrated computer system; managing notification and collection of overdue accounts; circulating materials from the Central Library; operating the interlibrary loan service; and providing support for all personal computers, peripherals and software operated by staff.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,025,981	\$1,220,302	\$1,119,743	(\$100,559)	(8%)
Other Services	214,813	375,266	383,773	8,507	2%
Materials/Supplies	27,518	47,441	44,633	(2,808)	(6%)
Other Expenses	31,651				
<b>TOTAL BUDGET</b>	<b>\$1,299,963</b>	<b>\$1,643,009</b>	<b>\$1,548,149</b>	<b>(\$ 94,860)</b>	<b>(6%)</b>
Position Allocation	25	25	23	-2	

1996-97 Unit Objectives

1. Catalog at least 9,000 new titles and process at least 37,500 volumes by June 1997.
2. Begin upgrading communications network to Fair Oaks Library to prepare for future PC-environment for public access to online services by December 1996.

1996-97 Unit Accomplishments

1. Complete; exceeded by 10,000 volumes.
2. Complete.

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1996-97 Unit Objectives (Continued)

3. Develop a Library Technology Plan for the next three years by June 1997.
4. Maximize access to and identification of resources on the Internet by completing development of a Library Home Page for use by library staff and the public by June 1997.

1996-97 Unit Accomplishments (Continued)

3. Delayed to 1997-98 fiscal year, pending input from public forums and recruitment of new computer systems supervisor.
4. Complete.

1997-98 Unit Objectives

1. Begin upgrading communications network to Manteca and Tracy Libraries to prepare for future all-PC environment for public access to online services by June 1998.
2. Develop a Library Technology Plan to support the revised 3-Year Service Plan by April 1998.
3. Train cataloging staff to enable participation in the Name Authority Cooperative (NACO), which will contribute name authority records (standard format for personal or organizational names) to the Library of Congress' Name Authority File by December 1997.



**STOCKTON MEMORIAL CIVIC AUDITORIUM (1924-25)**  
*525 N. Center Street*

*The Civic Auditorium is a Classic Revival style structure. The front is embellished with six massive Roman Doric columns, and the entire building is encircled with a rich Doric frieze and cornice bearing the one-time emblems of the branches of the U. S. Army and U. S. Navy. Over the seven front entrances are beautifully sculptured panels finished in polychrome colors representing the seals of the U. S. Government, State of California, City of Stockton, American Legion, Veterans of Foreign Wars, Spanish War Veterans, and the Grand Army of the Republic.*

*The main dome of the Auditorium is enclosed by a large monitor. The front lobby has richly ornamented walls and California marble floors. The lobby opens directly into a circular arena of 130 feet in diameter, which is surrounded on three sides by a large circular balcony. The ceiling of the Auditorium is in the form of a flat dome that is decorated in harmonious colorings with a beautiful art glass skylight in the center. The main stage is equipped for most any type of stage production. Local architect Glen Allen and associates W. J. Wright and Ivan C. Satterlee were engaged for the project. Stockton's Frank Tucker was the general contractor and all other contracts for the building were let to local concerns.*

*Stocktonians conducted bond drives to fund construction of the Memorial Auditorium to honor those who gave their lives while serving in the Armed Forces in World War I.*

*Stockton*

*BOAT LAUNCHING FACILITIES*





## BOAT LAUNCHING FACILITIES

45-3660

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<u>USE OF FUNDS</u>	1995-96	1996-97	1997-98	VARIANCE	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 1,129	\$ 3,867	\$ 6,622	\$ 2,755	71%
Other Services	75,840	86,696	88,792	2,096	2%
Materials/Supplies	882	2,000	2,000		
<b>TOTAL BUDGET</b>	<b>\$77,851</b>	<b>\$92,563</b>	<b>\$97,414</b>	<b>\$ 4,851</b>	<b>5%</b>

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### MISSION STATEMENT

The mission of the City of Stockton boat launching facilities is to provide the public with well maintained, safe and attractive boating areas. Facilities are located at Buckley Cove, Louis Park and Morelli Park.

### HIGHLIGHTS

In 1993-94 the Council established parking fees at Buckley Cove, which provide a dedicated special revenue source to financially support the marina. The name of the fund was changed to "Boat Launching Facilities" in 1995 after the Council decided to also establish parking fees for boat launching areas at Louis and Morelli Parks.

Revenue collected from the fees fund the cost of related boat ramp and parking lot maintenance, contract services to provide security and staffing of attendant booths, and capital improvements. Revenue from fees will also cover repayment of a short-term cash flow loan from the General Fund to finance initial capital improvements made at Buckley Cove.

Fee collection began in July 1995 at Buckley Cove when the toll booth project and other improvements were completed. Grupe Patrol Services (now Hawkeye Security Services) was awarded a two year contract to provide fee collection and security services. They also installed video surveillance cameras following the opening of the toll booth.

In January 1997, the City signed a agreement authorizing grant funds in the amount of \$380,000 from the California Department of Boating and Waterways to be used for improvements at Buckley Cove. The project will include construction of one new ramp, improvements to existing ramps, parking lot repaving, and completion of wrought-iron security fencing. The first phase of the project was development of an environmental impact report (EIR), which has been completed. Construction is expected to begin in November of 1997.

In May 1996, the lighting system serving the Louis Park Boat Launching parking lot was renovated. The project included installation of metal poles and underground wiring to meet City standards.

## BOAT LAUNCHING FACILITIES

45-3660

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### 1996-97 Objectives

1. Initiate fee collection at the Morelli Park Boat Launching facility by June 1997.
2. Through outside contract, complete EIR for planned capital improvements at Buckley Cove by June 1997.
3. Investigate possible expansion of the parking lot at Morelli Park to accommodate additional boats and overnight camping by June 1997.

### 1996-97 Accomplishments

1. Postponed until a decision is reached concerning acquisition of adjacent property from CalTrans for expansion of the parking lot.
2. Complete.
3. Discussions are in progress.

### 1997-98 Objectives

1. Complete plans and specifications for grant funded capital improvements planned for Buckley Cove by October 1997.
2. Develop a request for proposals to provide fee collection and security services at Morelli Park by December 1997.

*Stockton*

*STREETS AND BUSINESS IMPROVEMENT*





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**FUNCTION**

These special revenue funds are established to administer and account for the special business license tax collected on behalf of the businesses in the Area 1-B Business Improvement Association in the downtown area and the Pacific Avenue Miracle Mile Improvement Association. These improvement associations were formed by the businesses in the areas and by law are required to utilize the services of the City for collection, administration and Council approval of the funds. The City receives payment for the costs of this administration of 5% of the total tax collected.

These funds are generally used by the Associations to provide a clean, safe and aesthetically pleasing environment for shopping and conducting business. Funds are also used to encourage use of the areas.

Area 1-B Improvement Association (073-6701)	\$ 44,000
Miracle Mile Improvement Association (073-6702)	<u>76,800</u>
Total	\$120,800



*A member of the City Tree Crew helps a youngster plant a tree as part of an Arbor Day Celebration.*

*Stockton*

*PUBLIC FACILITY FEES ADMINISTRATION*





**PUBLIC FACILITY FEES ADMINISTRATION**

**999-9110**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$195,637	\$204,619	\$216,090	\$ 11,471	6%
Other Services	33,924	96,788	97,270	482	1%
Materials/Supplies	1,425	1,310	1,310		
Other Expenses		1,050	1,050		
<b>TOTAL BUDGET</b>	<b>\$230,986</b>	<b>\$303,767</b>	<b>\$315,720</b>	<b>\$11,953</b>	<b>4%</b>

**FUNCTION**

In September 1988, the City Council established public facilities fees, as authorized by AB 1600. The development fees were established to mitigate impacts of new development that creates the need for fire stations, libraries, police station expansion, parkland acquisition and development, streets, community centers, surface water supply and City Hall office space. A separate fund has been established for the administration as well as each area and function. These are listed on the following pages.

The Public Facility Fees Administration program is responsible for the analysis, accounting, budgeting, and monitoring of fees collected as defined in Stockton Municipal Code Sections 16-175, which imposed public facilities fees on new development.

The administration function includes: calculating fees, exemptions, credits, reimbursements, and revenue projections; preparing an annual financial report; fee collections; developing the Capital Improvement Program schedule for developer projects; addressing public inquiries; and updating fee schedules and lists of improvements.

1996-97 Objectives

1. Complete the automation of the Public Facility Fees Annual Report utilizing the Extended Reporting Module of the City's computerized financial management system by November 1996.

1996-97 Accomplishments

1. Upon further evaluation of the Public Facilities Fees Report, it has been determined that the Extended Reporting Module does not currently offer an efficient method for producing this report. If this software is enhanced in the future to provide expanded report formatting options and the ability to produce a camera ready document, this objective will be re-evaluated at that time.

1996-97 Objectives (Continued)

1996-97 Accomplishments (Continued)

2. Develop a public information brochure that summarizes the Public Facility Fees Administrative Guidelines for distribution to Permit Center customers by June 1997.

2. Complete.

1997-98 Objective

1. Expand the format of existing public documents to incorporate additional reporting requirements related to development fees (per Senate Bill 1693) by June 1998.

## **PUBLIC FACILITY FEES FUNDS**

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The appropriation for these funds is incorporated in the Capital Improvement section of this document. The explanation of each of the Public Facility Fees Funds is as follows:

### **900 through 904 - TRAFFIC SIGNAL IMPACT FEE**

Provides for traffic signals as growth and development warrants and in compliance with the City's Traffic Signal Priority Rating List.

### **910 through 916 - STREET IMPROVEMENTS IMPACT FEE**

Provides for a specific set of off-site nonadjacent traffic improvements necessary to accommodate the increase in traffic generated by new development.

### **920 through 926 - COMMUNITY RECREATION CENTERS IMPACT FEE**

To provide for construction and/or expansion of Centers as required by growth.

### **930 - CITY OFFICE SPACE IMPACT FEE**

To provide for additional office space in order to maintain the present level of service as the City grows.

### **940 through 946 - FIRE STATIONS IMPACT FEE**

To provide for new (and/or relocation) of fire stations as required by growth/new development.

### **950 through 956 - LIBRARY IMPACT FEE**

To provide for new libraries as required by growth/new development.

### **960 - POLICE STATION IMPACT FEE**

To provide for expansion of the police station as required by growth/new development.

### **970 through 979 - PARKLAND, STREET TREES AND STREET SIGNS IMPACT FEES**

Acquires land and develops regional and neighborhood parks. To also provide for necessary trees and street signs as new developments are established.

### **980 through 986 - STREET LIGHT IN-LIEU FEE**

To provide for proportionate cost of street light installation for subdivisions of four or less parcels and single lot development.

### **990 through 996 - AIR QUALITY MITIGATION IMPACT FEE**

To provide for the partial mitigation of adverse environmental effects and establish a formalized process for air quality standards as growth and development require.

## PUBLIC FACILITY FEES FUNDS

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### 997 - HABITAT/OPEN SPACE CONSERVATION IMPACT FEE

To provide for the mitigation of wildlife habitat due to the decrease of agricultural and other habitat lands caused by urban development growth.

### 998 - INFRASTRUCTURE FINANCING DISTRICT 92-1

The Infrastructure Financing District 92-1 is a mechanism for the deferral and financing of a portion of the public facility fees.

### 999 - PUBLIC FACILITY FEES ADMINISTRATION

Public Facility Fees Administration covers the cost of reviewing, analyzing and administering the Public Facility Fees Program.

### 424 - WATER CONNECTION FEE

To provide for expansion of production and distribution facilities in the water utility as growth and development require.

### 434 - WASTEWATER CONNECTION FEE

To provide for expansion of collection and treatment capacities in the wastewater utility as growth and development require.

### 687 - SURFACE WATER FEE

To pay the portion of the costs of the New Melones Water Conveyance Project that is attributable to new development. (Collected by the City and paid to Stockton East Water District.)

In addition to capital project funding as detailed in the Capital Improvement Program section of this document, the water and wastewater connection fee funds also pay debt service for existing bonds. The amounts budgeted for fiscal year 1997-98 debt service are as follows:

Water Connection Fee Fund (424-4210)	\$ 273,094
Wastewater Connection Fee Fund (434-4321)	\$2,622,702
Westside Interceptor Connection Fee Fund (436-4321) for planned COP Issue	\$ 975,000

*Stockton*

*ENTERPRISE FUNDS*



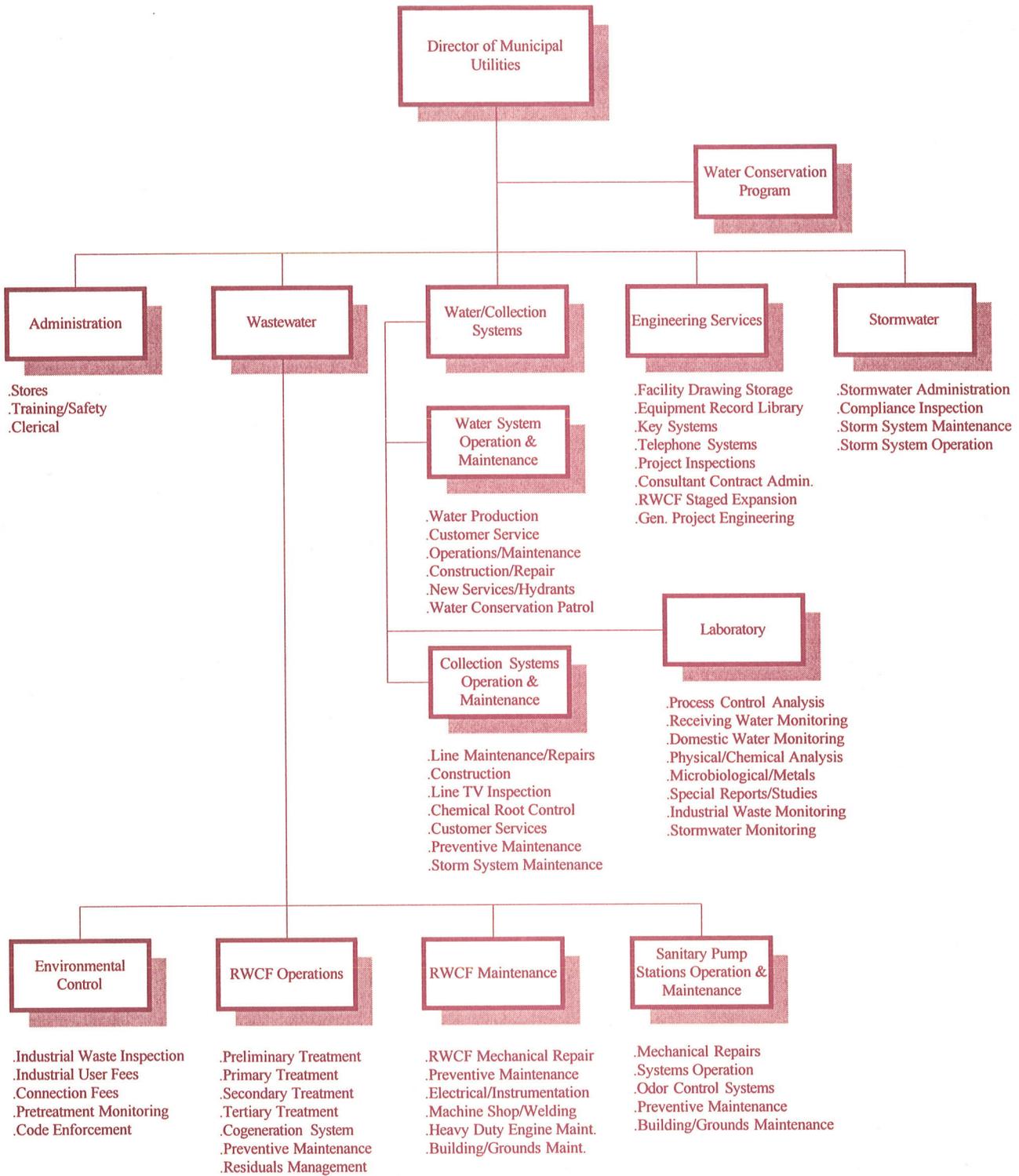
## ***ENTERPRISE FUNDS***

The funds used to appropriate for operations that are financed and operated in a manner similar to private business enterprises - where the intent of the City Council is that the costs of providing goods and services to the general public on a continuing basis be financed or recovered primarily through user charges; or where the City Council had decided that periodic determination of income is appropriate for accountability purposes.

*Stockton*

*MUNICIPAL UTILITIES*





**MUNICIPAL UTILITIES  
DEPARTMENT ORGANIZATION CHART  
(FUNCTIONAL)**

**MUNICIPAL UTILITIES DEPARTMENT  
WATER**

**421-4200**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$2,173,213	\$ 2,605,519	\$ 2,743,823	\$138,304	5%
Other Services	1,294,520	1,623,917	2,036,250	412,333	25%
Materials/Supplies	3,166,825	3,418,158	3,541,463	123,305	4%
Other Expenses	1,388,963	2,830,563	3,049,759	219,196	8%
Capital Outlay	4,997	6,700	1,200	(5,500)	(82%)
<b>TOTAL BUDGET</b>	<b>\$8,028,518</b>	<b>\$10,484,857</b>	<b>\$11,372,495</b>	<b>\$887,638</b>	<b>8%</b>
<b>POSITION ALLOCATION</b>	<b>28</b>	<b>28</b>	<b>28</b>		

**MISSION STATEMENT**

The mission of the Water Fund is to provide the community with a safe, wholesome supply of water at adequate pressures, suitable for fire suppression, municipal and industrial uses at the lowest possible rates consistent with sound business practices.

**HIGHLIGHTS**

1. Continued the implementation of the elements of the Urban Water Management Plan as directed by the City Council including additional elements from the 1995 update.
2. Enforced the Water Conservation Ordinance at the "phase one" level. Customer use in the 1996 conservation period was a 7 percent reduction in the City Water Utility. Staff also initiated the reporting procedures for the Water Conservation Plan submitted to the Bureau of Reclamation by Stockton East Water District to comply with the New Melones contract.
3. City staff, working with California Water Service Company, San Joaquin County and Stockton East Water District, completed negotiations with South San Joaquin Irrigation District and Oakdale Irrigation District to purchase 30,000 acre feet of water for a ten year period with options to renew.
4. Completed the conversion of four wells to alternate disinfection systems.
5. Initiated contract to upgrade three natural gas engine well stations to best available technology exhaust systems to comply with air quality control regulations.
6. The Sally-Save-Water Program received national recognition from the Bureau of Reclamation in 1996 for its grade school education of water conservation in Stockton. This program is sponsored by California Water Service Company, San Joaquin County, Stockton East Water District and the City of Stockton.

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**HIGHLIGHTS (CONTINUED)**

7. Water Utility staff worked with Parks and Recreation to upgrade 14 park service connection backflow devices to meet State Department of Health Services standards.
8. The Supervisory Control and Data Acquisition (SCADA) system contract for the water production facilities was awarded and construction is in progress.
9. The contract to construct well and pump equipment for Well SSS #3 was initiated and work is in progress.
10. The contract to drill Well No. 28 was initiated and work is in progress.



*The Civic Auditorium as seen from Martin Luther King Plaza.*

**MUNICIPAL UTILITIES DEPARTMENT  
WATER**

**421-4200**

**BUDGET UNITS**

A. Administration: 421-4210

This unit provides the administrative functions of supervising, planning, coordinating, controlling, organizing, and maintaining financial and operational control of the water utility and sanitary collection systems to insure achievement of the department's and unit's objectives.

This unit is also responsible for developing plans and specifications for various water transmission and production facilities to keep CIP projects on schedule, monitoring and meeting all State and Federal requirements for potable water, water conservation, and attending meetings in order to report on various water issues at local, State, and Federal levels to the Director, City Manager, and City Council.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 229,899	\$ 261,890	\$ 303,585	\$41,695	16%
Other Services	375,494	399,383	500,653	101,270	25%
Materials/Supplies	2,380	10,310	10,400	90	1%
Other Expenses	1,119,187	2,434,703	2,535,377	100,674	4%
<b>TOTAL BUDGET</b>	<b>\$1,726,960</b>	<b>\$3,106,286</b>	<b>\$3,350,015</b>	<b>\$243,729</b>	<b>8%</b>
Position Allocation	3	3	3		

1996-97 Unit Objectives

1. Select site and initiate design for a replacement well in the North Stockton System (replacement for Well No. 3) by June 1997.
2. Initiate update of the South Stockton System Master Water Plan to include all areas within the area of influence and General Plan by June 1997.

1996-97 Unit Accomplishments

1. Complete.
2. Under review. Delayed due to competing engineering priorities.

MUNICIPAL UTILITIES DEPARTMENT  
WATER

421-4200

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1996-97 Unit Objectives (Continued)

3. Drill Well No. 28 in the Blossom Ranch subdivision by June 1997.

1996-97 Unit Accomplishments (Continued)

3. Project bid; award of contract under review; drilling completion date June 1997.

1997-98 Unit Objectives

1. Complete implementation of State and Federal Best Management Practices for Water Conservation by December 1997.
2. Develop South Stockton Water System Master Plan by June 1998.
3. Drill test hole for replacement well no. 29 by June 1998.
4. Construct Well No. 28 in the Blossom Ranch subdivision by June 1998.

**MUNICIPAL UTILITIES DEPARTMENT  
WATER**

**421-4200**

**B. Operations and Maintenance: 421-4230**

This unit operates and maintains the City's growing water utility. The facilities operated and maintained provide for the production, treatment, storage, transmission, and distribution of over six billion gallons of potable water to approximately 92,000 consumers on a continuous basis. In addition, this unit installs, services and reads over 30,000 customer meters monthly, responds to consumer inquiries/complaints, makes emergency repairs, and maintains close contact and coordination with homeowners, developers, contractors and other utilities as necessary for the successful delivery of services in accordance with customer demand and in compliance with State and Federal regulations.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,943,314	\$2,343,629	\$2,440,238	\$ 96,609	4%
Other Services	919,026	1,224,534	1,535,597	311,063	25%
Materials/Supplies	3,164,445	3,407,848	3,531,063	123,215	4%
Other Expenses	269,776	395,860	514,382	118,522	30%
Capital Outlay	4,997	6,700	1,200	(5,500)	(82%)
 TOTAL BUDGET	 \$6,301,558	 \$7,378,571	 \$8,022,480	 \$643,909	 9%
 Position Allocation	 25	 25	 25		

1996-97 Unit Objectives

1. Exercise 700 mainline valves by June 1997.
2. Improve the public information campaign on House Retrofit Conservation Kits and distribute 500 home retrofit conservation kits by June 1997.
3. Install and evaluate an alternative disinfection system on one well by December 1996.

1996-97 Unit Accomplishments

1. Complete.
2. Complete.
3. Complete.

MUNICIPAL UTILITIES DEPARTMENT  
WATER

421-4200

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1997-98 Unit Objectives

1. Select, purchase and install a computer based maintenance program by June 1998.
2. Exercise 1,000 mainline valves by June 1998.
3. Install ten water quality sample stations by June 1998.
4. Upgrade eight disinfection systems on well production facilities by June 1998.
5. Implement Water Conservation Program at a level directed by the City Council by May 1998.



