

CITY OF STOCKTON CANDIDATE HANDBOOK



2014

Election Year

Bonnie Paige, CMC
City Clerk, City of Stockton
425 North El Dorado Street
Stockton, CA 95202

Phone: (209) 937-8458
FAX: (209) 937-8447
E-Mail: city.clerk@stocktongov.com

www.stocktongov.com/election

Office Hours:
Open 7:30 a.m. to 5:30 p.m. Monday through Thursday
Open 8:00 a.m. to 5:00 p.m. every other Friday
Closed every other Friday



OPEN 7:30am - 5:30pm, Monday — Thursday
OPEN 8am - 5pm, every other Friday

Utility Billing & Business License Hours
8:30a - 4:30p, Monday — Thursday & Open Fridays

All City Offices are CLOSED every other Friday.

Calendar Legend

-  8 Hour Day
-  CLOSED Fridays
-  Holiday - Closed

January

Su	M	Tu	W	Th	Fri	Sa
				2		4
5	6	7	8	9	10	11
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February

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June

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August

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31						

September

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November

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December

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14	15	16	17	18		20
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28	29	30	31			

Prior to June Election

February 11, 2014

Nomination period opens

March 6, 2014

Nomination period closes

March 5, 2014 – June 2, 2014

FPPC Form 497 must be filed within 24 hours if contributions \$1,000 or more are received

March 10, 2014

List of qualified candidates will be available at 7:30 a.m.

March 13, 2014

Secretary of State Provides Randomized Drawing

March 24, 2014

FPPC Form 460 must be filed covering January 1, 2014-March 17, 2014

FPPC Form 470 must be filed if independent expenditure of \$1,000 is made

April 24, 2014 – May 13, 2014

Sample ballots will be made available by the Registrar of Voters

May 5 – 27, 2014

Applications for Vote-by-Mail ballot will be made available by the Registrar of Voters

May 19, 2014

Last day to register to vote for the June election

May 22, 2014

FPPC Form 460 must be filed covering March 18, 2014-May 17, 2014

June 3, 2014

Local Primary Election

June 7, 2014

Unsuccessful candidates must remove all campaign signs. Note: successful candidates may keep their campaign signs up for the November Election

July 31, 2014

FPPC Form 460 must be filed covering May 18, 2014-June 30, 2014

Prior to November Election

July 29, 2014

Last day to submit for Ballot Designations or any revisions

July 31, 2014

FPPC Form 460 must be filed covering thru-June 30, 2014

August 6, 2014 – November 31, 2014

FPPC Form 497 must be filed within 24 hours if independent expenditures of \$1,000 or more are made

August 7, 2014

Filing Deadline. Note: City Hall will be closed Friday August 8, 2014

August 11, 2014

Last day to withdraw from November Election

October 6, 2014

FPPC Form 460 must be filed covering July 1, 2014 – September 30, 2014

October 23, 2014

FPPC Form 460 must be filed covering October 1, 2014 – October 18

November 4, 2014

General Municipal Election

November 11, 2014

All political signs must be removed

February 2, 2015

FPPC Form 460 must be filed covering thru - December 31, 2014

BASIC INFORMATION

Registration and District Verification must be filed

Nomination Period – Offices to be filled, Requirements

- Council Districts 1, 3, and 5 – A \$25 non-refundable filing fee is required at the time candidate officially files their papers.
- Opens February 11, 2014 at 7:30 a.m.
- Closes March 6, 2014 at the close of business* – ALL FORMS DUE TO CITY CLERK

***Note: 2014 City Business Office Hours illustrated on the color-coded calendar inside the front cover of this handbook.**

Nomination period is extended until March 12, 2014 at the close of business, if incumbent does not seek re-election.

Candidate Handbook

- Available on the City of Stockton Website: www.stocktongov.com/election

City Clerk's Office – 937-8458

- Bret Hunter, bret.hunter@stocktongov.com
- Dora Sidrian, dora.sidrian@stocktongov.com
- Bonnie Paige, bonnie.paige@stocktongov.com

Candidate Requirements, Terms, Council Bond and Salary

- Council Candidate: Charter Article VI, Section 601

Each member must reside in and be a qualified elector (registered voter) of the district from which nominated and elected, for a period of not less than thirty (30) days prior to the filing of his nomination papers.

Any member of the Council moving from his district during his term shall automatically forfeit his office.

Any member who is absent from five (5) consecutive regular meetings, unless excused by resolution of the Council, shall operate to vacate the seat.

The voters of each district shall nominate two candidates for Councilmember at the primary election. The voters of the entire City shall elect the Councilmember for each district at the general election.

- Terms
 - Councilmembers shall be elected to a term of four (4) years beginning on January 1 of the year following their election.
 - Limited to no more than two (2) terms.
- Bond and Salary
 - Before entering office, each Councilmember must execute a bond to the City for \$5,000.
 - Councilmember salary: \$23,927/year (no benefits)

Nomination Petition (must be issued and signed by Clerk/Deputy)

- Maximum of 25 valid signatures of registered voters; minimum of 10
- Must use official form issued **AND signed** by Clerk/Deputy
- Only 1 circulator; must be resident and registered voter in district
- Candidate may sign; spouse and adult children may sign—must be resident and registered voter of district

Note: Before filing your papers with the City Clerk, you may want to review the signatures you have collected against the Index of Registered Voters. The San Joaquin Registrar provides a public access computer for use by the public 8:00 a.m. to 5:00 p.m. Monday through Friday at the Registrar’s Office, 44 N. San Joaquin Street, (209) 468-8942.

Candidates Statement of Qualifications (optional)

- Maximum 250 words
- Printed in Voter Pamphlet
- Estimated cost for June Primary (by District) printed in English and Spanish

Council District 1	\$1,300
Council District 3	\$1,300
Council District 5	\$1,200

- Make check payable to City of Stockton
- May not be amended once filed; may only be withdrawn during the period for filing nomination papers and until 5:00 p.m. the next working day after the close of the nomination period. Cost of printing will be returned to candidate if withdrawn by filing deadline.
- Remains confidential until expiration of filing deadline
- Cost for November General Election: \$3,000 (estimate)

Public Information Resume (optional) (scanned and posted on the City’s website).

- Information on this form will be made available to the public and media.

Campaign Disclosure Manual, Forms and Campaign Statement Deadlines

- Obtain California Fair Political Practices Commission Campaign Disclosure Manual #2
 - Fair Political Practices Commission (FPPC) Toll-Free Helpline: 866/ASK-FPPC (866/275-3772);
 - Web site: www.fppc.ca.gov
- Form 501 (Candidate Intention Statement) – ALL candidates must file with the City Clerk before raising or spending any money (\$25 filing fee is counted)
- Form 470 must be filed if \$.01 to \$999.99 is spent or raised in calendar year by the candidate (filing fee and statement of qualifications is not counted toward the \$1,000 threshold)
- Form 410 (Statement of Organization); file original + 1 copy w/Secretary of State, 1 copy w/Clerk (**Note:** The Form 410 may be filed prior to raising \$1,000 and then must be amended within 10 days of reaching the \$1,000 threshold to disclose the date qualified as a committee).
- Form 460 – Required if \$1,000 is raised/spent
 - (see FPPC Filing Schedule)
 - Needs signature of candidate and treasurer

Conflicts of Interest and Ethics Training

- Must file Form 700 Statement of Economic Interest per Political Reform Act’s conflict of interest rules
- If elected, Ethics Training required within the first year; required every 2 years thereafter

Politics in City Hall – SMC Section 2.28.010

- No campaigning in City Hall
- No solicitation of City employees or officers

Code of Fair Campaign Practices

- Optional form; sign if you subscribe that you will follow the basic principles of decency, honesty, and fairness in running an ethical campaign

Mass Mailing Requirements – Contained in handbook

- Government Code 84305 requires that a copy of the mass mailing requirements be given to candidate.
- Candidate/committee name, address and city must be on each piece of mail, pay attention to point sizes

Political Signs

- Allows signs in residential zones upon filing of official nomination papers
- Signs prohibited on public property and in public right-of-way

Handbill and Use of Sound Trucks Regulations – SMC Section 9.64.070

Miscellaneous Elections and Contact Information

- Voter Registration Forms available in Clerk’s Office or San Joaquin Registrar’s Office, 44 N. San Joaquin Street, , 468-2890 or online at <http://www.sjcrov.org/registration.html>
- Council District Maps (*from City Clerk’s Office*)
 - Small: \$7.75
 - Large: \$15.25
- Regular Precinct Maps: \$40 Each (*available from San Joaquin County Registrar of Voters*)

By signing this form, I agree that the City Clerk’s Office has given me an overview of the Candidate Filing Process as listed on these three pages.

