

# COMMERCIAL CANNABIS BUSINESS Lottery Applicant Screening Form



COMMUNITY DEVELOPMENT DEPARTMENT • 345 N EL DORADO STREET • STOCKTON, CA 95202  
[www.stocktonca.gov/cannabis](http://www.stocktonca.gov/cannabis) • (209) 937-8266 • [CannabisLottery@stocktonca.gov](mailto:CannabisLottery@stocktonca.gov)

## 1. APPLICANT— Fill out the following Information. Individual cannot be listed on more than one application.

Name: \_\_\_\_\_ Email: \_\_\_\_\_  
 Address: \_\_\_\_\_ Phone 1: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_ Phone 2: \_\_\_\_\_

## 2. BUSINESS INFORMATION—Fill out the following information and attach supporting documentation.

Business Name: \_\_\_\_\_  
 Business Structure Type: (Sole Proprietorship, Partnership, LLC, Inc. etc,) \_\_\_\_\_  
 Business Owners: \_\_\_\_\_  
 (Attach separate \_\_\_\_\_  
 Document, if needed) \_\_\_\_\_  
 California Secretary of State Entity Number: \_\_\_\_\_  
 (Applicable to LLCs, Partnerships, and Inc.)

**Only One Application per Business. Business Owners and Affiliates are considered part of a single application.**

## 3. COMMERCIAL CANNABIS BUSINESS LOTTERY POOL TYPE—Equity Applicants ONLY

General Pool Applicants can proceed to the next section of the application.  
 Equity Applicants must attach proof of eligibility on a separate document for each of the equity pool requirements below:

- Resident of Stockton
- 50% Ownership of Business
- Equity Applicant Eligibility Requirements

Details for Equity Requirements are outlined in Page 2.

### OFFICE USE ONLY:

Equity:  
 \_\_\_ Residency  
 \_\_\_ 50% Ownership  
 \_\_\_ Eligibility Type

### Lottery Numbers:

CG - \_\_\_\_\_ CE - \_\_\_\_\_  
 MG - \_\_\_\_\_ ME - \_\_\_\_\_  
 RG - \_\_\_\_\_ RE - \_\_\_\_\_  
 VG - \_\_\_\_\_ VE - \_\_\_\_\_

Confirmation #:2021- \_\_\_\_\_

### Application Status:

\_\_\_ 1st Review \_\_\_\_\_ Date  
 \_\_\_ 2nd Review \_\_\_\_\_ Date  
 \_\_\_ 3rd Review \_\_\_\_\_ Date  
 \_\_\_ Approved \_\_\_ Denied

Date Received \_\_\_\_\_

Date Notified \_\_\_\_\_

## 4. COMMERCIAL CANNABIS BUSINESS TYPE

Only one Lottery Entry per business is allowed. You will be assigned a lottery number for each designated business type. Applicants must select which business type will be developed if multiple business types are selected through the lottery.

Select all that apply.

**CULTIVATION**

Planting, Growing, Harvesting, Drying, Curing, Grading, or Trimming Cannabis within a fully enclosed building.

**MICROBUSINESS**

May act (in part or in whole) as a Retailer, Distributor, Manufacturer, and Cultivator (less than 1,000 sq feet).

**RETAIL STOREFRONT**

Selling Cannabis and Cannabis Products to consumers.

**VOLATILE MANUFACTURING**

Extracting or Infusing Cannabis and Components or Ingredients, including solvents that are flammable. SMC  
 16.80.195.A;16.80.195.C;16.80.195.D;16.80.195.H

Continue on Page 2

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## BUSINESS STRUCTURE REQUIRED DOCUMENTS

The Commercial Cannabis Business Lottery is limited to one application per person, including all affiliates and business owners that may be related to that applicant. In submitting the lottery application, the City of Stockton is requiring all applicants to list all Business Owners that are working with the applicant in pursuance of the Commercial Cannabis Business.

All Applicants participating in the Commercial Cannabis Business Lottery must also attach proof of Business ownership. Acceptable documents include but are not limited to a Partnership Agreement, California Secretary of State Entity Number, or a Notarized statement (applicable to Sole Proprietors only).

## EQUITY APPLICANT REQUIRED DOCUMENTS

**Proof of Residency:** Equity Applicants must attach a **Proof of Residency** of the Applicant's listed address that confirms the Applicant lives within Stockton City Limits. Acceptable documents include utility bills, signed lease agreements, CA driver's license, CA Identification Card, or other official documents addressed to the Applicant at the address listed.

**Proof of over 50% ownership:** Equity Applicants must attach a **Proof of over 50% ownership** of the Commercial Cannabis Business that confirms the Applicant will own a majority of the business. Acceptable documents include notarized statements of ownership for sole proprietorship or founding documents or signed agreements between all affiliates of the business (LLC, LP, INC).

**Applicant Eligibility:** Equity Applicants must attach proof of **Equity applicant eligibility** as outlined below:

MBE/WBE Certified – Must include proof of certification as a Minority Business Enterprise or a Women-owned Business Enterprise, specifically a certificate or registration number. <https://www.mbda.gov/page/state-offices-minority-and-womenbusinessenterprises>

SB535 Disadvantaged Area– Must verify that applicant address is within the bounds of the SB535 Disadvantaged Communities in Stockton, using proof of residence above. <https://oehha.ca.gov/calenviroscreen/sb535>

HUD Designated Area – Must verify that applicant's address is located within Census tracts that are 80% or below the Average Median Income within Stockton as designated by HUD.

Opportunity Zone – Must verify that the applicant address is within the Opportunity Zone bill published by the IRS, using proof of residence above. <https://cafinance.maps.arcgis.com/apps/webappviewer/index.html?id=d068b90cb97f4b429f3b180593036b7e> SMC 16.80.195.K

## DECLARATION BY APPLICANT

I, the applicant, and all my business affiliates, if selected in the lottery, agree to submit a Commission Use Permit Application to the City of Stockton and will notify the City in writing if I intend to rescind my lottery selection. I also ensure that I can act on behalf of said company to engage in business activities. I understand that the City of Stockton will communicate via email and I can certify that I provided an accurate email address. I also acknowledge that it is my responsibility to keep my email address current with the City. I understand, that if chosen through the lottery process, I am responsible for compliance of Stockton Municipal Code, Title 16.80.195 requirements. I hereby certify under penalty of perjury that the statements furnished above and in the attached exhibits present the data and information required for this application to the best of my ability and that the facts, statements and information presented are true and correct to the best of my knowledge and belief.

\_\_\_\_\_  
Applicant's Name

\_\_\_\_\_  
Date

X

\_\_\_\_\_  
Applicant's Signature