*The entrance to the Regional Wastewater Control Facility.*

**MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER**

**431-4300**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 7,135,940	\$ 7,860,492	\$ 8,258,268	\$397,776	5%
Other Services	5,254,323	5,936,121	5,937,248	1,127	
Materials/Supplies	4,048,373	4,429,613	4,259,719	(169,894)	(4%)
Other Expenses	2,358,628	5,261,342	6,024,605	763,263	15%
Capital Outlay	48,276	56,455	43,600	(12,855)	(23%)
<b>TOTAL BUDGET</b>	<b>\$18,845,540</b>	<b>\$23,544,023</b>	<b>\$24,523,440</b>	<b>\$ 979,417</b>	<b>4%</b>
<b>POSITION ALLOCATION</b>	<b>142</b>	<b>142</b>	<b>142</b>		

**MISSION STATEMENT**

The mission of the Wastewater Fund is to achieve and maintain a facility operation that consistently meets all legal and regulatory requirements; ensures optimal efficiency and cost effectiveness; and achieves the highest level of service to the public consistent with available funding and application of the latest technology. The Wastewater fund staff attempts to emulate the best management practices of the private sector in order to be in the most advantageous competitive position.

**FUNCTION**

This fund, under the direction of the Municipal Utilities Director, is comprised of three units:

The Municipal Utilities Department (MUD) Administration unit directs and coordinates all three MUD enterprise funds; Water, Wastewater, and Stormwater. Costs related to the Water and Stormwater funds are charged to those funds. The department-wide Administration Unit is located in the Wastewater Fund because it is the largest of the three funds.

The Wastewater Administration unit supervises and coordinates the operations, monitoring, maintenance and related activities of the wastewater treatment plant, tertiary facility and sanitary pumping stations. This unit is also responsible for funding customer accounts administration, maintenance of billing records, customer service, collection of revenue, and computer billing services.

The Operations and Maintenance unit provides primary and secondary treatment of sanitary sewer wastewater, as well as treatment, conditioning and disposal/reuse of the solids removed during the treatment processes, plus physical and chemical treatment at the tertiary plant facility, resulting in a high quality effluent which can be discharged into the San Joaquin River.

MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER

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431-4300

FUNCTION (CONTINUED)

The O & M unit is also responsible for maintaining all of the physical plant facilities involved in the transmission, pumping, treatment, and conditioning of wastewater, including pumps, motors, transmission pipelines, buildings, electrical and mechanical equipment.

HIGHLIGHTS

During this budget year, the Wastewater Division completed design of all four major components of the RWCF Staged Expansion Program Stages I and II. This included the sludge loading and septic receiving station, additional solids handling units (digesters), additional primary sedimentation basins, and an additional biological oxidation tower. Design of a new sewer crew and maintenance facility was also completed, along with a new cogeneration system to be housed in the existing maintenance facility.

A computer control console was installed and placed into use at the Tertiary treatment plant site. This computer system provides continuous monitoring of pH, dissolved oxygen, flow, temperature, chlorine and sulfur dioxide use through the Tertiary treatment process before discharge to the San Joaquin River.

Initial steps were undertaken to secure a Delta Water Right. These were based upon State Law giving cities the right to supplies commensurate with their needs, the opportunity or diversions equivalent to treated effluent discharged, and area of origin protection.

The Department's Emergency Operations Plan was successfully implemented during the January flood.



*Municipal Utilities Sewer Treatment Pond.*

**MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER**

**431-4300**

**BUDGET UNITS**

A: Administration: 431-4310

The Municipal Utilities Department Administration unit manages, directs and coordinates water, wastewater, and stormwater utility services to meet customer needs, comply with all federal and state requirements, and manages engineering services involving design and construction for capital projects in all three utilities. Costs related to the Water and Stormwater Enterprise Funds are charged directly to those funds.

The Municipal Utilities Department has three primary functions: retail water production and distribution; wastewater collection and treatment; and stormwater management.

Operation of the Regional Wastewater Control Facility (RWCF) is a 24 hour a day, 7 days a week, 365 days a year activity. Operators oversee the facility's functions, and maintenance personnel maintain and repair approximately \$70 million of equipment and facilities, including sanitary and storm pump stations.

More than 1,170 miles of underground sanitary and stormwater pipelines require continuous inspection and maintenance. The underground pipelines collect and transmit the sewage for treatment at the RWCF, and stormwater is collected and discharged to various receiving waters in the area.

The water distribution program serves three service areas: the incorporated area primarily north of the Calaveras River; the Diamond Sunsweet area, and the area south of Carpenter Road including the Weston Ranch subdivision and the industrial area around the Stockton Metropolitan Airport. The City Water Utility also operates the County's water system primarily serving the County hospital and jail facilities. The provision of water service involves connection of new accounts; provision of source of supply, including operation of the wells and surface water distribution system; operation and maintenance of reservoirs and the distribution system; meter reading; and billing for service.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$510,245	\$493,984	\$519,765	\$25,781	5%
Other Services	161,300	252,996	269,242	16,246	6%
Materials/Supplies	34,690	57,865	39,820	(18,045)	(31%)
Other Expenses	11,441	13,780	13,782	2	
<b>TOTAL BUDGET</b>	<b>\$717,676</b>	<b>\$818,625</b>	<b>\$842,609</b>	<b>\$23,984</b>	<b>3%</b>
Position Allocation	21	21	21		

MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER

431-4300

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1996-97 Unit Objectives

1. Revise sewer master plan for serving the Central Stockton Sewer Interceptor watershed by February 1997.
2. Prepare RFP for Construction Management Services for Stages III-VI of the RWCF Staged Expansion Program by June 1997.

1996-97 Unit Accomplishments

1. Consultant work in progress. Plan to be completed by August 1997.
2. On schedule.

1996-97 City Council Objectives

1. By May 1996, Staff, in order to accelerate perfection of the City's Delta Water Rights, will meet with the State Water Resources Control Board Water Rights staff and establish a concerns list as well as a general time frame for the process to continue at the State level.
2. By July 1996, the City Council will select the City's Stage III through VI Wastewater Treatment Plant Expansion Program, Engineering firms and Environmental Consultant.
3. By September 1996, staff will present the "Recycled Water Market Evaluation Study" to the City Council.

1996-97 City Council Accomplishments

1. Complete. A tentative timeframe has been established which includes completing the major technical study by December 1998.
2. Complete.
3. Complete.

MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER

431-4300

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1996-97 City Council Objectives (Continued)

1996-97 City Council Accomplishments  
(Continued)

- |  |                 |
|--|-----------------|
| 4. By December 1996, Staff will evaluate the alternatives for the Wastewater Treatment Plant expansion program for possible cost reductions and compliance with State regulations. | 4. On-going.    |
| 5. By July 1997, the City Council will adopt a cost effective Wastewater Treatment Plant Master Plan.  | 5. On-going.    |
| 6. By the Fall of 1998, the City Council will certify the EIR document for the Delta Water Rights application.   | 6. On schedule. |

1997-98 City Council Objective

1. **By December 1997, the City Council will select financially feasible, cost-effective Wastewater Treatment Master Plan alternatives.**

1997-98 Unit Objectives

1. Implement Records Management Program by December 1997.
2. Review MUD Stores operations and make recommendations for improvement by December 1997.



*MacGruff the Crime Dog is the representative for the "Take a Bite Out of Crime" Program.*

**MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER**

**431-4300**

**B. Wastewater/Administration: 431-4320**

The Wastewater Administration unit consists of Environmental Compliance, Customer Service, and Wastewater Support Services. Environmental Compliance is responsible for the implementation of pretreatment standards to control industrial discharge into the sewage system. Customer Service is responsible for billing and collections. Wastewater Support is services received from other departments. Included in Wastewater/Administration budget is certificates of participation debt service payments.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$1,191,283	\$1,479,307	\$1,698,756	\$219,449	15%
Other Services	843,578	1,078,514	1,444,237	365,723	34%
Materials/Supplies	156,423	263,748	50,643	(213,105)	(81%)
Other Expenses	2,329,008	5,224,546	5,987,557	763,011	15%
Capital Outlay		4,525		(4,525)	(100%)
<b>TOTAL BUDGET</b>	<b>\$4,520,292</b>	<b>\$8,050,640</b>	<b>\$9,181,193</b>	<b>\$1,130,553</b>	<b>14%</b>
Position Allocation	12	12	12		

1996-97 Unit Objectives

1. Determine the NPDES wastewater permit requirements through State Water Resources Control Board Appeal, and take the final permit resolution back to the Central Valley Regional Water Quality Control Board for final amendments by March 1997.
2. Submit the final results of the virus study and mercury study to the Central Valley Regional Water Quality Control Board by April 1997

1996-97 Unit Accomplishments

1. On going; delays incurred awaiting completion of Bay-Delta accord.
2. Complete.

MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER

431-4300

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1996-97 Unit Objectives (Continued)

3. Initiate the installation of the computerized Operations and Maintenance manual for better use by all MUD staff by June 1997.

1996-97 Unit Accomplishments (Continued)

3. In progress.

1997-98 Unit Objectives

1. Develop staff level specifications to automate pretreatment program data acquisition, industrial self-monitoring reporting, industrial and septic hauler billing exception reporting, and report generation to State and Federal agencies by June 1998.
2. Update and expand the Wastewater Business Plan to improve Municipal Utilities operation and reduce costs by June 1998.

**MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER**

**431-4300**

**C. Operations and Maintenance: 431-4330**

The Operations and Maintenance unit provides senior level, journey-level and apprentice-level staff to operate and maintain the 40 mgd Regional Wastewater Control Facility, 19 sanitary pump stations, and 900 miles of sanitary sewers. The unit also provides technical staff to sample, test, and report on wastewater and residual treatment and receiving water quality. Included in the Operations and Maintenance budget are contracts with private companies for biosolids hauling/disposal and collection system sulfide control.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 5,434,412	\$ 5,887,201	\$ 6,039,747	\$152,546	3%
Other Services	4,249,445	4,604,611	4,223,769	(380,842)	(8%)
Materials/Supplies	3,857,260	4,108,000	4,169,256	61,256	1%
Other Expenses	18,179	23,016	23,266	250	1%
Capital Outlay	48,276	51,930	43,600	(8,330)	(16%)
<b>TOTAL BUDGET</b>	<b>\$13,607,572</b>	<b>\$14,674,758</b>	<b>\$14,499,638</b>	<b>(\$175,120)</b>	<b>(1%)</b>
Position Allocation	109	109	109		

1996-97 Unit Objectives

1996-97 Unit Accomplishments

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>1. Occupy new sewer crew/maintenance shop facility by June 1997.</li> <li>2. Update selected operating procedures to better match the Department and City Emergency Operation Manual procedures by March 1997.</li> <li>3. Complete Records Management Task Force recommendations by June 1997.</li> </ol> | <ol style="list-style-type: none"> <li>1. Project delayed.</li> <li>2. Complete.</li> <li>3. Delayed due to expansion of program city-wide.</li> </ol> |
|---|--|

MUNICIPAL UTILITIES DEPARTMENT  
WASTEWATER

431-4300

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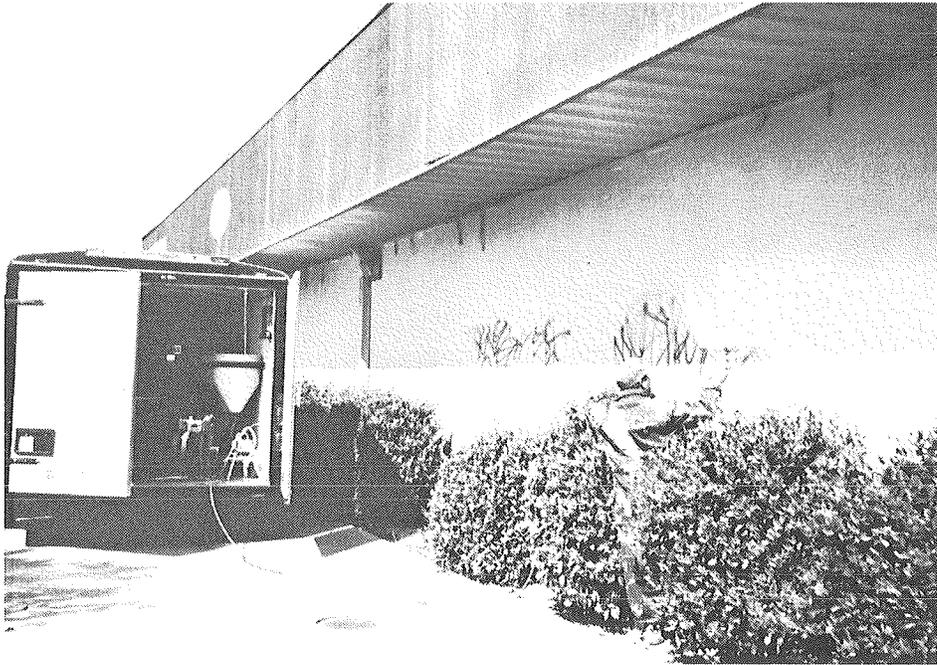
1996-97 Unit Objectives (Continued)

1996-97 Unit Accomplishments (Continued)

- |  |                 |
|--|-----------------|
| 4. Restructure, in conjunction with the Finance Department, the Department's purchasing and work order system to better utilize the HTE accounting data for project expenditure identification and control by June 1997. | 4. On schedule. |
| 5. Clean 50 percent of 15-inch mains up to the 30-inch mains by June 1997.   | 5. Complete.    |

1997-98 Unit Objectives

1. Develop a plan to reduce the amount of overtime to cover vacation, compensatory time off, and sick leave at the RWCF by December 1997.
2. Prepare an Operations Control Plan to optimize the amount of chemical oxidants, along with other chemicals in the collection system, to control sulfide generation and accompanying corrosion and odor potential by March 1998.
3. Convert one existing grit collection/removal bay to plastic chain by December 1997.
4. Develop plans and specifications for a computerized sanitary collections system maintenance management program by June 1998.
5. Develop a program to monitor storm water infiltration into the sanitary sewer system by June 1998.
6. Evaluate chronic toxicity test results to determine whether RWCF effluent has reasonable potential to cause an in-stream excursion of a water quality objective for toxicity in accordance with the City's NPDES Permit. If toxicity is confirmed, develop a work plan for submittal to the RWQCB to identify the substance(s) causing the toxicity and appropriate toxicity reduction measures by December 1997.
7. Develop plans and specifications to provide for an improved, division-wide plant performance data utilization and archiving system by June 1998.



*The City's graffiti clean-up trailer at work. The trailer contains a paint mixer and sprayer that can match existing paint and cover graffiti.*

**MUNICIPAL UTILITIES DEPARTMENT  
STORMWATER**

**441-4400**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 837,001	\$1,278,072	\$1,212,779	(\$65,293)	(5%)
Other Services	1,382,897	1,956,184	2,046,329	90,145	5%
Materials/Supplies	100,505	120,150	96,900	(23,250)	(19%)
Other Expenses	280,680	396,365	358,674	(37,691)	(10%)
<b>TOTAL BUDGET</b>	<b>\$2,601,083</b>	<b>\$3,750,771</b>	<b>\$3,714,682</b>	<b>(\$36,089)</b>	<b>(1%)</b>
<b>POSITION ALLOCATION</b>	<b>4</b>	<b>7</b>	<b>7</b>		

**MISSION STATEMENT**

The Stormwater Utility provides the services of stormwater collection, transportation, pumping, and monitoring to protect the property and health of citizens of Stockton in a manner that is consistent with pollution prevention goals and applicable State and Federal regulations.

**HIGHLIGHTS**

The Stormwater Fund is comprised of two budget units. The Administration unit is responsible for directing the Stormwater Utility to meet regulatory requirements and otherwise provide for stormwater discharge controls. The Operations and Maintenance unit is responsible for operating and maintaining the City-owned and operated stormwater facilities and appurtenances, as well as the monitoring of the environmental acceptability of the stormwater discharged in the City.

During the 1996-97 fiscal year the Stormwater Utility has accomplished the following:

1. The City Council adopted a new Grading and Erosion Control Ordinance which complied with the National Pollution Discharge Elimination System Permit requirements.
2. The standard specification of the City were modified to reflect the best management practices to reduce pollution of urban runoff.
3. A stormwater quality criteria control plan was developed and implemented that will require new developments or significant redevelopment projects to incorporate site specific structures to reduce the pollution of urban runoff.
4. The Auntie Pollution public awareness program was implemented by the use of television commercials and public appearances.

**MUNICIPAL UTILITIES DEPARTMENT  
STORMWATER**

**441-4400**

**BUDGET UNITS**

A. Administration: 441-4410

The Stormwater Administration unit is responsible for the planning, supervising, monitoring, and regulatory enforcement activities of the Stormwater utility. This unit also includes the billing and collection responsibilities for the stormwater service fees.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 480,836	\$ 859,782	\$ 779,580	(\$80,202)	(9%)
Other Services	254,869	615,580	738,223	122,643	20%
Materials/Supplies	33,203	56,610	19,500	(37,110)	(66%)
Other Expenses	280,680	395,480	357,789	(37,691)	(10%)
<b>TOTAL BUDGET</b>	<b>\$1,049,588</b>	<b>\$1,927,452</b>	<b>\$1,895,092</b>	<b>(\$32,360)</b>	<b>(2%)</b>
 Position Allocation	 1	 4	 4		

1996-97 Unit Objectives

1. Develop and implement process to review all construction activities to insure Best Management Practices utilization by June 1997.
2. Develop Federally required guidelines for the exemption of industrial properties from stormwater run-off monitoring requirements by June 1997.
3. Develop industrial facility inspection program by June 1997.

1996-97 Unit Accomplishments

1. Complete.
2. Complete.
3. Complete.

MUNICIPAL UTILITIES DEPARTMENT  
STORMWATER

441-4400

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1997-98 Unit Objectives

1. Conduct one stormwater pollution prevention training program for the operators of construction sites by December 1997.
2. Conduct one stormwater pollution prevention training program for the operators of priority industrial facilities by May 1998.
3. Conduct field screening of 20% of stormwater drainage basins to identify illicit discharges and/or connections by June 1998.
4. Review all the stormwater pollution prevention plans of municipal facilities by November 1997.
5. Produce two 30 second Auntie Pollution television public information videos concerning pollution from pesticides and oil by December 1997.

**MUNICIPAL UTILITIES DEPARTMENT  
STORMWATER**

**441-4400**

**B. Operations and Maintenance: 441-4430**

The Stormwater Operations and Maintenance unit operates and maintains underground storm drain lines and catch basins and stormwater pump stations. The unit also monitors and conducts laboratory analysis of stormwater discharges as well as funds the street sweeping costs related to the Stormwater Program. Functions of the unit include:

Main Line Cleaning - Remove roots, debris and other obstructions from the storm system mains which range in size from 6" to 72" diameter.

Catch Basin Cleaning - Clean material from street catch basins to prevent plugging during storms and clear plugged drains as required.

Repair catch basins, laterals, and mains - Repair and replace broken catch basins and inoperable laterals and main lines.

Inspection - Inspect storm drain facilities by both visual means and through the use of a TV camera.

Operation of Pump Stations - Inspect each station weekly to determine the status of equipment.

Corrective Maintenance - Repair electrical and mechanical equipment which has malfunctioned.

Preventive Maintenance - Perform routine scheduled calibration and servicing of electrical and mechanical equipment.

Pump Station Cleaning - Clean equipment and pump station sites.

<u>Use of Funds</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>Variance</u>	
	<u>Actual</u>	<u>Appropriated</u>	<u>Approved</u>	<u>Amount</u>	<u>Percent</u>
Employee Services	\$ 356,165	\$ 418,290	\$ 433,199	\$14,909	4%
Other Services	1,128,028	1,340,604	1,308,106	(32,498)	(2%)
Materials/Supplies	67,302	63,540	77,400	13,860	22%
Other Expenses		885	885		
<b>TOTAL BUDGET</b>	<b>\$1,551,495</b>	<b>\$1,823,319</b>	<b>\$1,819,590</b>	<b>(\$3,729)</b>	
Position Allocation	3	3	3		

MUNICIPAL UTILITIES DEPARTMENT  
STORMWATER

441-4400

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1996-97 Unit Objectives

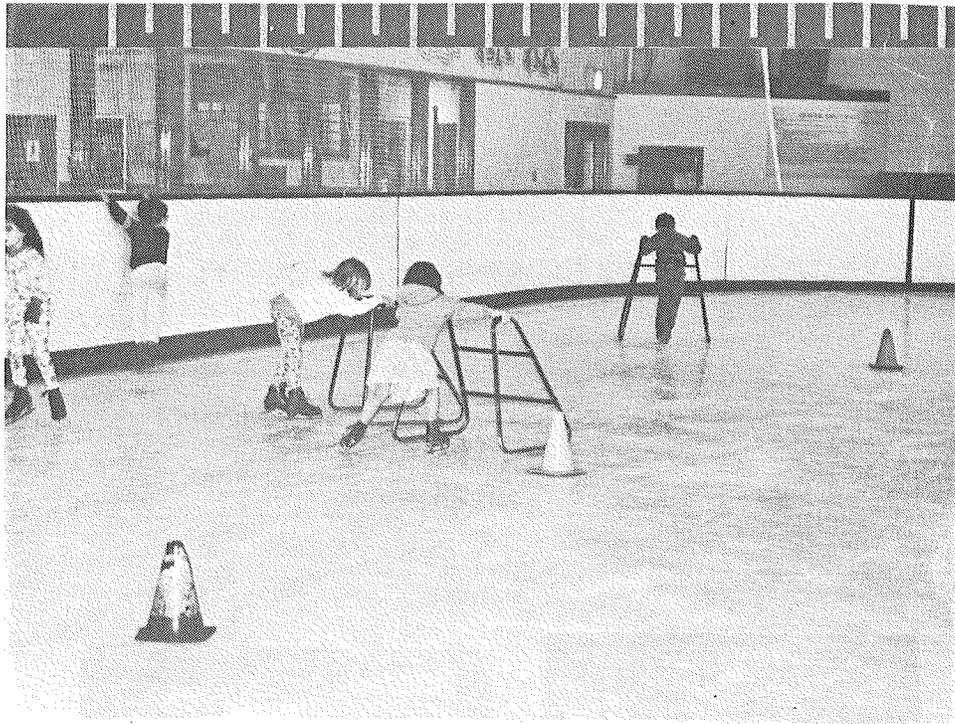
1. Clean 100,000 feet of storm main lines by June 1997.
2. Develop program for roof repair and replacement at storm pumping facilities by June 1997.

1996-97 Unit Accomplishments

1. Completed 60,000 feet.
2. Postponed to fiscal year 1997-98 due to workload priorities.

1997-98 Unit Objectives

1. Clean twenty stormwater pump station sumps by June 1998.
2. Develop a computer based preventive maintenance and maintenance tracking program for the stormwater collection system by June 1998.
3. Overhaul 10 stormwater pumps at various locations by June 1998.