Candidate Signature

Date

Candidate Printed Name

***** Note: The following information to be filled out by Candidate for City Clerk’s Office Official Use only *****

Candidate Address

Zip Code

Cell Phone

Home Phone

Work Phone

E-mail Address: _____



February 11, 2014

Dear Candidate:

Congratulations on your decision to run for elected public office and your desire to serve the citizens of the City of Stockton.

The City of Stockton will be conducting an election on June 3, 2014 for the following:

<u>OFFICE</u>	<u>TERM</u>
Councilmember	
District #1*	01/01/15 - 12/31/18
District #3*	01/01/15 - 12/31/18
District #5*	01/01/15 - 12/31/18

The filing period opens Tuesday, February 11, 2014 at 7:30 a.m. and closes on Thursday, March 6, 2014 at the close of business.

***Note: March 12, 2014 at the close of business is the filing deadline if nomination papers for an incumbent are not filed by March 6, 2014 at the close of business.**

***NOTE:** Provision due to Holidays: Monday, February 10, 2014 is a Holiday.*

EC 15 states the following: "Notwithstanding any other provision of law, if the last day for the performance of any act provided for or required by this code shall be a holiday, as defined in Chapter 7 (commencing with Section 6700) of Division 7 of Title 1 of the Government Code, the act may be performed upon the next business day with the same effect as if it had been performed upon the day appointed."

***NOTE:** Please reference the hours of regular business operation for the Office of the City Clerk, noted on the front cover of this handbook and the color-coded City Business Office Hours calendar inside the front cover. The Office of the City Clerk will be closed March 7, 2014, therefore, the filing period will conclude Thursday March 6, 2014 at 5:30 p.m.*

EC 10224 states the following: "All nomination papers shall be filed with the city elections official during regular business hours as posted, not later than the 88th day before the election. Until that time, but not after, a candidate may withdraw his or her nomination paper after it is filed with the elections official as provided in this section."

The top two Council candidates receiving the most number of votes in their district in the June 3, 2014 Primary Municipal Election will face off in the November 4, 2014 citywide General Municipal Election. The candidate receiving the most number of votes in the November 4, 2014 General Municipal Election wins the election.

The Candidate's Handbook has information that you will need as a candidate for City Councilmember in the June 3, 2014 Primary Election. If you qualify for the November 4, 2014 General Election ballot, this handbook also contains information necessary for the run-off election. In addition to this handbook, you will receive a Candidate's packet which will contain the necessary forms that you will need as a candidate. For your convenience, the Fair Political Practices Commission forms and Campaign Disclosure Manual 2 are available on line at www.fppc.ca.gov.

The Candidate's Handbook summarizes major provisions related to candidates running for office, but is not intended to be all encompassing. The State Election and Government Codes, the Stockton City Charter, and the Stockton Municipal Code were referenced to provide the information contained herein. It is imperative to thoroughly review all information in order to be cognizant of the responsibilities of a candidate for public office. Candidates are responsible for complying with State laws governing campaign reporting and are subject to fines levied by the Fair Political Practices Commission (FPPC) and the City Clerk should there be a failure to comply. If you have any questions regarding reporting requirements, you may call the FPPC toll free at (866) 275-3772 or access the FPPC website at www.fppc.ca.gov.

Your Nomination Paper, Candidate's Statement of Qualifications, payment of fees, and required FPPC forms must be filed together, in my office, before the close of the filing period on **Thursday, March 6, 2014 at the close of business.**

If you have any questions, please call the City Clerk's Office at 937-8458.



BONNIE PAIGE
CITY CLERK

Official Forms (hard copies) included in your packet

- Nomination Paper
- Affidavit of Circulator
- Affidavit of Nominee & Oath or Affirmation of Allegiance
- District Verification
- Candidate's Statement of Qualifications Form
- Ballot Designation Worksheet
- Candidate's Information Sheet
- Code of Fair Campaign Practices Form (2 pages)
- Withdrawal of Candidate Statement of Qualifications

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FAIR POLITICAL PRACTICES COMMISSION FORMS

PREPARATION FOR THE NOMINATION PERIOD

(Note: Step 1 through Step 3 may be performed before the nomination period opens.)

STEP 1. FILE FORM 501, CANDIDATE INTENTION STATEMENT WITH CITY CLERK.

Form 501 is available from the FPPC website: www.fppc.ca.gov

The Form 501, Candidate Intention Statement, must be filed with the City Clerk prior to the solicitation or receipt of any contribution or loan, and prior to use of any of the candidate's personal funds in support of his/her campaign. Exception: Form 501 is not required if a candidate does not solicit or receive campaign contributions from others, and the only expenditures will be the candidate's personal funds for a filing fee and/or Statement of Qualifications that will appear in the voter pamphlet.

Candidates may start soliciting and receiving contributions for campaign expenses as soon as the candidate has filed a Form 501 and a Form 410, Statement of Organization (establishes committee) (see step 3 below). A candidate does not have to wait until the nomination period opens to file these statements to start soliciting/receiving campaign contributions.

Refer to FPPC Campaign Disclosure Manual 2, Chapter 4 at www.fppc.ca.gov for specific information relative to establishing Bank Accounts and Chapter 9 for restrictions on the use of campaign funds.

STEP 2. FILE FORM 410, STATEMENT OF ORGANIZATION

Establishes Bank Account. File the original and one copy with the Secretary of State, (Political Reform Division) and one copy with the City Clerk.

Form 410 is available from the FPPC website: www.fppc.ca.gov

Form 410 is used by an officeholder or candidate who receives contributions totaling \$1,000 or more within a calendar year. When \$1,000 has been received, the officeholder/candidate qualifies as a recipient committee and, within 10 days of qualifying, must file Form 410 with the Secretary of State and the local filing officer. A candidate is not required to count payments made from his/her personal funds for a filing fee or for a Statement of Qualifications that will appear in the voter pamphlet when calculating whether \$1,000 in contributions has been received. However, all other personal funds of the officeholder/candidate used in connection with his/her election must be counted. Form 410 may be filed prior to receiving \$1,000.

Candidates must establish a campaign bank account for each office for which a Candidate Intention, Form 501, has been filed. The campaign bank account must be established at a financial institution located in California. All contributions designated for a particular account or committee must be deposited in the appropriate campaign bank account. Candidates and officeholders may not make

campaign-related expenditures from personal funds. Personal funds that will be used to pay campaign-related expenses must be deposited into the appropriate bank account prior to making the expenditure. See FPPC Campaign Disclosure Manual 2, Chapter 9 for restrictions on use of campaign funds.

A campaign committee does not have to be opened first to pay for the filing fee and the Candidate's Statement of Qualifications. The filing fee and Candidate's Statement of Qualifications may be paid for out of personal funds. When the Committee is opened, then the filing fee and Candidate's Statement of Qualifications are reimbursed to the candidate from campaign funds. This reimbursement is reportable on the campaign statement Form 460.

Note: Payments from a candidate's personal funds for a filing fee or for printing a Candidate's Statement of Qualifications are not reportable contributions or expenditures in meeting the \$1,000 threshold to qualify as a committee.

_____ File the original Form 410 plus one copy with the Secretary of State.

_____ File one copy of Form 410 with the City Clerk.

Refer to FPPC Campaign Disclosure Manual 2, Chapter 4 for specific information relative to establishing Bank Accounts and Chapter 9 for restrictions on the use of campaign funds.

Secretary of State's address:

Secretary of State
Political Reform Division
1500 11th Street, Room 495
Sacramento, CA 95814.

STEP 3A. FORM 460, RECIPIENT COMMITTEE CAMPAIGN STATEMENT

Form 460 is available from the FPPC website: www.fppc.ca.gov

Used by a candidate or officeholder who has a controlled committee, or who has raised or spent or will raise or spend \$1,000 or more during a calendar year in connection with election to office or holding office. Also, use the Form 460 to file a pre-election statement, semi-annual statement, quarterly statement, special odd-year report, supplemental pre-election statement. (You will be notified via e-mail when this Form 460 is due). File this form with the City Clerk in accordance with the deadlines established by the FPPC. (See filing schedule)

STEP 3B. FORM 470, OFFICEHOLDER AND CANDIDATE CAMPAIGN STATEMENT SHORT FORM

Form 470 is available from the FPPC website: www.fppc.ca.gov

Form 470 is used by candidates who do not have a controlled committee and do not anticipate receiving or expending \$1,000 or more during the calendar year. This form is used in place of the 460 and must be filed with the City Clerk in accordance with the deadlines established with the FPPC. (See filing schedule)

FEBRUARY 11- MARCH 6, 2014- NOMINATION PROCESS

PROCESS DURING THE NOMINATION PERIOD

STEP 1. PICK UP NOMINATION PACKET

It is recommended that the candidate call ahead to schedule an appointment with City Clerk to pick up the nomination forms personally. This permits staff to review the forms and procedures with the candidate. There are several forms requiring the candidate's signature.

