

**MEDIATION SESSIONS SUMMARIES**

<b>Date (2012)</b>	<b>Approx. Duration (hrs.)</b>	<b>Mediation or Information Sessions and Attendees</b>	<b>Purpose of the Mediation or Information Session</b>
March 8		Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
March 21	1	Conference call with all or virtually all Participants	Initial meeting to discuss next steps, selection of mediator, location and timing of mediation sessions and allocating payment of the mediator and costs of mediation
March 26	1	Conference call with all or virtually all Participants	Follow-up on March 21 call and discussion of the selection of Judge R. Mabey as mediator
March 28	5	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated). Judge Mabey not present.	Meeting to discuss City's Governmental Accounting Standards Board (GASB) liability
April 11	5	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated). Judge Mabey not present.	Discussion of various labor and retiree restructuring proposals
April 30	All day	Judge Mabey and virtually all Participants, including many financial advisors. See attached attendance sheet.	Introduction the mediation and disclosure of and discussion of the City's finances. Presentation of multi-year financial forecast and detailed assumptions with the forecast model. An interactive version of the model was provided Participants along with the Ask
May 1	All day	Judge Mabey and virtually all Participants, including many financial advisors. See attached attendance sheet.	Continuing discussion of the City's finances, including an analysis of restricted and partially restricted funds
May 2	Morning to mid-afternoon	Judge Mabey and financial advisors and a few attorneys	Continuing discussion of the City's finances with a focus on the City's 10-year budget projection
May 10	1	Conference call with Judge Mabey and capital markets creditors	Financial data, the City's Ask and related topics
May 14	All day	Judge Mabey and all nine labor unions	Presentation of City proposals
May 15	All day	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated)	Discussion of various labor and retiree restructuring proposals

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	All day	Judge Mabey and Capital Market Representatives from: <ul style="list-style-type: none"> <li>▪ Assured Guaranty</li> <li>▪ National Public Finance Guarantee Corporation</li> <li>▪ Franklin Advisers</li> <li>▪ Wells Fargo Bank, National Association, as Indenture Trustee</li> <li>▪ Dexia Credit Local</li> </ul>	All day mediation session involving shuttle diplomacy between Judge Mabey, the City and the various participants regarding the Ask and chapter 9 issues

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May 16	1	Judge Mabey and Price Case Plaintiffs	Discussion of proposed treatment of judgment creditor
	All day	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated)	Discussion of various labor and retiree restructuring proposals
	All day	Judge Mabey and all nine labor unions, retirees (ARECOS), Howard Jarvis Taxpayers' Association, Capital Market Representatives from: <ul style="list-style-type: none"> <li>▪ Assured Guaranty</li> <li>▪ National Public Finance Guarantee Corporation</li> <li>▪ Franklin Advisers</li> <li>▪ Wells Fargo Bank, National Association, as Indenture Trustee</li> <li>▪ Dexia Credit Local</li> </ul>	All day mediation session involving shuttle diplomacy between Judge Mabey, the City and the various Participants regarding the Ask and chapter 9 issues
May 17	3	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
	3	Operating Engineers Local Union No. 3 (OE3)	Discussion of personnel cost restructuring proposals
	3	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
	4	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals
May 18	2	Stockton City Employees Association (SCEA)	Discussion of personnel cost restructuring proposals
May 21	2	Management Supervisory Level Bargaining Unit (B&C)	Discussion of personnel cost restructuring proposals
May 22	6	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals
May 23	2	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
May 24	3	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
	2	Operating Engineers Local Union No. 3 (OE3)	Discussion of personnel cost restructuring proposals
May 29	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
	1	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
May 30	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
	1	Stockton City Employees Association (SCEA)	Discussion of personnel cost restructuring proposals

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May 31	3	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals

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June 4	5	Stockton City Employees Association (SCEA)	Discussion of various labor and retiree restructuring proposals
	2	Operating Engineers Local Union No. 3 (OE3)	Discussion of personnel cost restructuring proposals
June 5	5	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
June 6	3	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals
	All day	Financial Advisors Assured Guaranty and City Finance and management staff; counsel and Judge Mabey not present	Discussion at City Hall of City finances, including model assumptions, restricted funds analysis, asset inventory and other issues. Specific City restructuring proposals on: <ul style="list-style-type: none"> <li>▪ 2007 Taxable Pension Obligation Bonds, Series A &amp; B</li> <li>▪ 2007 Series A and Taxable Series B, Variable Rate Demand Lease Revenue Bonds</li> </ul>
June 7	2	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
June 8	3	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
	2	Stockton City Employees Association (SCEA)	Discussion of personnel cost restructuring proposals
	4	Stockton Police Officers Association (SPOA)	Meeting with representatives from the California Public Employees' Retirement System (CalPERS) to discuss retirement benefits
June 11	1-2	ARECOS (see attached sign-in sheet)	Discussion of the Ask and the ARECOS counterproposal
	Noon through 11:30 p.m.	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 12	1	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 13	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 14	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals

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	1	Management Supervisory Level Bargaining Unit (B&C)	Discussion of personnel cost restructuring proposals
June 15	1	Conference call with Judge Mabey and ARECOS	Discussion of the ARECOS counterproposal
	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 25	2	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
	4	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals

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