*Oak Park Ice Arena has instruction programs for all ages and skill levels of ice and figure skating, even hockey.*

# Stockton

## LANDFILLS





## LANDFILLS

451-3080

<u>USE OF FUNDS</u>	<u>1995-96 ACTUAL</u>	<u>1996-97 APPROPRIATED</u>	<u>1997-98 APPROVED</u>	<u>VARIANCE</u>	
				<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 718,381	\$ 691,216	\$ 723,936	\$ 32,720	5%
Other Services	2,034,312	2,014,541	1,519,711	(494,830)	(25%)
Materials/Supplies	19,118	21,475	20,453	(1,022)	(5%)
Other Expenses	74,731	108,641	8,345	(100,296)	(92%)
<b>TOTAL BUDGET</b>	<b>\$2,846,542</b>	<b>\$2,835,873</b>	<b>\$2,272,445</b>	<b>(\$563,428)</b>	<b>(20%)</b>
<b>POSITION ALLOCATION</b>	<b>10</b>	<b>10</b>	<b>10</b>		

### MISSION STATEMENT

The Landfill Enterprise Fund is a section of the Public Works Solid Waste unit and has eight major functions: 1) administering solid waste collection services, which includes monitoring 47,000 residential solid waste collection services provided by two franchise haulers and monitoring commercial and industrial solid waste collection services provided by three permitted haulers; 2) handling all citizen complaints involving solid waste issues; 3) organizing and managing the annual Operation Stockton Cleanup program; 4) administering two City-owned landfill operations and ensuring compliance with Federal and State laws, regulations, and mandates; 5) operating the new groundwater cleanup/treatment facility at the Austin Road Landfill; 6) conducting all landfill planning and expansion activities; 7) planning and implementing solid waste reduction and recycling programs in compliance with the California Integrated Waste Management Act of 1989 (AB939); and 8) coordinating the operation of a methane gas-to-energy plant at the Austin Road Landfill.

### HIGHLIGHTS

Fiscal Year 1996-97 was a year of financial volatility for the Landfill Fund. In May 1996 Forward Landfill, Inc. entered the municipal solid waste (MSW) disposal market and took 60% of the City's Austin Road Landfill waste stream. Forward had previously competed only in the special waste disposal market (asbestos, contaminated soils, sludge, ash, etc.). They underpriced Austin Road Landfill's commercial/industrial tipping fee by \$4.00/ton to draw commercial MSW to their landfill. The drop in Austin Road waste quantities diverted revenue from the Landfill Fund at the rate of nearly \$10,000/day. To bring the waste back to the landfill and stop the financial "hemorrhaging" that was occurring, the City Council cut the Austin Road Landfill pre-sorted commercial tipping fee by \$4.00/ton in September 1996. The commercial/industrial waste immediately returned to the landfill but the tipping fee reduction left a revenue shortfall of about \$450,000/year. To address the shortfall, the Council directed staff to look for ways to make French Camp Landfill self-supporting (most of French Camp's funding now comes from the Austin Road tipping fee). In another potential cost-cutting move, the Council directed staff to solicit proposals for collection and disposal of the City's green waste stream now collected by City crews and hauled to French Camp Landfill.

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**HIGHLIGHTS (CONTINUED)**

In 1996-97 the Division took some giant steps forward in permitting the expansion of Austin Road Landfill. The Regional Water Quality Control Board (Water Board) permitted the project. The Water and Integrated Waste Management (Waste Board) Boards approved the expansion Preliminary Closure & Postclosure Plan. The final project report was completed and submitted to the Waste Board for approval and the concept-plan for the relocation of Little John Creek was completed and submitted to U.S. Army Corps of Engineers for approval.

Assuming the City is successful in getting the creek relocation permits from the Corps of Engineers, State Reclamation Board, and State Department of Fish & Game, the final piece of the "permitting puzzle" will be to obtain land use permits for the expansion areas. In January 1997 the City took title to the 85-acre landfill expansion area. This enables the City to proceed with the two major steps of the land use permitting process: zoning/prezoning and annexation of the expansion areas. Once the creek and land use permits are in hand the project can go to the Waste Board for final approval.

The City's Green Waste Grinding Program completed its first year of operation in 1996-97. Under this program, the City Garden Refuse Crew and City-licensed gardeners haul green waste o French Camp Landfill. The waste is sorted for contaminants then ground up for use as Alternative Daily Cover (ADC) at Austin Road and French Camp Landfills. The City gets credit toward compliance with State-mandated waste diversion goals by using green waste in this fashion. This program diverted more than 27,000 tons of green waste from the City landfills in 1996-97. This helped the City divert an estimated 31% of its waste stream in 1996. State law currently mandates 25% waste diversion by 1995 and 50% by the year 2000.

All environmental landfill services were consolidated into one contract in 1996-97. These services include all water quality monitoring and reporting, landfill gas migration monitoring, emissions testing and reporting, and operation, maintenance, and monitoring of the Austin Road Landfill Groundwater Treatment Facility. The consolidation of these services cut the cost of providing these service by 14%.

In another cost-cutting move, the Counsel approved switching the designated Local Enforcement Agency (LEA) from San Joaquin County Public Health Services to the Waste Board. The change should save the City up to 40% in LEA fees. It has also helped expedite landfill expansion permitting by eliminating an entire level of regulatory agency review.

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1996-97 Objectives

1. Determine feasibility of composting green waste by June 1997.
2. Award a contract for the long-term operation, maintenance and monitoring of the Austin Road Landfill Groundwater Treatment Facility by October 1996.
3. Complete French Camp Landfill groundwater investigation by August 1996.

1996-97 Accomplishments

1. City Council directed staff to prepare RFP for green waste collection and handling, and disposal.
2. City Council awarded a contract for all landfill environmental services, including treatment facility operation, maintenance, and monitoring, in December 1996.
3. City Council awarded contract for groundwater investigation in October 1996. The investigation is scheduled for completion by May 1997.

1997-98 Objectives

1. Complete permitting of Austin Road Landfill Expansion Project by June 1998.
2. Prepare RFP for green waste collection, handling, and disposal by July 1997.



*City Hall and its inspirational inscription - "To inspire a nobler civic life, to fulfill justice, to serve the people."*

*Stockton*

*GARDEN REFUSE COLLECTION*





## GARDEN REFUSE COLLECTION

455-3066

USE OF FUNDS	1995-96	1996-97	1997-98	VARIANCE	
	ACTUAL	APPROPRIATED	APPROVED	AMOUNT	PERCENT
Employee Services	\$1,043,788	\$1,258,344	\$1,280,610	\$22,266	2%
Other Services	633,453	648,082	675,534	27,452	4%
Materials/Supplies	22,196	34,269	24,575	(9,694)	(28%)
Other Expenses	131,140	107,246	145,446	38,200	36%
<b>TOTAL BUDGET</b>	<b>\$1,830,577</b>	<b>\$2,047,941</b>	<b>\$2,126,165</b>	<b>\$78,224</b>	<b>4%</b>
<b>POSITION ALLOCATION</b>	<b>21</b>	<b>21</b>	<b>21</b>		

### MISSION STATEMENT

The Garden Refuse Enterprise's mission is to provide the safe and efficient removal of garden debris from all residential properties which includes the enforcement of Council adopted rules and regulations governing the placement and collection of garden debris. This mission is accomplished through effective management and trained operators using the proper equipment.

### HIGHLIGHTS

The Garden Refuse Collection activity is administered by the Public Works/Operations & Maintenance Division. This activity is responsible for the bi-weekly collection and disposal of all garden refuse placed at curbside by residents in the City. The program is part of the City's efforts to conform to the enactment of the California Integrated Solid Waste Management Act of 1989 (AB 939) which mandates local governments divert 25% of waste from landfills by 1995 and 50% by the year 2000.

Full implementation of the code enforcement module of the HTE system for Garden Refuse was accomplished in December of 1996. The system allows tracking of garden refuse complaints and generates courtesy letters to residents with collection problems. It is anticipated that this will improve our notification program by decreasing the amount of clerical time needed to research addresses and process notices.

Garden Refuse District boundaries were changed effective November 1996. This was the first boundary change in over seven years.

In November 1996, Garden Refuse and Street Maintenance personnel collected the most material in a single month that has been recorded during five years of operation. A total of 4,600 tons of debris was collected, with a high single day total of 465 tons. November collections have averaged 2,400 tons during the prior four years. Average daily tonnage, excluding leaf season, is 97 tons. A modem download of information from the landfill regarding garden refuse loads and tonnage was implemented.

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1996-97 Objectives

1. Adjust garden refuse district boundaries to account for growth and balance workloads by November 1996.
2. Determine feasibility of providing collection service to commercial properties and initiate service, if feasible and upon Council approval, by June 1997.

1996-97 Accomplishments

1. Boundary adjustment shown on maps for new schedule printed in The Record October 1996.
2. After a non-responsive experience with School Districts, staff is determining if homeowner associations and apartment complexes are interested in the service.

1997-98 Objective

1. Evaluate equipment replacement schedule based on outcome of green waste collection RFP by June 1998.

*Stockton*

*GOLF COURSES*





## GOLF COURSES

481-3650

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 885,531	\$ 974,924	\$1,024,591	\$49,667	5%
Other Services	376,201	461,907	457,445	(4,462)	(1%)
Materials/Supplies	164,029	170,945	177,637	6,692	4%
Other Expenses	3,921	7,940	6,766	(1,174)	(15%)
<b>TOTAL BUDGET</b>	<b>\$1,429,682</b>	<b>\$1,615,716</b>	<b>\$1,666,439</b>	<b>\$50,723</b>	<b>3%</b>
<b>POSITION ALLOCATION</b>	<b>17</b>	<b>17</b>	<b>17</b>		

### MISSION STATEMENT

The mission of the Golf Courses Program is to provide well-maintained, safe and attractive golf courses for the golfing public. The golf courses follow rules and regulations for play, and are operated through City Council adopted fees and charges. The City contracts with private concessionaires, and provides daily maintenance by trained City employees.

### HIGHLIGHTS

The Golf Courses Enterprise Fund includes operation and maintenance of a championship 18-hole golf course and an executive 9-hole course at Swenson, and a championship 18-hole golf course at Van Buskirk. The Swenson Golf Courses cover 215 acres, and include 173 irrigated acres, 155 acres in fairways and roughs, 222,000 square feet of greens, 270,000 square feet of aprons and tees, and approximately 5 acres of lagoons. The Van Buskirk Golf Course covers 174 acres, and includes 120 acres in fairways and roughs, 140,000 square feet of greens, 180,000 square feet of aprons and tees, and approximately 10 acres of lagoons.

Each golf course has a three-building complex consisting of a pro shop, snack bar, and cart storage/restroom building. There are practice putting greens and a driving range at each site plus parking for 240 vehicles at Swenson and 180 vehicles at Van Buskirk.

In January, the City Council approved a five year renewal extensions for the two golf professionals.

A request for proposal was developed to solicit proposals for the design of a major irrigation system at Swenson Park Golf Course. In addition, a structural problem at the concession building at Swenson Golf Course was discovered, and will be corrected in the 1997-98 fiscal year.

## GOLF COURSES

481-3650

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### 1996-97 Objectives

1. Complete plans and specifications for future construction of fairway irrigation systems at Swenson Golf Course by March 1997.
2. Expand the use of on-course marshals to assist with security and speed of play at Van Buskirk Golf Course by January 1997.
3. Work with other departments to study the feasibility of expanding the Swenson Golf Course maintenance yard to accommodate northern City equipment needs by February 1997.
4. Update Hazardous Materials Management Plans (HMMP's) for both golf courses by December 1996.

### 1996-97 Accomplishments

1. In progress; to be completed by fall, 1997.
2. Complete.
3. Postponed until fiscal year 1997-98.
4. Complete.

### 1997-98 Objectives

1. Expand promotion of golf tournament opportunities, including special group packages to local and regional markets, by September 1997.
2. Enlarge tee areas and add sand traps on several holes with in-house work crews, following recommendations of the golf committee, by June 1998.

*Stockton*

*INTERNAL SERVICE FUNDS*



## ***INTERNAL SERVICE FUNDS***

The funds established to centralize the appropriation and administration for the financing of goods or services provided by central departments or agencies to operating departments or agencies of the City, and to other government units on a cost reimbursement basis.

The Internal Service Funds are comprised of Central Services, consisting of automotive, telephone, radio, computers, other office equipment, printing and mailing; Risk Management, consisting of general liability insurance; Personnel/Benefits, consisting of workers' compensation, unemployment insurance, medical, dental and vision.

*Stockton*

***FLEET***





## FLEET

501-5000

USE OF FUNDS	1995-96	1996-97	1997-98	VARIANCE	
	ACTUAL	APPROPRIATED	APPROVED	AMOUNT	PERCENT
Employee Services	\$1,807,537	\$1,929,244	\$2,061,053	\$131,809	7%
Other Services	517,481	649,105	681,243	32,138	5%
Materials/Supplies	1,577,084	1,471,226	1,485,264	14,038	1%
Other Expenses	4,752	11,386	11,048	(338)	(3%)
Capital Outlay	1,863,646	5,753,312	5,316,794	(436,518)	(8%)
<b>TOTAL BUDGET</b>	<b>\$5,770,500</b>	<b>\$9,814,273</b>	<b>\$9,555,402</b>	<b>(\$258,871)</b>	<b>(3%)</b>

POSITION ALLOCATION	30	31	31

### MISSION STATEMENT

To provide safe reliable vehicles and equipment to the user departments at the lowest possible cost with the least possible interference with operations. To ensure compliance with prevailing laws and regulations relating to Fleet and fueling station activities. Provide training to City employees to help them qualify for their commercial driver's license.

### HIGHLIGHTS

The Fleet Activity is administered by Public Works/Operations and Maintenance Division. During 1996-97 we were able to occupy and use the new garage addition. We are working with the contractor to resolve some housekeeping items such as operation of roll up doors, installation of motorized operators, etc. Equipment rental system was revamped this year to use class codes. A 20 year projection for revenues, expenses, vehicle replacements and vehicle additions was developed. New state air quality requirements caused a 38% increase in smog inspections for City vehicles.

#### 1996-97 Objectives

1. Set-up new truck shop and move Fire Mechanics from Fire Company 2 back to Municipal Service Center by September 1996.
2. Review alternate fuel program and determine course of action by December 1996.

#### 1996-97 Accomplishments

1. Completed construction of Garage extension, occupied August 1996.
2. On-going program. Fleet Manager elected as chairperson, San Joaquin Valley Air Quality Control Board, Northern Coalition.

1996-97 Objectives (Continued)

3. Complete electronic fuel system installations by June 1997.

1996-97 Accomplishments (Continued)

3. Police facility completed and operational, December 1996. Wastewater Control Facility will complete program. Scheduled with plant upgrades.

1997-98 Objectives

1. Complete permanent installation of the stand-by generator at the Police Facility by June 1998.
2. Continue monitoring alternate fuel programs through Air Quality Board and keep abreast of changing technologies through June 1998.

*Stockton*

*EQUIPMENT REPLACEMENT FUNDS*





# COMPUTER EQUIPMENT REPLACEMENT

502-5100

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 257,862	\$ 400,636	\$ 569,445	\$ 168,809	42%
Other Services	668,074	964,953	1,321,651	356,698	37%
Materials/Supplies	355,997	671,280	282,350	(388,930)	(58%)
Other Expenses	29,210	62,522	62,183	(339)	(1%)
Capital Outlay	1,507,023	2,841,998	3,955,107	1,113,109	39%
<b>TOTAL BUDGET</b>	<b>\$2,818,166</b>	<b>\$4,941,389</b>	<b>\$6,190,736</b>	<b>\$1,249,347</b>	<b>25%</b>
<b>POSITION ALLOCATION</b>	<b>4</b>	<b>6</b>	<b>6</b>		

## FUNCTION

The Computer Replacement Fund provides for the purchase, replacement repair and support of all City computer hardware and related software. The annual rental paid by departments provides sufficient funds to ensure that equipment and software is properly maintained and enhanced and users are adequately trained to keep up-to-date with changes in technology.

Management Information Services (MIS) is responsible for the management of the Fund and its resources. The ISF is allocated nine full-time positions; four positions for PC support, three positions for GIS and two positions from the COPS grant project. MIS General Fund staff and City administration charge time to the Fund. Specialized new system integration, maintenance and support services are provided through contractual agreements.

The ISF maintains a master inventory list of all computer equipment and services for which departments pay rent. This list provides current repair history, location, and City tag numbers.

## HIGHLIGHTS

The ISF continues to replace all equipment on an approximate five-year life cycle. Some equipment may be replaced sooner than five years if justified by changes in technology. Software is standardized and upgraded according to the enhancements released by product vendors.

The ISF has standardized the specification requirements for Micro-computer (PC). The City is currently purchasing IBM compatible pentium micro-computers and is standardizing on the following software: DOS and Microsoft Windows for PC operating systems, WordPerfect for word processing; Quattro Pro for spreadsheets; Novell GroupWise for the City NET's message sharing and calendars; and FileNET for document management.

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**HIGHLIGHTS (CONTINUED)**

MIS assisted with the creation of the City's Training Facility on Miner Street in order to provide classroom type training for departmental PC and CityNET users. This training is provided by instructors under contract in the ISF. Each department pays for training classes as part of their annual equipment rental charges.

- In 1996-97, the ISF replaced and upgraded the Fire Department's computer system hardware for dispatch and records management. The conversion and installation of this system's new software should be completed by the Fall of 1997.
- All departments should have Internet access through CityNET and be using Internet services by the end of the current fiscal year.
- In 1997, the Police Department will begin the planning and assessment work needed for replacement and upgrade the dispatch, records management and document management systems. The RFP process should be completed by 1998.

**1997-98 Objectives**

1. Schedule a minimum of 1,500 participants in the City's network training sessions on the seven major software programs and several supplemental programs used by the City by June 1998.
2. Complete the pilot project for implementing document management services as part of CityNET for MUD, City Clerk and MIS by Spring of 1998.

## RADIO EQUIPMENT REPLACEMENT

503-5200

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 9,927	\$ 25,769	\$ 15,115	(\$ 10,654)	(41%)
Other Services	152,023	326,647	202,368	(124,279)	(38%)
Materials/Supplies	5,787	14,000	7,000	(7,000)	(50%)
Capital Outlay	90,550	2,693,856	2,106,131	(587,725)	(22%)
<b>TOTAL BUDGET</b>	<b>\$258,287</b>	<b>\$3,060,272</b>	<b>\$2,330,614</b>	<b>(\$729,658)</b>	<b>(24%)</b>

### FUNCTION

The Radio Equipment Internal Service Fund is administrated by the Finance/Purchasing unit. The Radio Internal Service provides the following services: 1) management of the Radio System for the entire City of Stockton; 2) maintenance, repair and replacement of system and equipment; 3) distribution of appropriate rental changes to City departments; 4) assistance to departments in making major and minor system changes; 5) maintain the fund in a financially stable condition; and 6) establish future equipment requirements, standardize where appropriate.

#### 1996-97 Objective

1. Complete a study of City department radio usage to determine the type of equipment and number of frequencies needed for City departments to set standards for future equipment replacements by June 1997.

#### 1996-97 Accomplishment

1. A Users Committee met several times during the year to determine needs. Changes in technology from analog to digital and possible multi-departmental communication links are still be evaluated.

#### 1997-98 Objectives

1. Establish a task force users group to study changes in technology, possible communications links with multiple departments and communications interrelationships including cell phones, pagers, computer and radios by July 1997.
2. Complete a study of the City's communications needs and interrelationships for future radio needs by June 1998.

**TELEPHONE MAINTENANCE  
AND REPLACEMENT**

**504-5300**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 50,680	\$ 86,110	\$ 89,753	\$ 3,643	4%
Other Services	304,838	329,409	347,066	17,657	5%
Materials/Supplies	18,895	27,000	27,000		
Other Expenses	360	2,299	2,300	1	
Capital Outlay		377,751	357,327	(20,424)	(5%)
<b>TOTAL BUDGET</b>	<b>\$374,773</b>	<b>\$822,569</b>	<b>\$823,446</b>	<b>\$ 877</b>	
<b>POSITION ALLOCATION</b>	<b>1</b>	<b>1</b>	<b>1</b>		

**FUNCTION**

The Telephone System Internal Service Fund is administered by Management Information Services Department. The Telecommunications Internal Service Fund provides the following services: (1) management of telecommunications system for the entire City of Stockton; (2) provision of programming changes to the system; (3) maintenance, repair and replacement of the system and equipment; (4) management of the call accounting system and central payment of telecommunications charges and distribution of appropriate charge to departments; (5) management of major and minor telecommunications changes and relocations; (6) management of voice mail services; (7) management of cellular telephone services and (8) management and central payment of Internet services.

**HIGHLIGHTS**

In 1995-96, the City assumed responsibility for the management of the City's Cellular telephone services and created a directive for phone use. MIS also created and distributed the new City of Stockton Telephone Directory. The City selected a new long distance carrier and a directive was written for voice mail use. MIS assumed responsible for the maintenance and central payment of Internet services.

**TELEPHONE MAINTENANCE  
AND REPLACEMENT**

**504-5300**

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1996-97 Objectives

1. Update the City's telephone directory by January 1997.
2. Improve remote (out-of-office) telephone access to CityNET by providing four (4) additional telephone lines and new software by March 1997.
3. Provide two internal training sessions for departmental telephone counselors by June 1997.
4. Complete the new multi-year contracts for local and long distance telephone services by July 1996.

1996-97 Accomplishments

1. A new City Telephone Directory was designed and distributed in January.
2. Additional lines were added in 1996.
3. Department Telephone Counselors have received training on the proper use of voice mail and training will be provided on telephone etiquette.
4. New contracts were completed in July 1996.

1997-98 Objectives

1. As part of the new Fire Dispatch/Records Management Computer System, assist the Fire Department in replacing data telephone lines with a faster telecommunications service by December 1997.
2. Provide two internal training sessions for departmental telephone counselors by June 1998.

**OFFICE EQUIPMENT REPLACEMENT**

**505-5400**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 7,021	\$ 14,530	\$ 20,262	\$ 5,732	39%
Other Services	89,986	104,212	104,664	452	
Materials/Supplies	5,463	10,250	500	( 9,750)	(95%)
Capital Outlay	202,806	556,537	541,043	(15,494)	(3%)
<b>TOTAL BUDGET</b>	<b>\$305,276</b>	<b>\$685,529</b>	<b>\$666,469</b>	<b>(\$19,060)</b>	<b>(3%)</b>

**FUNCTION**

The Equipment Internal Service Fund is administered by the Finance/Purchasing unit. The Equipment Internal Service Fund provides the following services: 1) maintenance, repair and replacement to fund equipment; 2) distribution of appropriate rental charges to City departments; 3) assistance to departments in major and minor equipment acquisitions; 4) maintain the fund in a financially stable condition; and 5) establish future equipment requirements, standardize where appropriate.

1996-97 Objective

1. Develop and implement a bar code process for asset identification by June 1997.

1996-97 Accomplishment

1. Complete.

1997-98 Objective

1. Meet with all City departments to review their internal fund asset inventories and establish future equipment requirements, standardize when appropriate, by December 1997.

**CENTRAL DUPLICATING**

**508-5420**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$109,339	\$152,747	\$122,605	(\$30,142)	(20%)
Other Services	97,021	152,453	145,654	(6,799)	(4%)
Materials/Supplies	75,921	63,924	67,091	3,167	5%
Other Expenses		208,977	1,150	(207,827)	(99%)
Capital Outlay	39,037	35,500	68,500	33,000	93%
<b>TOTAL BUDGET</b>	<b>\$321,318</b>	<b>\$613,601</b>	<b>\$405,000</b>	<b>(\$208,601)</b>	<b>(34%)</b>

<u>POSITION ALLOCATION</u>	2	2	2
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**FUNCTION**

The Duplicating Section functions within a centralized model to be of service to all City departments in meeting their printing and volume xerographic requirements.