STEP 2. CIRCULATE NOMINATION PAPER

Basics regarding the circulation of Nomination Paper (Petition):

The Petition Paper Circulator:

- May only be circulated between the specified dates
- Only one person may circulate the petition; this may be the candidate or an appointed circulator
- Circulator must be a resident and registered voter of the applicable district of Council candidate

Petition Paper Signers:

- Candidate, spouse, adult children may sign—must be residents and registered voters of the district of Council candidate
- Minimum of 10 signatures; maximum of 25 signatures
- Signers must be residents and registered voters of the district for a Council candidate

Circulator Requirements:

The candidate or an appointed circulator may circulate the petition. Only one person may circulate each nomination paper (EC 10220). Each person who circulates a nomination paper for a candidate for the City Council must be a resident and qualified elector (registered voter) of the same City Council district as that of the candidate.

Signature Requirements:

A minimum of 10 to a maximum of 25 signatures of registered voters must be obtained on the nomination petition. It is advisable that you obtain the maximum number (25) to allow for a cushion in the event some of the signatures are invalid. Please note that if a person signs the paper of two candidates in the same district for a Council race, only the signature on the first paper filed with the City Clerk will be counted. (EC 10220, Stockton City Charter Article VII, Section 703)

Each signer must be a resident and registered voter of the same City Council district as that of the candidate.

You and your spouse and adult children may sign provided they are registered voters and live in your district if you are a Council candidate.

No more signers shall be secured for any candidate than the maximum number required in this article. If, however, through miscalculation or otherwise, more signers are secured than the maximum number, the officer with whom the nomination papers are filed shall, with the written consent of the candidate, withdraw the excess number. (EC 8067).

To ensure that you have the required number of valid signatures on your nomination paper, we recommend that you check the Index of Registered Voters available at the San Joaquin County Registrar of Voters, located at 44 N San Joaquin St., (209) 468-8942, **before** filing final papers with the City Clerk. The San Joaquin Registrar of Voters provides a public access computer for use by the public from 8:00 a.m. to 5:00 p.m. Monday through Friday for your convenience. The Index is also available on CD by precincts for purchase through the Registrar. Official verification of the signatures is done after the City Clerk has submitted the nomination papers to the County Registrar of Voters, after the closing of the nomination period. **The City Clerk advises candidates to allow ample time prior to filing for checking the signatures against the Index of Registered Voters. If there is a problem with your papers, there will be sufficient time to correct them.**

STEP 3. CIRCULATOR TO EXECUTE DECLARATION

The circulator must complete the Circulator Affidavit after the nomination paper is circulated. If the candidate (nominee) is the circulator, the candidate (nominee) completes the affidavit.

Whoever circulates the nomination paper must sign an affidavit that he/she personally witnessed all the signatures on the paper, and knows that they are the signatures of the persons whose names they purport to be.

The circulator must complete the "Declaration of Circulator" as his or her name appears on the voter registration.

STEP 4. CANDIDATE'S FILING FEE - \$25

A \$25 candidate's filing fee is payable (by cash or check) to the City of Stockton when the nomination petition is filed with the Clerk. The City Council established the non-refundable filing fee of \$25 for Mayoral and Council candidates as set forth in City Council Resolution 94-0079. This fee must be paid at the time nomination papers are filed.

Note: Payments for the filing fee from campaign funds continue to be reportable expenditures on the Form 460, Recipient Committee Campaign Statement, Schedule E. A third party payment of the filing fee or ballot statement fee is an in-kind contribution to the candidate and is reported on Form 460, Recipient Committee Campaign Statement, Schedule C.

STEP 5. FILL OUT CERTIFICATE OF RESIDENCY

All candidates must complete a Certificate of Residency when filing their nomination papers. Members of the Council must reside in and be a qualified elector (registered voter) of the district from which said member is nominated and elected, 30 days prior to filing nomination papers. (Charter, Section 601 (d)). This form is filed when the nomination papers are filed.

Step 6. File Candidate's Statement of Qualifications

Basics regarding the Candidate's Statement of Qualifications:

- The Candidate's Statement of Qualification is optional
- Will be printed in the voter pamphlet in both English and Spanish
- 250 word limit (Council Resolution 98-0052)
- Estimated Cost for June Primary:
 - \$ 1,300 for Council District 1
 - \$ 1,300 for Council District 3
 - \$ 1,200 for Council District 5
- Estimated Cost for November General Municipal Election:
 - \$3,000 for all candidates
- Form must be typed and provided in an editable electronic format (MS Word file).
- Once filed; it may not be changed (EC 13307 (a) (3))
- See pages pertaining to "Candidate's Statement Of Qualifications – Criteria" for detailed information in reference to "Word Count Standards", "Guidelines for Computing Word Count"
- Must be filed at the time the nomination papers are filed.
- Candidate's Statement of Qualifications is confidential until the expiration of the filing deadline.

Each candidate may prepare a Candidate's Statement of Qualifications on an official form provided by the City Clerk. The Candidate's Statement of Qualifications is designed to acquaint voters with a candidate's qualifications for the office being sought. This statement is printed in the voter pamphlet, and mailed to all registered voters eligible to vote for that particular candidate. The candidate is required to pay the cost for printing the statement on the voter pamphlet EC 13307 (c). The candidate must sign and date the statement at the time it is filed.

The Elections Code specifies that the Candidate's Statement of Qualifications shall be filed when the nomination papers are returned for filing. (EC 13307(a)(2)). The statement may not be filed separately from the nomination petition before the nomination period ends.

Once the Candidate's Statement of Qualifications is filed, it may NOT be changed; this includes any corrections to words or spelling errors. The statement may be withdrawn but cannot be retrieved for any changes EC 13307 (a)(3). The Elections Code prohibits the Elections Official from altering the statement. Check your statement carefully before filing.

The Candidate's Statement of Qualifications may be withdrawn during the period for filing nomination papers and until 5:00 p.m. March 10, 2014, which is the next business day after the close of the nomination period. (EC 13307 (a)(3)).

Note: March 12, 2014 at the close of business is the filing deadline if nomination papers for an incumbent are not filed by March 6, 2014 at the close of business)

Note: City Hall is closed March 7, 2014.

A refund of the estimated cost for printing the statement will be made within 30 days of the election, if it is withdrawn within the timeframe above.

Note: Once a statement is withdrawn, it cannot be filed again.

Note: Payments for the Candidate's Statement of Qualifications from campaign funds continue to be reportable expenditures on the Form 460, Recipient Committee Campaign Statement, Schedule E. A third party payment of the filing fee or ballot statement fee is an in-kind contribution to the candidate and is reported on Form 460, Recipient Committee Campaign Statement, Schedule C.

Candidate's Statement in November General Election

You may submit a new Candidate's Statement of Qualifications for the General Election (runoff). Whether it is the same statement or changed, you will be required to pay the costs for having a statement included in the voter pamphlet. The printing cost for the November General Election for Council candidates is generally more than double that for the Primary Election. The reason for the increased cost is because the November General Election is citywide, whereas the primary election is held district wide.

STEP 7. FILL OUT STATEMENT OF ECONOMIC INTERESTS, FORM 700

Form 700 is available from the FPPC website: www.fppc.ca.gov

The Form 700 is used by individuals who make or participate in making government decisions that could affect their personal financial interests. Officials and candidates of the City Council are designated as “code filers”, and are required to file Form 700 to satisfy provisions under the Political Reform Act.

STEP 8. FILL OUT PUBLIC INFORMATION RESUME (OPTIONAL)

Completion of this form is voluntary. If it is used, the information provided will be made available to the public, the newspaper and other media in response to inquiries about you. You may also wish to file a small picture to be included on the City’s website.

STEP 9. FILL OUT CODE OF FAIR CAMPAIGN PRACTICES FORM (OPTIONAL)

Every candidate for public office is encouraged to follow basic principles of decency, honesty, and fair play in conducting campaigns. Completion of this form is voluntary.

STEP 10. FILL OUT AND SIGN CANDIDATE BALLOT DESIGNATION WORKSHEET/FORM

The Candidate’s ballot designation is the designation that will be printed next to your name on the ballot. You will need to decide this designation when the nomination papers are filed. The regulations for Candidate Ballot Designations are contained in this handbook.

STEP 11. FILE WITH THE CITY CLERK ALL OF THE ABOVE EARLY

The City Clerk recommends filing all the required paperwork early in case there are any problems; this way the problems can be corrected prior to the final filing deadline.

MARCH 6, 2014 - DEADLINE AND PROCESS FOR FILING NOMINATION PAPERS

Thursday, March 6, 2014 at the close of business is the deadline for filing nomination petition and nomination papers with the City Clerk.

***Note:** March 12, 2014 at the close of business is the filing deadline if nomination papers for an incumbent are not filed by March 6, 2014 at the close of business.

Nomination papers must be filed in accordance with the provisions of the State Elections Code. The time clock in the City Clerk’s Office stamps the official time and date on all nomination papers. Those papers received after the close of business on the specified day will not be accepted.

Candidates must personally file their papers for the following reasons:

- a. The candidate must take the Oath of Affirmation, administered by the City Clerk or her Deputy.
- b. The candidate's signature is required on many documents involved in the election process, including the Certificate of Residency and the Candidate's Statement of Qualifications.
- c. If through an oversight the nomination papers are incomplete, the problem can easily be rectified when the candidate files in person.

Since there are a number of instructions to review and documents to complete, **it is strongly recommended that candidates make appointments with the City Clerk** (209) 937-8458 to file paperwork at the Office of the City Clerk, City Hall, 425 N El Dorado Street, Stockton. Please allow a minimum of 45 minutes to 1 hour to file nomination papers.

MARCH 10, 2014 DEADLINE TO WITHDRAW CANDIDACY

The Candidate's Statement of Qualifications may be withdrawn during the period for filing nomination papers and until 5:00 p.m. the next business day after the close of the nomination period. (EC 13307 (a)(3)).

Should a candidate subsequently decide to withdraw his/her nomination, it must be done no later than 5:00 p.m., *the next business day after the close of the nomination period*. The \$25 filing fee is not refundable; however, the fee paid for the estimated cost of printing the Candidate's Statement of Qualifications will be reimbursed if the candidate withdraws no later than the above date.

Candidate's name will appear on the ballot if not withdrawn by the deadline.

***Note: March 12, 2014 at is the filing deadline if nomination papers for an incumbent are not filed by March 6, 2014 at the close of business; in this case, the Candidate's statement may be withdrawn until 5:00 p.m. on March 13, 2014.**

INDIGENCE (EC 13309)

If a candidate alleges to be indigent and unable to pay in advance the requisite fee for submitting a candidate statement, the candidate shall submit to the local agency a statement of financial worth to be used in determining whether or not he or she is eligible to submit a candidate statement without payment of the fee in advance.

The statement of financial worth required by this section shall be submitted by the candidate together with his or her candidate statement in accordance with the deadline specified in Section 13307. The statement of financial worth form shall be furnished by the local agency, and may include questions relating to the candidate's employer, income, real estate holdings, tangible personal property, and financial obligations. The candidate shall certify the content of the statement as to its truth and

correctness under penalty of perjury. The candidate shall also sign a release form of the candidate's most recent federal income tax report.

Upon receipt of a statement of financial worth, a determination shall be made by the local agency of whether or not the candidate is indigent. The local agency shall notify the candidate of its findings.

If it is determined that the candidate is not indigent, the candidate shall, within three days of the notification, excluding Saturdays, Sundays, and state holidays, withdraw the statement or pay the requisite fee. If the candidate fails to respond within the time prescribed, the local agency shall not be obligated to print and mail the statement.

If the local agency determines that the candidate is indigent, the local agency shall print and mail the statement.

Nothing in this section shall prohibit the elections official from billing the candidate his or her actual pro rata share of the cost after the election.

FALSE STATEMENTS/FINES

The Elections Code Section 18351 provides that "Any candidate in an election...who knowingly makes a false statement of a material fact in a candidate's statement, prepared pursuant to EC 11327 or 13307 with the intent to mislead the voters in connection with his or her campaign for nomination or election to a nonpartisan office is punishable by a fine not to exceed \$1,000."

STOCKTON CITY CHARTER REQUIREMENTS

COUNCILMEMBER REQUIREMENTS

CHARTER ARTICLE VI, SECTION 601

Each member must reside in and be a qualified elector (registered voter) of the district from which nominated and elected, for a period of not less than thirty (30) days prior to the filing of his/her nomination papers.

Any member of the Council moving from his/her district during his term shall automatically forfeit his office.

Any member who is absent from five (5) consecutive regular meetings, unless excused by resolution of the Council, shall operate to vacate the seat.

The voters of each district shall nominate two candidates for Councilmember at the primary election. The voters of the entire City shall elect the Councilmember for each district at the general election.

Councilmembers shall be elected to a term of four (4) years beginning on January 1 of the year following their election.

CHARTER ARTICLE VI, SECTION 603 - SURETY BOND

The Mayor and each Councilmember shall, before entering upon the duties of office, give and execute to the City a bond for an amount not less than five thousand (\$5,000.00) dollars. The City Council may by resolution increase the amount of the bond requirement. The City of Stockton will pay the costs of acceptable surety bonds. Every bond shall contain the conditions that the principal will well, truly, honestly, and faithfully perform the duties of office. The bonds of the Mayor and the Councilmembers must be approved by the City Attorney, and the approval of the official bonds must be endorsed thereon. All bonds when approved shall be filed with the City Clerk. State law requirements which are not inconsistent with this Charter shall apply to bonds issued under the provisions of the Section.

CHARTER ARTICLE VI, SECTION 604 - OATH OF OFFICE

Every officer of the City, before entering upon the duties of his/her office, shall take the oath of office as provided for in the constitution of this State and shall file the same with the City Clerk.

CHARTER ARTICLE VI, SECTION 605 - HOLDING OTHER OFFICE

No member of the Council shall hold any other City office or City employment during the term for which he or she was elected to the Council; provided and excepting, however, that a member of the Council may become a member of any advisory, administrative or governing body of any special district, entity, organization or committee when such is authorized by State law or where the offices are not incompatible.

CHARTER ARTICLE V I, SECTION 606 - TERM LIMITS

No person elected as either Mayor or Councilmember shall be eligible to serve, or serve, as either Mayor or Councilmember for more than two (2) terms; but such service prior to January 1, 1989 shall not disqualify any person for further service as either Mayor or Councilmember.

Political Reform Act

USE OF CAMPAIGN FUNDS

The Political Reform Act (GC § 89510 - 89522) provides definitions and regulates the receipt and expenditure of campaign and surplus campaign funds. These provisions apply to campaign funds held by candidates for elective office, elected officers, candidate controlled committees, ballot measure committees, committees opposed to a candidate or measure, and any committee which qualifies as a committee pursuant to subdivision (a) of Government Code Section 82013.

In general, these provisions state that all campaign funds are held in trust for the purpose of seeking or holding elective office. At a minimum, campaign fund expenditures must be reasonably related to political, legislative, or governmental purposes. Expenditures that confer a substantial personal benefit on the candidate, committee or person authorized to approve the expenditure must be directly related to a political, legislative, or governmental purpose.

The provisions specifically restrict the use of campaign and surplus campaign funds. Please refer to the Campaign Disclosure Manual 2, Information for Local Candidates, Superior Court Judges, their Controlled Committees, and Primarily Formed Committees for Local Candidates. These manuals were prepared specifically for candidates and committees by the Fair Political Practices Commission, the enforcement agency of the Political Reform Act.

The manuals also provide specific information on recordkeeping requirements. The State Franchise Tax Board may be utilized to audit campaign disclosure statements at the request of the FPPC. The FPPC may impose fines based on audit results. If there is any question not addressed in the manuals provided by the FPPC, it is imperative that candidates contact the FPPC toll free at 1 (866) 275-3772 or 1 (866) ASK-FPPC for clarification regarding campaign reporting and recordkeeping requirements. Additional information and forms are provided on the FPPC web site: www.fppc.ca.gov.

CAMPAIGN DISCLOSURE STATEMENTS

The Act requires that all state and local elected officeholders, candidates for state and local elected offices, and their controlled committees file campaign disclosure statements at specified intervals. Failure to file appropriate statements and reports in compliance with the Act can result in substantial criminal, civil and administrative penalties. Failure to file within the prescribed deadlines can lead to late filing penalties of \$10 for each day the statement is late. Except for deadlines that fall on a Saturday, Sunday, or official state holiday, the law does not allow for extensions of filing deadlines.