1996-97 Objectives

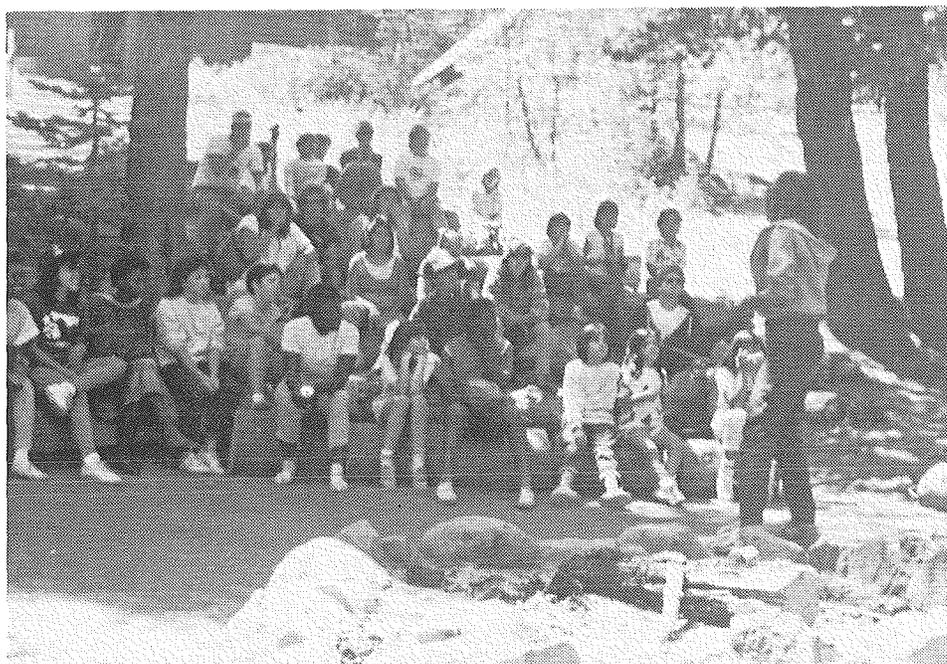
1. Review and write City procedures for city-wide bulk mailing needs by June 1997.
2. Review and write City procedures for proofreading and typesetting of all City-wide printing by May 1997.

1996-97 Accomplishments

1. Complete.
2. Complete.

1997-98 Objective

1. Evaluate City departments mailing needs to reduce cost on first, second, and third class mail by June 1998.



*High in the beautiful Sierra Mountains campers enjoy Stockton's Silver Lake Municipal Camp. Special summer camps are conducted for economically disadvantaged youngsters through Stockton's Safe Youth Program. The camp is open from June through August and is also a favorite for families and groups.*

*Stockton*

*INSURANCE FUNDS*





## RISK MANAGEMENT

541-5700

<u>USE OF FUNDS</u>	<u>1995-96 ACTUAL</u>	<u>1996-97 APPROPRIATED</u>	<u>1997-98 APPROVED</u>	<u>VARIANCE</u>	
				<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 613,317	\$ 714,237	\$ 722,561	\$ 8,324	1%
Other Services	2,883,068	1,629,867	1,666,064	36,197	2%
Materials/Supplies	17,354	14,110	9,100	(5,010)	(36%)
Other Expenses	3,753	2,837,950	2,923,038	85,088	3%
<b>TOTAL BUDGET</b>	<b>\$3,517,492</b>	<b>\$5,196,164</b>	<b>\$5,320,763</b>	<b>\$124,599</b>	<b>2%</b>
<hr/>					
<b>POSITION ALLOCATION</b>	<b>2</b>	<b>2</b>	<b>2</b>		

### FUNCTION

Risk Management Internal Service Fund is responsible for the general liability insurance program. This includes settling claims activity against the City for damage resulting from a range of incidents from fallen trees, sewer back-ups to automobile accidents involving City-owned vehicles. A risk transfer program is also administered to ensure that any company or person doing business with the City is adequately insured. All other insurance coverages and policies for property, travel, employee bonds, etc. are procured and maintained by Risk Management.

Risk Management also purchases the necessary insurance policies and handles all claims for the San Joaquin Area Floor Control Agency (SJAFCA) as well as administers the certificate of insurance program for SJAFCA.

The General Liability Fund received for the eighth straight year an equity distribution from the California Joint Powers Risk Management Authority for premium deposits made in fiscal years 1986-87 thru 1993-94. Redistributions to date are in excess of \$2.4 million.

### HIGHLIGHTS

The City experienced two significant losses to its properties. The first occurred April 1, 1996 when a tornado touched down and destroyed trees in the Swenson Park Golf Course and Stuart Gibbons Park. Risk Management is working with the City's property insurance carrier on the replacement of these trees.

A second loss occurred April 24, 1996 to the City's Library Literacy office space. A fire erupted in the building complex where they rented office space. Risk Management assisted in the clean up of their office equipment and supplies. The Literacy Program will now be relocated in the Cesar Chavez Central Library.

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1996-97 Objectives

1. Transfer current claims data/history and certificate of insurance history to new database program, Access by December 1996.
2. Inspect City facilities to evaluate current safety/loss prevention activities and make recommendations for improvements by June 1997.
3. In conjunction with the City Attorney's Office, provide training to safety personnel on risk management concerns by March 1997.

1996-97 Accomplishments

1. Completed transfer of data/history and certificate of insurance history to new database.
2. Change in personnel has delayed this program. Anticipated completion date December 1997.
3. Change in personnel has delayed this program. Anticipated completion date December 1997.

1997-98 Objectives

1. Inspect City facilities to evaluate current safety/loss prevention activities and make recommendations for improvement by December 1997.
2. In conjunction with the City Attorney's Office, provide training to safety personnel on risk management concerns by December 1997.
3. Develop a claims program for the San Joaquin Area Flood Control Agency by December 1997.
4. Develop a insurance certificate tracking program for the San Joaquin Area Flood Control Agency by December 1997.

**WORKERS' COMPENSATION****551-5600**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 261,737	\$ 299,053	\$ 323,315	\$ 24,262	8%
Other Services	469,026	502,094	614,471	112,377	22%
Materials/Supplies	33,856	35,836	21,336	(14,500)	(40%)
Other Expenses	3,060,719	9,065,557	8,836,567	(228,990)	(3%)
<b>TOTAL BUDGET</b>	<b>\$3,825,338</b>	<b>\$9,902,540</b>	<b>\$9,795,689</b>	<b>(\$106,851)</b>	<b>(1%)</b>
<b>POSITION ALLOCATION</b>	<b>5</b>	<b>5</b>	<b>5</b>		

**MISSION STATEMENT**

The Workers' Compensation Internal Service Fund is responsible for developing and monitoring a Citywide Injury and Illness Prevention Program; studying injury trends; addressing problem areas and developing ideas for reducing injuries; conducting departmental safety audits; providing guidance and assistance to departments in developing safety training programs and ensuring compliance with OSHA rules and regulations.

The fund also closely monitors the third-party administration of the Workers' Compensation Program for effective claims management, timeliness and accuracy of benefit delivery and cost management.

**1996-97 Objectives**

1. Complete scheduled presentation of Supervisor class to promote workers' compensation awareness and cost reduction by January 1997.
2. Monitor new automated workers' compensation system utilized by third party administrator to maximize usage and data access by June 1997.

**1996-97 Accomplishments**

1. Completed workers' compensation presentation for supervisors.
2. Completed; monitored new automated workers' compensation system utilized by third-party administrator to maximize usage and data access. Assisted administrator in resolving problems and recommending enhancements to system.

## WORKERS' COMPENSATION

551-5600

### 1996-97 Objectives (Continued)

3. Workers' Compensation Analyst will implement internal auditing program - one claim file per day will be audited for timelines, proper notices and letters, medical management and correct benefit payment by July 1996.
4. Implement bi-weekly meetings between City's Workers' Compensation Analyst and third party administrator's claims examiners for status updates and strategic planning on large or critical claims by July 1996.
5. Audit current medical vendor billing reduction process to evaluate effectiveness of current program and implement any needed enhancements/improvements by October 1996.

### 1996-97 Accomplishments (Continued)

3. Completed internal auditing program implementation. One day per month, Workers' Compensation Analyst audits administrator's files for time lines, proper notices and letters, and medical management. (Note: Devoting one day per month to the internal audit process was more effective and efficient than initial plan to audit one file per day.) Monthly audits are ongoing.
4. In July 1996, implemented bi-weekly meetings between City's Workers' Compensation Analyst and third-party administrator's claims examiner for status update and strategic planning. Meetings are ongoing.
5. Completed audit of current medical vendor billing reduction process to evaluate effectiveness of current program and implemented improvements.

### 1997-98 Objectives

1. Coordinate the implementation of software program which enables each department to transmit state-required employer injury reports electronically (to replace current manual typing system) by June 1998.
2. Develop, implement and monitor performance standard/criteria for independent workers' compensation administrator based on audit recommendations for changes/improvements by June 1998.
3. Develop work injury informational packet for inclusion in new-hire orientation process by August 1997.
4. Develop safety module for Supervisor Training Program by June 1998.

## HEALTH BENEFITS

552-5500

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 129,407	\$ 174,514	\$ 181,810	\$ 7,296	4%
Other Services	9,697,684	8,912,728	9,616,582	703,854	8%
Materials/Supplies	2,590	2,909	1,704	(1,205)	(41%)
Other Expenses	2,322	2,249,938	1,597,680	(652,258)	(29%)
<b>TOTAL BUDGET</b>	<b>\$9,832,003</b>	<b>\$11,340,089</b>	<b>\$11,397,776</b>	<b>\$ 57,687</b>	<b>1%</b>

### MISSION STATEMENT

The Health Benefits Internal Service Fund consists of medical, dental and vision care benefit plans. The fund exists to provide a comprehensive health benefit package to City employees in a cost effective manner. Specifically the fund:

- Provides orientation, enrollment, record keeping, monthly reporting, problem resolution and administration of employee health benefit plans.
- Closely monitors insurance providers and third party administrators of self-funded employee benefit plans to assure timely and accurate claim processing.
- Analyze benefit plans for appropriateness, study cost trends and develops cost management recommendations.

#### 1996-97 Objectives

1. Assist outside Plan Administrator in review of forms and notices, revising where needed to make them easier for employees to read and understand how claims are processed and paid by September 1996.
2. Develop cover brochure/folder to incorporate forms and brochures for various benefit plans for use when providing plan information to new hires and current employees by January 1997.

#### 1996-97 Accomplishments

1. Completed; assisted outside Plan Administrator in review of forms and notices, revising where needed.
2. Completed; developed cover brochure/folder to incorporate forms and brochures for use when providing benefit plan information to new and current employees.

1997-98 Objectives

1. Assist Medical Plan Administrator in analyzing computerized pharmacy system and develop solutions to resolve any customer service glitches by January 1998.
2. Analyze feasibility of automating monthly eligibility reports to all plan providers via sending electronic eligibility reports to replace disks, magnetic tape, or manual reports by June 1998.
3. Analyze effectiveness of Medical Plan Administrator's third-party recovery process and excess insurance recovery by June 1998.

## UNEMPLOYMENT FUND

556-5820

<u>USE OF FUNDS</u>	<u>1995-96 ACTUAL</u>	<u>1996-97 APPROPRIATED</u>	<u>1997-98 APPROVED</u>	<u>VARIANCE</u>	
				<u>AMOUNT</u>	<u>PERCENT</u>
Other Services	\$132,456	\$128,300	\$128,300		
Other Expenses		105,303	106,042	\$739	1%
<b>TOTAL BUDGET</b>	<b>\$132,456</b>	<b>\$233,603</b>	<b>\$234,342</b>	<b>\$739</b>	

### MISSION STATEMENT

The Unemployment Fund is used to pay unemployment claims for former City employees. The State of California pays the claim and the City of Stockton reimburses the state.

#### 1996-97 Objective

1. Provide training to Parks and Recreation Department on completion of separation documentation for seasonal hires to ensure appropriate unemployment claim response by June 1997.

#### 1996-97 Accomplishment

1. Training completed.

#### 1997-98 Objectives

1. Process timely protests/appeals for 100% of unemployment claims when former employees should not be eligible for benefits due to discharge or resignation through June 1998.
2. Achieve successful determination on 75% of claims protested/appealed by June 1998.
3. Provide employment protest/appeal/hearing process training to Benefit Specialists by December 1997.

**LONG-TERM DISABILITY/LIFE INSURANCE**

**557-5900**

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Other Services	\$553,133	\$632,516	\$798,053	\$165,537	26%
Other Expenses	15,312	338,460		(338,460)	(100%)
<b>TOTAL BUDGET</b>	<b>\$568,445</b>	<b>\$970,976</b>	<b>\$798,053</b>	<b>(\$172,923)</b>	<b>(18%)</b>

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**MISSION STATEMENT**

This fund provides a long-term disability plan and life insurance plan to full-time employees whose bargaining unit Memorandum of Understanding offers those benefits. Long-Term Disability pays up to two-thirds salary for a period of time when an employee meets eligibility criteria. Life Insurance amounts vary depending on the bargaining unit.

1996-97 Objective

1. Analyze average length of disability periods and volume of long-term disability claims to monitor any trends by January 1997.

1996-97 Accomplishment

1. Completed analysis of average length of disability periods and volume of long-term disability claims.

1997-98 Objective

1. Audit fully insured Long-Term Disability Program in place since April 1994, and analyze efficiency and effectiveness by April 1998.

*Stockton*

*TRUST FUNDS*



## ***TRUST FUNDS***

Most expendable trust account funds are monies donated to the City generally by private citizens for specific purposes. Although these monies belong to the City, they are restricted in use by agreement between the City and the donor. Both the donation principal and the interest earned are included in an expendable trust account. While the City is trustee or agent for a variety of trusts, only those for which expenditure is anticipated during the fiscal year are appropriated and identified on the following pages.

## LIBRARY DEPARTMENT TRUST FUNDS

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**TOTAL LIBRARY DEPARTMENT TRUST FUNDS** **\$597,173**

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**621-0220-580-BESS LARSON FUND** **\$ 408**

Funds are comprised of the interest on donations in memory of Bess R. Larson. These funds are to be used for the purchase of general fiction for the Central Library.

**626-0220-580-ARLO CROSS TRUST FUND** **\$14,057**

The interest in this fund is to be shared with the Parks and Recreation Department. The Library uses its share to purchase books on health-related subjects.

**628-0220-580-KIERSCH MEMORIAL FUND** **\$ 447**

This fund is the interest accumulated from donations received in memory of V. and A. Kiersch. Funds are to be used for the purchase of books on music for the Tracy Library.

**644-0201-580-LIBRARY MATERIALS ENDOWMENT** **\$153,202**

This trust includes "Buy-A-Book" and other donations for the purchase of either materials specified by donors or for special materials not otherwise covered by the operating budget. Materials in book and non-book format may be purchased.

**644-0202-580-TRACY BRANCH TRUST** **\$112,187**

This trust includes donations from the public for the purchase of natural history books or other materials as specified by the donors. The trust also includes donations and other revenue to hire part-time employees to cover for regular staff who are on leave or to expand library hours.

**644-0205-580-LIBRARY STAFF DEVELOPMENT** **\$ 5,251**

This trust includes donations from the public for training of Library personnel.

**644-0206-580- CITY/COUNTY LIBRARIES TRUST FUND** **\$311,621**

This trust includes donations from the public for purposes specified by the donor, including the hiring of part-time employees to work on a temporary basis in Library outlets. It also includes payments from other jurisdictions for library services, as well as donations from library telethons.

**ANNIE WAGNER EDUCATIONAL TRUST FUND**

**FUND 627**

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**TOTAL ANNIE WAGNER EDUCATIONAL TRUST FUND**

**\$33,000**

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**FUNCTION**

This trust was established by Annie Wagner to provide a scholarship to a Stockton High School student to further their education at the University of California at Berkeley. The City works with the Stockton Unified School District to award this scholarship. Funding comes from interest on the endowment.

**STOCKTON ARTS COMMISSION TRUST FUNDS****FUND 641**

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**TOTAL STOCKTON ARTS COMMISSION TRUST FUNDS****\$67,865**

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**641-0220-510-STOCKTON ARTS COMMISSION TRUST****\$42,402**

Stockton Arts Commission members are volunteers appointed by the Mayor and the City Council who work for the advancement of the arts in Stockton. They are assisted by staff paid from City funds (010-0132-510). All the arts projects and programs of the Commission are paid from this Trust Fund into which the Commission deposits money raised through foundation and governmental grants, private and corporate donations, and proceeds from various Commission-sponsored activities.

**641-0241-510-MARIAN JACOBS POETRY & PROSE SYMPOSIUM TRUST****\$25,463**

Included with this activity is responsibility for administration of the Marian Jacobs Poetry and Prose Symposium Trust Fund, which is used to bring to Stockton prominent literary speakers and to promote poetry and literature among Stocktonians.

GENERAL GOVERNMENT EXPENDITURE  
TRUST FUND

FUND 642

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TOTAL GENERAL GOVERNMENT EXPENDITURE TRUST FUND

\$29,233

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FUNCTION

The General Government Expenditure Trust Fund exists to accommodate organizations and individuals as a central depository of expenditure and revenue for activities providing direct benefit to issues of a City-wide nature. These include the Quality of Life; Consensus for Change; League of California Cities meetings; AIMS Workshop; Partners for Projects; Graffiti Wipe-Out; Employee Recognition Program; and Waterfront Revival. Revenue for these activities is donated for the express purpose of supporting the City-wide activity. The amount spent each year is dependent on the amount donated for the particular function or purpose, as no more can be spent than is donated or contributed by participating groups and organizations.

PARKS AND RECREATION DEPARTMENT  
TRUST FUNDS

FUND 643

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TOTAL PARKS AND RECREATION DEPARTMENT TRUST FUNDS            \$249,470

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FUNCTION

Parks and Recreation trust accounts were established to facilitate the orderly expenditure of funds raised by facility participants and donations received from the general public. Revenue generated through such fund raising stay in the individual trusts and are utilized for the purchase of related program items such as uniforms and youth scholarships. Occasionally, specialized equipment needed at a facility is purchased through trust funds.

Revenue for endowment trusts come from the interest on the original endowment. Revenues for other trusts come from fund raising events, candy/soda sales, donations from service clubs, event admissions, and club memberships, etc.

These trust funds will pay for facility rentals, payments to contractual employees such as instructors, youth and family event supplies, awards, banquets, uniforms, scholarships to allow youth to participate, specialized supplies and equipment and facility improvements.

The proposed appropriation for each individual trust is based on estimated June 30, 1997 year end fund balance plus estimated revenue for the 1997-98 fiscal year. The appropriations are as follows:

Endowment Trusts

624-0220-590 E. Blum-Pixie Woods	\$ 5,800
625-0220-590 E. Blum-Red Feather	3,900
626-0220-590 Arlo Cross-Louis Park	31,500

Oak Park Ice Arena

643-0251-590 Ice-Is-Nice	\$22,770
643-0252-590 Ice Rink Special Program	20,850
643-0253-590 In-House Hockey	21,025
643-0254-590 Senior Hockey	3,160
643-0255-590 Broomball	9,820

PARKS AND RECREATION DEPARTMENT  
TRUST FUNDS

FUND 643

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General Recreation

643-0256-590 Drill Team	\$ 1,105
643-0257-590 Filipino Federation	1,425
643-0259-590 Silver Lake Camperships	25,000
643-0260-590 Silver Lake Improvements	100
643-0261-590 Youth Special Events	6,135
643-0262-590 Soccer	9,075
643-0263-590 Sports	9,175
643-0264-590 Golf	6,210
643-0265-590 Tennis	2,000
643-0266-590 Louis Park Float Rental	4,000

Community Centers

643-0268-590 Oak Park Seniors	\$ 5,975
643-0269-590 Seifert Seniors	5,970
643-0270-590 Stribley Seniors	470
643-0271-590 Van Buskirk Seniors	1,350
643-0272-590 Sierra Vista	890
643-0273-590 Van Buskirk	1,500
643-0274-590 McKinley	4,630
643-0275-590 Stribley	4,000
643-0276-590 Seifert	7,500
643-0277-590 Lincoln	26,135
643-0278-590 Middle Schools	8,000

**POLICE DEPARTMENT TRUST FUNDS**

**FUND 645**

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**TOTAL POLICE DEPARTMENT TRUST FUNDS** **\$25,900**

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**645-0212-530-POLICE FIREARMS INSTRUCTORS ACADEMY TRUST** **\$4,800**

The Stockton Police Department hosts a firearms instructors training course twice yearly which is certified by the State Commission on Police Officer Standards and Training. Police personnel from other agencies pay fees to this trust which are used to pay the expenses of these training courses.

**645-0213-530-POLICE RESERVE OFFICERS TRUST** **\$1,500**

Money donated by special events promoters is used to supplement the cost of training and provide equipment for reserve officers.

**645-0214-530-SENIOR POLICE CADET TRUST** **\$1,600**

Money donated by special event promoters for Senior Police Cadet Volunteer Services. This money is used to pay for supplies, equipment, and other expenses of the Senior Police Cadet Program.

**645-0215-530-POLICE COMMUNITY EVENTS TRUST** **\$10,500**

Donations to the Police Community Events Trust are used to sponsor activities such as the Christmas Toy Project, National Night-Out, Crime Prevention Fair, and other community events.

**645-0216-530-ANIMAL REGULATION TRUST** **\$7,500**

Money donated to the Animal Regulation Trust is used to sponsor animal spay, neuter, and vaccination clinics, and animal adoption programs run by volunteers.

**FIRE DEPARTMENT TRUST FUNDS****FUND 646**

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**TOTAL FIRE DEPARTMENT TRUST FUNDS****\$38,100**

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**FUNCTION**

Periodic contributions are made to the Fire Department and are placed in this trust fund. Donations are received and budgeted in five areas.

646-0221-530	General Fire	\$ 7,265
646-0222-530	Paramedic	12,055
646-0223-530	HazMat	1,810
646-0224-530	Water Rescue	1,500
646-0225-530	Emergency Medical Dispatch	470
646-0226-530	Fire Department Retirement Dinner	15,000

*Stockton*

*GRANT FUNDS*



## ***GRANT FUNDS***

The funds used to appropriate for special grants and entitlements that are restricted by contractual agreement to expenditures or uses specified by the grantor agency.

**CITY OF STOCKTON GRANTS**

**FUNDS 020,024,025**

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**TOTAL CITY OF STOCKTON GRANTS**

**\$1,633,340**

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**MISSION STATEMENT**

The City of Stockton is committed to pursuing special grants and entitlements that enhance the quality of life for the citizens of Stockton. These types of revenues are available from other governmental agencies as alternative revenue sources for needed programs in the City. In recent years, both Federal and State grants have been received by the City to finance programs in the Library, Police, and Housing and Redevelopment departments.