Fair Political Practices Forms may be obtained at the following link:

www.fppc.ca.gov (Forms can be downloaded)

- Form 501 Candidate Intention Statement
- Form 410 Statement of Organization
- Form 460 Recipient Committee Campaign Statement
- Form 470 Officeholder and Candidate Campaign Statement Short Form
- Form 700 Statement of Economic Interests

JUNE 3, 2014 ELECTION FILING SCHEDULE

Fair Political Practices Commission Filing Schedule for Candidates and Controlled Committees for Local Office Being Voted on June 3, 2014

Deadline	Period	Form	Notes
Jan 31, 2014 <i>Semi-Annual Contribution Reports</i>	thru – 12/31/13	460 470	<ul style="list-style-type: none"> An individual who filed candidacy papers in 2013 must file Form 460 or Form 470 to disclose activity for that year.
Within 24 Hours	3/5/14 – 6/2/14	497	<ul style="list-style-type: none"> File if a contribution of \$1,000 or more in the aggregate is received from a single source File if a contribution of \$1,000 or more is made in the aggregate to another candidate or measure being voted upon June 3, 2014, or to a political party committee. The recipient of a non-monetary contribution of \$1,000 or more must file a Form 497 report within 48 hours from the time the contribution is received File personal delivery, guaranteed overnight service, fax or online.
Mar 24, 2014 <i>Pre-Election</i>	1/1/14 – 3/17/14	460 470	<ul style="list-style-type: none"> Each candidate listed on the ballot must file Form 460 or Form 470 (see below). The March 22 deadline falls on Saturday, so the deadline is extended to the next business day
May 22, 2014 <i>Pre-Election</i>	3/18/14 – 5/17/14	460	<ul style="list-style-type: none"> All committees must file Form 460. File by personal delivery, guaranteed overnight service or online.
Jul 31, 2014 <i>Semi-Annual</i>	5/18/14 – 6/30/14	460	<ul style="list-style-type: none"> All committees must file Form 460 unless the committee filed termination Forms 410 and 460 before June 30, 2014.

- Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to 24-hour independent expenditure reports (Form 496) and the deadline for the Form 497 that is due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. Statements filed after the deadline are subject to a \$10 per day/late fine.
- Method of Delivery:** All paper filings are filed by personal delivery or first class mail unless otherwise noted. A paper copy of a report is not required if a local agency requires online filing pursuant to a local ordinance.
- Candidate - Form 460 or 470:** Use Form 470 if less than \$1,000 is raised/spent in calendar years 2013 or 2014. Use Form 460 if \$1,000 or more is raised/spent in 2014 and also file Form 410, Statement of Organization. Note: All candidates must file Form 501 before soliciting contributions.
- County Central Committee Candidates:** A campaign statement is not required (even the Form 470) of an individual seeking election to a county central committee office as long as the candidate does not receive contributions of \$1,000 or more or make expenditures of \$1,000 or more.
- Form 470:** Candidates who do not have a committee and do not raise/spend \$1,000 in 2014 may file Form 470 on paper once a year on or before March 24, 2014. If, later during the calendar year, a campaign committee must be opened, a Form 470 Supplement must be filed.
- Officeholders whose salaries are less than \$200/month and judges:** These officials who are not listed on the ballot are not required to file the semi-annual statements if no contributions were received or expenditures made during the period.

CONFLICTS OF INTEREST

This is an overview, using non-technical terms, of your obligations under the Political Reform Act's conflict-of-interest rules. It is intended to help you understand your obligations at the "big picture" level, and to guide you to more detailed resources.

Stripped of legal jargon, you have a conflict of interest with regard to a particular government decision if it is sufficiently likely that the outcome of the decision will have an important impact on your economic interests, and if the important impact on your economic interests is not also felt by a significant segment of the jurisdiction. The voters who enacted the Political Reform Act by ballot measure in 1974 judged such circumstances to be enough to influence, or to appear to others to influence, your judgment with regard to that decision.

Under rules adopted by the California Fair Political Practices Commission, deciding whether you have a financial conflict of interest under the Act is an eight-step process. If you methodically think through the steps whenever you think there may be a problem, you can avoid most, if not all, mistakes.

STEP 1. PUBLIC OFFICIAL

Are you a "public official," within the meaning of the rules? If you file a Form 700 statement of economic interests each year, you are a "public official" under the Act.

STEP 2. GOVERNMENTAL DECISION

Are you making, participating in making, or influencing a governmental decision? The Act's conflict-of-interest rules apply when you:

- Make a governmental decision (for example, by voting or making an appointment).
- Participate in making a governmental decision (for example, by giving advice or making recommendations to the decision-maker).
- Influence a governmental decision by communicating with the decision-maker.

A good rule of thumb is to ask yourself if you are exercising discretion or judgment with regard to the decision.

STEP 3. ECONOMIC INTERESTS

What are your economic interests? That is, what are the possible sources of a financial conflict of interest? There are six kinds of economic interests from which conflicts of interest can arise:

1. Personal Finances of public official or of his/her immediate family, and includes Registered Domestic Partners.

2. Business Investment: A business entity in which you, your spouse, registered domestic partner, your dependent children or anyone acting on your behalf has invested \$2,000 or more.

3. Business Employment or Management. A business entity for which you are a director, officer, partner, trustee, employee, or hold any position of management.

4. Real Property. Real property in which you or your spouse or registered domestic partner, or your dependent children has invested \$2,000 or more.

5. Sources of Income. (Other than loans by a commercial lending institution) aggregating \$500 or more, received or promised to the official within 12 months prior to the time the decision is made. When thinking about sources of income, keep in mind that a person from whom your spouse or registered domestic partner receives income may also be a source of a conflict of interest to you. Also if you, your spouse, registered domestic partner, or your dependent children, own 10% or more of a business, you are considered to receive “pass-through” income from the business’s clients – in other words, the business’s clients may be considered sources of income to you.

6. Gifts and Loans. State and local officials and employees designated in a conflict of interest code are prohibited from receiving a gift or gifts totaling more than \$420 in a calendar year from a single source. For elected state officials and many others, the prohibition is applicable to gifts from any source although there are exceptions (for example, gifts from family members). State and local public officials may not receive any personal loan totaling more than \$250 from an official, employee, or consultant of, or from anyone who contracts with, their governmental agencies. Elected officials may not receive any personal loan totaling more than \$500 from a single lender unless certain terms of the loan are specified in writing. Under certain circumstances, a personal loan that is not being repaid or is being repaid below certain amounts may become a gift to the official who received it. (Gov. Code Sections 87460-87462).

Personal Financial Effect. Your personal expenses, income, assets, or liabilities, as well as those of your immediate family are known as the “personal financial effects” rule. If these are likely to go up or down as a result of the governmental decision, then it has a “personal financial effect” on you.

On the Statement of Economic Interests (Form700) you file each year, you disclose many of the economic interests that could cause a conflict of interest for you. However, be aware that not all of the economic interests which may cause a conflict of interest are listed on the Form 700. A good example is your home. It is common for a personal residence to be the economic interest that triggers a conflict of interest despite not being disclosed.

STEP 4. INTEREST INVOLVED IN GOVERNMENTAL DECISION

Are your economic interests directly or indirectly involved in the governmental decision? An economic interest that is directly involved in a governmental decision creates a bigger risk of a conflict of interest than does an economic interest that is only indirectly involved in the decision. The FPPC’s regulations distinguish between directly involved and indirectly involved economic interests.

STEP 5. MATERIALITY

What kinds of financial impacts on your economic interests are considered important enough to trigger a conflict of interest? You have a conflict of interest only if it is reasonably foreseeable that the governmental decision will have an important impact on your economic interests.

Remember these facts:

If the economic interest is directly involved in the governmental decision, the standard or threshold for deeming a financial impact to be material is stricter (i.e. lower). This is because an economic interest which is directly involved in a governmental decision presents a bigger conflict-of-interest risk for the public official who holds the interest.

If the economic interest is not directly involved, the materiality standard is more lenient because the indirectly involved interest presents a lesser danger of a conflict of interest.

There are different sets of standards for the different types of economic interests. That is, there is one set of materiality standards for business entities, another set for real property interests, etc.

The rules vary by the size and situation of the economic interest. For example, a \$20,000 impact resulting from a governmental decision may be crucial to a small business, but may be a drop in the bucket for a big corporation. Thus, the materiality standards distinguish between large and small businesses, between real property which is close or far from the property which is the subject of the decision, etc.

STEP 6. DOES A CONFLICT OF INTEREST RESULT?