**HIGHLIGHTS**

The following grants are currently programmed for the City of Stockton during the 1997-98 fiscal year:

**LIBRARY DEPARTMENT**

<b><u>Account #</u></b>	<b><u>Title</u></b>	<b><u>Amount</u></b>
<b>020-6050-580</b>	<b>Major Urban Resource Library</b>	<b>\$ 6,740</b>

**Description:**

This Federal grant is used to enhance the reference collection in the areas of business, biography indexes, technical journeyman-level texts and test guide, electronic and motor vehicle repair, musical instrument instruction and songbooks, children's social science books, and Southeast Asian adult and children's books. The grant is authorized for the Federal 1996-97 fiscal year and will expire on September 30, 1997; the amount above represents approximately 1/4 of the total grant award. MURL grants will no longer be available from the Federal government, but the Library will consider applying for new grants authorized under the new Library Services and Technology Act, as appropriate to its mission.

**POLICE DEPARTMENT**

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
020-6041-530	Office of Traffic Safety Safe Vehicle Operator Program	\$188,589

**Description:**

The Safe Vehicle Operator program is a cooperative effort by the Stockton Police Department, the County District Attorney's Office, and the Municipal Court to improve public safety through a comprehensive traffic safety program. This program is designed to reduce accidents and reduce the number of unlicensed drivers on the streets of Stockton. The grant contract covers a two year period that began on October 1, 1996. The amount above represents the 1997-98 fiscal year portion.

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
020-6045-530	HUD Community Police Services	\$140,500

**Description:**

The City of Stockton has entered into a contract with the Housing Authority of San Joaquin County to provide community policing services to the Conway Homes and Sierra Vista HUD housing areas. The contract funds four police officers (two at each area) from January 1, 1997, through December 31, 1997. The amount above represents the grant balance available for the 1997-98 fiscal year.

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
020-6078-530	Crac-net Grant	\$113,646

**Description:**

Crac-net is a County-wide task force organized to investigate and arrest people involved in major illegal drug trafficking and illegal drug manufacturing operations. Crac-net is part of a Federally funded program operated by the San Joaquin County District Attorney's Office. The Stockton Police Department contracts on a fiscal year basis as a sub-recipient of the County in this Federal Grant. The grant provides the City with funding for one Police Sergeant plus overtime for that Sergeant and other officers participating in Crac-net operations.

POLICE DEPARTMENT (Continued)

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
020-6091-530	Auto Theft Investigation Enhancement	\$64,131

Description:

This program results from Vehicle Code Section 9250.14, which allows San Joaquin County to collect an additional dollar vehicle registration for each vehicle registered in the County. The City of Stockton has contracted with the County to receive an allocation of these funds based on population. The funds enhance auto theft investigation and prevention programs in the City of Stockton.

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
024-6404-530	State Supplemental Law Enforcement Block Grant	\$568,000

Description:

In 1996, the State Legislature passed AB3229, which allowed local governments to apply for an allocation of funds based on population, to be used to support or provide front-line law enforcement services. In September, 1996, the City Council designated these funds for the purchase and maintenance of a computerized police photo system and computerized police report writing systems. The amount above represents the estimated balance of the September, 1996 appropriation.

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
025-6405-530	Federal Local Law Enforcement Block Grant	\$188,734

Description:

The Federal Omnibus Fiscal Year 1996 Appropriations Act has provided the San Joaquin County Justice System with a total of \$926,486, to be used with \$102,943 in local matching funds to reduce crime and improve public safety. The funds are to be expended over a two year period beginning in December of 1996, for a County-wide comprehensive program designed to reduce juvenile crime, drugs, and gang violence. The funds allocated to the Stockton Police Department will be used to pay police officers overtime to staff special enforcement operations against youth gun violence and gang activity. The amount above is the estimated balance of the City's portion of this grant.

CITY OF STOCKTON GRANTS

FUNDS 020,024,025

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PARKS AND RECREATION DEPARTMENT

<u>Account #</u>	<u>Title</u>	<u>Amount</u>
020-6046-690	Boating Grant -Buckley Cove 96	\$ 363,000

Description

This grant is from the State of California Department of Boating and Waterways to improve boat launching facilities at Buckley Cove. It will expand a 4 lane concrete ramp with 3 docks to a 6 lane ramp with 4 docks. It will also reconstruct three floating boat docks to new condition, repair asphalt, and install security fencing. The amount above represents the unencumbered balance of the \$380,000 grant.



**COMMUNITY DEVELOPMENT BLOCK GRANT      FUNDS 052,  
053, 054**

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**HIGHLIGHTS (CONTINUED)**

2. Public Improvements and Facilities \$2,388,480

Construction of non-existent or substandard public infrastructure improvements within the designated target neighborhoods of Taft and East Main/Burkett Acres. Acquisition and improvement of public facilities for the Central Stockton Redevelopment Area.

Target Neighborhood Public Improvements .....	\$1,850,980
Seawall Improvements .....	500,000
McLeod Lake Handrail .....	27,500
Alley Abandonment .....	<u>10,000</u>
Total Public Improvements and Facilities	\$2,388,480

3. Commercial and Economic Development \$2,202,000

Provides \$2,202,000 for general slum and blight clearance.

4. Code Enforcement \$300,789

Provides for a three component Code Enforcement Program. The three components consist of concentrated code enforcement in the downtown hotel/motels, graffiti abatement, and the Safe Neighborhoods program. In 1997-98 these efforts will be enhanced by revised enforcement procedures that stress faster resolution of code violations.

5. Administration \$888,735

General Administration costs associated with the overall CDBG program.

6. Special Activities by Sub-Recipients \$964,824

Chamber Business Incubator .....	\$ 78,300
San Joaquin Fair Housing Association .....	56,524
St. Mary's Dining Room .....	800,000
A.C.L.C. ....	<u>30,000</u>
Total Special Activities by Sub-Recipients	\$964,824

For the 1997-98 program year, Stockton will receive an entitlement grant of \$5,067,000 and will reallocate additional CDBG funds in the amount of \$4,524,564 for a total CDBG budget of \$9,591,564.

COMMUNITY DEVELOPMENT BLOCK GRANT

FUNDS 052,  
053, 054

<u>USE OF FUNDS</u>	<u>1996-97 APPROPRIATED</u>	<u>1996-97 PROJ YR END BAL</u>	<u>23rd YEAR ALLOCATION</u>	<u>1997-98 APPROVED</u>
<i>Administrative Support</i>				
General Administration/Oversite	\$875,315	\$53,000	\$888,735	\$941,735
Code Enforcement	\$267,338	\$9,000	\$300,789	\$309,789
Housing & Econ Dev Program Operation	\$676,271	\$39,033	\$718,370	\$757,403
Planning - Gleason Park Target Area Revitalization Strategy	\$45,000	\$0	\$50,000	\$50,000
Education Program for Tenants/Landlords	\$20,000	\$20,000	\$0	\$20,000
Technical Assistance to Target Neighborhoods	\$20,000	\$15,000	\$0	\$15,000
<i>Housing Assistance Services</i>				
Emergency Repair Fund	\$20,000	\$14,110	\$60,000	\$74,110
Target Neighborhood Property Improv. Rebate	\$100,000	\$30,000	\$120,000	\$150,000
Code Enforcement Mitigation	\$60,000	\$0	\$0	\$0
Relocation During Construction	\$83,492	\$0	\$0	\$0
<i>Sub-Recipient Assistance</i>	\$241,404	\$40,000	\$964,824	\$1,004,824
<i>Emergency Permit Fees</i>	\$14,100	\$14,071	\$0	\$14,071
<i>Loan Programs</i>				
Single Family Housing Loan Pool (054)	\$700,000	\$0	\$1,000,000	\$1,000,000
Economic Development Loan Pool (054)	\$534,040	\$442,209	\$0	\$442,209
Multi-Family Housing Loan Pool (053)	\$2,061,529	\$1,218,500	\$898,366	\$2,116,866
Land Acquisition Pool	\$195,867	\$0	\$0	\$0
<i>Loan Foreclosures</i>	\$151,824	\$165,462	\$0	\$165,462
<i>Redevelopment Agency Acquisition Program</i>				
General Slum/Blight/Acquisition/Relocation Clearance				
Mercy Charities/Bank of Canton Land Acquisition	\$875,000	\$0	\$0	\$0
General Slum & Blight	\$600,000	\$0	\$0	\$0
East Stockton Downtown project	\$0	\$0	\$775,000	\$775,000
Gateway Block	\$0	\$0	\$937,000	\$937,000
Weber Point	\$0	\$0	\$490,000	\$490,000
<i>Capital Improvement Program</i>	\$3,185,850	\$80,107	\$2,378,480	\$2,458,587
Target Neighborhood Public Improvements - \$1,850,980				
Seawall Improvements - \$500,000				
McLeod Lake Handrail - \$27,500				
Alley Abandonment	\$25,000	\$15,000	\$10,000	\$25,000
<b>TOTAL</b>	<b>\$10,752,030</b>	<b>\$2,155,492</b>	<b>\$9,591,564</b>	<b>\$11,747,056</b>

FUNCTION

The Community Development Block Grant Program operates on an on-going basis. All funds remaining at fiscal year-end must be reappropriated as originally approved by the City Council and the U. S. Department of Housing and Urban Development.

**EMERGENCY SHELTER GRANT PROGRAM**

**FUND 057**

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**TOTAL EMERGENCY SHELTER GRANT (ESG) PROGRAM**

**\$134,000**

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**MISSION STATEMENT**

In 1987, the Stewart B. McKinney Homeless Assistance Act was enacted providing federal assistance for the homeless through several programs. The Emergency Shelter Grants (ESG) Program was the first of the programs funded and as a CDBG entitlement city, Stockton receives a formula allocation of ESG funds each year.

**HIGHLIGHTS**

The Emergency Shelter Grant funds are to be used for the rehabilitation or conversion of buildings for use as emergency shelter for the homeless, for the payment of certain operating and social service expenses in connection with emergency shelter for the homeless, and for homeless prevention activities.

The 1997-98 allocation of \$134,000 has been distributed by the City Council as follows:

Stockton Shelter .....	\$ 60,180
Gospel Rescue Mission .....	10,550
St. Mary's Interfaith Dining Room .....	43,940
Women's Center .....	13,180
Haven of Peace .....	<u>6,150</u>
Total ESG Awards	\$134,000

## HOME PROGRAM GRANT

FUNDS 058, 059

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TOTAL HOME PROGRAM GRANT

\$2,477,590

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### MISSION STATEMENT

The HOME Program Grant is funded from the National Affordable Housing Act of 1990 and its provision for the HOME Investment Partnerships. This act provides for participating cities to tailor housing strategies to meet local needs. Stockton's Consolidated Planning Document including its five year strategy and one year action plan illustrates Stockton's plan for this grant.

### HIGHLIGHTS

Using these funds, the City can implement its housing goals by targeting monies to specific areas or by prioritizing by housing type (i.e. large or small family, elderly or special needs) how these funds can produce the greatest impact in improving the housing stock. The funds will be used to leverage state and private funding to acquire and rehabilitate and/or construct the housing units. Depending on the particular project, funding and long-term affordability goals, these activities will be carried out by private for-profit developers and non-profit Community Housing Development Organizations (CHDO's).

For the 1997-98 program year, Stockton will receive an entitlement grant of \$1,729,000 and will reallocate additional HOME funds in the amount of \$748,590 for a total HOME budget of \$2,477,590.

**Financial Note:** Per City Council resolutions, grants have received total appropriations at the time that the grant application was awarded. Should these grants or new grants require appropriation during the 1997-98 fiscal year for continued authorization or new grant awards from the granting authority, amending resolutions will be presented for City Council consideration and adoption. Final year-end expenditure may require appropriation adjustment by the City Manager up to the total amount of the Council approved grant. These are estimates for planning purposes. Actual grant allocations will be determined by grant award documents or remaining balances as of June 30, 1997.



*A garden in McLeod Park.*

Stockton

*DISTRICT FUNDS*



## ***DISTRICT FUNDS***

Certain governmental services are provided through separate special districts. Common examples include lighting, maintenance, parking, infrastructure and various forms of assessment for repayment of bond debt on construction and on-going administration and maintenance. Special districts use the same budget, accounting and financial reporting as general purpose governments. Because of the number of these districts and the limited use of appropriation associated with them, most are listed by their total appropriation for the ensuing year.

*Stockton*

*CENTRAL PARKING DISTRICT*





**CENTRAL PARKING DISTRICT**

**416-4020**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$ 576,413	\$ 569,400	\$ 596,769	\$27,369	5%
Other Services	296,220	312,588	311,924	(664)	
Materials/Supplies	42,736	42,500	43,200	700	2%
Other Expenses	721,695	1,126,726	1,129,867	3,141	
<b>TOTAL BUDGET</b>	<b>\$1,637,064</b>	<b>\$2,051,214</b>	<b>\$2,081,760</b>	<b>\$30,546</b>	<b>1%</b>

<b>POSITION ALLOCATION</b>	<b>3</b>	<b>3</b>	<b>3</b>
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**MISSION STATEMENT**

The Central Parking District is operated by an Advisory Board appointed by the City Council and submits its budget to the City Council for approval. Sources of income include ad valorem assessments on all property located within the District, charges for monthly and weekly parking privileges and other income which includes a maintenance agreement for Business Improvement District 1-B, a contract agreement to manage the County parking structure and a \$98,000 assignment of parking fine revenues from the City.

The District is completely self-sustaining within the framework of the revenue structure noted above. It is not a part of the operating budget of the City of Stockton, but is included in the budget document to comply with provisions of the ordinance of issue which authorized district formation.

1996-97 Objective

1. Once the Central Stockton Parking Plan is approved, incorporate it into the District Plan by June 1997.

1996-97 Accomplishment

1. Assessment of existing parking needs complete. Assessment of future needs on hold until scope of area development is determined.

1997-98 Objectives

1. Resurface lot, and install new signage and landscaping at Lot D (Weber, Center, El Dorado) by June 1998.
2. Develop new directional signage for all Central Parking District lots by June 1998.



*These children are enjoying storytime at the Cesar Chavez Central Library.*

*Stockton*

*MELLO-ROOS DISTRICTS*





**WESTON RANCH COMMUNITY  
FACILITIES DISTRICT (89-1)**

**351-7410**

<u>USE OF FUNDS</u>	<u>1995-96 ACTUAL</u>	<u>1996-97 APPROPRIATED</u>	<u>1997-98 APPROVED</u>	<u>VARIANCE</u>	
				<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$17,806	\$17,806	\$16,918	(\$ 888)	(5%)
Other Services	44,468	45,976	38,458	(6,010)	(14%)
Materials/Supplies	1,301	1,301	211	(1,090)	(84%)
Other Expenses	684	684	891	207	30%
<b>TOTAL BUDGET</b>	<b>\$64,259</b>	<b>\$65,767</b>	<b>\$56,478</b>	<b>(\$7,781)</b>	<b>(12%)</b>

**FUNCTION**

The Weston Ranch Community Facilities District (89-1) falls under the category of a Mello-Roos District, which is an administrative budget (operational). The budget must be approved by the City Council each year. This budget provides funding for the administrative costs related to the district. The income source is a special tax levied against the district. The debt service and principle are contained in the 251 Fund.

**BROOKSIDE ESTATES COMMUNITY  
FACILITIES DISTRICT (90-2)**

**352-7410**

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$16,668	\$26,894	\$20,163	(\$6,731)	(25%)
Other Services	39,741	47,733	34,188	(13,545)	(28%)
Materials/Supplies		831	84	(747)	(90%)
Other Expenses	25	684	358	(326)	(48%)
<b>TOTAL BUDGET</b>	<b>\$56,434</b>	<b>\$76,142</b>	<b>\$54,793</b>	<b>(\$21,349)</b>	<b>(28%)</b>

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**FUNCTION**

The Brookside Estates Community Facilities District (90-2) falls under the category of a Mello-Roos District, which is an administrative budget (operational). The budget must be approved by the City Council each year. This budget provides funding for the administrative costs related to the district. The income source is a special tax levied against the district. The debt service and principle are contained in the 252 Fund.

**SOUTH STOCKTON COMMUNITY  
FACILITIES DISTRICT (90-1)**

**353-7410**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$40,921	\$51,539	\$51,877	\$338	1%
Other Services	48,298	46,690	31,202	(15,488)	(33%)
Materials/Supplies	143	1,219	211	(1,008)	(83%)
Other Expenses	25	1,202	1,256	54	4%
<b>TOTAL BUDGET</b>	<b>\$89,387</b>	<b>\$100,650</b>	<b>\$84,546</b>	<b>(\$16,104)</b>	<b>(16%)</b>

**FUNCTION**

The South Stockton Community Facilities District (90-1) falls under the category of a Mello-Roos District, which is an administrative budget (operational). The budget must be approved by the City Council each year. This budget provides funding for the administrative costs related to the district. The income source is a special tax levied against the district. The debt service and principle are contained in the 253 Fund.

**SPANOS PARK COMMUNITY  
FACILITIES DISTRICT (90-4)**

354-7410

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<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$15,061	\$17,124	\$15,409	(\$1,715)	(10%)
Other Services	21,645	30,320	26,950	(3,370)	(11%)
Materials/Supplies		785	71	(714)	(91%)
Other Expenses	25	684	298	(386)	(56%)
<b>TOTAL BUDGET</b>	<b>\$36,731</b>	<b>\$48,913</b>	<b>\$42,728</b>	<b>(\$6,185)</b>	<b>(13%)</b>

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**FUNCTION**

The Spanos Park Community Facilities District (90-4) falls under the category of a Mello-Roos District, which is an administrative budget (operational). The budget must be approved by the City Council each year. This budget provides funding for the administrative costs related to the district. The income source is a special tax levied against the district. The debt service and principle are contained in the 254 Fund.

1915 ACT ASSESSMENT DISTRICT

700

FUNCTION

The City of Stockton has 30-1915 Act Assessment Districts plus one account for Administration of the District funds. The budget provides payment of interest and principle related to bonds sold for improvement within each assessment district. The income source is a special assessment levied against the property owners within the district. The City of Stockton has no obligation to transfer City funds for delinquencies within the district. The payment of interest and principal are based on established debt. It does not include any advanced payment of 1997-98 appropriations. The 1915 Act Assessment Districts activities are listed below:

1997-98 Appropriation

\$7,971,488

Fund 701	Administration	\$ 192,584
Fund 750	El Pinal Industrial Park 75-3	\$ 139,566
Fund 751	Quail Lakes Unit No. 7 & 8 76-5	\$ 51,675
Fund 752	Venetian Gardens Unit No. 3 77-1	\$ 51,635
Fund 753	Quail Lakes Unit No. 10 77-3	\$ 25,750
Fund 755	Coronado Commercial Complex 77-5	\$ 8,240
Fund 756	Quail Lakes Unit No. 9 & 11 77-4	\$ 61,800
Fund 757	East Main-Burkett 77-6	\$ 29,070
Fund 758	March-Brookside 77-7	\$ 97,875
Fund 759	Venetian Bridges Unit No. 1 78-1	\$ 189,575
Fund 760	March/DaVinci 77-8	\$ 11,125
Fund 761	Quail Lakes Unit 17 79-1	\$ 66,800
Fund 762	Grupe Business Park 80-1	\$ 162,750
Fund 763	Stockton Triangle 79-2	\$ 182,950
Fund 764	The Landing Area 82-1	\$ 726,325
Fund 767	Venetian Bridges Unit No. 5 82-2	\$ 80,560
Fund 771	Charter Way 86-4	\$ 117,365

## 1915 ACT ASSESSMENT DISTRICT

700

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Fund 772	The Landing Reassessment 82-1R	\$ 451,450
Fund 773	Weber/Sperry Phase I 88-1A	\$ 967,271
Fund 775	Weber/Sperry Phase II 88-1B	\$ 171,337
Fund 776	North Stockton Interim Sewer 88-2	\$ 77,440
Fund 777	West 8th Street Phase I 90-5A	\$ 791,900
Fund 778	Spanos Park 91-1	\$ 705,717
Fund 779	Stockton Airport Phase III 84-1C	\$ 42,050
Fund 780	West 8th Street Phase II 90-5B	\$ 448,320
Fund 781	Stockton Airport Phase IV 84-1D	\$ 874,105
Fund 782	Arch Road 84-2R	\$ 343,875
Fund 783	West Pac Ind. 81-1RR	\$ 454,285
Fund 784	Blossom Ranch 93-1	\$ 225,593
Fund 785	Stockton Airport Phase V	\$ 222,500

1915 ACT ASSESSMENT DISTRICT CONSTRUCTION

820-825

FUNCTION

The City of Stockton has two 1915 Act Assessment Districts under construction. This budget provides for the funds available for construction of infrastructure within the assessment districts. The income source is bond proceeds from the sale of bonds within each assessment district. The sale of bonds has been approved by the City Council.

1997-98 Appropriation

\$1,824,784

Fund 825	Stockton Airport Phase V	\$ 73,950
Fund 826	La Morada 96-04	\$1,750,834

**INFRASTRUCTURE FINANCING DISTRICT  
ADMINISTRATION**

**998-9171**

<u>USE OF FUNDS</u>	<u>1995-96</u>	<u>1996-97</u>	<u>1997-98</u>	<u>VARIANCE</u>	
	<u>ACTUAL</u>	<u>APPROPRIATED</u>	<u>APPROVED</u>	<u>AMOUNT</u>	<u>PERCENT</u>
Employee Services	\$14,349	\$16,122	\$16,779	\$657	4%
Other Services	237	322	264	(58)	(18%)
<b>TOTAL BUDGET</b>	<b>\$14,586</b>	<b>\$16,444</b>	<b>\$17,043</b>	<b>\$599</b>	<b>4%</b>

**FUNCTION**

This budget provides funding for the costs associated with administration of the Southeast Stockton Infrastructure Financing District (No. 92-1).

Senate Bill No. 308 enacted in the 1990 session of the State Legislature authorizes cities and counties to create infrastructure financing districts to finance public capital facilities including housing. Pursuant to this SB 308 legislation, the City of Stockton established the Southeast Stockton Infrastructure Financing District in August, 1992 and subsequently annexed three additional geographic areas to the district in January, 1993. The district's financing mechanism promotes the construction of affordable homes by allowing a developer to defer the payment of public facilities fees on a portion of a subdivision with those fees being paid to the City from the property taxes generated by the homes over a period of years. The costs of administering the district are funded by a share of the property taxes collected for repayment of the deferred public facility fees.

*Stockton*

*CAPITAL IMPROVEMENT PROGRAM*



## ***CAPITAL IMPROVEMENT PROGRAM***

The Capital Projects Funds are used to appropriate for the financial resources to be used for the acquisition or construction of major capital facilities.

## CAPITAL IMPROVEMENT PROGRAM

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### Document Organization/Process:

The Capital Improvement Program is essentially a list of public projects necessary for the sound and orderly implementation of the adopted General Plan of Stockton. These improvement projects necessitate the expenditure of public funds, over and above the City's annual operating expenses, for the purchase, construction or replacement of the physical assets of the community. Projects included are: streets, traffic control equipment, sanitary and storm water facilities, water systems, libraries, fire stations, parks and recreation facilities, public buildings and urban renewal. In Stockton, the programming period is for five (5) years and is reviewed annually to confirm or revise priorities.

The primary purpose of capital improvement programming is to assist in implementing the broad and comprehensive goals of the General Plan. Some of the many benefits of capital improvement programming are as follows:

Establishes an orderly basis to guide local officials in making sound budget decisions and encourages the selection and acquisition of lands for public projects well in advance of actual construction.

Focuses attention on community goals, needs and capabilities for the maximum resolution of public expenditures.

Establishes an outline to avoid duplication of effort and makes most effective use of personnel and public funds in the execution of projects.

Improves the City's opportunity to obtain State and Federal assistance on financing many public projects.

Encourages the coordination of projects with the various public agencies and reduces scheduling problems. In addition, it permits private enterprise to relate their projects to the City program.

Maintains a sound and stable financial program and reduces the possibility of a disruptive change in the taxes or bonded indebtedness needed to finance projects.

Capital improvement programming is a long, involved process. It may begin with a public hearing to hear community concerns relative to capital improvement projects. Projects and cost estimates are developed by the City departments, submitted to the City Manager's office and assembled into a preliminary program. The City Manager then reviews the preliminary program with the various department heads to evaluate the suggested priorities and project costs. Based on the City Manager's knowledge of the City's needs and scaled to the anticipated revenues, the City Manager's

## CAPITAL IMPROVEMENT PROGRAM

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program is then submitted to the City Council and to the City Planning Commission to review the program for compliance with the adopted General Plan for Stockton. Adoption by City Council resolution is the final step in the process which includes appropriation of funds for the projects identified in the first year of the five-year plan.

### CAPITAL PROJECT OPERATING BUDGET IMPACT

Once a capital project is completed, the infrastructure improvement will normally require some amount of on-going expenditure for operations or maintenance or both. In some cases a capital project will result in operational savings when the capital improvement causes an existing function to be operated more efficiently. The impact of a capital project on the annual operating budget is considered in the CIP planning process. A department requesting a capital project is required to estimate the annual operating cost or savings that can be expected when the project is completed. This information about on-going operating expenditure needs is considered along with the estimated one-time capital project cost in evaluating projects and setting priorities. Projects that have unaffordable operating costs would not be initiated. The funds needed to operate or maintain a newly constructed capital improvement are included in the annual operating budget coinciding with completion of the project.

### Projects:

The projects in the 1997-98 fiscal year of the Plan are incorporated in this budget document for the necessary appropriation of funds, as follows:

Capital Improvement Fund	\$ 394,000
Infrastructure Improvements Fund	110,000
Measure K Sales Tax Fund	2,248,000
Gas Tax Fund	436,000
Golf Courses Fund	74,000
Community Development Block Grant Fund	2,378,000
Transportation Development Act Fund	100,000
Landfill Fund	472,000
Wastewater Fund	1,701,000
Water Fund	342,000
Stormwater Fund	155,000
Developer Fees	11,436,000
Federal/State Grants (Includes Intermodal Surface Transportation Efficiency Act Funds - (ISTEA)	2,088,000 (a)
Wastewater Bond Fund	57,730,000 (b)
Redevelopment Agency Fund	<u>550,000</u>
<b>TOTAL</b>	<b>\$80,214,000</b>

(a) These amounts will be appropriated as separate items when program supplements are approved. Resolution 96-0296 authorizes the City Manager to execute all local agency/State supplemental agreements for Federal/State grant funding programs.

(b) Appropriation will be made when bonds are secured.

*PROJECTS BY CATEGORY*

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN STHOUSANDS)

**PUBLIC SAFETY**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
301-7192	Fire Communications System	\$719	\$3	\$0
301-7174	Fire Company 2 Driveway Repave	\$81	\$3	\$0
301-7142	Fire Company 9 Women's Locker Room	\$82	\$79	\$0
941-9123	Fire Station #13	\$63	\$63	\$0
303-7508	Police Annex Basement Repairs	\$120	\$7	\$0
301-7191	Police Facility Annex	\$725	\$10	\$0
960-9156	Police Facility Expansion	\$400	\$400	\$0
301-7190	Police Facility Security	\$90	\$46	\$0
960-9190	Police Property Room Remodel	\$74	\$0	\$74
<i>Total Public Safety Projects</i>				<i>\$74</i>

**CITY-WIDE**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
301-7002	Asbestos Removal	\$320	\$150	\$0
303-7507	City Hall HVAC System	\$295	\$270	\$0
301-7006	City Hall Renovations	\$100	\$30	\$0
301-7071	City Hall Repairs	\$70	\$45	\$0
930-9122	City Office Space	\$100	\$45	\$0
301-7087	City Roof Replacement Program	\$1,709	\$30	\$230
301-7011	Minor Infrastructure Improvements	\$1,251	\$500	\$72
030-6622	Wheel Chair Ramps	\$258	\$10	\$100
<i>Total City-wide Projects</i>				<i>\$402</i>

**HOUSING AND REDEVELOPMENT**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
052-8327	Taft Area - Pump Station & Retention Basin	\$698	\$80	\$354
052-8344	Alley Abandonments	\$35	\$15	\$10
030-6615	Alley Abandonments	\$15	\$0	\$15
052-8335	Taft Area - Harvey Avenue Improvements	\$548	\$0	\$548
052-8337	East Main/Burkett - Windsor Avenue Improvements	\$269	\$0	\$269
052-8338	Taft Area - Clayton Avenue Improvements	\$680	\$0	\$680
<i>Total Housing and Redevelopment Projects</i>				<i>\$1,876</i>

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN \$THOUSANDS)

**WATERFRONT**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
303-9803	Banner Island	\$50	\$49	\$0
303-9804	Rehab McLeod Fountain/Design Water Quality Imp.	\$70	\$19	\$50
334-9805	Seawall	\$1,500	\$900	\$500
080-9801	Van Buren Extension	\$60	\$55	\$0
303-9802	Weber Point Improvements	\$1,876	\$1,450	\$376
970-9802	Weber Point Improvements	\$500	\$450	\$2,000
052-9802	Weber Point Improvements	\$490	\$0	\$490
334-7336	Weber Block Purchase	\$50	\$0	\$50
052-8345	McLeod Lake Handrail	\$28	\$0	\$28
303-7510	Events Sign at Weber Point	\$60	\$0	\$60
<i>Total Waterfront Projects</i>				<b>\$3,554</b>

**PARKS AND RECREATION**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
301-7808	Calaveras Park (Arnold Rue)	\$16	\$2	\$0
301-7828	Gibbons Park Tot Lot	\$87	\$86	\$0
301-7830	Hebert Field Switchboard Repair	\$56	\$5	\$0
301-7831	McKinley Pool Filtering System	\$32	\$0	\$32
301-7823	Oak Park Pool Filtering System	\$123	\$0	\$5
921-9141	Panella Park Community Center	\$100	\$100	\$0
976-9135	Paul E. Weston Community Park	\$964	\$745	\$0
971-9116	Spanos Park (Baxter)	\$1,321	\$405	\$13
978-9118	Street Tree Planting	\$597	\$2	\$45
481-7852	Swenson Irrigation System	\$160	\$155	\$0
481-7853	Swenson/Van Buskirk Pro Shop Repair	\$74	\$0	\$74
301-7824	Tennis and Game Court Reconstruction 95-96	\$127	\$5	\$0
301-7829	Tennis and Game Court Reconstruction 96-97	\$65	\$63	\$0
301-7832	Tennis and Game Court Reconstruction 97-98	\$55	\$0	\$55
926-9140	Van Buskirk Community Center	\$56	\$41	\$0
<i>Total Parks and Recreation Projects</i>				<b>\$224</b>

**LIBRARY**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
041-7019	Library-Minor Repairs/Rehabilitation	\$115	\$77	\$0
951-9112	Northwest Branch Library	\$538	\$538	\$0
956-9903	Southeast Branch Library	\$1,539	\$522	\$0
<i>Total Library Projects</i>				<b>\$0</b>

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN \$THOUSANDS)

**STREETS**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
902-9187	Alpine/Sanguinetti Road Traffic Signal	\$80	\$75	\$0
031-6634	Arch-Airport Sperry Plan	\$59	\$1	\$0
916-9121	Arch-Airport Sperry Plan	\$26	\$1	\$0
080-6644	Arch Road Reconstruction	\$324	\$0	\$324
916-9192	Arch Road/SR 99 Interchange	\$800	\$0	\$800
911-9930	Benjamin Holt/I-5 Interchange	\$294	\$1	\$286
034-6625	Bicycle/Jogging Paths	\$282	\$5	\$0
038-6625	Bicycle/Jogging Paths	\$100	\$25	\$0
032-6669	Bridge Repairs - Citywide	\$270	\$30	\$0
080-9913	Calaveras River Bikeway	\$320	\$202	\$0
020-9913	Calaveras River Bikeway	\$287	\$287	\$0
080-9913	Calaveras River Bikeway/RR Undercrossing	\$200	\$161	\$0
038-9923	California Street Rehabilitation (b)	\$72	\$72	\$0
030-9923	California Street Rehabilitation	\$9	\$3	\$0
080-9911*	Center Street Reconstruction	\$62	\$10	\$0
038-9911*	Center Street Reconstruction	\$471	\$30	\$0
080-9927	Charter Way Improvements	\$98	\$97	\$0
080-9912	El Dorado Reconstruction	\$109	\$20	\$0
038-9912	El Dorado Reconstruction	\$838	\$10	\$0
030-9937	El Dorado Street Bridge at Bianchi	\$72	\$72	\$0
038-9937	El Dorado Street Bridge at Bianchi (b)	\$124	\$120	\$0
030-9924	El Dorado Street Rehabilitation	\$4	\$4	\$0
038-9924	El Dorado Street Rehabilitation (b)	\$33	\$30	\$0
080-9908	Filbert Street Reconstruction	\$115	\$104	\$0
038-9908	Filbert Street Reconstruction	\$885	\$60	\$0
030-9925	Fresno Avenue Reconstruction	\$17	\$1	\$0
038-9925	Fresno Avenue Reconstruction (b)	\$126	\$126	\$0
903-9907	Fresno Street Traffic Signal	\$183	\$25	\$0
038-9907	Fresno Street Traffic Signal	\$164	\$20	\$0
996-9907	Fresno Street Traffic Signal	\$75	\$0	\$75
910-9932	Hammer Lane Widening	\$500	\$500	\$0
911-9932	Hammer Lane Widening	\$600	\$100	\$400
912-9932	Hammer Lane Widening	\$100	\$100	\$0
080-9932	Hammer Lane Widening	\$1,600	\$1,600	\$0
910-9931	Hammer/I-5 Interchange	\$8	\$1	\$0
911-9931	Hammer/I-5 Interchange	\$350	\$0	\$350
080-9914	Hammer/SPRR Separation	\$5,994	\$200	\$0
080-9905	Hammer/UPRR Separation	\$378	\$200	\$0

\*A portion of the funding for this project is part of the Waterfront Task Force Action Plan.

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN \$THOUSANDS)

**STREETS (CONTINUED)**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
900-9938	I-5/Eighth Street Traffic Signal	\$100	\$0	\$100
902-9938	I-5/Eighth Street Traffic Signal	\$60	\$0	\$60
910-9904	I-5/March Lane Interchange	\$650	\$10	\$125
080-9910	Main Street Reconstruction	\$124	\$20	\$0
038-9910	Main Street Reconstruction	\$957	\$25	\$0
080-9909	March Lane Median Improvements	\$611	\$100	\$0
910-9909	March Lane Median Improvements	\$100	\$0	\$100
910-9919	March Lane SPRR Grade Separation	\$54	\$54	\$0
911-9919	March Lane SPRR Grade Separation	\$804	\$195	\$0
990-9919	March Lane SPRR Grade Separation	\$68	\$0	\$68
910-9918	March Lane UPRR Grade Separation	\$108	\$108	\$0
911-9918	March Lane UPRR Grade Separation	\$750	\$435	\$0
991-9918	March Lane UPRR Grade Separation	\$75	\$0	\$75
995-9918	March Lane UPRR Grade Separation	\$124	\$0	\$124
996-9918	March Lane UPRR Grade Separation	\$50	\$0	\$50
080-9929	Mariposa Road/Hwy 99 Frontage Rd Improvements	\$100	\$98	\$0
900-9929	Mariposa/99 Frontage Road Improvements	\$95	\$92	\$0
038-6613	Market St Traffic Signals	\$554	\$70	\$0
080-6632	Market/Main Street Intersection Realignment	\$350	\$46	\$220
032-6637	Pacific Avenue/Calaveras Bridge *	\$12	\$9	\$0
080-9939	Pacific Avenue/Calaveras Bridge	\$60	\$0	\$60
080-9939	Pacific Avenue/Calaveras Bridge (b)	\$322	\$0	\$322
301-9916	Pixley Slough/Bear Creek Bike Path	\$27	\$24	\$0
080-9916	Pixley Slough/Bear Creek Bike Path	\$283	\$283	\$0
030-6635	Railroad Crossing Protection	\$107	\$59	\$0
034-6667	Sidewalk, Curb, Gutter	\$570	\$10	\$100
080-6693	Sidewalk, Curb, Gutter	\$1,210	\$100	\$200
080-6624	Steel Street Light Pole Replacement	\$411	\$138	\$50
981-9191	Street Light Installation	\$35	\$0	\$35
983-9191	Street Light Installation	\$100	\$0	\$100
985-9191	Street Light Installation	\$25	\$0	\$25
986-9191	Street Light Installation	\$14	\$0	\$14
030-6621	Street Lighting Upgrade	\$61	\$0	\$61
032-6621	Street Lighting Upgrade	\$428	\$50	\$0
979-9176	Street Name Sign Installation	\$110	\$6	\$2
030-9928	Street Resurfacing Program	\$150	\$0	\$150
032-9928	Street Resurfacing Program	\$225	\$95	\$100
036-9928	Street Resurfacing Program	\$125	\$10	\$0
080-6612	Street Repair Program	\$2,250	\$10	\$750
080-9928	Street Resurfacing Program	\$1,214	\$10	\$464
080-6626	Swain Road Gap Closure	\$1,970	\$400	\$0
903-9906	Traffic Signal Control System	\$330	\$10	\$0
901-9906	Traffic Signal Control System	\$788	\$10	\$0
991-9906	Traffic Signal Control System	\$505	\$10	\$100
995-9906	Traffic Signal Control System	\$50	\$0	\$50
990-9906	Traffic Signal Control System	\$75	\$10	\$0

\* In 97-98 this project's account code will be changed to 032-9939.

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN \$THOUSANDS)

**STREETS (CONTINUED)**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
038-9906	Traffic Signal Control System	\$952	\$545	\$0
900-9906	Traffic Signal Control System	\$462	\$10	\$0
302-9906	Traffic Signal Control System	\$547	\$123	\$0
993-9906	Traffic Signal Control System	\$130	\$10	\$0
996-9906	Traffic Signal Control System	\$375	\$10	\$50
904-9934	Traffic Signal Modifications	\$50	\$25	\$25
900-9934	Traffic Signal Modifications	\$25	\$10	\$0
902-9934	Traffic Signal Modifications	\$75	\$10	\$25
903-9934	Traffic Signal Modifications	\$75	\$0	\$25
993-9934	Traffic Signal Modifications	\$25	\$0	\$25
902-9933	Traffic Signals - New	\$65	\$65	\$0
904-9933	Traffic Signals - New	\$50	\$50	\$0
900-9933	Traffic Signals - New	\$248	\$38	\$0
037-9933	Traffic Signals - New	\$166	\$38	\$0
302-9933	Traffic Signals - New	\$352	\$247	\$0
031-6668	UPRR Crossing Improvements	\$151	\$90	\$0
030-6638	Utility Undergrounding on Don Avenue	\$5	\$3	\$0
030-6642	Utility Undergrounding on Country Club Blvd.	\$5	\$0	\$5
030-6643	Utility Undergrounding on Lower Sacramento	\$5	\$0	\$5
032-6623	Victory Haggin Improvements	\$173	\$6	\$0
302-7299	Washington/Sutter Traffic Signal	\$16	\$16	\$0
031-6670	Waterloo Widening	\$100	\$54	\$0
038-6629	Weber Avenue Traffic Signals	\$364	\$21	\$0
080-9926	West Lane Rehabilitation	\$180	\$0	\$180
038-9926	West Lane Rehabilitation (b)	\$1,571	\$175	\$1,390
<i>Total Street Projects</i>				<i>\$7,470</i>

**SOLID WASTE**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
451-7982	Austin Rd Landfill Expansion (a)	\$300	\$355	\$50
451-7984	Austin Road Remediation	\$800	\$250	\$422
<i>Total Solid Waste Projects</i>				<i>\$472</i>

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN \$THOUSANDS)

**STORM**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
441-7782	GIS Data Map Development	\$45	\$10	\$0
441-7713	Oversize Collection Lines (a)	\$20	\$40	\$0
441-7730	Storm Drain Alleviation (a)	\$75	\$290	\$0
441-7783	Storm Pump Station Rehabilitation	\$675	\$130	\$105
441-9912	El Dorado St./Charter to City Limit	\$35	\$10	\$0
441-9911	Center St./Oak to Charter	\$166	\$100	\$0
441-9908	Filbert St./Fremont to Main	\$42	\$30	\$0
441-7789	Stormwater System Rehab./Street Improvements	\$50	\$0	\$50
<i>Total Storm Projects</i>				<i>\$155</i>

**SANITARY**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
431-7747	Central Stockton Sewer Interceptor	\$750	\$210	\$0
431-7782	GIS Map Development	\$150	\$40	\$0
431-7740	Instrument Rehabilitation (a)	\$0	\$360	\$0
435-7753	MWQCP Construction Project Contract	\$882	\$100	\$0
435-7754	MWQCP Tertiary Treatment Plant	\$1,241	\$100	\$0
431-7741	North Plant Access Road	\$143	\$120	\$0
434-7713	Oversize Collection Lines (a)	\$0	\$95	\$0
432-7750	Pollution Control Bond	\$500	\$500	\$0
431-7709	RWCF Modifications & Replacements (a)	\$750	\$1,280	\$235
433-9901	RWCF Plant Expansion (a) *	\$26,111	\$3,000	\$26,111
434-9901	RWCF Plant Expansion (a)	\$5,700	\$0	\$5,700
431-7785	Sanitary Pump Station Rehabilitation	\$280	\$225	\$25
431-7714	Sanitary Separations (a)	\$0	\$275	\$0
431-7787	Sanitary System Deficiency Improvements	\$2,465	\$1,740	\$555
431-7702	Sanitary System Repairs (a)	\$145	\$200	\$145
431-7784	Sanitary Telemetry	\$45	\$40	\$0
431-7727	Sludge Reuse/Disposal (a)	\$0	\$4,540	\$0
431-7734	South Industrial Trunk (a)*	\$14,222	\$80	\$0
433-7734	South Industrial Trunk *	\$13,755	\$0	\$13,755
431-7788	Storm Drain Alleviation-Modificiations/Additions	\$370	\$75	\$295
431-7783	Storm Pump Station Modifications	\$446	\$50	\$396
431-7781	Underground Fuel Tanks	\$317	\$240	\$0
433-9921	Westside Sewer Interceptor*	\$19,164	\$300	\$17,864
434-9921	Westside Sewer Interceptor	\$700	\$200	\$0
431-7790	Sanitary System Upgrades/Street Improvements	\$50	\$0	\$50
431-9911	Center St./Oak to Charter	\$24	\$10	\$0
431-9908	Filbert St./Fremont to Main	\$60	\$40	\$0
<i>Total Sanitary Projects</i>				<i>\$65,131</i>

\*Appropriation will be made when bonds are secured.

**CAPITAL IMPROVEMENT PROGRAM 1997-98**  
**PROJECT TOTALS BY CATEGORY**  
(COST IN STHOUSANDS)

**WATER**

Account Number	Project Description	Life-To-Date Funding	6-30-97 Est. Balance	Approved 1997-98
421-7632	Abandoned Well Replacement (a)	\$0	\$345	\$0
421-7615	Central Control System (a)	\$0	\$95	\$0
421-9922	Delta Water Diversion Project	\$220	\$220	\$0
421-7634	Emergency Power Project	\$60	\$60	\$0
424-7628	Little John Creek Reimbursement	\$55	\$54	\$0
424-7602	Service System Additions (a)	\$400	\$50	\$200
421-7602	Service System Replacements	\$50	\$0	\$50
424-7633	South Stockton Water Master Plan Update	\$100	\$100	\$0
421-7623	Transmission Mains (a)	\$0	\$1,590	\$0
421-7625	Water Service Center	\$409	\$200	\$110
421-7617	Water Supply - Old Wells (a)	\$105	\$130	\$50
424-7618	Water Supply Facilities - New Wells (a)	\$420	\$10	\$315
424-7601	Water System Expansion (a)	\$0	\$150	\$0
421-7601	Water System Expansions (a)	\$132	\$60	\$132
424-7623	Water Transmission Mains (a)	\$280	\$400	\$0
421-7619	Water Treatment Equipment (a)	\$50	\$125	\$0
421-7620	Well/Reservoir Site Improvements (a)	\$150	\$235	\$0
<i>Total Water Projects</i>				<b>\$857</b>

**GRAND TOTAL CIP PROJECTS - ALL FUNDS: \$80,214**

(a) On-going project with appropriations based on projects scheduled in specific fiscal years. The Life-To-Date amount represents only the 1996-97 appropriation and proposed 1997-98 appropriation combined.

(b) Federal/State grant funding. Amounts will be appropriated as separate items when program supplements are received. Resolution 96-0296 authorizes the City Manager to execute all local agency/State supplemental agreements for Federal/State grant funding programs.

Note: 6-30-97 Balances shown are estimates for planning purposes. Actual project balance appropriations will be determined by actual remaining balances as of June 30, 1997.

*PROJECTS BY FUND*

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN STHOUSANDS)

**INFRASTRUCTURE IMPROVEMENT FUND 303:**

Account Number	Project Description	Approved 1997-98
303-9804	McLeod Lake Fountain Rehabilitation	\$50
303-7510	Event Sign at Weber Point	\$60
<i>Infrastructure Fund Project Totals</i>		<i>\$110</i>

**CAPITAL IMPROVEMENT FUND 301:**

Account Number	Project Description	Approved 1997-98
301-7087	City Roof Replacement Program	\$230
301-7831	McKinley Pool Filter and Recirculation System	\$32
301-7823	Oak Park Pool Filtering System	\$5
301-7011	Minor Infrastructure Improvements	\$72
301-7824	Tennis and Game Court Reconstructions	\$55
<i>CIP Fund Project Totals</i>		<i>\$394</i>

**COMMUNITY DEVELOPMENT BLOCK GRANTS:**

Account Number	Project Description	Approved 1997-98
052-8337	East Main/Burkett - Windsor Ave. Improvements	\$269
052-8335	Taft Area - Harvey Ave Improvements	\$548
052-8338	Taft Area - Clayton Ave Improvements	\$680
052-8327	Taft Area - Pump Station/Basin	\$354
052-9802	Weber Point Improvements	\$490
052-8345	McLeod Lake Handrail	\$28
052-8344	Alley Abandonments	\$10
<i>CDBG Project Totals</i>		<i>\$2,378</i>

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN \$THOUSANDS)

**FEDERAL/STATE/COUNTY GRANTS:**

Account Number	Project Description	Approved 1997-98
<b>ISTEA PROJECTS (038):</b>		
038-9926	West Lane Rehabilitation	\$1,390
<b>BRIDGE PROJECTS:</b>		
080-6637	Pacific Avenue/Calaveras River Bridge	\$322
<b>OTHER GRANTS/DONATIONS:</b>		
303-9802	Weber Point Improvements	\$376
<i>Federal/State Grant Project Totals</i>		<b>\$2,088 (a)</b>

**GAS TAX FUND 030 THROUGH 033:**

Account Number	Project Description	Approved 1997-98
030-6621	Street Lighting Upgrade	\$61
030-6615	Alley Abandonments	\$15
030-6622	Wheel Chair Ramps	\$100
030-6642	Utility Undergrounding/Country Club Bl.	\$5
030-6643	Utility Undergrounding/Lower Sacramento Rd.	\$5
030-9928	Street Resurfacing Program	\$150
032-9928	Street Resurfacing Program	\$100
<i>Gas Tax Project Totals</i>		<b>\$436</b>

**LANDFILL REVENUE FUND 451:**

Account Number	Project Description	Approved 1997-98
451-7982	Austin Rd Landfill Expansion	\$50
451-7984	Austin Road Remediation	\$422
<i>Landfill Project Totals</i>		<b>\$472</b>

(a) These amounts will be appropriated as separate items when program supplements are approved. Resolution 96-0296 authorizes the City Manager to execute all local agency/State supplemental agreements for Federal/State grant funding programs.