The important question: Is it substantially likely that the governmental decision will result in one or more of the materiality standards being met for one or more of your economic interests? The heart of the matter is deciding whether it is sufficiently likely that the outcome of the decision will have an important impact on your economic interests.

Step six calls for a factual judgment, not necessarily a legal one. You must look at your economic interest and how it fits into the entire factual picture surrounding the decision.

STEP 7. "PUBLIC GENERALLY" EXCEPTION

If you have a conflict of interest, does the "public generally" exception apply? Is the conflict of interest disqualifying? Not all conflicts of interest prevent you from lawfully taking part in the government decision at hand. Even if you otherwise have a conflict of interest, you are not disqualified from the decision if the "public generally" exception applies.

If you can show that a significant segment of your jurisdiction feels a financial impact which is substantially similar to the impact on your economic interest, then the exception applies.

The “public generally” exception must be considered with care. You may not just assume that it applies. There are specific rules for identifying the specific segments of the general population with which you may compare your economic interest, and specific rules for deciding whether the financial impact is “substantially similar.”

STEP 8. LEGALLY REQUIRED PARTICIPATION

Even if you have a disqualifying conflict of interest, is your participation legally required? In certain rare circumstances, you may be called upon to take part in a decision despite the fact that you have a disqualifying conflict of interest. This “legally required participation” rule applies only in certain very specific circumstances where your government agency would be paralyzed from acting. You are strongly encouraged to seek advice from your agency legal counsel or the FPPC before you act under this rule.

CONCLUSION

Don’t be afraid to ask for advice. It is available from the FPPC. The FPPC’s toll free phone number is 1 (866) 275-3772 or you may access the FPPC web site at www.fppc.ca.gov.

ETHICS TRAINING – REQUIRED FOR SUCCESSFUL CANDIDATES

GC 53235 and GC 53235.1

53235. (a) If a local agency provides any type of compensation, salary, or stipend to a member of a legislative body, or provides reimbursement for actual and necessary expenses incurred by a member of a legislative body in the performance of official duties, then all local agency officials shall receive training in ethics pursuant to this article.

53235.1. (a) Each local agency official in local agency service as of January 1, 2006, except for officials whose term of office ends before January 9, 2007, shall receive the training required by subdivision (a) of Section 53235 before January 1, 2007. Thereafter, each local agency official shall receive the training required by subdivision (a) of Section 53235 at least once every two years.

(b) Each local agency official who commences service with a local agency on or after January 1, 2006, shall receive the training required by subdivision (a) of Section 53235 no later than one year from the first day of service with the local agency. Thereafter, each local agency official shall receive the training required by subdivision (a) of Section 53235 at least once every two years.

(c) A local agency official who serves more than one local agency shall satisfy the requirements of this article once every two years without regard to the number of local agencies with which he or she serves.

To help meet your AB 1234 ethics training requirements, the Institute for Local Government and the Fair Political Practices Commission has developed a free online ethics training course. The course has been approved by the Attorney General's Office and Fair Political Practices Commission. You may access this

online course at <http://localethics.fppc.ca.gov> or <http://www.localethics.fppc.ca.gov>. Upon completion of the online course, you will need to file the Public Service Ethics Education Online Proof of Participation Certificate with the Stockton City Clerk's Office at 425 North El Dorado Street, Stockton, CA 95202.

POLITICS IN CITY HALL

SMC 2.28.010 SOLICITATION OF CITY EMPLOYEES OR OFFICERS

No Councilmember or candidate or any person acting on behalf of such Councilmember or candidate shall knowingly solicit from any City employee or officer any endorsement or contribution of funds, goods or services for a Councilmember or a candidate for City Council nor shall any such person knowingly solicit the attendance (whether "paid" or "complimentary") of any City employee or officer at any fundraising event held by or for a Councilmember or candidate or at any event at which attendance by a City employee or officer may place or appear to place the employee or officer in a position adverse to the interests of the City. No City employee or officer shall be rebuked or penalized in any manner for failure or refusal to provide an endorsement or contribution or to attend any such events nor shall any City employee or officer be rebuked or penalized in any manner for failure or refusal to encourage or allow endorsements, contributions or attendance at any such events by other City employees or officers. The prohibitions of this section do not apply to solicitations or invitations posted, published or broadcast as general announcements of events in such manner that they may happen to be viewed or heard by City employees or officers, but do apply to oral or written solicitations directly made to individual City employees or officers. (Prior code § 2-900)

SMC 2.28.020 PENALTY

Violation of this chapter shall be punishable as a misdemeanor. A violation by a Councilmember shall also constitute a violation of the rules of the Council of the City. (Prior code § 2-901)

GENERAL INTENT AND DEFINITIONS

EC SEC. 20400. INTENT OF LEGISLATURE

The Legislature declares that the purpose of this chapter is to encourage every candidate for public office in this state to subscribe to the Code of Fair Campaign Practices.

It is the ultimate intent of the Legislature that every candidate for public office in this state who subscribes to the Code of Fair Campaign Practices will follow the basic principles of decency, honesty, and fair play in order that, after vigorously contested, but fairly conducted campaigns, the citizens of this state may exercise their constitutional right to vote, free from dishonest and unethical practices which tend to prevent the full and free expression of the will of the voters.

The purpose in creating the Code of Fair Campaign Practices is to give voters guidelines in determining fair play and to encourage candidates to discuss issues instead of untruths or distortions.

EC SEC. 20440. SUBSCRIPTION TO CODE OF FAIR CAMPAIGN PRACTICES; FORM

At the time an individual is issued his or her declaration of candidacy, nomination papers, or any other paper evidencing an intention to be a candidate for public office, the elections official, shall give the individual a blank form of the Code and a copy of this chapter. The elections official shall inform each candidate for public office that subscription to the code is voluntary.

In the case of a committee making an independent expenditure as defined in Section 82031 of the Government Code, the Secretary of State shall provide a blank form and a copy of this chapter to the individual filing, in accordance with Title 9 (commencing with Section §81000) of the Government Code, an initial campaign statement on behalf of the committee.

EC SEC. 20442. RETENTION OF FORMS; PUBLIC INSPECTION

The elections official shall accept, at all times prior to the election, all completed forms that are properly subscribed to by a candidate for public office and shall retain them for public inspection until 30 days after the election.

EC SEC. 20443. PUBLIC RECORD

Every code subscribed to by a candidate for public office pursuant to this chapter is a public record open for public inspection.

MASS MAILING REQUIREMENTS

Please pay strict attention to the following mass mailing requirements because your opponent probably will. You may be reported to the State FPPC for irregularities and fines may be imposed, as well as the media may publicize the violation.

Mass mailings are more than 200 substantially similar pieces of mail sent by an officeholder, candidate or committee within any calendar month. The sender of a mass mailing is the candidate or committee who pays for the largest portion of the mailing.

A copy of Section 84305 of the Government Code is being provided to each candidate as per requirements of Elections Code 16 as follows:

GC Section 84305 states:

84305.

- (a) Except as provided in subdivision (b), no candidate or committee shall send a mass mailing unless the name, street address, and city of the candidate or committee are shown on the outside of each piece of mail in the mass mailing and on at least one of the inserts included within each piece of mail of the mailing in no less than 6-point type which shall be in a color or print which contrasts with the background so as to be easily legible. A post office box may be stated in lieu of a street address if the organization's address is a matter of public record with the Secretary of State.
- (b) If the sender of the mass mailing is a single candidate or committee, the name, street address, and city of the candidate or committee need only be shown on the outside of each piece of mail.
- (c) If the sender of a mass mailing is a controlled committee, the name of the person controlling the committee shall be included in addition to the information required by subdivision (a).

84305.5.

- (a) No slate mailer organization or committee primarily formed to support or oppose one or more ballot measures shall send a slate mailer unless:
 - (1) The name, street address, and city of the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures are shown on the outside of each piece of slate mail and on at least one of the inserts included with each piece of slate mail in no less than 8-point roman type which shall be in a color or print which contrasts with the background so as to be easily legible. A post office box may be stated in lieu of a street address if the street address of the slate mailer organization or the committee primarily formed to support or oppose one or more ballot measure is a matter of public record with the Secretary of State's Political Reform Division.

- (2) At the top or bottom of the front side or surface of at least one insert or at the top or bottom of one side or surface of a postcard or other self-mailer, there is a notice in at least 8-point roman boldface type, which shall be in a color or print which contrasts with the background so as to be easily legible, and in a printed or drawn box and set apart from any other printed matter. The notice shall consist of the following statement:

NOTICE TO VOTERS

THIS DOCUMENT WAS PREPARED BY (name of slate mailer organization or committee primarily formed to support or oppose one or more ballot measures), NOT AN OFFICIAL POLITICAL PARTY ORGANIZATION. Appearance in this mailer does not necessarily imply endorsement of others appearing in this mailer, nor does it imply endorsement of, or opposition to, any issues set forth in this mailer. Appearance is paid for and authorized by each candidate and ballot measure which is designated by an *.

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- (3) The name, street address, and city of the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures as required by paragraph (1) and the notice required by paragraph (2) may appear on the same side or surface of an insert.
- (4) Each candidate and each ballot measure that has paid to appear in the slate mailer is designated by an *. Any candidate or ballot measure that has not paid to appear in the slate mailer is not designated by an *. The * required by this subdivision shall be of the same type size, type style, color or contrast, and legibility as is used for the name of the candidate or the ballot measure name or number and position advocated to which the * designation applies except that in no case shall the * be required to be larger than 10-point boldface type. The designation shall immediately follow the name of the candidate, or the name or number and position advocated on the ballot measure where the designation appears in the slate of candidates and measures. If there is no slate listing, the designation shall appear at least once in at least 8-point boldface type, immediately following the name of the candidate, or the name or number and position advocated on the ballot measure.

(5) The name of any candidate appearing in the slate mailer who is a member of a political party differing from the political party which the mailer appears by representation or indicia to represent is accompanied, immediately below the name, by the party designation of the candidate, in no less than 9-point roman type which shall be in a color or print that contrasts with the background so as to be easily legible. The designation shall not be required in the case of candidates for nonpartisan office.

(b) For purposes of the designations required by paragraph (4) of subdivision (a), the payment of any sum made reportable by subdivision (c) of Section 84219 by or at the behest of a candidate or committee, whose name or position appears in the mailer, to the slate mailer organization or committee primarily formed to support or oppose one or more ballot measures, shall constitute a payment to appear, requiring the * designation. The payment shall also be deemed to constitute authorization to appear in the mailer.

EXCEPTIONS

The following information is not required to be included in the sender ID:

- Committee's identification number
- Name of treasurer or printer
- The words "paid for by"

Identification is not required under the Political Reform Act on these campaign items:

- Newspaper, television, or radio ads
- Campaign-related materials that are not sent through the mail such as handbills, bumper stickers, and door hangers.

POLITICAL SIGN REGULATIONS

SIGNS IN RESIDENTIAL ZONES

Under normal conditions, signs are not permitted in residential districts. However, Chapter 14 of the Uniform Sign Code does allow the placement of political signs in residential zones upon the filing of official papers for public office and/or when measures qualify for the ballot.

SIGNS IN COMMERCIAL ZONES

Signs that are permitted in commercial zones/districts by the Zoning Ordinance can advertise any political message. The City cannot regulate the contents of signs where they are permitted by right in the Zoning Ordinance.

Zoning information can be obtained from the Community Development Department, 937-8266, located at 345 N. El Dorado Street, Stockton.

The regulations from the Stockton Municipal Code pertaining to political signs are as follows:

SMC SEC. 16.76.040 D 4. TEMPORARY SIGNS WITHOUT SPECIFIC SIZE LIMITATIONS

- a. **Temporary Political Signs.** Temporary political signs shall comply with the following standards:
- i. Signs shall be allowed on private property in any zoning district subject to the owner's permission;
 - ii. Signs shall not be located in a public right-of-way, easement, or on other governmental property dedicated to a public purpose;
 - iii. Signs shall not be located upon utility or telephone poles;
 - iv. Signs attached to a wall, fence, or structure shall not project more than six (6) inches from a wall, fence, or structure;
 - v. Every political sign shall contain the name, address, and telephone number of a contact person who can be contacted regarding removal of the sign; and
 - vi. Political signs shall be placed no earlier than the filing of official papers for public office and shall be removed within seven (7) days of an election.

HANDBILL REGULATIONS

SMC 9.64.060 LITTERING STREETS AND PUBLIC PLACES

It is unlawful and an infraction for any person to place, throw or deposit any commercial or non-commercial handbill, flyer, or advertisement in or upon any sidewalk, street, mall, or other public place within the City; provided, however, that it is not unlawful upon any sidewalk, street, mall, or other public place within the City for any person to hand out or distribute, without charge to the receiver thereof any commercial or noncommercial handbill to any person willing to accept it. (Prior code § 5-136.1)

SMC 9.64.070 PLACING COMMERCIAL OR NONCOMMERCIAL HANDBILLS ON VEHICLES

It is unlawful and an infraction for any person to throw or deposit any commercial or noncommercial handbill or advertisement in or upon any vehicle which is parked upon a public street, or upon a parking lot open to the public where appropriate signs are posted prohibiting deposit of such handbills; provided, however, that it shall not be unlawful upon any public street or in any parking lot open to the public for a person to hand out or distribute without charge to the receiver any commercial or noncommercial handbill or advertisement to any occupant of any vehicle who is willing to accept it. (Prior code § 5-136.2)

REGULATIONS FOR USE OF SOUND TRUCKS

SMC 9.08.010 ADVERTISING BY MEANS OF LOUD SPEAKERS, ETC.—RESTRICTED

It is unlawful for any person or persons to engage in advertising, or attracting attention to the existence or location of his or her place of business, by means of sound emitted through a loud speaker, sound amplifier, musical instrument, phonograph, radio speaker, or similar device located within the City and not on a vehicle or airplane. (Prior code § 5-095)

SMC 9.08.020 ADVERTISING NOT UNLAWFUL IF IN COMPLIANCE WITH REGULATIONS

Provided that such activity is not unlawful if in conformance with the following regulations:

- A. The only sounds permitted are music or human speech;
- B. Human speech amplified by the sound device shall not be lewd, indecent nor slanderous nor shall it be specifically addressed to individual pedestrians or motorists as distinguished from the public in general;
- C. The volume of the sound shall be controlled so that it is not audible for a distance in excess of 50 feet;
- D. Sound shall be issued from one (1) loudspeaker or similar device only. (Prior code § 5-096)

SMC 9.08.030 PRIMA FACIE EVIDENCE OF NONCOMPLIANCE WITH REGULATIONS

The operation of any such sound amplifying device in such a manner as to be audible at any distance in excess of 50 feet from the place of business in which it is located shall be prima facie evidence of and a rebuttable presumption of an intent of advertising or attracting attention to the existence or location of the place of business. (Prior code § 5-096.1)

SMC 9.08.040 FACILITIES TO ENGAGE IN LOUD SPEAKER ADVERTISING—RESTRICTED

It is unlawful for any person or persons to provide the facilities for his or her employees to engage in advertising, or attracting attention to the existence or location of his or her place of business, by means of sound emitted through a loud speaker, sound amplifier, musical instrument, phonograph, radio speaker, or similar device located within the City and not on a vehicle or airplane; provided that it is not unlawful if the activity by the employee or employees conforms to the regulations set forth in Section 9.08.020. (Prior code § 5-097)

MISCELLANEOUS ELECTION INFORMATION

VOTER REGISTRATION FORMS

Voter registration forms will be available in the City Clerk's Office and in the San Joaquin County Registrar of Voters Office. The forms are also available from the San Joaquin County Registrar of Voters website: <http://www.sjcrov.org/>

COUNCIL DISTRICT MAPS

Council district maps may be purchased from the City Clerk's Office.

- Small Map = \$7.75
- Large Map = \$15.25

MAP WITH PRECINCT LINES

Maps may be purchased from the San Joaquin County Registrar of Voters Office. The cost of the maps is \$40 each.

SAN JOAQUIN COUNTY REGISTRAR OF VOTERS

The Registrar's office is located at 44 N. San Joaquin Street, Stockton. To confirm availability of the Index of Voter Registration, please call the Registrar's office at 468-8942.

ASSISTANCE REGARDING REPORTING OBLIGATIONS

Candidates with questions regarding reporting obligations under the Political Reform Act are encouraged to call the Fair Political Practices Commission (FPPC) toll free at 1 (866) 275-3772 for assistance.