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN STHOUSANDS)

**MEASURE K SALES TAX FUND 080:**

Account Number	Project Description	Approved 1997-98
<b>STREET IMPROVEMENTS:</b>		
080-6693	Sidewalk, Curb, Gutter	\$200
080-6624	Steel Street Light Pole Replacement	\$50
080-6644	Arch Road Reconstruction	\$324
080-9926	West Lane Rehabilitation	\$180
080-6632	Market/Main St Intersection Realignment	\$220
080-9928	Street Resurfacing Program	\$464
080-6612	Street Repair Program	\$750
080-9939	Pacific Avenue - Calaveras Bridge	\$60
<i>Measure K Project Totals</i>		<i>\$2,248</i>

**TRANSPORTATION DEVELOPMENT ACT (GAS TAX) FUND 034:**

Account Number	Project Description	Approved 1997-98
034-6667	Sidewalk, Curb, Gutter	\$100
<i>TDA Project Totals</i>		<i>\$100</i>

**WASTEWATER REVENUE FUND 431:**

Account Number	Project Description	Approved 1997-98
431-7709	RWCF Modifications & Repairs	\$235
431-7702	Sanitary System Repairs	\$145
431-7785	Sanitary Pump Station Rehabilitation/Modifications	\$25
431-7787	Sanitary System Deficiency Improvements	\$555
431-7788	Storm Drain Alleviation-Modifications/Additions	\$295
431-7783	Storm Pump Station Modifications	\$396
431-7790	Sanitary System Upgrades/Street Improvements	\$50
<i>Wastewater Project Totals</i>		<i>\$1,701</i>

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN \$THOUSANDS)

**WASTEWATER BOND REVENUE FUND 433:**

Account Number	Project Description	Approved 1997-98
433-9901	RWCF Plant Expansion (b)	\$26,111
<i>Wastewater Bond Project Totals</i>		<i>\$26,111</i>

**WESTSIDE INTERCEPTOR BOND REVENUE FUND 433:**

Account Number	Project Description	Approved 1997-98
433-9921	Westside Interceptor Project (b)	\$17,864
<i>Wastewater Bond Project Totals</i>		<i>\$17,864</i>

**SOUTHERN INDUSTRIAL TRUNK BOND REVENUE FUND 433:**

Account Number	Project Description	Approved 1997-98
433-7734	Southern Industrial Trunk Rehabilitation (b)	\$13,755
<i>Wastewater Bond Project Totals</i>		<i>\$13,755</i>

**WASTEWATER CONNECTION FEE FUND 434:**

Account Number	Project Description	Approved 1997-98
434-9901	RWCF Plant Expansion	\$5,700
<i>Wastewater Bond Project Totals</i>		<i>\$5,700</i>

**WATER REVENUE FUND 421:**

Account Number	Project Description	Approved 1997-98
421-7625	Water Service Center	\$110
421-7617	Water Supply-Old Wells	\$50
421-7601	Water System Expansion	\$132
421-7602	Service System Replacements	\$50
<i>Water Project Totals</i>		<i>\$342</i>

(b) Appropriation will be made when bonds are secured.

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN STHOUSANDS)

**WATER CONNECTION FEE FUND 424:**

Account Number	Project Description	Approved 1997-98
424-7602	Service System Additions	\$200
424-7618	Water Supply Facilities - New Wells	\$315
<i>Water Connection Fee Project Totals</i>		<i>\$515</i>

**STORMWATER REVENUE FUND 441:**

Account Number	Project Description	Approved 1997-98
441-7789	Storm System Rehab./Street Improvement	\$50
441-7783	Storm Pump Station Rehabilitation	\$105
<i>Stormwater Project Totals</i>		<i>\$155</i>

**GOLF COURSE ENTERPRISE FUND 481:**

Account Number	Project Description	Approved 1997-98
481-7853	Swenson/Van Buskirk - Pro Shop Repair	\$74
<i>Golf Course Project Totals</i>		<i>\$74</i>

**STREET TREE IMPACT FEES FUND 978:**

Account Number	Project Description	Approved 1997-98
978-9118	Street Tree Planting	\$45
<i>Street Tree Project Totals</i>		<i>\$45</i>

**STREET LIGHT IMPACT FEES FUND 980 Through 986:**

Account Number	Project Description	Approved 1997-98
981-9191	Street Light Installation	\$35
983-9191	Street Light Installation	\$100
985-9191	Street Light Installation	\$25
986-9191	Street Light Installation	\$14
<i>Street Sign Project Totals</i>		<i>\$174</i>

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN \$THOUSANDS)

**STREET SIGN IMPACT FEES FUND 979:**

Account Number	Project Description	Approved 1997-98
979-9176	Street Name Sign Installation	\$2
<i>Street Sign Project Totals</i>		<i>\$2</i>

**POLICE STATION IMPACT FEES FUND 960:**

Account Number	Project Description	Approved 1997-98
960-9190	Police Property Room Remodel	\$74
<i>Street Sign Project Totals</i>		<i>\$74</i>

**PARKLAND IMPACT FEES FUND 970 THROUGH 976:**

Account Number	Project Description	Approved 1997-98
970-9802	Weber Point Improvements	\$2,000
971-9116	Spanos Park (Baxter)	\$13
<i>Parkland Project Totals</i>		<i>\$2,013</i>

**STREET IMPROVEMENT IMPACT FEES FUND 910 THROUGH 916:**

Account Number	Project Description	Approved 1997-98
911-9930	Ben Holt Dr./I-5 Interchange Improvements	\$286
911-9931	Hammer Lane/I-5 Interchange Improvements	\$350
911-9932	Hammer Lane Widening	\$400
910-9909	March Lane Median Improvements	\$100
910-9904	I-5/March Lane Interchange	\$125
916-9192	Arch Road/SR 99 Interchange	\$800
<i>Street Improvement Project Totals</i>		<i>\$2,061</i>

**TRAFFIC SIGNAL IMPACT FEES FUND 900 THROUGH 904:**

Account Number	Project Description	Approved 1997-98
902-9934	Traffic Signals Modifications	\$25
903-9934	Traffic Signals Modifications	\$25
904-9934	Traffic Signals Modifications	\$25
900-9938	I-5/Eighth Street Traffic Signal	\$100
902-9938	I-5/Eighth Street Traffic Signal	\$60
<i>Traffic Signal Project Totals</i>		<i>\$235</i>

**CAPITAL IMPROVEMENT PROGRAM 1997-98  
PROJECT TOTALS BY FUND**

(COST IN \$THOUSANDS)

**AIR QUALITY IMPACT FEES FUND 990 THROUGH 996:**

Account Number	Project Description	Approved 1997-98
991-9906	Traffic Signal Control System	\$100
995-9906	Traffic Signal Control System	\$50
996-9906	Traffic Signal Control System	\$50
993-9934	Traffic Signals Modifications	\$25
990-9919	March Lane SPRR Grade Separation	\$68
991-9918	March Lane UPRR Grade Separation	\$75
995-9918	March Lane UPRR Grade Separation	\$124
996-9918	March Lane UPRR Grade Separation	\$50
996-9907	Fresno Street Traffic Signal	\$75
<i>Air Quality Project Totals</i>		<i>\$617</i>

**REDEVELOPMENT AGENCY FUND 334:**

Account Number	Project Description	Approved 1997-98
334-7336	Weber Block Purchase	\$50
334-9805	Seawall	\$500
<i>Redevelopment Agency Project Totals</i>		<i>\$550</i>

**GRAND TOTAL CIP PROJECTS - ALL FUNDS:                   \$80,214**

*Stockton*

*DEBT SERVICE*



## ***DEBT SERVICE***

The fund established to appropriate for the accumulation of resources, and the retirement of, principal and interest on general long-term debt.

**CITY OF STOCKTON**  
**COMPUTATION OF LEGAL DEBT MARGIN**  
**JUNE 30, 1996**  
(Dollar amounts in thousands)

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Assessed Value as of June 30, 1996 (1)	\$8,750,754
Debt Limit - 15 Percent of Assessed Value (2)	\$1,312,613
Amount of Debt Applicable to Debt Limit	<u>\$0</u>
<b>LEGAL DEBT MARGIN</b>	<u><u>\$1,312,613</u></u>

(1) Assessed Value is based on 100% of the gross assessed value of the secured tax roll, plus 95% of the unsecured roll excluding other exemptions, less redevelopment area credits.

(2) Section 43605 California Government Code.

SOURCE: City Finance Department  
San Joaquin County Auditor/Controller's Office  
California Municipal Statistics

**CITY OF STOCKTON**  
**RATIO OF NET GENERAL OBLIGATION BONDED DEBT**  
**TO TAXABLE ASSESSED VALUE AND**  
**NET GENERAL OBLIGATION BONDED DEBT PER CAPITA**  
**LAST TEN FISCAL YEARS**

(Dollar amounts in thousands)

Fiscal Year	Population (1)	Gross Assessed Value	Gross Bonded Debt	Debt Payable		Ratio of Net Bonded Debt to Assessed Value	Net Bonded Debt Per Capita
				From Enterprise Revenue	Net Bonded Debt		
1986-87	184,978	\$5,100,089	\$3,615	\$2,775	\$840	0.016%	4.541
1987-88	189,192	\$5,455,294	\$2,865	\$2,130	\$735	0.013%	3.885
1988-89	192,312	\$5,673,614	\$2,075	\$1,450	\$625	0.011%	3.250
1989-90	195,223	\$6,143,171	\$1,250	\$740	\$510	0.008%	2.612
1990-91	215,100	\$6,889,154	\$390	\$0	\$390	0.006%	1.813
1991-92	221,585	\$7,606,262	\$265	\$0	\$265	0.003%	1.196
1992-93	226,300	\$8,055,732	\$135	\$0	\$135	0.002%	0.597
1993-94	228,733	\$8,443,029	\$0	\$0	\$0	0.000%	0.000
1994-95	232,770	\$8,575,384	\$0	\$0	\$0	0.000%	0.000
1995-96	233,600	\$8,814,724	\$0	\$0	\$0	0.000%	0.000

1990 Federal Census Population - 210,943

(1) Population data is provided by the State of California, Department of Finance, Demographic Research Unit. Population data estimates are as of January 1 of the corresponding year and is not in thousands.

SOURCE: State Controller's Office  
City Finance Department

*Stockton*

*REDEVELOPMENT AGENCY*



## ***REDEVELOPMENT AGENCY***

To appropriate for the acquisition, relocation, demolition, and sale of land for those portions of the City earmarked for redevelopment. Projects are financed from the proceeds of bonds sold and loans from other City funds and eventually from property tax increment revenue.

**REDEVELOPMENT AGENCY OF THE CITY OF STOCKTON**

**1997-98 ANNUAL BUDGET**

**STATUS OF REDEVELOPMENT AGENCY FUNDS  
1997-98 BUDGET**

1997-98 REVENUE PROJECTIONS									
	Projected 6/30/97 Fund Balance	Anticipated Tax Increment Revenue	Less: 20% to Low/Mod Fund	Other Projected Income	Net Revenue	Budgeted 1997-98 Expenditures	Previously Funded Projects	Projected 6/30/98 Fund Balance	
FUND 330 Agency Administration	\$283,645	\$0	\$0	\$426,000	\$426,000	\$708,798		\$847	
FUND 331 Sharps Lane Villa	\$1,030	\$140,000	(\$28,000)	\$0	\$112,000	\$113,030		\$0	
FUND 332 McKinley	\$1,283,026	\$435,000	(\$87,000)	\$81,871	\$429,871	\$612,001		\$1,100,896	
FUND 333 All Nations	\$24,391	\$130,000	(\$26,000)	\$3,100	\$107,100	\$130,263		\$1,228	
FUND 334 Central Stockton	\$2,632,702	\$355,000	(\$71,000)	\$3,076,000	\$3,360,000	\$3,316,248	\$2,676,250	\$204	
FUND 335 Eastland	(\$95,095)	\$207,000	(\$41,400)	\$543,946	\$709,546	\$383,477		\$230,974	
FUND 339 Low/Mod Housing Set-Aside	\$252,291	\$0	\$0	\$281,400	\$281,400	\$533,691		\$0	
<b>TOTALS</b>	<b>\$4,381,990</b>	<b>\$1,267,000</b>	<b>(\$253,400)</b>	<b>\$4,412,317</b>	<b>\$5,425,917</b>	<b>\$5,797,508</b>	<b>\$2,676,250</b>	<b>\$1,334,149</b>	

POSITION ALLOCATION 13

**USE OF FUNDS:**

<b>1997-98 Approved</b>
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Employee Services	\$303,117
Other Services	\$360,981
Materials & Supplies	\$8,900
Other Expenses	\$35,800

<b>TOTAL 1997-98 BUDGET</b>	<b>\$708,798</b>
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**SOURCE OF FUNDS:**

Transfers from 5 Tax Allocation	
Reserve Accts and Low/Mod Fund	\$386,000
Projected 1997-98 Interest	\$40,000

<b>TOTAL NET REVENUE</b>	<b>\$426,000</b>
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**STATUS OF FUNDS:**

Projected 6/30/97 Fund Balance	\$283,645
Anticipated Tax Increment Revenue	\$0
Less 20% to Low/Mod Fund	\$0
Other Projected Income	\$426,000
Budgeted 1997-98 Expenditures	(\$708,798)

<b>PROJECTED 6/30/98 FUND BALANCE</b>	<b>\$847</b>
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**MISSION STATEMENT**

This fund represents the administration and general oversight duties performed by Agency staff for on-going and future redevelopment activities which are not related to a specific project. This function includes staff support for administrative and technical assistance to the Redevelopment Commission, Redevelopment Agency and Project Area Committees. It also includes funding and assistance to the Economic Development section of the City Manager's office. Funding for these general administration activities are provided by transfers from the five redevelopment project area funds and the Low/Mod Fund.

**HIGHLIGHTS**

Some of the activities which occurred during the current fiscal year, many of which will continue or will be implemented during FY 1997-98, are highlighted below:

**Formation of New/Expanded Redevelopment Project Area** - Special study sessions with the City Council / Redevelopment Agency were held regarding the process of creating and/or expanding redevelopment project areas. The result of those sessions was designation of a redevelopment survey area for the expansion of existing redevelopment project areas. This year-long process will continue through 1997-98.

**Review of Implementation Plan** - As dictated by California Redevelopment Law, the Agency must review its' previously adopted five-year Implementation Plan during 1997. A public hearing to evaluate the progress of redevelopment activities contained in the plan must be held by December 1997.

Redevelopment activities are governed by California Redevelopment Law (CRL) which dictates that certain actions be taken routinely by the Redevelopment Agency. This unit is, therefore, responsible for the following ongoing major activities to comply with California Redevelopment Law:

**Statements of Indebtedness** - These annual statements are prepared by this department and forwarded to the Finance Director for signature as the Treasurer of the Redevelopment Agency. Once signed, they are forwarded to the San Joaquin Auditor/Controller. This report is due October 1 of each year and is the basis for the Agency's eligibility to receive its allocation of tax increment revenue for the upcoming year.

**HIGHLIGHTS (Continued)**

**State Controller's Report** - This report is the Agency's Annual Report to the City Council and is forwarded to the State Controller's Office prior to January 1st of each year. In addition to its report on the financial transactions of the Redevelopment Agency for the previous fiscal year, it also requires an update of activities within each project area as well as projects assisted by low/mod set-aside funds.

**Monitoring Loan Program** - Staff continually monitors the loans made by the Redevelopment Agency to developers.

**Annual Redevelopment Agency Budget** - The Agency's budget is required by California Redevelopment Law and must be adopted by June 30th of each year.

**1996-97 Objectives**

1. Implement the expansion of existing redevelopment project areas or formation of a new project area by July 1997.

**1996-97 Accomplishments**

1. Special study sessions were held with the City Council/Redevelopment Agency resulting in the designation of a redevelopment survey area for the expansion of existing redevelopment project areas.

**1997-98 Objectives**

1. Implement the process to expand existing redevelopment project areas or to form a new project area by December 1997.
2. Hold a public hearing to review the Redevelopment Agency's previously adopted Implementation Plan by December 1997.

USE OF FUNDS:

<b>1997-98 Approved</b>
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Transfer to Admin	\$80,000
Debt Repayment	\$33,030

TOTAL 1997-98 BUDGET	\$113,030
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SOURCE OF FUNDS:

Projected Tax Increment Revenue	\$140,000
20% to Low/Mod Fund	(\$28,000)
Projected 1997-98 Interest	\$0

TOTAL NET REVENUE	\$112,000
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STATUS OF FUNDS:

Projected 6/30/97 Fund Balance	\$1,030
Anticipated Tax Increment Revenue	\$140,000
Less 20% to Low/Mod Fund	(\$28,000)
Other Projected Income	\$0
Budgeted 1997-98 Expenditures	(\$113,030)

PROJECTED 6/30/98 FUND BALANCE	\$0
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**SHARPS LANE VILLA REDEVELOPMENT PROJECT      FUND 331-0000**

**MISSION STATEMENT**

Redevelopment activities in this project area have been completed for some time. However, the project continues to generate tax increments which are used to retire the existing debt owed to the City's Community Development Block Grant (CDBG) Program as well as fund a portion of the General Administration for the Agency.

**HIGHLIGHTS**

It is anticipated that Sharps Lane Villa will net approximately \$112,000 in revenue during 1997-98. These funds will be used to fund a portion of the Agency's general administration and be used for repayment of existing CDBG debt. As required by federal regulations, these funds will flow back to that program expressly for use by eligible CDBG activities.

**USE OF FUNDS:**

<b>1997-98 Approved</b>
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Transfer to Admin	\$200,000
Loan to Central Stockton	\$350,000
Employee Services	\$60,790
Other Services	\$1,211

<b>TOTAL 1997-98 BUDGET</b>	<b>\$612,001</b>
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**SOURCE OF FUNDS:**

Projected Tax Increment Revenue	\$435,000
20% to Low/Mod Fund	(\$87,000)
Projected 1997-98 Interest	\$81,871

<b>TOTAL NET REVENUE</b>	<b>\$429,871</b>
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**STATUS OF FUNDS:**

Projected 6/30/97 Fund Balance	\$1,283,026
Anticipated Tax Increment Revenue	\$435,000
Less 20% to Low/Mod Fund	(\$87,000)
Other Projected Income	\$81,871
Budgeted 1997-98 Expenditures	(\$612,001)

<b>PROJECTED 6/30/98 FUND BALANCE</b>	<b>\$1,100,896</b>
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**MISSION STATEMENT**

The McKinley Redevelopment Plan was adopted in 1973 as a primarily residential project. Implementation of the plan will encourage the development of affordable housing and pursue commercial development along major arteries within the project area.

**HIGHLIGHTS**

**Loan to Central Stockton Project** - During 1996-97, the Agency utilized a portion of the funds currently residing in the McKinley Project to assist in the Central Stockton Project (Amended West End) which had several projects anticipated to accelerate during that year. This assistance was in the form of an inter-project loan to be repaid to the McKinley Fund 332 from Central Stockton Fund 334 as available revenues allow and/or as funds are needed in the McKinley Project Area. The Agency will again use this mechanism during 1997-98 to assist the Central Stockton Project.

**USE OF FUNDS:**

<b>1997-98 Approved</b>
-----------------------------

Transfer to Admin	\$25,000
CIP Debt Repayment	\$60,000
Employee Services	\$38,987
Other Services:	\$6,276

<b>TOTAL 1997-98 BUDGET</b>	<b>\$130,263</b>
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**SOURCE OF FUNDS:**

Projected Tax Increment Revenue	\$130,000
20% to Low/Mod Fund	(\$26,000)
Projected 1997-98 Interest	\$3,100

<b>TOTAL NET REVENUE</b>	<b>\$107,100</b>
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**STATUS OF FUNDS:**

Projected 6/30/97 Fund Balance	\$24,391
Anticipated Tax Increment Revenue	\$130,000
Less 20% to Low/Mod Fund	(\$26,000)
Other Projected Income	\$3,100
Budgeted 1997-98 Expenditures	(\$130,263)

<b>PROJECTED 6/30/98 FUND BALANCE</b>	<b>\$1,228</b>
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**MISSION STATEMENT**

The All Nations Redevelopment Project is a residential project aimed at providing affordable housing to low/moderate income families. The remaining activity in this project area is the completion of the Manhattan Plaza Subdivision. When this subdivision is completely built out, this redevelopment project will be closed except to receive tax increment revenue to repay existing debt.

**HIGHLIGHTS**

Redevelopment activities which have occurred in the All Nations Redevelopment Project during the current fiscal year and those which will continue or will be implemented during FY 1997-98 are detailed below:

**CIP Debt Repayment** - It is anticipated that sufficient funds will be available during 1997-98 to allow for repayment of \$60,000 in CIP debt to the City of Stockton.

**Manhattan Plaza Subdivision** - This project of single-family homes is being developed by ACLC north of Eighth Street between Pock and "D" Streets. Of the 119 lots in the subdivision, 83 have been built and sold to date. Due to the downturn in the single-family home market, the Agency approved a secondary loan pool to assist in the completion of the remaining homes. With this tool, the Agency will assist ACLC with the development of ten or more of the remaining 36 homes during 1997-98.

**1996-97 Objectives**

1. Assist ACLC in the completion of the remaining 36 lots in the Manhattan Plaza Subdivision by June 1997.

**1996-97 Accomplishments**

1. Ten homes are in the process of being developed and sold to qualifying, lower income families.

**1997-98 Objectives**

1. Assist ACLC with the development of ten or more of the remaining undeveloped lots in the Manhattan Plaza Subdivision by June 1998.