CONTACT INFORMATION

<p>Stockton City Clerk 425 N El Dorado Street Stockton CA 95202</p>	<p>Phone: (209) 937-8458 FAX: (209) 937-8447 Internet: www.stocktongov.com/election E-Mail: city.clerk@stocktongov.com</p>
<p>City of Stockton Access to Stockton City Municipal Code and City Charter</p>	<p>Internet: http://www.stocktongov.com/smc or http://qcode.us/codes/stockton</p>
<p>San Joaquin County Registrar of Voters 44 N San Joaquin St, Stockton CA 95202</p>	<p>Phone: (209) 468-8942 FAX: (209) 468-8945 Internet: www.sjgov.org</p>
<p>San Joaquin County District Attorney 222 E Weber Ave, 4th floor Stockton, CA 95202</p>	<p>Phone: (209) 468-2400</p>
<p>Fair Political Practices Commission 428 J Street, Suite 450 PO Box 807 Sacramento CA 95812-0807</p>	<p>Technical Assistance Div (866) 275-3772 Toll Free To Report a Violation: (800) 561-1861 Toll Free FAX: (916) 322-0883 Fax-on-demand system: (888) 622-1151 Internet: www.fppc.ca.gov</p>
<p>Secretary of State 1500 11th Street, Room 495 PO Box 1467 Sacramento CA 95812-1467</p>	<p>Political Reform Division: (916) 653-6224 FAX: (916) 653-5045 Internet: www.ss.ca.gov</p>
<p>State Attorney General Brown Act Requirements</p>	<p>Phone: (800) 952-5225 Internet: http://www.caag.state.ca.us/www.caag.state.ca.us E-Mail: PIU@DOJ.ca.gov</p>
<p>State Franchise Tax Board 31 E Channel Street Stockton CA 95202</p>	<p>Phone: (800) 338-0505 Internet: www.FTB.ca.gov</p>
<p>Federal Elections Commission</p>	<p>Phone: (800) 424-9530 Toll Free</p>
<p>Internal Revenue Service</p>	<p>Phone: (800) 829-1040 Toll Free</p>

**CANDIDATE'S SUPPLEMENTAL
INFORMATION MANUAL**
for those participating in the
General Municipal Election
November 4, 2014



CITY OF STOCKTON
Incorporated in 1850

NOVEMBER 4, 2012 ELECTION - RUNOFF CANDIDATES

SUPPLEMENTAL INFORMATION MANUAL

This supplement to the Candidates' Information Manual contains specific information regarding the election process for candidates in the November General Municipal Election. Please review the information provided carefully.

CANDIDATE STATEMENT OF QUALIFICATIONS DUE BY AUGUST 7, 2014

You may submit a Candidate's Statement of Qualifications to be printed in the November sample ballot pamphlet. Should you decide to have the primary election statement reprinted or to have a new statement printed in the general election voter pamphlet, the statement and payment for printing must be submitted to the City Clerk by the close of business (EC 13307). The estimated cost for printing the Candidate's Statement of Qualifications in the November voter pamphlet is \$3,000.

BALLOT DESIGNATIONS OR ANY REVISIONS DUE BY JULY 29, 2014

Candidate's name may be changed by submitting a written request to the City Clerk indicating the new ballot designation or name change by close of business (EC 13107 (e)). Please refer to your original Candidate's Manual for ballot designation provisions.

Provisions for filing the Candidate's Statements of Qualifications, ballot designation and campaign disclosure statements are established by the State of California Elections Code and the Political Reform Act. If you have any questions regarding the information provided in this Supplemental, please call:

Assistant City Clerk Bret Hunter at 937-7123; or,

City Clerk Bonnie Paige at 937-8458

CANDIDATE'S STATEMENT OF QUALIFICATIONS

GENERAL MUNICIPAL ELECTION
NOVEMBER 4, 2014

ESTIMATED COST FOR PRINTING - \$3,000

The cost of printing is estimated to be \$3,000 for English/Spanish printing. The San Joaquin County Registrar estimates the statement printing costs. Should the deposited amount exceed the actual costs, a refund will be processed as soon as the actual costs are known. Payment for the statement printing should be made by check to the City of Stockton and submitted to the City Clerk.

AUGUST 7, 2014 CLOSE OF BUSINESS - FILING DEADLINE

The Candidate's Statement of Qualifications is optional. The purpose of a candidate's statement is to acquaint the voters with the candidate. If you wish to have a candidate's statement printed in the voter's pamphlet, the statement must be submitted to the City Clerk by August 7, 2014 by the close of business on a form provided by the City Clerk. The statement may contain the name, age and occupation of the candidate and a brief description of the candidate's education and qualifications expressed by the candidate. The wording of the statement cannot be changed after it is filed. It may be withdrawn up to 5:30 p.m. of the next working day after the deadline for submitting the statement to the Clerk.

AUGUST 11, 2014 AT 5:30 P.M. - FINAL DAY TO WITHDRAW

The deadline for withdrawing the statement is August 11, 2014, 5:30 p.m.

Note: City Hall is closed August 8, 2014.

PREPARATION OF THE CANDIDATE'S STATEMENT

See "Candidate's Statement of Qualifications" in the Candidate's Handbook.

CANDIDATE'S INTENTION

Each candidate should indicate on the bottom of the Candidate's Statement form his/her intention to file or not to file a statement. After completion of the form, the signed form should be returned to the City Clerk even if the candidate elects not to have a statement printed in the sample ballot.

CHANGES TO THE STATEMENT

The statement cannot be changed once it is submitted, nor can it be edited by the City Clerk, Registrar or printer. Please take time to proofread your statement carefully to avoid embarrassing errors. If, however, the statement does not comply with the uniform standard established by the Registrar of Voters' and the California Election Code, the statement will be modified by the Registrar to comply with the standard to the extent the law allows.

JULY 31, 2012 DEADLINE FOR BALLOT DESIGNATION, CHANGES

GENERAL MUNICIPAL ELECTION NOVEMBER 4, 2014

The ballot designation and candidate's name will remain the same as printed in the voter pamphlet of the Primary Municipal Election unless the candidate requests a different designation in writing by **July 29, 2014, by the close of business** (EC 13107 (e)).

Candidate ballot designations are governed by State of California Election Code Section 13107. Ballot designation requirements are included in this handbook.

- Changes to Ballot Designation due — July 31, 2014, by the close of business (EC 13107 (e))
- Filing Deadline – August 7, 2014, by the close of business
- **Note:** City Hall is closed August 8, 2014
- Withdrawal deadline – August 11, 2014, 5:30 p.m.

NOVEMBER 4, 2014 ELECTION FILING SCHEDULE

Fair Political Practices Commission Filing Schedule for Candidates and Controlled Committees for Local Office Being Voted on November 4, 2014

Deadline	Period	Form	Notes
Jul 31, 2014 <i>Semi-Annual</i>	thru – 6/30/14	460	<ul style="list-style-type: none"> All committees must file Form 460.
Within 24 Hours <i>Contribution Reports</i>	8/6/14 – 11/3/14	497	<ul style="list-style-type: none"> File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution of \$1,000 or more is made in the aggregate to another candidate or measure being voted upon November 4, 2014, or to a political party committee. The recipient of a non-monetary contribution of \$1,000 or more must file a Form 497 report within 48 hours from the time the contribution is received. File personal delivery, guaranteed overnight service, fax or online.
Oct 6, 2014 <i>Pre-Election</i>	7/1/14 – 9/30/14	460 470	<ul style="list-style-type: none"> Each candidate listed on the ballot must file Form 460 or Form 470 (see below). The October 5 deadline falls on Sunday, so the deadline is extended to the next business day.
Oct 23, 2014 <i>Pre-Election</i>	10/1/14 – 10/18/14	460	<ul style="list-style-type: none"> All committees must file Form 460 File by personal delivery, guaranteed overnight service or online.
Feb 2, 2015 <i>Semi-Annual</i>	10/19/14 – 12/31/14	460	<ul style="list-style-type: none"> All committees must file unless the committee filed termination Forms 410 and 460 before December 31, 2014. The January 31, 2015, deadline falls on Saturday, so the deadline is extended to the next business day.

- Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to 24-hour independent expenditure reports (Form 496) and the deadline for the Form 497 that is due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. Statements filed after the deadline are subject to a \$10 per day late fine.
- Method of Delivery:** All paper filings are filed by personal delivery or first class mail unless otherwise noted. A paper copy of a report is not required if a local agency requires online filing pursuant to a local ordinance.
- Candidate – Form 460 or 470:** Use Form 470 if less than \$1,000 is raised/spent in calendar years 2013 or 2014. Use Form 460 if \$1,000 or more is raised/spent in 2014 and also file Form 410, Statement of Organization. Note: All candidates must file Form 501 before soliciting contributions.
- County Central Committee Candidates:** A campaign statement is not required (even the Form 470) of an individual seeking election to a county central committee office as long as the candidate does not receive contributions of \$1,000 or more or make expenditures of \$1,000 or more.