**CENTRAL STOCKTON REDEVELOPMENT PROJECT  
(AMENDED WEST END)**

**FUND 334**

**USE OF FUNDS:**

<b>1997-98 Approved</b>
-----------------------------

Debt Service (Bonds)	\$507,019
Employee Services	\$143,881
Other Services	\$638,348
Materials & Supplies	\$11,000
Infrastructure	\$650,000
Relocation/Demolition	\$395,000
Land Acquisition	\$971,000

<b>TOTAL 1997-98 BUDGET</b>	<b>\$3,316,248</b>
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**SOURCE OF FUNDS:**

Projected Tax Increment Revenue	\$355,000
20% to Low/Mod Fund	(\$71,000)
Projected 1997-98 Interest	\$175,000
Land Sale Proceeds	\$500,000
CDBG	\$1,612,000
UDAG	\$439,000
Loan from McKinley	\$350,000

<b>TOTAL NET REVENUE</b>	<b>\$3,360,000</b>
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**STATUS OF FUNDS:**

Projected 6/30/97 Fund Balance	\$2,632,702
Anticipated Tax Increment Revenue	\$355,000
Less 20% to Low/Mod Fund	(\$71,000)
Other Projected Income	\$3,076,000
Previously Funded Projects	(\$2,676,250)
Budgeted 1997-98 Expenditures	(\$3,316,248)

<b>PROJECTED 6/30/98 FUND BALANCE</b>	<b>\$204</b>
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**CENTRAL STOCKTON REDEVELOPMENT PROJECT FUND 334-0000  
(AMENDED WEST END)**

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**MISSION STATEMENT**

This redevelopment project was amended in 1991 to include virtually all of the downtown core from the railroad tracks on the east to Yosemite Street on the west, and from Park Street on the north to Sonora Street on the south. The basis for this amendment was the earlier adopted "Central Stockton Plan" first outlined by Consultants Kaplan•McLaughlin•Diaz (KMD) in 1989, which targeted specific areas for revitalization. The mission is to stimulate development which substantially conforms to the Redevelopment Plan adopted in 1991, and which results in growth in the tax base and overall improved economic well-being of the area.

**HIGHLIGHTS**

The Economic Development/Central Stockton unit of the City Manager's office has primary responsibility for the implementation of the Central Stockton (Amended West End ) Redevelopment Plan. These activities are conducted at the direction of the City Manager in response to the City Council and Redevelopment Agency and its advisory group, the Redevelopment Commission. The highlights for Central Stockton are included in the City Manager's Economic Development Unit Budget.

**USE OF FUNDS:**

<b>1997-98 Approved</b>
-----------------------------

Transfer to Admin	\$25,000
Debt Repayment	\$89,000
Employee Services	\$68,134
Other Services	\$1,343
Infrastructure	\$200,000

<b>TOTAL 1997-98 BUDGET</b>	<b>\$383,477</b>
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**SOURCE OF FUNDS:**

Projected Tax Increment Revenue	\$207,000
20% to Low/Mod Fund	(\$41,400)
Projected 1997-98 Interest	\$15,305
Land Sales to Developer	\$528,641

<b>TOTAL NET REVENUE</b>	<b>\$709,546</b>
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**STATUS OF FUNDS:**

Projected 6/30/97 Fund Balance	(\$95,095)
Anticipated Tax Increment Revenue	\$207,000
Less 20% to Low/Mod Fund	(\$41,400)
Other Projected Income	\$543,946
Budgeted 1997-98 Expenditures	(\$383,477)

<b>PROJECTED 6/30/98 FUND BALANCE</b>	<b>\$230,974</b>
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**MISSION STATEMENT**

This redevelopment project was adopted in 1990 with the major focus initially being the completion of the Eastland Plaza Shopping Center at the corner of Fremont and Wilson Way. The resulting growth in the tax base will allow for future commercial and residential development within the project area.

**HIGHLIGHTS**

The major activity occurring within the Eastland Redevelopment Project has been the implementation of the Disposition and Development Agreement (DDA) with the master developer for the area along Oak Street east of Wilson Way to the Eastland Plaza Shopping Center. The redevelopment activities which have occurred in connection with the DDA and those which will continue or be implemented during FY 1997-98 are detailed below:

**Eastland Plaza Disposition and Development Agreement** - All properties along Oak Street east of Wilson Way were transferred to Eastland Plaza in accordance with the terms of the DDA. The Agency and Eastland Plaza executed a Third Amendment to the DDA to reflect changing market conditions and the revised development schedule for the project. As specified in the Third Amendment, Eastland Plaza, on behalf of the Agency, will install the necessary infrastructure improvements along Wilson Way in conjunction with the development of their building pads.

**CIP Debt Repayment** - It is anticipated that sufficient funds will be available during 1997-98 to allow for repayment of \$89,000 in CIP debt to the City of Stockton.

**1996-97 Objectives**

1. Complete Wilson Way infrastructure improvements by July 1997.

**1996-97 Accomplishments**

1. The Agency approved a Third Amendment to the DDA to allow the Developer to phase the project in a manner more reflective of current market conditions. Infrastructure improvements will be developed in conjunction with the construction of the new building pads.

**1997-98 Objectives**

1. Assist Eastland Plaza with the development of their building pads and the associated construction of the Wilson Way infrastructure improvements by June 1998.

**USE OF FUNDS:**

<b>1997-98 Approved</b>
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Transfer to Admin	\$56,000
Delta Plaza - Refinance Elderly Housing Project	\$250,000
New Projects - Subject to Proposals	\$227,691

<b>TOTAL 1997-98 BUDGET</b>	<b>\$533,691</b>
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**SOURCE OF FUNDS:**

Projected Tax Increment Revenue (20% transferred from 5 Redev Project Area Tax Increment Revenue)	\$253,400
Projected 1997-98 Interest	\$10,000
Loan Repayment: Dan Logue (Phoenix House)	\$18,000

<b>TOTAL NET REVENUE</b>	<b>\$281,400</b>
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**STATUS OF FUNDS:**

Projected 6/30/97 Fund Balance	\$252,291
Anticipated Tax Increment Revenue	\$253,400
Less 20% to Low/Mod Fund	N/A
Other Projected Income	\$28,000
Budgeted 1997-98 Expenditures	(\$533,691)

<b>PROJECTED 6/30/98 FUND BALANCE</b>	<b>\$0</b>
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**MISSION STATEMENT**

The Low/Moderate Income Housing Fund was established pursuant to a provision of the California Redevelopment Law which requires that a Redevelopment Agency set aside 20% of the tax increment revenue it receives for the express purpose of promoting the development of affordable housing for lower income households. These funds may be used within or outside a redevelopment project area.

**HIGHLIGHTS**

With the exception of the transfer to the Agency's General Administration Account (330-7310), all monies within this fund are used for the actual development of affordable housing. The Redevelopment Agency has been able to utilize primarily all of its housing set aside each year to assist such projects as the Delta Plaza Apartment Project, Phoenix House (Breuners building) Single-Room Occupancy Hotel, Manhattan Plaza Subdivision, Santa Fe Townhomes, the Villa de San Joaquin Project, Main Street Manor (Bronx Hotel) and the Filipino Community Building. These projects are funded as they arise from available low/mod money. As a result, these funds are appropriated as projects are presented to the Redevelopment Agency. The following current projects have received funds from the Low/Mod fund:

**Charleston Place** - This new 82-unit multi-family housing project is located at the northeast corner of West Lane and Bianchi Road. Built by PAM Development for low and very-low income families, it will accommodate larger families in the community with a portion of the units containing 3 and 4 bedrooms. In addition to Low/Mod funds, this project also received Low-Income Housing Tax Credits, private mortgages, as well as CDBG and HOME funds. The project is complete and is in the process of leasing out its units.

**Leau Rivage Senior Housing** - This proposed development of 61-units of affordable senior rental housing in Central Stockton hinged substantially on the receipt of Low-Income Housing Tax Credits by the developer, PAM Development, Inc. Unfortunately, their applications for Tax Credit funding were unsuccessful and project will not proceed.

**Delta Plaza Apartments** - The rehabilitation of an existing building at the corner of Park and San Joaquin Streets was completed in 1993 by Delta Housing Development Corporation. This development contains 30 units of affordable housing for low- and very-low income elderly tenants. At the time of development, the Agency made a \$120,000 loan from the Low/Mod Fund to Delta Housing Development Corporation. Since then, the developer has had difficulty in meeting its financial obligations and has requested assistance from the Agency in refinancing this project. The 1997-98 budget includes \$250,000 of Low/Mod funds to assist in keeping this housing project open and available to the elderly.

**REDEVELOPMENT AGENCY  
APPROVED 1997-98 BUDGET  
SCHEDULE OF INTERFUND TRANSFERS  
DETAIL OF TRANSFERS IN**

1997-98

**TO REDEVELOPMENT AGENCY ADMINISTRATION FUND (330) from:**

Sharps Lane Villa Fund (331)	\$ 80,000	
McKinley Fund (332)	200,000	
All Nations Fund (333)	25,000	
Eastland Fund (335)	25,000	
Low/Mod Income Housing Fund (339)	<u>56,000</u>	
		\$386,000

**TO CENTRAL STOCKTON (AMENDED WEST END ) FUND (334) from:**

McKinley Fund (332)	\$350,000	
*Community Development Block Grant (CDBG) Fund (052)	<u>\$1,612,000</u>	
		\$1,962,000

**TO LOW/MOD INCOME HOUSING FUND (339) from:**

**Sharps Lane Villa Fund (331) - 20% Set Aside	\$ 28,000	
**McKinley Fund (332) - 20% Set Aside	87,000	
**All Nations Fund (333) - 20% Set Aside	26,000	
**Central Stockton Fund (Amended West End) Fund (334) - 20%	71,000	
**Eastland Fund (335) - 20% Set Aside	<u>41,400</u>	
		\$253,400

**TO INFRASTRUCTURE IMPROVEMENT FUND (010) from:**

Eastland Fund (335)		\$89,000
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**TO CAPITAL IMPROVEMENT FUND (301) from:**

All Nations Fund (333)		\$60,000
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**TO COMMUNITY DEVELOPMENT BLOCK GRANT FUND (052) from:**

Sharps Lane Villa Fund (331)		<u>\$33,030</u>
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**Total Transfers In            \$2,783,430**

\* This transfer includes \$175,000 for the East Stockton Downtown Project. The total CDBG allocation to this project is \$775,000. The \$600,000 difference was transferred in 1996-97.

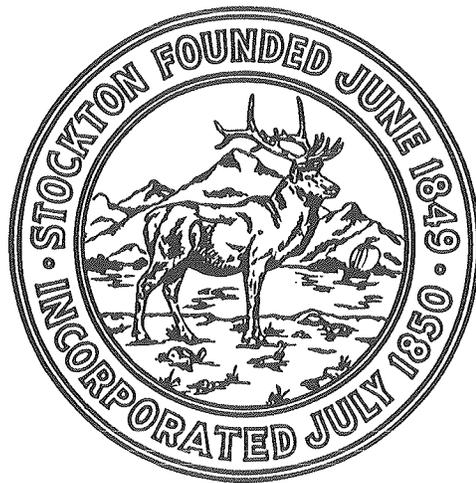
\*\* Transfers are made to Low/Mod Income Housing Fund 339 as tax increment revenue is received for each of the five redevelopment project areas.

**REDEVELOPMENT AGENCY  
APPROVED 1997-98 BUDGET  
SCHEDULE OF INTERFUND TRANSFERS  
DETAIL OF TRANSFERS OUT**

		<u>1997-98</u>
<b><u>FROM SHARPS LANE VILLA FUND (331) to:</u></b>		
Redevelopment Agency Administration Fund (330)	\$80,000	
Community Development Block Grant Fund (052)	33,030	
*Low/Mod Income Housing Fund (339) - 20% Set Aside	<u>28,000</u>	
		\$141,030
<b><u>FROM MCKINLEY FUND (332) to:</u></b>		
Redevelopment Agency Administration Fund (330)	\$200,000	
Central Stockton (Amended West End ) Fund (334)	\$350,000	
*Low/Mod Income Housing Fund (339) - 20% Set Aside	<u>87,000</u>	
		\$637,000
<b><u>FROM ALL NATIONS FUND (333) to:</u></b>		
Redevelopment Agency Administration Fund (330)	\$ 25,000	
Capital Improvement Program Fund (301)	60,000	
*Low/Mod Income Housing Fund (339) - 20% Set Aside	<u>26,000</u>	
		\$111,000
<b><u>FROM CENTRAL STOCKTON (AMENDED WEST END) FUND 334 to:</u></b>		
*Low/Mod Income Housing Fund (339) - 20% Set Aside		\$71,000
<b><u>FROM EASTLAND FUND (335) to:</u></b>		
Redevelopment Agency Administration Fund (330)	\$25,000	
General Fund (010)	89,000	
*Low/Mod Income Housing Fund (339) - 20% Set Aside	<u>41,400</u>	
		\$155,400
<b><u>FROM LOW/MOD INCOME HOUSING FUND (339) to:</u></b>		
Redevelopment Agency Administration Fund (330)		\$56,000
<b><u>FROM COMMUNITY DEVELOPMENT BLOCK GRANT FUND (052) to:</u></b>		
**Central Stockton Fund (334)		\$1,612,000
	<b>Total Transfers Out</b>	<b><u>\$2,783,430</u></b>

\* Transfers are made to Low/Mod Income Housing Fund 339 as tax increment revenue is received for each of the five redevelopment project areas.

\*\*This transfer includes \$175,000 for the East Stockton Downtown Project. The total CDBG allocation to this project is \$775,000. The \$600,000 difference was transferred in 1996-97.



*Stockton*

*GLOSSARY*





## ***GLOSSARY***

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**ACCRUAL BASIS OF ACCOUNTING** - The effect of a transaction is recognized and reported when it occurs rather than when cash is paid or received.

**ACTIVITY** - A specific and distinguishable line of work performed by one or more organizational components of a government for the purpose of accomplishing a function for which the government is responsible. In the City's HTE system this line of work is coded as a three digit code within the fourteen digit computer code system and are always located at the eighth, ninth and tenth digit from the left.

**ALLOCATION** - A part of a lump-sum appropriation which is designated for expenditure by specific organization units and/or for special purposes, activities, or objects. This term is also used to describe the designated number of full-time regular positions approved to each budget unit.

**ANNUAL OPERATING BUDGET** - Plans of current expenditures and the proposed means of financing them, as well as, a reference guide to the public for activities and functions of City government. It is the primary means by which most of the acquisition, spending, and service delivery activities of a government are controlled. This document can be characterized as "the City's financial road map for the coming year."

**APPROPRIATION** - A legal authorization granted by the City Council to make expenditures and to incur obligations for specific purposes. An appropriation is limited in amount and as to the time during which it may be expended. An appropriation is necessary for an expenditure to be made.

**BOND** - A written promise to pay a specified sum of money, called the face value or principal amount, at a specified date or dates in the future, called the maturity date(s), together with periodic interest at a specified rate. A bond is used as a financing instrument for large capital projects.

**BUDGET** - A plan of financial operation embodying an estimate of proposed expenditures for a given period and the proposed means of financing them. (See Annual Operating Budget).

**BUDGET DOCUMENT** - The instrument used by the budget-making authority to present a comprehensive financial program to the City Council. Once adopted by the City Council, it becomes the City's Annual Operating Budget. (Financial road map for expenditures and revenues).

**CAPITAL IMPROVEMENT PROGRAM** - A plan for capital expenditures to be incurred each year over a fixed period of years to meet capital needs arising from the long-term work program. It sets forth each project or other contemplated expenditure in which the government is to have a part and specifies the full resources estimated to be available to finance the projected expenditures.

**CAPITAL OUTLAYS** - Expenditures which result in the acquisition of fixed assets.

## ***GLOSSARY***

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**CASH FUND BALANCE** - The current cash available for expenditure after all current expenditures are made and all current revenues are received. The cash fund balance is not affected by depreciation, asset values and other non-cash accounting terms.

**DEBT SERVICE REQUIREMENT** - The amount of money required to pay interest on outstanding debt, serial maturities of principal for serial bonds, and required contributions to accumulate monies for future retirement of term bonds.

**DEPRECIATION** - (1) Expiration in the service life of fixed assets, other than wasting assets attributable to wear and tear, deterioration, action of the physical elements, inadequacy, and obsolescence; (2) the portion of the cost of a fixed asset other than a wasting asset which is charged as an expense during a particular period. In accounting for depreciation, the cost of a fixed asset, less any salvage value, is prorated over the estimated service life of such an asset, and each period is charged with a portion of such cost. Through this process, the entire cost of the asset is ultimately charged off as an expense. This accounting requirement is not a cash transaction and does not affect the cash available for operations within the City.

**ENCUMBRANCES** - Legally binding and Council designated commitments related to unperformed contracts (executory, not yet performed) for goods or services.

**ENTERPRISE FUND** - A fund established to account for operations (a) that are financed and operated in a manner similar to private business enterprises - where the intent of the governing body is that the costs of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges; or (b) where the governing body has decided that periodic determination of revenues earned, expenses incurred, and/or net income is appropriate for capital maintenance, public policy, management control, accountability, or other purposes. Examples of Enterprise Funds are those for water and wastewater.

**ENTITLEMENT** - The amount of payment to which a State or Local government is entitled as determined by the Federal government (e.g. the Director of the Office of Revenue Sharing) pursuant to an allocation formula contained in applicable statutes.

**EXPENDITURES** - Decreases in net financial resources. Expenditures include current operating expenses which require the current or future use of net current assets, debt service and capital outlays. Expenditures are the payments for services, materials, salaries and products necessary to the operation of City government.

**FISCAL YEAR** - A 12-month period to which the annual operating budget applies and at the end of which a government determines its financial position and the results of its operations. In accordance with the City Charter Article XXII, Section 4 "The fiscal year of the City shall commence upon the first day of July..."

## ***GLOSSARY***

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**FULL-TIME EQUIVALENT (FTE)** - The decimal equivalent of a part-time position converted to a full-time basis; i.e., one person working half-time would count as 0.5 FTE.

**FUND** - A fiscal and accounting entity with a self-balancing set of accounts recording cash and other financial resources, together with all related liabilities and residual equities or balances, and changes therein, which are segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions, or limitations.

**FUND BALANCE** - The excess of assets over liabilities resulting in available resources for possible future appropriation. A negative fund balance is sometimes called a deficit.

**GENERAL FUND** - The fund used to account for all legally unspecified financial resources except those required to be accounted for in specially designated funds.

**GENERAL OBLIGATION BONDS** - When a government pledges its full faith and credit to the repayment of the bonds it issues, those bonds are general obligation (GO) bonds. Sometimes the term is also used to refer to bonds which are to be repaid from taxes and other general revenues.

**INDENTURES** - Written contracts or agreements.

**INTERNAL SERVICE FUND** - A fund used to account for the centralized financing of goods or services provided by one department or agency to other departments or agencies of a government, or to other governments, on a cost-reimbursement basis. The City of Stockton uses internal service funds for the central administration of insurance and equipment replacement.

**ORDINANCE** - A formal legislative enactment by the governing board of a municipality. If it is not in conflict with any higher form of law, such as a state statute or constitutional provision, an ordinance has the full force and effect of law within the boundaries of the municipality to which it applies. The difference between an ordinance and a resolution is that the latter requires less legal formality and has a lower legal status. Ordinarily, the statutes or charter will specify or imply those legislative actions which must be by ordinance and those which may be by resolution.

**RESERVE** - (1) An account used to earmark a portion of fund balance to indicate that it is not appropriate for expenditure; and (2) an account used to earmark a portion of fund equity as legally segregated for a specific future use.

**RESTRICTED ASSETS** - Monies or other resources, the use of which is restricted by legal or contractual requirements. In governmental accounting, special treatments are applied to restricted assets arising out of revenue bond indentures in Enterprise Funds.

## *GLOSSARY*

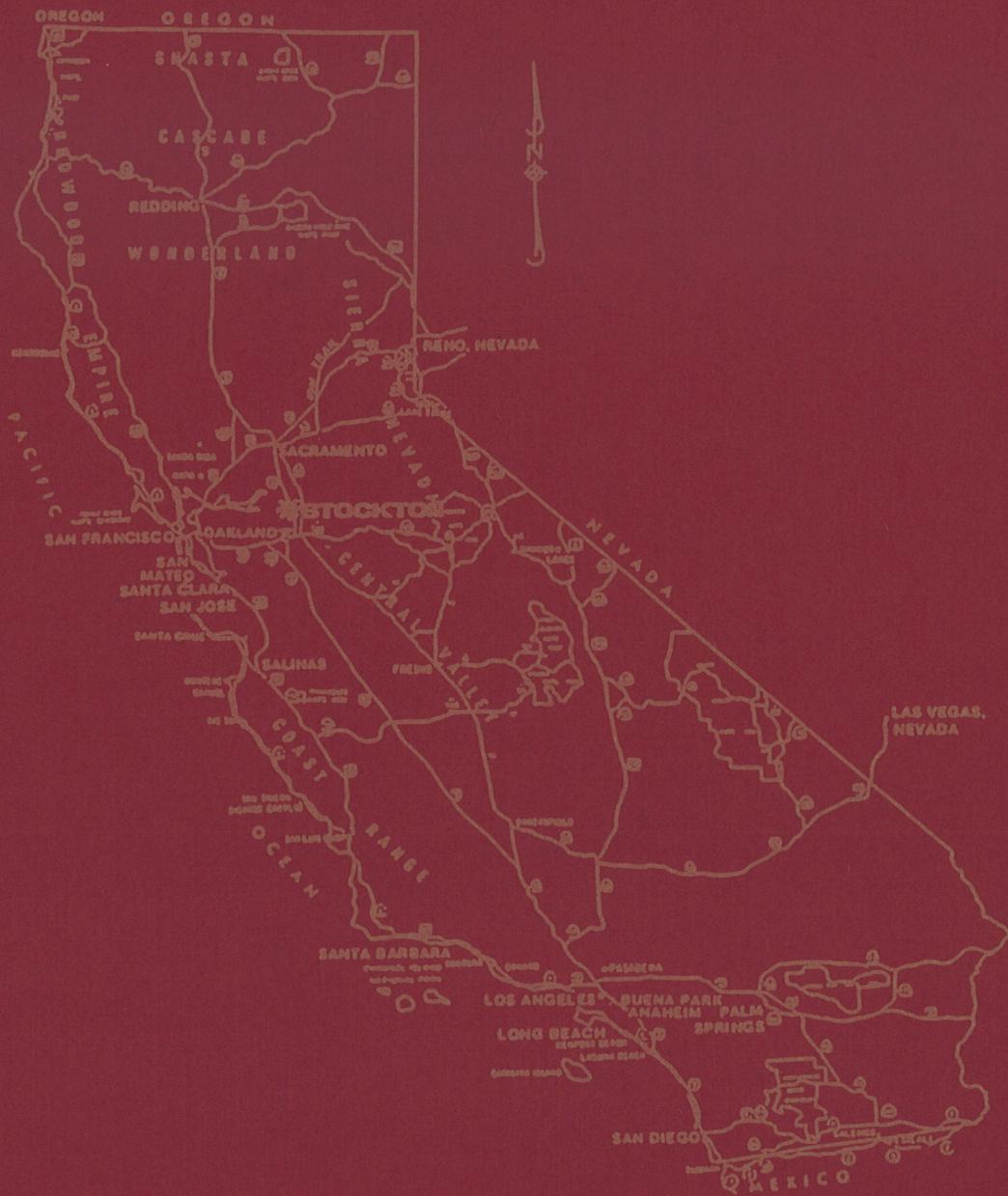
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**REVENUE** - Amounts of money received from taxes, fees, permits, licenses, interest and inter-governmental sources during the fiscal year.

**TAXES** - Compulsory charges levied by a government for the purpose of financing services performed for the common benefit. This term does not include specific charges made against particular persons or property for current or permanent benefits such as special assessments. Neither does the term include charges for services rendered only to those paying such charges as, for example, sewer service charges.

**USER CHARGES** - The payment of a fee for direct receipt of a public service by the party benefitting from the service.

(The contents of this document is 100% recyclable products.)



*Location Map*

